# TCG Storage Interface Interactions Specification (SIIS)

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Contact: admin@trustedcomputinggroup.org



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# 1 Introduction

# 1.1 Document Purpose

The TCG Storage specifications are intended to provide a comprehensive command architecture for putting Storage Devices\_under policy control as determined by the trusted platform host, the capabilities of the storage device to conform with the policies of the trusted platform, and the lifecycle state of the Storage Device as a trusted peripheral (TPer). This document also serves as a specification for TPers if that is deemed appropriate.

This document provides the essential mapping between concepts and features of the TCG Storage Architecture Core Specification, and several host/device interfaces.

# 1.2 Scope

The scope of this document is the interaction between the TPer and interface commands and transports. The command interfaces described are ATA and SCSI. SCSI transports described are SAS, FC, and ATAPI. This document is written from the perspective of the Storage Device, not the host.

# **1.3 Intended Audience**

The intended audience for this document is Storage Device and peripheral device manufacturers and developers that wish to tie Storage Devices and peripherals into trusted platforms.

# **1.4 References to Other Documents**

### **1.4.1 Approved References**

- [1] IETF RFC 2119, 1997, "Key words for use in RFCs to Indicate Requirement Levels"
- [2] INCITS 447-2008, "Information technology SCSI Architecture Model 4 (SAM-4)". Available from <u>http://webstore.ansi.org/</u>
- [3] INCITS 513-2015, "Information technology SCSI Primary Commands 4 (SPC-4)". Available from <u>http://webstore.ansi.org/</u>
- [4] INCITS 514-2014, "Information technology SCSI Block Commands 3 (SBC-3)". Available from http://webstore.ansi.org/
- [5] INCITS 482-2012, "Information technology ATA/ATAPI Command Set 2 (ACS-2)". Available from <u>http://webstore.ansi.org/</u>
- [6] INCITS 451-2008, "Information technology AT Attachment 8 ATA/ATAPI Architecture Model (ATA8-AAM)". Available from <u>http://webstore.ansi.org/</u>
- [7] INCITS 481-2011, "Information technology Fibre Channel Protocol for SCSI, Fourth Version (FCP-4)". Available from <u>http://webstore.ansi.org/</u>
- [8] INCITS 417-2006, "Information technology Serial Attached SCSI 1.1 (SAS-1.1). Available from http://webstore.ansi.org/

- INCITS 471-2010, Information technology USB Attached SCSI (UAS), March 9, 2010. Available from <u>http://webstore.ansi.org/</u>
- [10] Universal Serial Bus Mass Storage Class USB Attached SCSI Protocol (UASP), Revision 1.0, June 24, 2009. Available from <u>http://www.usb.org/</u>
- [11] Universal Serial Bus Mass Storage Class Bulk-Only Transport (USBBOT), Revision 1.0, September 31, 1999. Available from <u>http://www.usb.org/</u>
- [12] NVM Express Specification version 1.2a, October 23, 2015. Available from <a href="http://www.nvmexpress.org/">http://www.nvmexpress.org/</a>
- [13] JESD84-B50 e•MMC Specification version 5.0. Available from http://www.jedec.org/
- [14] JESD220B UFS Specification version 2.0. Available from http://www.jedec.org/
- [15] PCI Express® Base Specification Revision 3.0. Available from http://www.pcisig.com/
- [16] Trusted Computing Group (TCG), "TCG Storage Architecture Core Specification", Version 2.01

### 1.4.2 References under development

- [17] T10/BSR INCITS 502, "Information technology SCSI Primary Commands 5 (SPC-5)". Available from <u>http://t10.org/</u>
- [18] T10/BSR INCITS 506, "Information technology SCSI Block Commands 4 (SBC-4)". Available from <u>http://t10.org/</u>
- [19] T10/BSR INCITS 536, "Information technology Zoned Block Commands (ZBC)", Available from <u>http://t10.org/</u>
- [20] T10/BSR INCITS 537, "Information technology Zoned Device ATA Command Set (ZAC)", Available from <u>http://t13.org/</u>

- [21] e•MMC Security Extension version 1.0 Available from <a href="http://www.jedec.org/">http://www.jedec.org/</a>
- [22] UFS Security Extension version 1.0 Available from <a href="http://www.jedec.org/">http://www.jedec.org/</a>
- [23] TCG Opal SSC Feature Set: Configurable Namespace Locking version 1.00 revision 1.19

# **1.5 Definition of Terms**

Term	Definition
IF-RECV	An interface command used to retrieve security protocol data from the TPer
IF-SEND	An interface command used to transmit security protocol data to the TPer
Locking SP	A security provider that incorporates the Locking Template as described in the Core Spec
Opal family	Any SSC in this list: Opal SSC, Opalite SSC, or Pyrite SSC
Locking SP is owned	A condition in which specific modifications (see 2.2) of an SP have been made
SSC	Security Subsystem Class. SSC specifications describe profiled sets of TCG functionality
TCG Reset	A high-level reset type defined in the Core Spec
TPer	The TCG security subsystem within a Storage Device
Trusted Peripheral	A TPer

# 2 Overview

# 2.1 Summary

This document defines for each interface:

- Mapping of interface events to TCG resets
- Mapping of IF-SEND, IF-RECV
- Handling of common TPer errors
- Discovery of security capabilities
- Miscellaneous Items

# 2.2 Locking SP Ownership

For the Opal family, the Locking SP is owned if:

- a) an SP exists that incorporates the Locking Template; and
- b) an SP that incorporates the Locking Template is not in the Manufactured-Inactive state.

For the Enterprise SSC, the Locking SP is owned if:

- a) the EraseMaster C\_PIN credential is not equal to MSID;
- b) any Bandmaster C\_PIN credential is not equal to MSID; or
- c) for any Locking object:
  - A) the value of the WriteLockEnabled column is TRUE;
  - B) the value of the ReadLockedEnabled column is TRUE;
  - C) the value of the RangeStart column is not equal to zero; or
  - D) the value of the RangeLength column is not equal to zero.

# 3 SCSI Interface

See [2], [17], [18], [7], [8], [19], and [20] for details on SCSI architecture, commands and transports.

See [5] for details on ATAPI commands.

See [9], [10] and [11] for details on UAS and USB.

See [14] and [22] for details on UFS.

# 3.1 Mapping of Resets

SAS Event	Maps to TCG reset_type		
Power on reset	Power cycle		
I-T Nexus Loss	(none)		
ABORT TASK task management function	(none)		
ABORT TASK SET task management function	(none)		
CLEAR TASK SET task management function	(none)		
CLEAR ACA task management function	(none)		
I_T NEXUS RESET task management function	(none)		
LOGICAL UNIT RESET task management function	Hardware Reset		

#### Table 1 – SAS Resets Mapped to TCG reset\_type

SAS Event	Maps to TCG reset_type
Link Reset Sequence	(none)
Link reset sequence with hard reset	Hardware Reset

### Table 2 – Fibre Channel Resets Mapped to TCG reset\_type

FC Event	Maps to TCG reset_type	Other Comments
Power on reset	Power cycle	
I-T Nexus Loss	(none)	
ABORT TASK task management function	(none)	
ABORT TASK SET task management function	(none)	
CLEAR TASK SET task management function	(none)	
CLEAR ACA task management function	(none)	
I_T NEXUS RESET task management function	(none)	
LOGICAL UNIT RESET task management function	Hardware Reset	
LIP(AL_PD,AL_PS)	Hardware Reset	LIP directed reset
LIP(FF,AL_PS)	Hardware Reset	LIP Global reset
Port Login	(none)	
Process Login	(none)	

ATAPI Event	Maps to TCG reset_type
Power on reset	Power cycle
Hardware reset	PATA:
	Hardware Reset
	SATA:
	If Software Settings Preservation is enabled, then COMRESET is not a TCG Hardware Reset.
	If Software Settings Preservation is disabled, then COMRESET is a TCG Hardware Reset.
Software reset	(none)
DEVICE RESET command	(none)

### Table 3 – ATAPI Resets Mapped to TCG reset\_type

Event	Maps to TCG reset_type	Reference
Device Power Cycle	Power cycle	[11]
ABORT TASK task management function	(none)	[17]
ABORT TASK SET task management function	(none)	[17]
CLEAR TASK SET task management function	(none)	[17]
CLEAR ACA task management function	(none)	[17]
I_T NEXUS RESET task management function	(none)	[17]
LOGICAL UNIT RESET task management function	Hardware Reset	[17]
USB VBus Power Cycle	Power cycle	[11]
USB Port Reset	(none)	[11]
USB Set Configuration with wValue set to zero	(none)	[11]
USB Set Configuration with wValue set to non-zero value that is not equal to the current value of bConfiguration	(none)	[11]
USB Set Configuration with wValue set to non-zero value that is equal to the current value of bConfiguration	(none)	[11]
USB Bulk-Out Endpoint Reset (Also known as Clear Feature, Endpoint Halt of the first Bulk-Out pipe of the Mass Storage Interface)	(none)	[11]
USB Bulk-In Endpoint Reset (Also known as Clear Feature, Endpoint Halt of the first Bulk-In pipe of the Mass Storage Interface)	(none)	[11]
USB Suspend	Hardware Reset	[11]
USB Resume	Hardware Reset	[11]

### Table 4 – UAS Events Mapped to TCG reset\_type

Event	Maps to TCG reset_type	Reference
Device Power Cycle	Power cycle	[11]
USB VBus Power Cycle	Power cycle	[11]
USB Port Reset	(none)	[11]
USB Set Configuration with wValue set to zero	(none)	[11]
USB Set Configuration with wValue set to non-zero value that is not equal to the current value of bConfiguration.	(none)	[11]
USB Set Configuration with wValue set to non-zero value that is equal to the current value of bConfiguration.	(none)	[11]
USB Bulk-Out Endpoint Reset (Also known as Clear Feature, Endpoint Halt of the first Bulk-Out pipe of the Mass Storage Interface)	(none)	[11]
USB Bulk-In Endpoint Reset (Also known as Clear Feature, Endpoint Halt of the first Bulk-In pipe of the Mass Storage Interface)	(none)	[11]
USB Interface Reset (Also known as the BBB Bulk Only Mass Storage Reset Request x 21 FF with wIndex addressing the bInterfaceNumber of the Mass Storage Interface)	(none)	[11]
USB Suspend	Hardware Reset	[11]
USB Resume	Hardware Reset	[11]

### Table 5 – USB Events Mapped to TCG reset\_type

Event	Maps to TCG reset_type	Reference
Power-on	Power cycle	[14]
HW Pin Reset	Hardware Reset	[14]
EndPoint Reset	Hardware Reset	[14]
ABORT TASK task management function	(none)	[17]
ABORT TASK SET task management function	(none)	[17]
CLEAR TASK SET task management function	(none)	[17]
LOGICAL UNIT RESET task management function	(none)	[17]
Host System UniPro Reset	Hardware Reset	[14]

### Table 6 – UFS Events Mapped to TCG reset\_type

# 3.2 Mapping of IF-SEND and IF-RECV

# 3.2.1 IF-SEND

IF-SEND SHALL be implemented with the SECURITY PROTOCOL OUT [17] command, with additional requirements on the CDB as specified in Table 7.

SECURITY PROTOCOL	SECURITY PROTOCOL SPECIFIC	INC_512	TRANSFER LENGTH		
0x00	Security Protocol 0x00 is not defined for IF-SEND				
0x01	a ComID	1 <sup>a</sup>	Non-zero <sup>b</sup> number of 512- byte data units.		
0x02	a ComID	1 <sup>a</sup>	Non-zero <sup>b</sup> number of 512- byte data units.		
0x06	a ComID	0	Number of bytes of data.		
<sup>a</sup> If the INC_512 field in the CDB is zero, then the TPer SHALL report Other Invalid Command Parameter (see 3.3).					

Table 7 – IF-SEND CDB field contents (SCSI)
---

<sup>b</sup> If the TRANSFER LENGTH field in the CDB is zero, then the TPer SHALL report Other Invalid Command Parameter (see 3.3).

# 3.2.2 IF-RECV

IF-RECV SHALL be implemented with the SECURITY PROTOCOL IN [17] command, with additional requirements on the CDB as described in Table 8.

Table 8 – IF-RECV CDB field contents (SCSI)

SECURITY PROTOCOL	SECURITY PROTOCOL SPECIFIC	INC_512	ALLOCATION LENGTH
0x00	(See [17] for details)	0 or 1	INC_512=0: Number of bytes of data.
			INC_512=1: Number of 512- byte data units.
0x01	a ComID	1 <sup>a</sup>	Non-zero <sup>b</sup> number of 512- byte data units.
0x02	a ComID	1 <sup>a</sup>	Non-zero <sup>b</sup> number of 512- byte data units.
0x06	a ComID	0	Number of bytes of data.

<sup>a</sup> If the INC\_512 field in the CDB is zero, then the TPer SHALL report Other Invalid Command Parameter (see 3.3).

<sup>b</sup> If the ALLOCATION LENGTH field in the CDB is zero, then the TPer SHALL report Other Invalid Command Parameter (see 3.3), even though SPC-4 allows the ALLOCATION LENGTH field to be zero.

# 3.3 Handling Common TPer Errors

There are some common errors detected by the TPer. This section describes how they are reported via the SCSI interface.

TPer Error ID	Status	Sense Key	ASC/ASCQ	Comments
Good	GOOD	NO SENSE	NO ADDITIONAL SENSE INFORMATION	Normal command completion
Invalid Security Protocol ID parameter	CHECK CONDITION	ILLEGAL REQUEST	INVALID FIELD IN CDB	No data SHALL be transferred
Invalid Transfer Length parameter on IF-SEND	CHECK CONDITION	ILLEGAL REQUEST	INVALID FIELD IN CDB	No data SHALL be transferred.
Other Invalid Command Parameter	CHECK CONDITION	ILLEGAL REQUEST	INVALID FIELD IN CDB	No data SHALL be transferred.
Synchronous Protocol Violation	CHECK CONDITION	ILLEGAL REQUEST	COMMAND SEQUENCE ERROR	No data SHALL be transferred.
Data Protection Error	CHECK CONDITION	DATA PROTECT	ACCESS DENIED- NO ACCESS RIGHTS	No data SHALL be transferred.

### Table 9 – TPer Errors (SCSI)

# 3.4 Discovery of Security Capabilities

### 3.4.1 Security Protocol 0x00

See the description of SECURITY PROTOCOL IN [17] for information on Security Protocol 0x00.

### 3.5 Miscellaneous

### 3.5.1 Queued Commands

The TPer requires that for a given ComID the order of the IF-SEND and IF-RECV command completion be the same as the order that the host application sent the commands.

Some transport protocols MAY NOT guarantee ordering of delivery or ordering of IF-SEND and IF-RECV command completion. Therefore, the host application communicating with the TPer SHOULD ensure that a prior IF-SEND or IF-RECV has completed prior to issuing another, or use mechanisms in the interface protocol to ensure ordering (e.g. ORDERED Task Attribute for SCSI Transport protocols).

#### Begin Informative Content

The following definition of synchronous behavior does not affect the queuing behavior (if any) of the device interface. On queuing devices, synchronicity is enforced at the time IF-SEND/RECV commands are dequeued for processing by the drive. For non-queuing devices, synchronicity is enforced at the time the IF-SEND/RECV is initially received by the device. If queuing behavior is supported, the host should use Ordered Queuing for IF-SEND/RECV commands or indeterminate behavior may result.

It is assumed that the drive can only process one IF-SEND/RECV interface command at a time.

End Informative Content

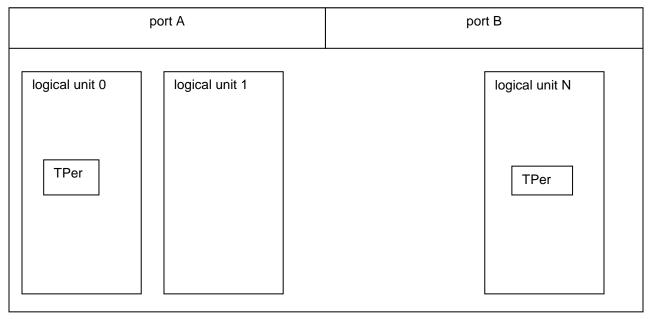
# 3.5.2 MBR Interactions

The LUN associated with the MBR is the boot LUN.

# 3.5.3 Logical Unit usage

A target that has multiple logical units MAY have multiple TPers. Each TPer SHALL be associated with a different logical unit. Every logical unit on a device is not required to have a TPer, but logical units that support the TCG Core specification commands and functionality SHALL have a TPer. A TPer SHALL be associated with exactly one logical unit. A logical unit MAY have no TPer.

#### Figure 1 – SCSI target: port, Logical Unit, and TPer relationships



# 3.5.4 Interaction of Opal family with the SANITIZE command

If the Locking SP is not owned (see 2.2) in an Opal family TPer, then the SD MAY support SANITIZE commands.

If the Locking SP is owned in an Opal family TPer, then the SD:

- a) SHALL NOT support SANITIZE commands; or
- b) SHALL:
  - A) report that SANITIZE commands are supported; and
  - B) terminate SANITIZE commands with a Data Protection Error (see 3.3).

### 3.5.5 Interaction of Enterprise SSC with the SANITIZE command

If the Locking SP is not owned (see 2.2) in an Enterprise SSC TPer, then the SD MAY support SANITIZE commands.

If the Locking SP is owned (see 2.2) in an Enterprise SSC TPer, then the SD SHALL terminate a SANITIZE command with a Data Protection Error (see 3.3).

A successful SANITIZE command SHALL eradicate all Locking SP media encryption keys and generate new media encryption keys.

### 3.5.6 Special Locking SP command interactions

For an SD implementing the Opal family or the Enterprise SSC, the SD SHALL terminate the following commands with a Status of CHECK CONDITION, sense key set to ILLEGAL REQUEST and additional sense code set to INVALID COMMAND OPERATION CODE:

- a) READ LONG(10);
- b) READ LONG(16);
- c) WRITE LONG(10), (WR\_UNCOR = 0); and
- d) WRITE LONG(16), (WR\_UNCOR = 0).

### 3.5.7 Interactions with Zoned Block devices

For a zoned block device (see [19]):

- a) the following command is not restricted for the purposes of interactions with the ReadLockEnabled, ReadLocked, WriteLockEnabled, and WriteLocked column values in the Locking table:
  - A) REPORT ZONES;
- b) the following commands are considered to be write commands for the purposes of interactions with the WriteLockEnabled, and WriteLocked column values in the Locking table:
  - A) OPEN ZONE;
  - B) CLOSE ZONE;
  - C) RESET WRITE POINTER; and
  - D) FINISH ZONE;

and

c) cryptographic erase or key change methods (e.g., Erase or Revert) SHALL NOT change the write pointer of any zone.

### 3.5.8 Interactions with the FORMAT UNIT command

If the Locking SP is owned and a FORMAT UNIT command is sent to the device:

- a) to change the number of logical blocks per physical block, then the SD SHALL terminate that FORMAT UNIT command with a Data Protection Error (see 3.3); or
- b) to change the size of a logical block without changing the number of logical blocks per physical block, then the SD SHALL NOT modify:
  - A) the Locking table; or
  - B) any Datastore tables.

# 4 ATA Interface

See [5] and [6] for details on ATA architecture, commands and transports.

# 4.1 Mapping of Resets

ATA Event	Maps to TCG reset_type
Power on reset	Power Cycle
Software reset	(none)
Hardware reset	PATA:
	Hardware Reset
	SATA:
	If Software Settings Preservation is enabled, then COMRESET is not a TCG Hardware Reset.
	If Software Settings Preservation is disabled, then COMRESET is a TCG Hardware Reset.

Table 10 – ATA Resets Mapped to TCG reset\_type

# 4.2 Mapping of IF-SEND and IF-RECV

# 4.2.1 IF-SEND

IF-SEND SHALL be implemented with either the TRUSTED SEND or TRUSTED SEND DMA commands, with additional requirements on the inputs as described in Table 11:

SECURITY PROTOCOL	SP SPECIFIC	TRANSFER LENGTH		
0x00	Security Protocol 0x00 is not defined for IF-SEND			
0x01	a ComID Non-zero <sup>a</sup> number of 512-byte data units.			
0x02	a ComID Non-zero <sup>a</sup> number of 512-byte data units.			
0x06Protocol 0x06 is defined for SCSI only.				
<sup>a</sup> If the Transfer Length parameter is zero, then the TPer SHALL report Other Invalid Command Parameter (see 4.3).				

# 4.2.2 IF-RECV

IF-RECV SHALL be implemented with either the TRUSTED RECEIVE or TRUSTED RECEIVE DMA commands, with additional requirements on the inputs as described in Table 12:

SECURITY PROTOCOL	SP SPECIFIC TRANSFER LENGTH			
0x00	(See [5]) Non-zero number of 512-byte data units.			
0x01	a ComID Non-zero <sup>a</sup> number of 512-byte data units.			
0x02	a ComID Non-zero <sup>a</sup> number of 512-byte data units.			
0x06Protocol 0x06 is defined for SCSI only.				
<sup>a</sup> If the Transfer Length parameter is zero, then the TPer SHALL report Other Invalid Command Parameter (see 4.3).				

Table 12 – IF-RECV command fields (ATA)

# 4.3 Handling Common TPer Errors

There are some common errors detected by the TPer. This section describes how they are reported via the ATA interface.

See [5] for information about the Sense Data Reporting (SDR) feature set and the SENSE DATA AVAILABLE (SDA) bit (i.e., ATA STATUS field bit 1).

Table 13 describes common TPer errors if:

- a) SDR is not supported;
- a) SDR is supported and SDR is disabled; or
- b) SDR is supported and SDR is enabled and SENSE DATA AVAILABLE is cleared to zero.

Table 14 describes common TPer errors if:

a) SDR is supported and SDR is enabled and SENSE DATA AVAILABLE is set to one.

Table 13 – TPer Errors (ATA) – Without Sense Data Reporting (	sda <b>=0)</b>
---	----------------

TPer Error ID	ATA Status Field	ATA Error Field	Comments
Good	0x50	0x00	Normal command completion
Invalid Security Protocol ID parameter	0x51	0x04	No data SHALL be transferred
Invalid Transfer Length parameter on IF-SEND	0x51	0x04	No data SHALL be transferred.
Other Invalid Command Parameter	0x51	0x04	No data SHALL be transferred.
Synchronous Protocol Violation	0x51	0x04	No data SHALL be transferred.
Data Protection Error	0x51	0x04	No data SHALL be transferred.

TPer Error ID	ATA Status Field Bit 1	Sense Key	ASC/ASCQ	Comments
Good	1	NO SENSE	NO ADDITIONAL SENSE	Normal command completion
Invalid Security Protocol ID parameter	1	ILLEGAL REQUEST	INVALID FIELD IN CDB	No data SHALL be transferred
Invalid Transfer Length parameter on IF-SEND	1	ILLEGAL REQUEST	INVALID FIELD IN CDB	No data SHALL be transferred.
Other Invalid Command Parameter	1	ILLEGAL REQUEST	INVALID FIELD IN CDB	No data SHALL be transferred.
Synchronous Protocol Violation	1	ILLEGAL REQUEST	COMMAND SEQUENCE ERROR	No data SHALL be transferred.
Data Protection Error	1	DATA PROTECT	ACCESS DENIED- NO ACCESS RIGHTS	No data SHALL be transferred.

# 4.4 Discovery of Security Capabilities

# 4.4.1 IDENTIFY DEVICE

The IDENTIFY DEVICE command (see [5]) indicates whether the device has support for the ATA Security feature set or the Trusted Computing feature set. See IDENTIFY DEVICE data words 48, 82, and 128 for further information.

# 4.4.2 Security Protocol 0x00

The TRUSTED RECEIVE command (see [5]) describes Security Protocol 0x00.

# 4.5 Miscellaneous

### 4.5.1 Feature set interactions

#### 4.5.1.1 Trusted Computing feature set

The Trusted Computing feature set SHALL be supported by the device.

#### 4.5.1.2 Sense Data Reporting feature set

If the Sense Data Reporting (SDR) feature set is supported and enabled, then common TPer errors are reported as Sense Codes instead of as regular ATA errors. (See [5] and 4.3).

#### 4.5.1.3 Locking Template interactions with the ATA Security feature set

If the lifecycle state of the Locking SP changes from the Manufactured-Inactive state to the Manufactured state, then:

- 1) the TPer SHALL save the current value of:
  - a) IDENTIFY DEVICE, word 82, bit 1;
  - b) IDENTIFY DEVICE, word 85, bit 1; and
  - c) IDENTIFY DEVICE, word 128;

and

2) the TPer SHALL change the value of IDENTIFY DEVICE, word 82, bit 1 to zero.

If the lifecycle state of the Locking SP is in the Manufactured state, then IDENTIFY DEVICE commands processed by the device SHALL indicate that the ATA Security feature set is not supported.

If the lifecycle state of the Locking SP changes from the Manufactured state to the Manufactured-Inactive state, then the TPer SHALL restore the value of the IDENTIFY DEVICE data to the values that were saved when the TPer changed the state from Manufactured-Inactive to Manufactured:

- a) IDENTIFY DEVICE, word 82, bit 1;
- b) IDENTIFY DEVICE, word 85, bit 1; and
- c) IDENTIFY DEVICE, word 128.

If there is no Locking SP or the lifecycle state of the Locking SP is in the Manufactured-Inactive state, IDENTIFY DEVICE commands processed by the device MAY indicate that the ATA Security feature set is supported.

When ATA Security is Enabled (a User Password is set), the TPer SHALL prohibit issuance of an SP that incorporates the Locking Template, and SHALL prohibit a SP that incorporates the Locking Template from transitioning out of the Manufactured-Inactive state.

#### 4.5.1.4 Interaction of Opal family with the ATA Sanitize Device feature set

If the Locking SP is not owned in an Opal family TPer (see 2.2), then the SD MAY support (i.e., IDENTIFY DEVICE, word 59, bit 12 = 1) the ATA Sanitize Device feature set.

If the Locking SP is owned in an Opal family TPer, the SD SHALL:

- a) report that the ATA Sanitize Device feature set is not supported (i.e., IDENTIFY DEVICE, word 59, bit 12 = 0); or
- b) perform the following:
  - A) report that the ATA Sanitize Device feature set is supported (i.e., IDENTIFY DEVICE word 59, bit 12 = 1); and
  - B) terminate the following commands with a Data Protection Error (see 4.3):
    - a) CRYPTO SCRAMBLE EXT command;
    - b) OVERWRITE EXT command;
    - c) BLOCK ERASE EXT command;
    - d) SANITIZE ANTIFREEZE LOCK EXT command; and
    - e) SANITIZE FREEZE LOCK EXT command.

#### 4.5.1.5 Interaction of Enterprise SSC with the ATA Sanitize Device feature set

If the Locking SP is owned (see 2.2) in an Enterprise SSC TPer, then the Storage Device SHALL terminate the following commands with a Data Protection Error (see 4.3):

- a) CRYPTO SCRAMBLE EXT command;
- b) OVERWRITE EXT command;
- c) BLOCK ERASE EXT command;
- d) SANITIZE ANTIFREEZE LOCK EXT command; and
- e) SANITIZE FREEZE LOCK EXT command,

A successful SANITIZE command SHALL eradicate all Locking SP media encryption keys and generate new media encryption keys.

#### 4.5.1.6 Interaction of the Opal family Activate method with the ATA Security feature set

If the Activate method is invoked on the Locking SP while ATA Security is Enabled (i.e., a User Password is set), then the method invocation SHALL fail with a status of ACTIVATE FAILED.

### 4.5.2 Special Locking SP command interactions

lf:

- a) an SD implements the Opal family or the Enterprise SSC; and
- b) the Sense Data Reporting feature is supported and is enabled,

then the SD SHALL terminate the following ATA commands with the Sense Key set to ILLEGAL REQUEST and the additional sense set to INVALID COMMAND OPERATION CODE:

- a) READ LONG;
- b) WRITE LONG;
- c) SCT READ LONG; and
- d) SCT WRITE LONG.

lf:

- a) an SD implements the Opal family or the Enterprise SSC; and
- b) the Sense Data Reporting feature is not supported or is not enabled,

then the SD SHALL return command aborted for the following ATA commands:

- a) READ LONG;
- b) WRITE LONG;
- c) SCT READ LONG; and
- d) SCT WRITE LONG.

### 4.5.3 Interactions with Zoned Block devices

For a zoned block device (see [20]):

- a) the following command is not restricted for the purposes of interactions with the ReadLockEnabled, ReadLocked, WriteLockEnabled, and WriteLocked column values in the Locking table:
  - A) REPORT ZONES EXT;
- b) the following commands are considered to be write commands for the purposes of interactions with the WriteLockEnabled, and WriteLocked column values in the Locking table:
  - A) OPEN ZONE EXT;
  - B) CLOSE ZONE EXT;
  - C) RESET WRITE POINTER EXT; and
  - D) FINISH ZONE EXT;

and

c) cryptographic erase or key change methods (e.g., Erase or Revert) SHALL NOT change the write pointer of any zone.

### 4.5.4 Interactions with SET SECTOR CONFIGURATION EXT

If the Locking SP is owned and a SET SECTOR CONFIGURATION EXT command is sent to the device:

- a) to change the number of logical blocks per physical block, then the SD SHALL terminate that SET SECTOR CONFIGURATION EXT command with a Data Protection Error (see 3.3); or
- b) to change the size of a logical block without changing the number of logical blocks per physical block, then the SD SHALL NOT modify:
  - A) the Locking table; or
  - B) any Datastore tables.

# 5 NVM Express Interface

See [12] for details on NVM Express architecture, commands and transports.

# 5.1 Mapping of Resets

NVM Express Event	Maps to TCG reset_type	Reference
Main Power loss / PCIe cold reset	Power Cycle	[15]
PCIe hot reset	None	[15]
PCIe warm reset	Hardware Reset	[15]
PCIe transaction layer Data Link Down status	None	[15]
NVMe subsystem reset	Hardware Reset	[12]
NVMe Controller reset (CC.EN transitions from 1 to 0)	None	[12]
NVMe Function level (PCI) reset	None	[12]
NVMe Queue level reset	None	[12]

#### Table 15 – NVM Express Resets Mapped to TCG reset\_type

# 5.2 Mapping of IF-SEND and IF-RECV

# 5.2.1 IF-SEND

IF-SEND SHALL be implemented with the Security Send command [12], with additional requirements on the inputs as described in Table 16:

Security Protocol	SP Specific	Transfer Length	Namespace Identifier [12]
00x0	Security Protocol	0x00 is not defined for IF-SEND	Reserved
0x01	a ComID	Number of bytes to transfer.	a Namespace ID <sup>a</sup>
0x02	a ComID	Number of bytes to transfer.	Reserved
0x06	Protocol 0x06 is	defined for SCSI only.	Reserved
<sup>a</sup> Reserved for storage devices that do not implement the Configurable Namespace Locking Feature Set.			

Table 16 – IF-SEND command parameters (NVM Express)

# 5.2.2 IF-RECV

IF-RECV SHALL be implemented with the Security Receive command [12], with additional requirements on the inputs as described in Table 17:

Table 17 – IF-RECV command parameters (NVM Express)

Security Protocol	SP Specific	Allocation Length	Namespace Identifier [12]
0x00	(See [12])	Number of bytes to transfer.	Reserved

Security Protocol	SP Specific	Allocation Length	Namespace Identifier [12]
0x01	a ComID	Number of bytes to transfer.	Namespace ID <sup>a</sup>
0x02	a ComID	Number of bytes to transfer.	Reserved
0x06	Protocol 0x06 is defined for SCSI only. Reserved		Reserved
<sup>a</sup> Reserved for storage devices that do not implement the Configurable Namespace Locking Feature Set.			

# 5.3 Handling Common TPer Errors

There are some common errors detected by the TPer. This section describes how they are reported via the NVM Express interface.

Common TPer errors are reported in the NVM Express Admin Completion Queue, Status Field (see [12]). The Status Code Type (SCT) field and the Status Code (SC) field SHALL indicate and map the TPer error as in Table 18.

TPer Error ID	Status Code Type	Status Code	Comments
Good	Generic Command Status	Successful Completion	Normal command completion
Invalid Security Protocol ID parameter	Generic Command Status	Invalid Field in Command	No data SHALL be transferred.
Invalid Transfer Length parameter on IF-SEND	Generic Command Status	Invalid Field in Command	No data SHALL be transferred.
Other Invalid Command Parameter	Generic Command Status	Invalid Field in Command	No data SHALL be transferred.
Synchronous Protocol Violation	Generic Command Status	Command Sequence Error	No data SHALL be transferred.
Data Protection Error	Media and Data Integrity Errors	Access Denied	No data SHALL be transferred.
Invalid Security State	Command Specific Status	Invalid Format	No data SHALL be transferred.
Access Denied	Generic Command Status	0x15	No data SHALL be transferred.

Table 18 – TPer Errors (NVM Express)

# 5.4 Discovery of Security Capabilities

### 5.4.1 Identify Controller Data Structure

The Optional Admin Command Support (OACS) of the Identify Controller Data Structure (see [12]) indicates whether the device has support for the Security Send and Security Receive commands.

### 5.4.2 Security Protocol 0x00

The Security Receive command (see [12]) describes Security Protocol 0x00.

### 5.5 Miscellaneous

### 5.5.1 Namespaces

#### 5.5.1.1 Overview

An NVM subsystem SHALL have no more than one TPer. The TPer is associated with the NVM subsystem rather than with any controller within the NVM subsystem.

The requirements for namespace interactions with TCG vary depending on the number of existing namespaces (see [12]) in the NVM subsystem (see Table 19).

Number of Existing Namespaces	Reference
0	N/A
1	5.5.1.2
Greater than 1	5.5.1.3

Table 19 – Namespace Interaction overview

The NVM subsystem SHALL NOT change a namespace ID reported by the NVM Express Identify command and associated with any namespace managed by the TPer as a result of a power cycle or any NVM Express event.

#### 5.5.1.2 Single Namespace

#### 5.5.1.2.1 Global Range Locking object Interactions

If only one namespace exists in the NVM subsystem, then the column values of the Global Range Locking object (e.g., ReadLocked and WriteLocked) apply to all LBAs within that namespace that are not associated with any non-Global Range Locking objects.

Successful execution of any method that results in the cryptographic erase of the Global Range Locking object SHALL result in the cryptographic erase of all LBAs within that namespace that are not associated with any non-Global Range Locking objects.

#### 5.5.1.2.2 Non-Global Range Locking Object Interactions

If only one namespace exists in the NVM subsystem, then the device MAY support configuration of non-Global Range Locking objects.

#### 5.5.1.2.3 Namespace Management

If only one namespace exists in the NVM subsystem, and:

- a) the value of the ReadLockEnabled column of the Global Range Locking object is TRUE and the value of the ReadLocked column of the Global Range Locking object is TRUE;
- b) the value of the WriteLockEnabled column of the Global Range Locking object is TRUE and the value of the WriteLocked column of the Global Range Locking object is TRUE;

- c) the value of the RangeStart column of any non-Global Range Locking object is not equal to zero; or
- d) the value of the RangeLength column of any non-Global Range Locking object is not equal to zero,

then execution of the Namespace Management command SHALL fail with a status of Access Denied.

#### 5.5.1.3 Multiple Namespaces

#### 5.5.1.3.1 Global Range Locking object Interactions

If more than one namespace exists in the NVM subsystem, then the column values of the Global Range Locking object (e.g., ReadLocked and WriteLocked) apply to all existing namespaces in the NVM subsystem.

lf:

- a) the value of the ReadLockEnabled column of the Global Range Locking object is TRUE; and
- b) the value of the ReadLocked column of the Global Range Locking object is TRUE,

then all namespaces are read locked, and any command that reads user data or metadata (e.g., Read commands) SHALL fail with a status of Data Protection Error.

lf:

- a) the value of the WriteLockEnabled column of the Global Range Locking object is TRUE; and
- b) the value of the WriteLocked column of the Global Range Locking object is TRUE,

then all namespaces are write locked and any command that modifies user data or metadata (e.g., Write, Write Zeroes, Write Uncorrectable, or Data Management - Deallocate commands) SHALL fail with a status of Data Protection Error.

An NVM subsystem with more than one namespace MAY support a separate media encryption key for each namespace. In this case, the K\_AES\_\* object referenced by the ActiveKey column value of the Global Range Locking object SHALL be a collective representation of all the media encryption keys in use for individual namespace encryption. Any method that is applied to a Global Range Locking object that affects keys will apply to all the keys represented by that K\_AES\_\* object. Therefore, successful execution of any method that results in the cryptographic erase of the Global Range Locking object SHALL result in the cryptographic erase of all existing namespaces in the NVM subsystem.

#### 5.5.1.3.2 Non-Global Range Locking Object Interactions

If more than one namespace exists in the NVM subsystem, the Global Range Locking object is the only Locking object that is configurable. Attempts to modify other Locking objects SHALL fail with a status of INVALID\_PARAMETER. Other operations on non-Global Range Locking objects (e.g., Get, Next) SHALL operate as indicated in the applicable SSC specification.

#### 5.5.1.3.3 Namespace Management

If more than one namespace exists in the NVM subsystem, and:

- a) the value of the ReadLockEnabled column of the Global Range Locking object is TRUE and the value of the ReadLocked column of the Global Range Locking object is TRUE; or
- b) the value of the WriteLockEnabled column of the Global Range Locking object is TRUE and the value of the WriteLocked column of the Global Range Locking object is TRUE,

then execution of the Namespace Management command SHALL fail with a status of Access Denied.

#### 5.5.1.3.4 Geometry Feature Descriptor with Multiple Namespaces

The host SHOULD ignore the Geometry Feature Descriptor.

#### 5.5.1.3.5 LockingInfo Table with Multiple Namespaces

The host SHOULD ignore the AlignmentRequired, LogicalBlockSize, Alignment Granularity, and LowestAlignedLBA columns in the LockingInfo table. The MaxRanges column of the LockingInfo table SHALL operate as indicated in the applicable SSC specification.

#### 5.5.1.3.6 MBR Shadowing

If MBR shadowing (see [16]) is supported by the TPer, the MBR and MBRControl tables in the Locking SP are shared by all namespaces and controllers within the NVM subsystem.

If MBR shadowing is active, the TPer SHALL respond to LBA requests for any namespace from LBA 0 up to the LBA that maps to the end of the MBR table with values from the MBR table.

The MBR shadow size in logical blocks depends on the size of a logical block for a specific namespace. Read commands to the MBR shadow region when MBR shadowing is active SHALL return data from the MBR table formatted according to the logical block size of the specified namespace.

Once the Done column of the MBRControl table is set to TRUE, MBR shadowing SHALL be disabled for all namespaces.

### 5.5.2 Locking Template interactions with the Format NVM Command

The Format NVM command MAY be supported on an NVM subsystem that contains an SP that incorporates the Locking Template.

If for any Locking object:

- a) the value of the WriteLockEnabled column of the Locking object is TRUE; and
- b) the value of the WriteLocked column of the Locking object is TRUE,

then the Format NVM command SHALL fail with a status of Invalid Security State.

### 5.5.3 Locking Template interactions with NVMe Commands

The commands in Table 20 MAY be supported on an NVM subsystem that incorporates the Locking Template. Table 20 identifies whether an NVMe Commands is considered as a Read command or a Write command for the purposes of interactions with ReadLockEnabled, WriteLockEnabled, ReadLocked, and WriteLocked column values in the Locking table.

Commands identified in Table 20 as Read or Write commands SHALL behave as defined in [12].

Command	Read	Write
Abort	No	No
Asynchronous Event Request	No	No
Create I/O Completion Queue	No	No
Create I/O Submission Queue	No	No
Delete I/O Completion Queue	No	No
Delete I/O Submission Queue	No	No
Firmware Commit	No	No
Firmware Image Download	No	No
Get Features	No	No

Table 20 – NVMe Commands – Mapping to Read/Write

Command	Read	Write	
Get Log Page	No	No	
Identify	No	No	
Namespace Attachment	No	No	
Namespace Management	See	e 5.5.1	
Set Features	No	No	
Format NVM	See	e 5.5.2	
Security Receive	No	No	
Security Send	No	No	
Compare	Yes	No	
Dataset Management, Attribute – Deallocate	See 5.5.4		
Dataset Management, Attribute – Integral Dataset for Write	No	No	
Dataset Management, Attribute – Integral Dataset for Read	No	No	
Flush	No	No	
Read	Yes	No	
Reservation Acquire	No	No	
Reservation Register	No	No	
Reservation Release	No	No	
Reservation Report	No	No	
Write	No	Yes	
Write Uncorrectable No Yes		Yes	
Write Zeroes	Write Zeroes No Yes		
For Vendor Specific commands and for each NVMe command not identified in the table, the command is considered a:			
a) Write, if command modifies user data; and			
b) Read, if command accesses user data.			

# 5.5.4 Locking Template interactions with Dataset Management, Attribute – Deallocate

The NVM subsystem that contains an SP that incorporates the Locking Template MAY support the Dataset Management command with attribute, Deallocate.

The Dataset Management command with Attribute – Deallocate SHALL fail and report Data Protection Error if:

- a) the command provides an LBA range that is included in one or more Locking objects; and
- b) the value of the WriteLockEnabled column and WriteLocked column are TRUE for at least one of the Locking objects that contains at least part of the LBA range provided.

#### e•MMC Interface 6

See [13] for details on e•MMC architecture, commands and transports. In addition further details relating to the mapping provided below are found in [21].

#### Mapping of Resets 6.1

Table 21 specifies the e•MMC events that are mapped to TCG resets.

e•MMC Event	Maps to TCG reset_type	Reference
Power On	Power cycle	[13]
H/W Reset (Pin, Reset Signal)	Hardware Reset	[13]
GO_IDLE_STATE (CMD0)	Hardware Reset	[13]
GO_PRE_IDLE_STATE (CMD0)	Hardware Reset	[13]
GO_INACTIVE_ STATE (CMD15)	Power cycle	[13]
HPI (High Priority Interrupt)	None	[13]

Table 21 – e•MMC Events Mapped to TCG reset\_type

# 6.2 Mapping of IF-SEND and IF-RECV

# 6.2.1 IF-SEND

IF-SEND is implemented with the combination of a CMD23 (i.e., SET BLOCK COUNT), followed by a CMD54 (PROTOCOL WR), with additional requirements on the inputs as described in Table 22.

CMD23 command is used to set the transfer block count for the CMD54. See [13] for details about CMD23 and CMD54.

Table 22 – IF-SEND command parameters ( <i>e•</i> MMC)			
Security Protocol	SP_Specific	Transfer Length	
0x00	Security Protocol 0x00 is not defined for IF-SEND		
0x01	a ComID	Non-zero <sup>1</sup> number of 512 byte data units as defined in CMD23	
0x02	a ComID	Non-zero <sup>1</sup> number of 512 byte data units as defined in CMD23	
0x06	Protocol 0x06 is defined for SCSI only.		
<sup>1</sup> If the Transfer Length parameter ("number of blocks") in CMD23 is zero or if CMD23 was			

vas not successfully received, then the e•MMC device SHALL report SEC INVALID COMMAND PARAMETER (see 6.4).

# 6.2.2 IF-RECV

IF-RECV is implemented with the combination of a CMD23 (SET\_BLOCK\_COUNT), followed by a CMD53 (PROTOCOL\_RD), with additional requirements on the inputs as described in Table 23.

CMD23 command is used to set the transfer block count for the CMD53. See [13] for details about CMD23 and CMD53.

Security Protocol	SP_Specific	Allocation Length
0x00	See [13] <sup>2</sup>	Non-zero <sup>1</sup> number of 512 byte data units as defined in CMD23
0x01	a ComID	Non-zero <sup>1</sup> number of 512 byte data units as defined in CMD23
0x02	a ComID	Non-zero <sup>1</sup> number of 512 byte data units as defined in CMD23
0x06	Protocol 0x06 is defined for SCSI only.	
<sup>1</sup> If the Transfer Length parameter ("number of blocks") in CMD23 is zero or if CMD23 was not successfully received, then the e•MMC device SHALL report SEC_INVALID_COMMAND_PARAMETER (see 6.4).		
<sup>2</sup> When receiving CMD52 (PPOTOCOL, PD) with Security Protocol value equal to 00h the		

Table 23 – IF-RECV command parameters (e•MMC)

<sup>2</sup> When receiving CMD53 (PROTOCOL\_RD) with Security Protocol value equal to 00h the device SHALL return the list of supported protocols.

# 6.2.3 e•MMC Command Structure for TCG IF-SEND and IF-RECV

#### 6.2.3.1 e•MMC Block Allocation Overview

The *e*•MMC protocol uses the CMD23 SET\_BLOCK\_COUNT command (see 6.2.3.2) to set the block count for the CMD54 command or the CMD53 command (see 6.2.3.3) that immediately follows it. The block count of the CMD54 command or the CMD53 command is specified in 512-byte blocks (i.e., Allocation Length maps to the number of blocks in the payload multiplied by 512). Payload padding to the specified number of 512 byte blocks SHALL consist of zeros.

For TCG on the *e*•MMC transport, the IF-SEND command consists of the combination of a CMD23, followed by a CMD54.

In TCG on the *e*•MMC transport, the IF-RECV command consists of the combination of a CMD23, followed by a CMD53.

#### 6.2.3.2 *e*•MMC CMD23 SET\_BLOCK\_COUNT command

CMD23 SET\_BLOCK\_COUNT is sent before CMD54 or CMD53 to set a transfer length of one or more 512-byte block. See Table 24.

Bit	7	6	5	4	3	2	1	0
Byte								
0	[47] Start Bit	[46] Transition Bit	[45:40] Command Index					
1	[39] Reliable Write Request	[38] '0' non- packed	[37] tag request	[36:33]	context II	כ		[32]: forced programming

Table 24 – e•MMC CMD23 Command Block

Bit	7	6	5	4	3	2	1	0
Byte								
2	[31:24] set to 0							
3	[23:16] Number of Blocks (15:8)							
4	[15:8]: Number of Blocks (7:0)							
5	[7:1] CRC7 [0] Stop Bit							

The value of Command Index is defined as 23 for this command. See [13] for more information.

The value in the Number of Blocks field specifies how many blocks are to be transferred in the next command. See [13] for more information.

All other fields are defined in [13].

#### 6.2.3.3 e•MMC CMD54 PROTOCOL\_WR and CMD53 PROTOCOL\_RD commands

CMD54 PROTOCOL\_WR and CMD53\_PROTOCOL\_RD commands are used to send the Security Protocol and the Security Protocol Specific parameters of the TCG IF-SEND and IF-RECV commands. See Table 25.

Bit	7	6	5	4	3	2	1	0
Byte								
0	[47] Start Bit	[46] Transition Bit	[45:40] Co	ommand In	dex			
1	[39:32] Security Protocol Specific (15:8)							
2	[31:24] Security Protocol Specific (7:0)							
3	[23:16] Security Protocol							
4	[15:8] Reserved							
5	[7:1] CRC7					[0] Stop Bit		

#### Table 25 – e•MMC CMD54 and CMD53 Structure

See Table 22 and Table 23 for usage of Bytes 1 and 2, the Security Protocol Specific fields in addition with the Security Protocol field.

All other fields are defined in [13].

# 6.3 Handling Common TPer Errors

Security related errors are detected by the *e*•MMC interface or by the TPer. This section describes how they are reported by the *e*•MMC interface.

See [13] for details.

TPer Error ID	e•MMC Device Status	EXCEPTION EVENTS STATUS <sup>a</sup>	EXT SECURITY ERR <sup>b</sup>	Comments			
Good	No error	No error	No error	Normal command completion			
Invalid Security Protocol ID parameter	EXCEPTION EVENT=1	EXTENDED SECURITY FALURE =1	SEC INVALID COMMAND PARAMETERS =1	No data SHALL be transferred.			
Invalid Transfer Length parameter on IF-SEND	EXCEPTION EVENT=1	EXTENDED SECURITY FALURE =1	SEC INVALID COMMAND PARAMETERS =1	No data SHALL be transferred.			
Other Invalid Command Parameter	EXCEPTION EVENT=1	EXTENDED SECURITY FALURE =1	SEC INVALID COMMAND PARAMETERS =1	No data SHALL be transferred.			
Synchronous Protocol Violation	EXCEPTION EVENT=1	EXTENDED SECURITY FALURE =1	SEC INVALID COMMAND PARAMETERS =1	No data SHALL be transferred.			
Data Protection Error	EXCEPTION EVENT=1	EXTENDED SECURITY FALURE =1	ACCESS DENIED=1	No data SHALL be transferred.			
a EXCEPTION_EVENTS_STATUS field of the EXT_CSD register							
b EXT_SECURITY_ERR field of the EXT_CSD register							

Table 26 -	TPer	Errors	(e•MMC)
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# 6.4 Discovery of Security Capabilities

### 6.4.1 Discovery of Security Capabilities

#### 6.4.1.1 Security Protocol Information

In order to discover whether the extended protocol pass through commands are supported the host SHOULD verify that Command Class 10 is supported by the device (in CCC field in CSD Register).

In order to receive and send extended protocol information CMD53 and CMD54 SHALL be used.

Refer to Security Protocol Information (see [13]) for the discovery of which security feature set is supported.

When receiving PROTOCOL\_RD (CMD53) with Security Protocol value equal to 00h the device SHALL return the list of supported protocols.

# 6.5 Miscellaneous

# 6.5.1 Partition Management

The Locking Template SHALL be associated with and manage only the User Data Area partition (see [13]).