

TCG EFI Protocol

Version 1.20 Final

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For TPM Family 1.1 or 1.2

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Corrections and Comments

Please send comments and corrections to: admin@trustedcomputinggroup.org

1. Introduction

Start of informative comment:

The purpose of this document is to define a standard interface to the TPM on an EFI platform. This standard interface is useful on any of the three example instantiations of an EFI platform shown in Figures 2-1, 2-2, and 2-3, as well as other instantiations.

OS loaders and OS manageability agents will use this interface to measure and log the boot process on EFI platforms.

This specification complements the EFI 1.10 Specification.

This TCG EFI Protocol Specification is a pure interface specification that provides no information on “how” to construct the underlying firmware implementation. For that information, see the specifications referenced in Section 1.2, External Specifications.

End of informative comment.

1.1 Conventions Used in this Document

Start of informative comment:

This section gives the data structure description and typographic conventions used in this document.

End of informative comment.

1.1.1 Data Structure Descriptions

All constants and data SHALL be represented as little-endian bit format, which requires the low-order bit on the far left of a constant or data item and the high-order bit on the far right. Exceptions to this, if any, will be explicit in this specification.

All strings SHALL be represented as an array of ASCII bytes with the left-most character placed in the lowest memory location.

In some memory layout descriptions, certain fields are marked reserved. Software must initialize such fields to zero, and ignore them when read. On an update operation, software must preserve any reserved field.

1.1.2 Typographic Conventions

The following typographic conventions are used in this document to illustrate programming concepts:

Prototype	This typeface indicates prototype code.
<i>Argument</i>	This typeface indicates arguments.
Name	This typeface indicates actual code or a programming construct.
register	This typeface indicates a processor register.

1.2 External Specifications

References to external specifications:

Extensible Firmware Interface Main Specification Version 1.10, <http://www.intel.com/technology/efi>

System Abstraction Layer (SAL), <http://www.intel.com/design/itanium/downloads/245359.htm>

TCG TPM Main Specification Version 1.2, available at <https://www.trustedcomputinggroup.org/specs/TPM/>

TCG PC Client Implementation Specification for Conventional BIOS, Version 1.2, available at <https://www.trustedcomputinggroup.org/specs/PCClient>

TCG EFI Platform Specification, Version 1.2, available at <https://www.trustedcomputinggroup.org/specs/Server/> & <https://www.trustedcomputinggroup.org/specs/PCClient>

TCG Itanium Architecture Server Specification, Version 1.0, available at <https://www.trustedcomputinggroup.org/specs/Server/>

1.3 Abbreviations and Terminology

The following abbreviations are used in this specification:

Boot Services

(This definition is copied and pasted from the EFI 1.10 Specification, for the convenience of the reader) The collection of interfaces and protocols that are present in the boot environment. These services minimally provide an OS loader with access to platform capabilities required to complete OS boot. [Boot] services are also available to drivers and applications that need access to platform capability. Boot services terminate once the operating system takes control of the platform.

Boot Services Time

(This definition is copied and pasted from the EFI 1.10 Specification, for the convenience of the reader) The period of time between platform initialization and the call to **ExitBootServices ()**. During this time, EFI drivers and applications are loaded iteratively as the system boots from an ordered list of EFI OS loaders.

CHAR16

The common EFI data type that is a 2-byte character; unless otherwise specified, all strings are stored in the UTF-16 encoding format, as defined by Unicode 2.1 and ISO/IEC 10646 standards. Note: This definition is from Table 2-2 of the Extensible Firmware Specification, version 1.10, December 1, 2002.

EFI Driver

(This definition is copied and pasted from the EFI 1.10 Specification, for the convenience of the reader) A module of code typically inserted into the firmware via protocol interfaces. Drivers may provide device support during the boot process or they may provide platform services. It is important not to confuse an EFI driver with OS drivers that load to provide device support once the OS takes control of the platform.

EFI Hard Disk

(This definition is copied and pasted from the EFI 1.10 Specification, for the convenience of the reader) A hard disk that supports the new EFI partitioning scheme

EFI OS Loader

(This definition is copied and pasted from the EFI 1.10 Specification, for the convenience of the reader) The first piece of operating system code loaded by the firmware to initiate the OS boot process; this code is loaded at a fixed address and then executed. The OS takes control of the system prior to completing the OS boot process by calling the interface that terminates all boot services.

ESP

EFI System Partition: Portion of the hard disk reserved for EFI. It is formatted as FAT12, 16, or 32.

Event Services

The set of functions used to manage EFI events. Includes **CheckEvent ()**, **CreateEvent ()**, **CloseEvent ()**, **SignalEvent ()**, and **WaitForEvent ()**.

GPT

GUID'd Partition Table: Partitioning scheme that subsumes MBR and uses GUID's and new table definition to describe regions of disk.

GUID

Globally Unique Identifier: A 128-bit value used to differentiate services and structures in the boot services environment.

Image

An executable file stored in a file system that complies with the EFI 1.10 Specification. One type of image is a driver.

Image Handle

(This definition is copied and pasted from the EFI 1.10 Specification, for the convenience of the reader) A handle for loading an image; image handles support the loaded image protocol

Image Handoff State

(This definition is copied and pasted from the EFI 1.10 Specification, for the convenience of the reader) The information handed off to a loaded image as it begins execution; it consists of the image's handle and a pointer to the image's System Table

Protocol

EFI Interface: Includes methods and instance data; similar to the public portion of a C++ Class.

System Table

The EFI System Table contains pointers to the active console devices, a pointer to the EFI Boot Services Table, a pointer to the EFI Runtime Services Table, and a pointer to a list of system configuration tables, such as ACPI, SMBIOS, and the SAL System Table. For more information about the EFI System Table, see section 4.1 of the Extensible Firmware Specification, version 1.10, December 1, 2002.

TPM

Trusted Platform Module

Variable

Unicode / GUID pair that is used to index persistent store in EFI

2. Implementation Overview

Start of informative comment:
 There are various embodiments of EFI; this section shows three such embodiments.
End of informative comment.

2.1 EFI

Start of informative comment:
 Figure 2-1 shows an EFI Client platform.
 The TCG EFI protocol defined in this specification shows as a dark, horizontal line.
End of informative comment.

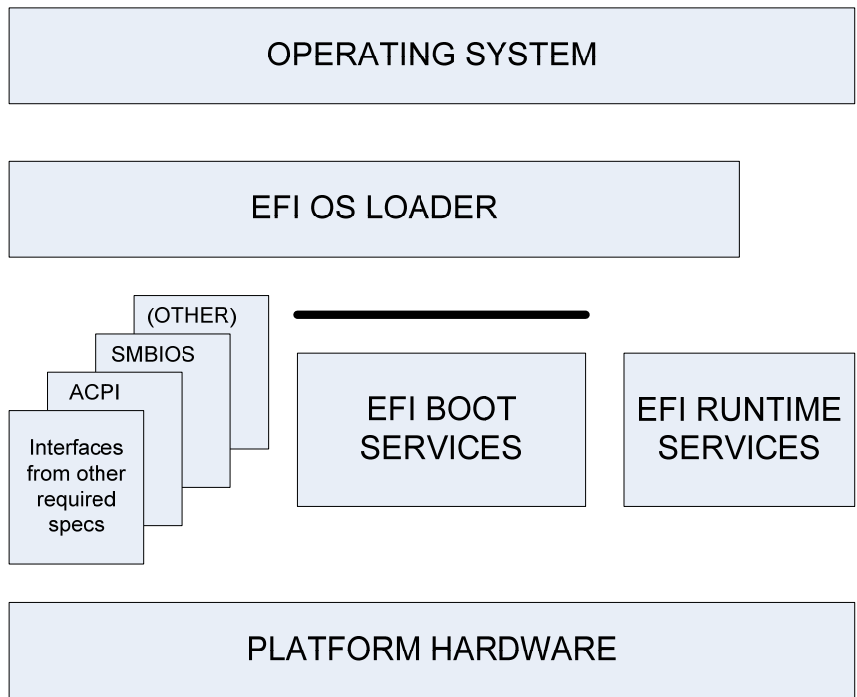


Figure 2.1 Native EFI Platform

2.2 EFI on Top of Conventional BIOS

Start of informative comment:

Figure 2-2 shows EFI on top of conventional BIOS.

Note that Figure 2-2 shows a series of drivers and a core infrastructure inside the box labeled “EFI Boot Services.” One or more of the series of the Boot Services Drivers may be an EFI 1.10 driver that abstracts and provides an instance of the EFI_TCG Protocol. This specification primarily documents the EFI_TCG Protocol that such a driver uses to communicate with the EFI Boot Services.

For a reference to more information about EFI, see section 1.2, External Specifications.

End of informative comment.

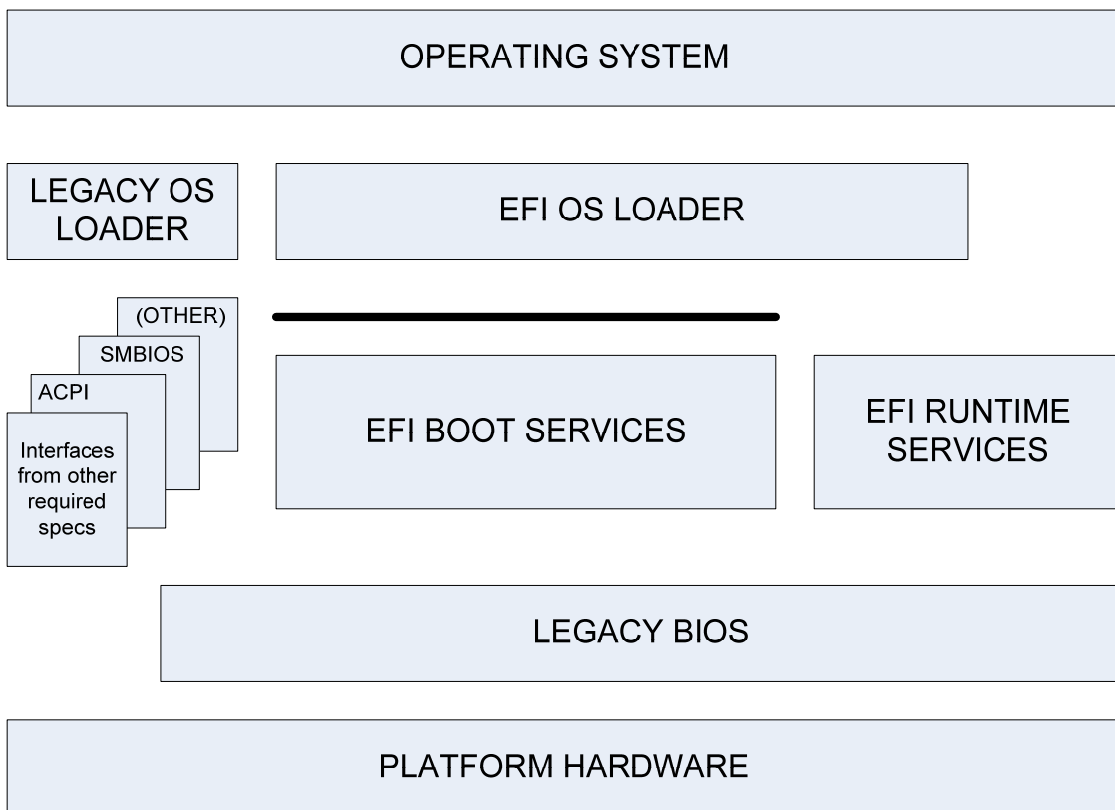


Figure 2.2 EFI on Top of Conventional BIOS

2.3 EFI Layered on Top of SAL

Start of informative comment:
 Another embodiment of EFI is EFI on top of the System Abstraction Layer (SAL). Figure 2.3, below, shows this layering.
 For a reference to more information about SAL, see section 1.2, External References.
End of informative comment.

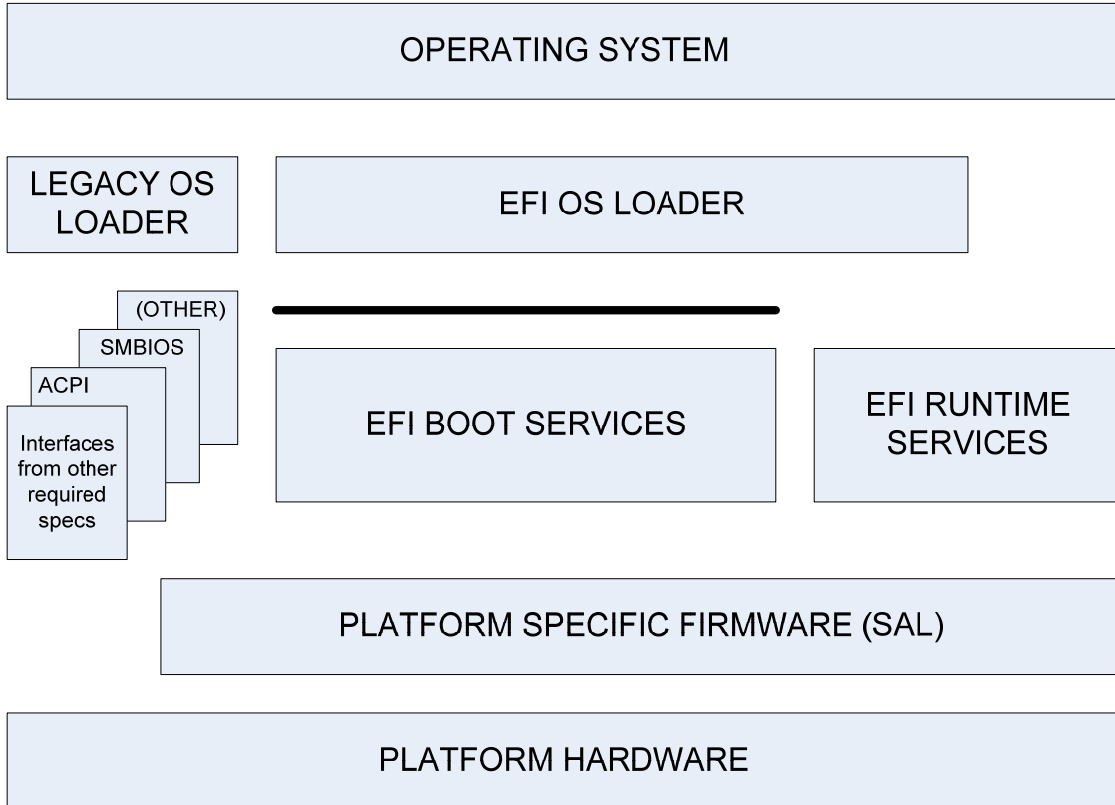


Figure 2.3 EFI Layered on Top of System Abstraction Layer (SAL)

3. TCG Protocol

Start of informative comment:

This section defines the TCG Protocol that abstracts the TCG policy services, the `EFI_TCG` protocol.

End of informative comment.

3.1 EFI_TCG Protocol

This section provides a detailed description of the **EFI_TCG** protocol.

GUID

```
#define EFI_TCG_PROTOCOL_GUID \
    {0xf541796d, 0xa62e, 0x4954, 0xa7, 0x75, 0x95, 0x84, 0xf6, \
     0x1b, 0x9c, 0xdd}
```

Protocol Interface Structure

```
typedef struct {
    EFI_TCG_STATUS_CHECK           StatusCheck;
    EFI_TCG_HASH_ALL               HashAll;
    EFI_TCG_LOG_EVENT              LogEvent;
    EFI_TCG_PASS_THROUGH_TO_TPM    PassThroughToTPM;
    EFI_TCG_HASH_LOG_EXTEND_EVENT  HashLogExtendEvent;
} EFI_TCG_PROTOCOL;
```

Parameters

<i>StatusCheck</i>	This service provides information on the TPM.
<i>HashAll</i>	This service abstracts the capability to do a hash operation on a data buffer.
<i>LogEvent</i>	This service abstracts the capability to add an entry to the Event Log.
<i>PassThroughToTPM</i>	This service provides a pass-through capability from the caller to the system's TPM.
<i>HashLogExtendEvent</i>	This service abstracts the capability to do a hash operation on a data buffer, extend a specific TPM PCR with the hash result, and add an entry to the Event Log.

Description

The **EFI_TCG** Protocol abstracts TCG activity. This protocol instance provides a Boot Service and is instantiated as a Boot Service Driver.

Boot Service Drivers are terminated when **ExitBootServices ()** is called and all memory resources consumed by the Boot Services Drivers are released for use in the operating system environment. The OS must have its own TPM 1.2 driver loaded and ready when **ExitBootServices ()** is called. The OS cannot use TPM services that reside in motherboard firmware after **ExitBootServices ()** successfully completes.

This Boot Service must create an **EVT_SIGNAL_EXIT_BOOT_SERVICES** event. This event will be notified by the system when **ExitBootServices ()** is invoked.

EVT_SIGNAL_EXIT_BOOT_SERVICES is a synchronous event used to ensure that certain activities occur following a call to a specific interface function; in this case, that is the cleanup that needs to be done in response to the **ExitBootServices ()** function. **ExitBootServices ()** cannot clean up on behalf of drivers that have been loaded into the system. The drivers have to do that for themselves by creating an event whose type is **EVT_SIGNAL_EXIT_BOOT_SERVICES** and whose notification function is a function within the driver itself. Then, when **ExitBootServices ()** has finished its cleanup, it signals each event type **EVT_SIGNAL_EXIT_BOOT_SERVICES**.

For implementation details about how a Boot Service instantiated as an EFI Driver creates this required **EVT_SIGNAL_EXIT_BOOT_SERVICES** event, see Section 5 of the EFI 1.10 Specification.

3.1.1 EFI_TCG_PROTOCOL.StatusCheck ()

Summary

This service provides EFI protocol capability information, state information about the TPM, and Event Log state information.

Prototype

```
typedef
EFI_STATUS
(EFIAPI *EFI_TCG_STATUS_CHECK) (
    IN struct _EFI_TCG_PROTOCOL           *This,
    OUT TCG_BOOT_SERVICE_CAPABILITY     *ProtocolCapability,
    OUT UINT32                           *TCGFeatureFlags,
    OUT EFI_PHYSICAL_ADDRESS            *EventLogLocation,
    OUT EFI_PHYSICAL_ADDRESS            *EventLogLastEntry
);
```

Parameters

<i>This</i>	Indicates the calling context; see Section 3.1 for the definition of the EFI_TCG_PROTOCOL type.
<i>ProtocolCapability</i>	The callee allocates memory for a TCG_BOOT_SERVICE_CAPABILITY structure and fills in the fields with the EFI protocol capability information and the current TPM state information.
<i>TCGFeatureFlags</i>	This is a pointer to the feature flags. No feature flags are currently defined so this parameter MUST be set to 0. However, in the future, feature flags may be defined that, for example, enable hash algorithm agility.
<i>EventLogLocation</i>	This is a pointer to the address of the event log in memory.
<i>EventLogLastEntry</i>	If the Event Log contains more than one entry, this is a pointer to the address of the start of the last entry in the event log in memory. For information about what values are returned in this parameter in the special cases of an empty Event Log or an Event Log with only one entry, see the Description section.

Related Definitions

```
typedef struct {
    UINT8  Major;
    UINT8  Minor;
    UINT8  RevMajor;
    UINT8  RevMinor;
} TCG_VERSION;

typedef      UINT64      EFI_PHYSICAL_ADDRESS

typedef struct {
    UINT8      Size;                //Size of this structure
```

```

TCG_VERSION StructureVersion;
TCG_VERSION ProtocolSpecVersion;
UINT8      HashAlgorithmBitmap    //Hash algorithms this
                                           //protocol is capable of:
                                           // 01=SHA-1
BOOL      TPMPresentFlag          //00h=TPM not present
BOOL      TPMDeactivatedFlag;     //01h=TPM currently
                                           //deactivated
} TCG_EFI_BOOT_SERVICE_CAPABILITY;

```

Description

The **EFI_TCG_PROTOCOL** Status Check function call provides EFI protocol capability information, state information about the TPM, and Event Log state information.

The caller does not use the Status Check function call to verify the presence of the TCG EFI protocol; on an EFI-capable platform that is done by LocateHandle / Open Protocol.

When the caller invokes the **EFI_TCG_PROTOCOL** Status Check function, the Event Log may be empty, may have exactly one entry, or may contain more than one entry.

- If the Event Log is empty, the *EventLogLastEntry* parameter value MUST be 0 (zero)
- If the Event Log contains exactly one entry, the *EventLogLastEntry* parameter value MUST equal the value of the *EventLogLocation* parameter
- If the EventLog contains more than one entry, the *EventLogLastEntry* parameter value MUST be a pointer to the address of the start of the last entry in the event log in memory

Status Codes Returned

EFI_SUCCESS	Operation completed successfully.
EFI_DEVICE_ERROR	The command was unsuccessful.
EFI_INVALID_PARAMETER	One or more of the parameters are incorrect.
EFI_BUFFER_TOO_SMALL	The receive buffer is too small.
EFI_NOT_FOUND	The component was not running

3.1.2 EFI_TCG_PROTOCOL.HashAll ()

Summary

This service abstracts the capability to do a hash operation on a data buffer.

Prototype

```
typedef
EFI_STATUS
(EFIAPI *EFI_TCG_HASH_ALL) (
    IN struct _EFI_TCG_PROTOCOL    *This,
    IN UINT8                      *HashData,
    IN UINT64                     HashDataLen,
    IN TCG_ALGORITHM_ID          AlgorithmId,
    IN OUT UINT64                *HashedDataLen,
    IN OUT UINT8                 **HashedDataResult
);
```

Parameters

This Indicates the calling context; see Section 3.1 for the definition of the **EFI_TCG_PROTOCOL** type.

HashData Pointer to the data buffer to be hashed

HashDataLen Length of the data buffer to be hashed

AlgorithmId Identification of the Algorithm to use for the hashing operation

HashedDataLen Resultant length of the hashed data

HashedDataResult Resultant buffer of the hashed data

Related Definitions

```
typedef UINT32 TCG_ALGORITHM_ID;
#define TCG_ALG_SHA 0x00000004 // The SHA1 algorithm
```

Description

The **EFI_TCG_PROTOCOL** Hash All Interface function performs the required hash operation on the input data and returns the resulting hash to the caller.

Status Codes Returned

EFI_SUCCESS	Operation completed successfully.
EFI_DEVICE_ERROR	The command was unsuccessful.
EFI_INVALID_PARAMETER	One or more of the parameters are incorrect.
EFI_BUFFER_TOO_SMALL	The receive buffer is too small.
EFI_NOT_FOUND	The component was not running

3.1.3 EFI_TCG_PROTOCOL.LogEvent ()

Summary

This service abstracts the capability to add an entry to the Event Log.

Prototype

```
typedef
EFI_STATUS
(EFI_API *EFI_TCG_LOG_EVENT) (
    IN struct _EFI_TCG_PROTOCOL           *This,
    IN TCG_PCR_EVENT                     *TCGLogData,
    IN OUT UINT32                         *EventNumber,
    IN UINT32                             Flags
);
```

Parameters

<i>This</i>	Indicates the calling context; see Section 3.1 for the definition of the EFI_TCG_PROTOCOL type.
<i>TCGLogData</i>	Pointer to the start of the data buffer containing the TCG_PCR_EVENT data structure. All fields in this structure are properly filled by the caller.
<i>EventNumber</i>	The event number of the event just logged
<i>Flags</i>	Indicate additional flags. Only one flag has been defined at this time, which is 0x01 and means the extend operation should not be performed. All other bits are reserved.

Related Definitions

```
#define SHA1_DIGEST_SIZE    20

typedef struct {
    UINT8  Digest[SHA1_DIGEST_SIZE];
} TCG_DIGEST;

typedef TCG_DIGEST TCG_COMPOSITE_HASH;

typedef UINT32  TCG_EVENTTYPE;

//
// Log event types
//
#define EV_NO_ACTION        3
#define EV_SEPARATOR        4
#define EV_ACTION           5
#define EV_EVENT_TAG        6
#define EV_CPU_MICROCODE    9
#define EV_PLATFORM_CONFIG_FLAGS 10
#define EV_IPL              13
#define EV_IPL_PARTITION_DATA 14
```

```

#define EV_NONHOST_CODE          15
#define EV_NONHOST_CONFIG       16

typedef struct {
    TCG_PCRINDEX    PCRIndex; //PCR Index value that either
                            //matches the PCRIndex of a
                            //previous extend operation or
                            //indicates that this Event Log
                            //entry is not associated with
                            //an extend operation

    TCG_EVENTTYPE   EventType; //See Log event types defined above
    TCG_DIGEST      digest;    //The hash of the event data
    UINT32          EventSize; //Size of the event data
    UINT8           Event[1];  //The event data
} TCG_PCR_EVENT; //Structure to be added to the
                //Event Log

```

For the definition of the EFI platform-specific event types that are sub-types of the general event type `EV_EVENT_TAG`, see section 8.2 of the TCG EFI Platform Specification, version 1.2, available at <http://www.trustedcomputinggroup.com/>.

Description

This function performs the same operations as `EFI_TCG_HASH_LOG_EXTEND_EVENT`, except it does not perform the TPM_Extend operation.

There are two reasons to call the `TCGLogEvent` function:

- (1) To add an informative entry to the Event Log that is not associated with an extend operation to a PCR. The values within such an entry cannot be verified, but the entry may serve an informative or delimiting function, as indicated by the EventType. In this case, the caller SHOULD set the value of the Flags parameter to -1 (all ones) to provide further indication that no extend operation is to be performed.
- (2) To add an entry to the EventLog that is associated with a previous extend operation. The previous extend operation might have been done in an environment – for example, by the CRTM – where no memory is available to create the Event Log entry, In this case, the caller MUST set the value of the PCRIndex parameter to the PCRIndex of the PCR into which the previous extend operation was done.

Status Codes Returned

EFI_SUCCESS	Operation completed successfully.
EFI_DEVICE_ERROR	The command was unsuccessful.
EFI_INVALID_PARAMETER	One or more of the parameters are incorrect.
EFI_BUFFER_TOO_SMALL	The receive buffer is too small.
EFI_NOT_FOUND	The component was not running

3.1.4 EFI_TCG_PROTOCOL.PassThroughToTpm ()

Summary

This service is a proxy for commands to the TPM.

Prototype

```
typedef
EFI_STATUS
(EFIAPI *EFI_TCG_PASS_THROUGH_TO_TPM) (
    IN struct _EFI_TCG_PROTOCOL    *This,
    IN UINT32                      TpmInputParameterBlockSize,
    IN UINT8                       *TpmInputParameterBlock,
    IN UINT32                      TpmOutputParameterBlockSize,
    IN UINT8                       *TpmOutputParameterBlock
);
```

Parameters

<i>This</i>	Indicates the calling context; see Section 3.1 for the definition of the EFI_TCG_PROTOCOL type.
<i>TpmInputParameterBlockSize</i>	Size of the TPM input parameter block
<i>TpmInputParameterBlock</i>	Pointer to the TPM input parameter block
<i>TpmOutputParameterBlockSize</i>	Size of the TPM output parameter block
<i>TpmOutputParameterBlock</i>	Pointer to the TPM output parameter block

Description

The **EFI_TCG_PROTOCOL** Pass Through to TPM function call provides a pass-through capability from the caller to the system's TPM.

The caller is responsible for building the command byte-stream to be sent to the TPM and is also responsible for interpreting the resulting byte-stream returned by the TPM. The TPM in and out operands for each TPM command are defined in the Main Specification.

Status Codes Returned

EFI_SUCCESS	Operation completed successfully.
EFI_DEVICE_ERROR	The command was unsuccessful.
EFI_INVALID_PARAMETER	One or more of the parameters are incorrect.
EFI_BUFFER_TOO_SMALL	The receive buffer is too small.
EFI_NOT_FOUND	The component was not running

3.1.5 EFI_TCG_PROTOCOL.TCGHashLogExtendEvent ()

Summary

This service abstracts the capability to do a hash operation on a data buffer, extend a specific TPM PCR with the hash result, and add an entry to the Event Log

Prototype

```
typedef
EFI_STATUS
(EFIAPI *EFI_TCG_HASH_LOG_EXTEND_EVENT) (
    IN struct _EFI_TCG_PROTOCOL    *This,
    IN EFI_PHYSICAL_ADDRESS        HashData,
    IN UINT64                      HashDataLen,
    IN TCG_ALGORITHM_ID           AlgorithmId,
    IN OUT TCG_PCR_EVENT           *TCGLogData,
    IN OUT UINT32                  *EventNumber
    OUT EFI_PHYSICAL_ADDRESS       *EventLogLastEntry
);
```

Parameters

<i>This</i>	Indicates the calling context. Type EFI_TCG_PROTOCOL is defined in Section 3.1.
<i>HashData</i>	Physical address of the start of the data buffer to be hashed, extended, and logged.
<i>HashDataLen</i>	The length, in bytes, of the buffer referenced by HashData
<i>AlgorithmId</i>	Identification of the Algorithm to use for the hashing operation
<i>TCGLogData</i>	The physical address of the start of the data buffer containing the TCG_PCR_EVENT data structure.
<i>EventNumber</i>	The event number of the event just logged.
<i>EventLogLastEntry</i>	Physical address of the first byte of the entry just placed in the Event Log. If the Event Log was empty when this function was called then this physical address will be the same as the physical address of the start of the Event Log.

Related Definitions

For the definitions of the event log entry structure and the general event types that use that structure, see section 3.1.3, **EFI_TCG_PROTOCOL.LogEvent**.

Description

The **EFI_TCG_PROTOCOL** Hash Log Extend Event function call performs hashing of the event or the event data, extends the event to a PCR, and then places the resulting **TCG_PCR_EVENT** structure data into the Event Log.

If this function cannot create an Event Log entry (for example, because the Event Log is full), then this function **MUST** perform the TPM_Extend operation.

If the HashData input parameter is not NULL or the HashDataLen input parameter is not NULL, then this function MUST

1. Treat the data buffer pointed to by the HashData input parameter as a **TCG_PCR_EVENT** structure, with all fields completed except the **TCG_PCR_EVENT.digest** field
2. Perform the hash function on the **TCG_PCR_EVENT.event** field
3. Complete the **TCG_PCR_EVENT** structure by placing the resulting hash, H1, into the **TCG_PCR_EVENT.digest** field
4. Perform a TPM_Extend operation, using H1 as the input to the TPM_Extend
5. Place the completed **TCG_PCR_EVENT** structure into the Event Log
6. Increment the event number value pointed to by the *EventNumber input parameter
7. Place H1 into the data area pointed to by the **HashValue input parameter
8. Return with the appropriate Status Code

If the HashData input parameter is NULL and the HashDataLen input parameter is NULL, then this function MUST

1. Treat the data buffer pointed to by the HashData input parameter as a completed **TCG_PCR_EVENT** structure, with all fields completed including the **TCG_PCR_EVENT.digest** field
2. Perform a TPM_Extend operation using the **TCG_PCR_EVENT.digest** field as input to the TPM_Extend operation
3. Place the **TCG_PCR_EVENT** structure into the Measurement Log
4. Increment the event number value pointed to by the *EventNumber input parameter
5. Place the **TCG_PCR_EVENT.digest** field into the data area pointed to by the **HashValue input parameter
6. Return with the appropriate Status Code

Status Codes Returned

EFI_SUCCESS	Operation completed successfully.
EFI_DEVICE_ERROR	The command was unsuccessful.
EFI_INVALID_PARAMETER	One or more of the parameters are incorrect.
EFI_BUFFER_TOO_SMALL	The receive buffer is too small.
EFI_NOT_FOUND	The component was not running