

# Trusted Platform Module Library

## Part 3: Commands

Family “2.0”

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## Trusted Platform Module Library

### Part 3: Commands

#### 1 Scope

This TPM 2.0 Part 3 of the *Trusted Platform Module Library* specification contains the definitions of the TPM commands. These commands make use of the constants, flags, structures, and union definitions defined in TPM 2.0 Part 2.

The detailed description of the operation of the commands is written in the C language with extensive comments. The behavior of the C code in this TPM 2.0 Part 3 is normative but does not fully describe the behavior of a TPM. The combination of this TPM 2.0 Part 3 and TPM 2.0 Part 4 is sufficient to fully describe the required behavior of a TPM.

The code in parts 3 and 4 is written to define the behavior of a compliant TPM. In some cases (e.g., firmware update), it is not possible to provide a compliant implementation. In those cases, any implementation provided by the vendor that meets the general description of the function provided in TPM 2.0 Part 3 would be compliant.

The code in parts 3 and 4 is not written to meet any particular level of conformance nor does this specification require that a TPM meet any particular level of conformance.

#### 2 Terms and Definitions

For the purposes of this document, the terms and definitions given in TPM 2.0 Part 1 apply.

#### 3 Symbols and abbreviated terms

For the purposes of this document, the symbols and abbreviated terms given in TPM 2.0 Part 1 apply.

## 4 Notation

### 4.1 Introduction

For the purposes of this document, the notation given in TPM 2.0 Part 1 applies.

Command and response tables use various decorations to indicate the fields of the command and the allowed types. These decorations are specified in clause 4.2.

### 4.2 Table Decorations

#### 4.2.1 Introduction

The symbols and terms in the Notation column of Table 1 are used in the tables for the command schematics. These values indicate various qualifiers for the parameters or descriptions with which they are associated.

**Table 1 — Command Modifiers and Decoration**

Notation	Meaning
+	A Type decoration – see clause 4.2.2
@	A Name decoration - see clause 4.2.3
+PP	A Description modifier – see clause 4.2.4
+{PP}	A Description modifier – see clause 4.2.5
{NV}	A Description modifier – see clause 4.2.6
{F}	A Description modifier – see clause 4.2.7
{E}	A Description modifier – see clause 4.2.8
Auth Index:	A Description modifier – see clause 4.2.9
Auth Role:	A Description modifier – see clause 4.2.10

#### 4.2.2 Type Decoration +

When appended to a value in the Type column of a command, this symbol indicates that the parameter is allowed to use the optional value of the data type (see TPM 2.0 Part 2, *Conditional Types*). The optional value is usually TPM\_RH\_NULL for a handle or TPM\_ALG\_NULL for an algorithm selector.

NOTE This decoration is not appended to response parameters

#### 4.2.3 Name @

A Name decoration – When this symbol precedes a handle parameter in the “Name” column, it indicates that an authorization session is required for use of the entity associated with the handle. If a handle does not have this symbol, then an authorization session is not allowed.

#### 4.2.4 Description Modifier +PP

This modifier may follow TPM\_RH\_PLATFORM in the “Description” column to indicate that Physical Presence is required when *platformAuth/platformPolicy* is provided.

#### 4.2.5 Description Modifier +{PP}

This modifier may follow TPM\_RH\_PLATFORM to indicate that Physical Presence may be required when *platformAuth/platformPolicy* is provided. The commands with this notation may be in the *setList* or *clearList* of TPM2\_PP\_Commands().

#### 4.2.6 Description Modifier {NV}

This modifier may follow the *commandCode* in the “Description” column to indicate that the command may result in an update of NV memory and be subject to rate throttling by the TPM. If the command code does not have this notation, then a write to NV memory does not occur as part of the command actions.

Any command that uses authorization may cause a write to NV if there is an authorization failure. A TPM may use the occasion of command execution to update the NV copy of clock.

#### 4.2.7 Description Modifier {F}

This modifier indicates that the “flushed” attribute will be SET in the TPMA\_CC for the command. The modifier may follow the *commandCode* in the “Description” column to indicate that any transient handle context used by the command will be flushed from the TPM when the command completes. This may be combined with the {NV} modifier but not with the {E} modifier.

EXAMPLE 1 {NV F}

EXAMPLE 2 TPM2\_SequenceComplete() will flush the context associated with the *sequenceHandle*.

#### 4.2.8 Description Modifier {E}

This modifier indicates that the “extensive” attribute will be SET in the TPMA\_CC for the command. This modifier may follow the *commandCode* in the “Description” column to indicate that the command may flush many objects and re-enumeration of the loaded context likely will be required. This may be combined with the {NV} modifier but not with the {F} modifier.

EXAMPLE 1 {NV E}

EXAMPLE 2 TPM2\_Clear() will flush all contexts associated with the Storage hierarchy and the Endorsement hierarchy.

#### 4.2.9 Auth Index

When a handle has a “@” decoration, the “Description” column will contain an “Auth Index:” entry for the handle. This entry indicates the number of the authorization session. The authorization sessions associated with handles will occur in the session area in the order of the handles with the “@” modifier. Sessions used only for encryption/decryption or only for audit will follow the handles used for authorization.

#### 4.2.10 Auth Role

This will be in the “Description” column of a handle with the “@” decoration. It may have a value of USER, ADMIN or DUP.

If the handle has the Auth Role of USER and the handle is an Object, the type of authorization is determined by the setting of *userWithAuth* in the Object's attributes. If the handle is TPM\_RH\_OWNER, TPM\_RH\_ENDORSEMENT, or TPM\_RH\_PLATFORM, operation is as if *userWithAuth* is SET. If the

handle references an NV Index, then the allowed authorizations are determined by the settings of the attributes of the NV Index as described in TPM 2.0 Part 2, "TPMA\_NV (NV Index Attributes)."

If the Auth Role is ADMIN and the handle is an Object, the type of authorization is determined by the setting of *adminWithPolicy* in the Object's attributes. If the handle is TPM\_RH\_OWNER, TPM\_RH\_ENDORSEMENT, or TPM\_RH\_PLATFORM, operation is as if *adminWithPolicy* is SET. If the handle is an NV index, operation is as if *adminWithPolicy* is SET (see clause 5.6 e)2)).

If the DUP role is selected, authorization may only be with a policy session (DUP role only applies to Objects).



When either ADMIN or DUP role is selected, a policy command that selects the command being authorized is required to be part of the policy.

**EXAMPLE** TPM2\_Certify requires the ADMIN role for the first handle (*objectHandle*). The policy authorization for *objectHandle* is required to contain TPM2\_PolicyCommandCode(commandCode == TPM\_CC\_Certify). This sets the state of the policy so that it can be used for ADMIN role authorization in TPM2\_Certify().

### 4.3 Handle and Parameter Demarcation

The demarcations between the header, handle, and parameter parts are indicated by:

**Table 2 — Separators**

Separator	Meaning
	the values immediately following are in the handle area
	the values immediately following are in the parameter area

### 4.4 AuthorizationSize and ParameterSize

Authorization sessions are not shown in the command or response schematics. When the tag of a command or response is TPM\_ST\_SESSIONS, then a 32-bit value will be present in the command/response buffer to indicate the size of the authorization field or the parameter field. This value shall immediately follow the handle area (which may contain no handles). For a command, this value (*authorizationSize*) indicates the size of the Authorization Area and shall have a value of 9 or more. For a response, this value (*parameterSize*) indicates the size of the parameter area and may have a value of zero.

If the *authorizationSize* field is present in the command, *parameterSize* will be present in the response, but only if the *responseCode* is TPM\_RC\_SUCCESS.

When authorization is required to use the TPM entity associated with a handle, then at least one session will be present. To indicate this, the command *tag* Description field contains TPM\_ST\_SESSIONS. Additional sessions for audit, encrypt, and decrypt may be present.

When the command *tag* Description field contains TPM\_ST\_NO\_SESSIONS, then no sessions are allowed and the *authorizationSize* field is not present.

When a command allows use of sessions when not required, the command *tag* Description field will indicate the types of sessions that may be used with the command.

### 4.5 Return Code Alias

For the RC\_FMT1 return codes that may add a parameter, handle, or session number, the prefix TPM\_RCS\_ is an alias for TPM\_RC\_.

TPM\_RC\_n is added, where n is the parameter, handle, or session number. In addition, TPM\_RC\_H is added for handle, TPM\_RC\_P for parameter, and TPM\_RC\_S for session errors.

**NOTE** TPM\_RCS\_ is a programming convention used in the reference code. The reference code only adds numbers to TPM\_RCS\_ return codes, never TPM\_RC\_ return codes. Only return codes that can have a number added have the TPM\_RCS\_ alias defined. Attempting to use a TPM\_RCS\_ return code that does not have the TPM\_RCS\_ alias will cause a compiler error.

**EXAMPLE 1** Since TPM\_RC\_VALUE can have a number added, TPM\_RCS\_VALUE is defined. A program can use the construct "TPM\_RCS\_VALUE + number". Since TPM\_RC\_SIGNATURE cannot have a number added, TPM\_RCS\_SIGNATURE is not defined. A program using the construct "TPM\_RCS\_SIGNATURE + number" will not compile, alerting the programmer that the construct is incorrect.

By convention, the number to be added is of the form RC\_CommandName\_ParameterName where CommandName is the name of the command with the TPM2\_ prefix removed. The parameter name alone is insufficient because the same parameter name could be in a different position in different commands.

**EXAMPLE 2** TPM2\_HMAC\_Start() with parameters that result in TPM\_ALG\_NULL as the hash algorithm will return TPM\_RC\_VALUE plus the parameter number. Since *hashAlg* is the second parameter, This code results:

```
#define RC_HMAC_Start_hashAlg (TPM_RC_P + TPM_RC_2)

return TPM_RCS_VALUE + RC_HMAC_Start_hashAlg;
```

## 5 Command Processing

### 5.1 Introduction

Clause 5 defines the command validations that are required of any implementation and the response code returned if the indicated check fails. Unless stated otherwise, the order of the checks is not normative and different TPM may give different responses when a command has multiple errors.

In the description below, some statements that describe a check may be followed by a response code in parentheses. This is the normative response code should the indicated check fail. A normative response code may also be included in the statement.

### 5.2 Command Header Validation

Before a TPM may begin the actions associated with a command, a set of command format and consistency checks shall be performed. These checks are listed below and should be performed in the indicated order.

- a) The TPM shall successfully unmarshal a TPMI\_ST\_COMMAND\_TAG and verify that it is either TPM\_ST\_SESSIONS or TPM\_ST\_NO\_SESSIONS (TPM\_RC\_BAD\_TAG).
- b) The TPM shall successfully unmarshal a UINT32 as the *commandSize*. If the TPM has an interface buffer that is loaded by some hardware process, the number of octets in the input buffer for the command reported by the hardware process shall exactly match the value in *commandSize* (TPM\_RC\_COMMAND\_SIZE).

**NOTE** A TPM can have direct access to system memory and unmarshal directly from that memory.

- c) The TPM shall successfully unmarshal a TPM\_CC and verify that the command is implemented (TPM\_RC\_COMMAND\_CODE).

### 5.3 Mode Checks

The following mode checks shall be performed in the order listed:

- a) If the TPM is in Failure mode, then the *commandCode* is TPM\_CC\_GetTestResult or TPM\_CC\_GetCapability (TPM\_RC\_FAILURE) and the command *tag* is TPM\_ST\_NO\_SESSIONS (TPM\_RC\_FAILURE).

NOTE 1 In Failure mode, the TPM has no cryptographic capability and processing of sessions is not supported.

- b) The TPM is in Field Upgrade mode (FUM), the *commandCode* is TPM\_CC\_FieldUpgradeData (TPM\_RC\_UPGRADE).
- c) If the TPM has not been initialized (TPM2\_Startup()), then the *commandCode* is TPM\_CC\_Startup (TPM\_RC\_INITIALIZE).

NOTE 2 The TPM can enter Failure mode during \_TPM\_Init processing, before TPM2\_Startup(). Since the platform firmware cannot know that the TPM is in Failure mode without accessing it, and since the first command is required to be TPM2\_Startup(), the expected sequence will be that platform firmware (the CRTM) will issue TPM2\_Startup() and receive TPM\_RC\_FAILURE indicating that the TPM is in Failure mode.

There can be failures where a TPM cannot record that it received TPM2\_Startup(). In those cases, a TPM in failure mode may process TPM2\_GetTestResult(), TPM2\_GetCapability(), or the field upgrade commands. As a side effect, that TPM may process TPM2\_GetTestResult(), TPM2\_GetCapability() or the field upgrade commands before TPM2\_Startup().

This is a corner case exception to the rule that TPM2\_Startup() must be the first command.

The mode checks may be performed before or after the command header validation.

### 5.4 Handle Area Validation

After successfully unmarshaling and validating the command header, the TPM shall perform the following checks on the handles and sessions. These checks may be performed in any order.

NOTE 1 A TPM is required to perform the handle area validation before the authorization checks because an authorization cannot be performed unless the authorization values and attributes for the referenced entity are known by the TPM. For them to be known, the referenced entity must be in the TPM and accessible.

- a) The TPM shall successfully unmarshal the number of handles required by the command and validate that the value of the handle is consistent with the command syntax. If not, the TPM shall return TPM\_RC\_VALUE.

NOTE 2 The TPM is permitted to unmarshal a handle and validate that it references an entity on the TPM before unmarshaling a subsequent handle.

NOTE 3 If the submitted command contains fewer handles than required by the syntax of the command, the TPM is permitted to continue to read into the next area and attempt to interpret the data as a handle.



b) For all handles in the handle area of the command, the TPM will validate that the referenced entity is present in the TPM.

- 1) If the handle references a transient object, the handle shall reference a loaded object (TPM\_RC\_REFERENCE\_H0 + N where N is the number of the handle in the command).

NOTE 4 If the hierarchy for a transient object is disabled, then the transient objects will be flushed so this check will fail.

- 2) If the handle references a persistent object, then
  - i) the hierarchy associated with the object (platform or storage, based on the handle value) is enabled (TPM\_RC\_HANDLE);
  - ii) the handle shall reference a persistent object that is currently in TPM non-volatile memory (TPM\_RC\_HANDLE);
  - iii) if the handle references a persistent object that is associated with the endorsement hierarchy, that the endorsement hierarchy is not disabled (TPM\_RC\_HANDLE); and

NOTE 5 The reference implementation keeps an internal attribute, passed down from a primary key to its descendants, indicating the object's hierarchy.

- iv) if the TPM implementation moves a persistent object to RAM for command processing then sufficient RAM space is available (TPM\_RC\_OBJECT\_MEMORY).
- 3) If the handle references an NV Index, then
  - i) an Index exists that corresponds to the handle (TPM\_RC\_HANDLE); and
  - ii) the hierarchy associated with the existing NV Index is not disabled (TPM\_RC\_HANDLE).
  - iii) If the command requires write access to the index data, then TPMA\_NV\_WRITELOCKED is not SET (TPM\_RC\_NV\_LOCKED)
  - iv) If the command requires read access to the index data, then TPMA\_NV\_READLOCKED is not SET (TPM\_RC\_NV\_LOCKED)
- 4) If the handle references a session, then the session context shall be present in TPM memory (TPM\_RC\_REFERENCE\_H0 + N).
- 5) If the handle references a primary seed for a hierarchy (TPM\_RH\_ENDORSEMENT, TPM\_RH\_OWNER, or TPM\_RH\_PLATFORM) then the enable for the hierarchy is SET (TPM\_RC\_HIERARCHY).
- 6) If the handle references a PCR, then the value is within the range of PCR supported by the TPM (TPM\_RC\_VALUE)

NOTE 6 In the reference implementation, this TPM\_RC\_VALUE is returned by the unmarshaling code for a TPMI\_DH\_PCR.

## 5.5 Session Area Validation

- a) If the tag is TPM\_ST\_SESSIONS and the command requires TPM\_ST\_NO\_SESSIONS, the TPM will return TPM\_RC\_AUTH\_CONTEXT.
- b) If the tag is TPM\_ST\_NO\_SESSIONS and the command requires TPM\_ST\_SESSIONS, the TPM will return TPM\_RC\_AUTH\_MISSING.
- c) If the tag is TPM\_ST\_SESSIONS, the TPM will attempt to unmarshal an *authorizationSize* and return TPM\_RC\_AUTHSIZE if the value is not within an acceptable range.
  - 1) The minimum value is  $(\text{sizeof}(\text{TPM\_HANDLE}) + \text{sizeof}(\text{UINT16}) + \text{sizeof}(\text{TPMA\_SESSION}) + \text{sizeof}(\text{UINT16}))$ .
  - 2) The maximum value of *authorizationSize* is equal to  $\text{commandSize} - (\text{sizeof}(\text{TPM\_ST}) + \text{sizeof}(\text{UINT32}) + \text{sizeof}(\text{TPM\_CC}) + (N * \text{sizeof}(\text{TPM\_HANDLE})) + \text{sizeof}(\text{UINT32}))$  where N is the number of handles associated with the *commandCode* and may be zero.

NOTE 1  $(\text{sizeof}(\text{TPM\_ST}) + \text{sizeof}(\text{UINT32}) + \text{sizeof}(\text{TPM\_CC}))$  is the size of a command header. The last UINT32 contains the *authorizationSize* octets, which are not counted as being in the authorization session area.

- d) The TPM will unmarshal the authorization sessions and perform the following validations:
  - 1) If the session handle is not a handle for an HMAC session, a handle for a policy session, or, TPM\_RS\_PW then the TPM shall return TPM\_RC\_HANDLE.
  - 2) If the session is not loaded, the TPM will return the warning TPM\_RC\_REFERENCE\_S0 + N where N is the number of the session. The first session is session zero, N = 0.

NOTE 2 If the HMAC and policy session contexts use the same memory, the type of the context is required to match the type of the handle.

- 3) If the maximum allowed number of sessions have been unmarshaled and fewer octets than indicated in *authorizationSize* were unmarshaled (that is, *authorizationSize* is too large), the TPM shall return TPM\_RC\_AUTHSIZE.
- 4) The consistency of the authorization session attributes is checked.
  - i) Only one session is allowed for:
    - (a) session auditing (TPM\_RC\_ATTRIBUTES) – this session may be used for encrypt or decrypt but may not be a session that is also used for authorization (including a policy session);
    - (b) decrypting a command parameter (TPM\_RC\_ATTRIBUTES) – this may be any of the authorization sessions, or the audit session, or a session may be added for the single purpose of decrypting a command parameter, as long as the total number of sessions does not exceed three; and
    - (c) encrypting a response parameter (TPM\_RC\_ATTRIBUTES) – this may be any of the authorization sessions, or the audit session if present, or a session may be added for the single purpose of encrypting a response parameter, as long as the total number of sessions does not exceed three.

NOTE 3 A session used for decrypting a command parameter can also be used for encrypting a response parameter.

- ii) If a session is not being used for authorization, at least one of decrypt, encrypt, or audit must be SET. (TPM\_RC\_ATTRIBUTES).
- 5) An authorization session is present for each of the handles with the “@” decoration (TPM\_RC\_AUTH\_MISSING).

## 5.6 Authorization Checks

After unmarshaling and validating the handles and the consistency of the authorization sessions, the authorizations shall be checked. Authorization checks only apply to handles if the handle in the command schematic has the “@” decoration. Authorization checks must be performed in this order.

- a) The public and sensitive portions of the object shall be present on the TPM (TPM\_RC\_AUTH\_UNAVAILABLE).
- b) If the associated handle is TPM\_RH\_PLATFORM, and the command requires confirmation with physical presence, then physical presence is asserted (TPM\_RC\_PP).
- c) If the object or NV Index is subject to DA protection, and the authorization is with an HMAC or password, then the TPM is not in lockout (TPM\_RC\_LOCKOUT).

NOTE 1            An object is subject to DA protection if its *noDA* attribute is CLEAR. An NV Index is subject to DA protection if its *TPMA\_NV\_NO\_DA* attribute is CLEAR.

NOTE 2            An HMAC or password is required in a policy session when the policy contains *TPM2\_PolicyAuthValue()* or *TPM2\_PolicyPassword()*.

- d) If the command requires a handle to have DUP role authorization, then the associated authorization session is a policy session (TPM\_RC\_AUTH\_TYPE).
- e) If the command requires a handle to have ADMIN role authorization:
  - 1) If the entity being authorized is an object and its *adminWithPolicy* attribute is SET, or a hierarchy, then the authorization session is a policy session (TPM\_RC\_AUTH\_TYPE).

NOTE 3            If *adminWithPolicy* is CLEAR, then any type of authorization session is allowed.

- 2) If the entity being authorized is an NV Index, then the associated authorization session is a policy session.

NOTE 4            The only commands that are currently defined that require use of ADMIN role authorization are commands that operate on objects and NV Indices.

- f) If the command requires a handle to have ADMIN or DUP role authorization and the entity is being authorized with a policy session, that *TPM2\_PolicyCommandCode* is part of the policy. (TPM\_RC\_POLICY\_FAIL).
- g) If the command requires a handle to have USER role authorization:
  - 1) If the entity being authorized is an object and its *userWithAuth* attribute is CLEAR, then the associated authorization session is a policy session (TPM\_RC\_POLICY\_FAIL).

NOTE 5            There is no check for a hierarchy, because a hierarchy operates as if *userWithAuth* is SET.

- 2) If the entity being authorized is an NV Index;
  - i) if the authorization session is a policy session;
    - (a) the TPMA\_NV\_POLICYWRITE attribute of the NV Index is SET if the command modifies the NV Index data (TPM\_RC\_AUTH\_UNAVAILABLE);
    - (b) the TPMA\_NV\_POLICYREAD attribute of the NV Index is SET if the command reads the NV Index data (TPM\_RC\_AUTH\_UNAVAILABLE);
  - ii) if the authorization is an HMAC session or a password;
    - (a) the TPMA\_NV\_AUTHWRITE attribute of the NV Index is SET if the command modifies the NV Index data (TPM\_RC\_AUTH\_UNAVAILABLE);
    - (b) the TPMA\_NV\_AUTHREAD attribute of the NV Index is SET if the command reads the NV Index data or is TPM2\_PolicySecret (TPM\_RC\_AUTH\_UNAVAILABLE).
- h) If the authorization is provided by a policy session, then:
  - 1) if *policySession→timeOut* has been set, the session shall not have expired (TPM\_RC\_EXPIRED);
  - 2) if *policySession→cpHash* has been set, it shall match the *cpHash* of the command (TPM\_RC\_POLICY\_FAIL);
  - 3) if *policySession→commandCode* has been set, then *commandCode* of the command shall match (TPM\_RC\_POLICY\_CC);
  - 4) *policySession→policyDigest* shall match the *authPolicy* associated with the handle (TPM\_RC\_POLICY\_FAIL);
  - 5) if *policySession→pcrUpdateCounter* has been set, then it shall match the value of *pcrUpdateCounter* (TPM\_RC\_PCR\_CHANGED);
  - 6) if *policySession→commandLocality* has been set, it shall match the locality of the command (TPM\_RC\_LOCALITY);
  - 7) if *policySession→cpHash* contains a template, and the command is TPM2\_Create(), TPM2\_CreatePrimary(), or TPM2\_CreateLoaded(), then the *inPublic* parameter matches the contents of *policySession→cpHash*; and
  - 8) if the policy requires that an *authValue* be provided in order to satisfy the policy, then *session.hmac* is not an Empty Buffer.
- i) If the authorization uses an HMAC, then the HMAC is properly constructed using the *authValue* associated with the handle and/or the session secret (TPM\_RC\_AUTH\_FAIL or TPM\_RC\_BAD\_AUTH).

NOTE 6 A policy session can require proof of knowledge of the *authValue* of the object being authorized.

If the TPM returns an error other than TPM\_RC\_AUTH\_FAIL, then the TPM shall not alter any TPM state. If the TPM returns TPM\_RC\_AUTH\_FAIL, then the TPM shall not alter any TPM state other than *failedTries*.

NOTE 7 The TPM is permitted to decrease *failedTries* regardless of any other processing performed by the TPM. That is, the TPM can exit Lockout mode, regardless of the return code.

## 5.7 Parameter Decryption

If an authorization session has the `TPMA_SESSION.decrypt` attribute SET, and the command does not allow a command parameter to be encrypted, then the TPM will return `TPM_RC_ATTRIBUTES`. Otherwise, the TPM will decrypt the parameter using the values associated with the session before parsing parameters.

NOTE The size of the parameter to be encrypted can be zero.

## 5.8 Parameter Unmarshaling

### 5.8.1 Introduction

The detailed actions for each command assume that the input parameters of the command have been unmarshaled into a command-specific structure with the structure defined by the command schematic. Additionally, a response-specific output structure is assumed which will receive the values produced by the detailed actions.

NOTE An implementation is not required to process parameters in this manner or to separate the parameter parsing from the command actions. This method was chosen for the specification so that the normative behavior described by the detailed actions would be clear and unencumbered.

Unmarshaling is the process of processing the parameters in the input buffer and preparing the parameters for use by the command-specific action code. No data movement need take place, but it is required that the TPM validate that the parameters meet the requirements of the expected data type as defined in TPM 2.0 Part 2.

### 5.8.2 Unmarshaling Errors

When an error is encountered while unmarshaling a command parameter, an error response code is returned, and no command processing occurs. A table defining a data type may have response codes embedded in the table to indicate the error returned when the input value does not match the parameters of the table.

NOTE In the reference implementation, a parameter number is added to the response code so that the offending parameter can be isolated. This is optional.

In many cases, the table contains no specific response code value, and the return code will be determined as defined in Table 3.

**Table 3 — Unmarshaling Errors**

<b>Response Code</b>	<b>Meaning</b>
TPM_RC_ASYMMETRIC	a parameter that should be an asymmetric algorithm selection does not have a value that is supported by the TPM
TPM_RC_BAD_TAG	a parameter that should be a command tag selection has a value that is not supported by the TPM
TPM_RC_COMMAND_CODE	a parameter that should be a command code does not have a value that is supported by the TPM
TPM_RC_HASH	a parameter that should be a hash algorithm selection does not have a value that is supported by the TPM
TPM_RC_INSUFFICIENT	the input buffer did not contain enough octets to allow unmarshaling of the expected data type;
TPM_RC_KDF	a parameter that should be a key derivation scheme (KDF) selection does not have a value that is supported by the TPM
TPM_RC_KEY_SIZE	a parameter that is a key size has a value that is not supported by the TPM
TPM_RC_MODE	a parameter that should be a symmetric encryption mode selection does not have a value that is supported by the TPM
TPM_RC_RESERVED	a non-zero value was found in a reserved field of an attribute structure (TPMA_)
TPM_RC_SCHEME	a parameter that should be signing or encryption scheme selection does not have a value that is supported by the TPM
TPM_RC_SIZE	the value of a size parameter is larger or smaller than allowed
TPM_RC_SYMMETRIC	a parameter that should be a symmetric algorithm selection does not have a value that is supported by the TPM
TPM_RC_TAG	a parameter that should be a structure tag has a value that is not supported by the TPM
TPM_RC_TYPE	The type parameter of a TPMT_PUBLIC or TPMT_SENSITIVE has a value that is not supported by the TPM
TPM_RC_VALUE	a parameter does not have one of its allowed values

In some commands, a parameter may not be used because of various options of that command. However, the unmarshaling code is required to validate that all parameters have values that are allowed by the TPM 2.0 Part 2 definition of the parameter type even if that parameter is not used in the command actions.

## 5.9 Command Post Processing

When the code that implements the detailed actions of the command completes, it returns a response code. If that code is not TPM\_RC\_SUCCESS, the post processing code will not update any session or audit data and will return a 10-octet response packet.

If the command completes successfully, the tag of the command determines if any authorization sessions will be in the response. If so, the TPM will encrypt the first parameter of the response if indicated by the authorization attributes. The TPM will then generate a new nonce value for each session and, if appropriate, generate an HMAC.

If authorization HMAC computations are performed on the response, the HMAC keys used in the response will be the same as the HMAC keys used in processing the HMAC in the command.

**NOTE 1** This primarily affects authorizations associated with a first write to an NV Index using a bound session. The computation of the HMAC in the response is performed as if the Name of the Index did not change as a consequence of the command actions. The session binding to the NV Index will not persist to any subsequent command.

NOTE 2      The authorization attributes were validated during the session area validation to ensure that only one session was used for parameter encryption of the response and that the command allowed encryption in the response.

NOTE 3      No session nonce value is used for a password authorization, but the session data is present.

Additionally, if the command is being audited by Command Audit, the audit digest is updated with the *cpHash* of the command and *rpHash* of the response.

## 6 Response Values

### 6.1 Tag

When a command completes successfully, the *tag* parameter in the response shall have the same value as the *tag* parameter in the command (TPM\_ST\_SESSIONS or TPM\_ST\_NO\_SESSIONS). When a command fails (the *responseCode* is not TPM\_RC\_SUCCESS), then the *tag* parameter in the response shall be TPM\_ST\_NO\_SESSIONS.

A special case exists when the command *tag* parameter is not an allowed value (TPM\_ST\_SESSIONS or TPM\_ST\_NO\_SESSIONS). For this case, it is assumed that the system software is attempting to send a command formatted for a TPM 1.2, but the TPM is not capable of executing TPM 1.2 commands. So that the TPM 1.2 compatible software will have a recognizable response, the TPM sets *tag* to TPM\_ST\_RSP\_COMMAND, *responseSize* to 00 00 00 0A<sub>16</sub> and *responseCode* to TPM\_RC\_BAD\_TAG. This is the same response as the TPM 1.2 fatal error for TPM\_BADTAG.

### 6.2 Response Codes

The normal response for any command is TPM\_RC\_SUCCESS. Any other value indicates that the command did not complete and the state of the TPM is unchanged. An exception to this general rule is that the logic associated with dictionary attack protection is allowed to be modified when an authorization failure occurs.

Commands have response codes that are specific to that command, and those response codes are enumerated in the detailed actions of each command. The codes associated with the unmarshaling of parameters are documented Table 3. Another set of response code values are not command specific and indicate a problem that is not specific to the command. That is, if the indicated problem is remedied, the same command could be resubmitted and may complete normally.

The response codes that are not command specific are listed and described in Table 4.

The reference code for the command actions may have code that generates specific response codes associated with a specific check, but the listing of responses may not have that response code listed.



**Table 4 — Command-Independent Response Codes**

Response Code	Meaning
TPM_RC_CANCELED	This response code may be returned by a TPM that supports command cancel. When the TPM receives an indication that the current command should be cancelled, the TPM may complete the command or return this code. If this code is returned, then the TPM state is not changed, and the same command may be retried.
TPM_RC_CONTEXT_GAP	This response code can be returned for commands that manage session contexts. It indicates that the gap between the lowest numbered active session and the highest numbered session is at the limits of the session tracking logic. The remedy is to load the session context with the lowest number so that its tracking number can be updated.
TPM_RC_LOCKOUT	This response indicates that authorizations for objects subject to DA protection are not allowed at this time because the TPM is in DA lockout mode. The remedy is to wait or to execute TPM2_DictionaryAttackLockoutReset().
TPM_RC_MEMORY	A TPM may use a common pool of memory for objects, sessions, and other purposes. When the TPM does not have enough memory available to perform the actions of the command, it may return TPM_RC_MEMORY. This indicates that the TPM resource manager may flush either sessions or objects in order to make memory available for the command execution. A TPM may choose to return TPM_RC_OBJECT_MEMORY or TPM_RC_SESSION_MEMORY if it needs contexts of a particular type to be flushed.
TPM_RC_NV_RATE	This response code indicates that the TPM is rate-limiting writes to the NV memory in order to prevent wearout. This response is possible for any command that explicitly writes to NV or commands that incidentally use NV such as a command that uses authorization session that may need to update the dictionary attack logic.
TPM_RC_NV_UNAVAILABLE	This response code is similar to TPM_RC_NV_RATE but indicates that access to NV memory is currently not available and the command is not allowed to proceed until it is. This would occur in a system where the NV memory used by the TPM is not exclusive to the TPM and is a shared system resource.
TPM_RC_OBJECT_HANDLES	This response code indicates that the TPM has exhausted its handle space and no new objects can be loaded unless the TPM is rebooted. This does not occur in the reference implementation because of the way that object handles are allocated. However, other implementations are allowed to assign each object a unique handle each time the object is loaded. A TPM using this implementation would be able to load $2^{24}$ objects before the object space is exhausted.
TPM_RC_OBJECT_MEMORY	This response code can be returned by any command that causes the TPM to need an object 'slot'. The most common case where this might be returned is when an object is loaded (TPM2_Load, TPM2_CreatePrimary(), or TPM2_ContextLoad()). However, the TPM implementation is allowed to use object slots for other reasons. In the reference implementation, the TPM copies a referenced persistent object into RAM for the duration of the command. If all the slots are previously occupied, the TPM may return this value. A TPM is allowed to use object slots for other purposes and return this value. The remedy when this response is returned is for the TPM resource manager to flush a transient object.
TPM_RC_REFERENCE_Hx	<p>This response code indicates that a handle in the handle area of the command is not associated with a loaded object. The value of 'x' is in the range 0 to 6 with a value of 0 indicating the 1<sup>st</sup> handle and 6 representing the 7<sup>th</sup>. Upper values are provided for future use. The TPM resource manager needs to find the correct object and load it. It may then adjust the handle and retry the command.</p> <p>NOTE Usually, this error indicates that the TPM resource manager has a corrupted database.</p>

Response Code	Meaning
TPM_RC_REFERENCE_Sx	<p>This response code indicates that a handle in the session area of the command is not associated with a loaded session. The value of 'x' is in the range 0 to 6 with a value of 0 indicating the 1<sup>st</sup> session handle and 6 representing the 7<sup>th</sup>. Upper values are provided for future use. The TPM resource manager needs to find the correct session and load it. It may then retry the command.</p> <p>NOTE Usually, this error indicates that the TPM resource manager has a corrupted database.</p>
TPM_RC_RETRY	the TPM was not able to start the command
TPM_RC_SESSION_HANDLES	<p>This response code indicates that the TPM does not have a handle to assign to a new session. This response is only returned by TPM2_StartAuthSession(). It is listed here because the command is not in error and the TPM resource manager can remedy the situation by flushing a session (TPM2_FlushContext()).</p>
TPM_RC_SESSION_MEMORY	<p>This response code can be returned by any command that causes the TPM to need a session 'slot'. The most common case where this might be returned is when a session is loaded (TPM2_StartAuthSession() or TPM2_ContextLoad()). However, the TPM implementation is allowed to use object slots for other purposes. The remedy when this response is returned is for the TPM resource manager to flush a transient object.</p>
TPM_RC_SUCCESS	<p>Normal completion for any command. If the responseCode is TPM_RC_SUCCESS, then the rest of the response has the format indicated in the response schematic. Otherwise, the response is a 10 octet value indicating an error.</p>
TPM_RC_TESTING	<p>This response code indicates that the TPM is performing tests and cannot respond to the request at this time. The command may be retried.</p>
TPM_RC_YIELDED	<p>the TPM has suspended operation on the command; forward progress was made, and the command may be retried (see TPM 2.0 Part 1, <i>Multi-tasking</i>).</p> <p>NOTE This cannot occur on the reference implementation.</p>

## 7 Implementation Dependent

The actions code for each command makes assumptions about the behavior of various sub-systems. There are many possible implementations of the subsystems that would achieve equivalent results. The actions code is not written to anticipate all possible implementations of the sub-systems. Therefore, it is the responsibility of the implementer to ensure that the necessary changes are made to the actions code when the sub-system behavior changes.

## 8 Detailed Actions Assumptions

### 8.1 Introduction

The C code in the Detailed Actions for each command is written with a set of assumptions about the processing performed before the action code is called and the processing that will be done after the action code completes.

### 8.2 Pre-processing

Before calling the command actions code, the following actions have occurred.

- Verification that the handles in the handle area reference entities that are resident on the TPM.

**NOTE** If a handle is in the parameter portion of the command, the associated entity does not have to be loaded, but the handle is required to be the correct type.

- If use of a handle requires authorization, the Password, HMAC, or Policy session associated with the handle has been verified.
- If a command parameter was encrypted using parameter encryption, it was decrypted before being unmarshaled.
- If the command uses handles or parameters, the calling stack contains a pointer to a data structure (*in*) that holds the unmarshaled values for the handles and command parameters. If the response has handles or parameters, the calling stack contains a pointer to a data structure (*out*) to hold the handles and response parameters generated by the command.
- All parameters of the *in* structure have been validated and meet the requirements of the parameter type as defined in TPM 2.0 Part 2.
- Space set aside for the *out* structure is sufficient to hold the largest *out* structure that could be produced by the command

### 8.3 Post Processing

When the function implementing the command actions completes,

- response parameters that require parameter encryption will be encrypted after the command actions complete;
- audit and session contexts will be updated if the command response is TPM\_RC\_SUCCESS; and
- the command header and command response parameters will be marshaled to the response buffer.

## 9 Start-up

### 9.1 Introduction

Clause 9 contains the commands used to manage the startup and restart state of a TPM.

### 9.2 `_TPM_Init`

#### 9.2.1 General Description

`_TPM_Init` initializes a TPM.

Initialization actions include testing code required to execute the next expected command. If the TPM is in FUM, the next expected command is `TPM2_FieldUpgradeData()`; otherwise, the next expected command is `TPM2_Startup()`.

NOTE 1 If the TPM performs self-tests after receiving `_TPM_Init()` and the TPM enters Failure mode before receiving `TPM2_Startup()` or `TPM2_FieldUpgradeData()`, then the TPM is permitted to accept `TPM2_GetTestResult()` or `TPM2_GetCapability()`.

The means of signaling `_TPM_Init` shall be defined in the platform-specific specifications that define the physical interface to the TPM. The platform shall send this indication whenever the platform starts its boot process and only when the platform starts its boot process.

There shall be no software method of generating this indication that does not also reset the platform and begin execution of the CRTM.

NOTE 2 In the reference implementation, this signal causes an internal flag (*s\_initialized*) to be CLEAR. While this flag is CLEAR, the TPM will only accept the next expected command described above.

## 9.2.2 Detailed Actions

### 9.2.2.1 /tpm/src/events/\_TPM\_Init.c

```

1  #include "Tpm.h"
2  // TODO_RENAME_INC_FOLDER:platform_interface refers to the TPM_CoreLib platform
   interface
3  #include <platform_interface/prototypes/_TPM_Init_fp.h>
4
5  // This function is used to process a _TPM_Init indication.
6  LIB_EXPORT void _TPM_Init(void)
7  {
8      g_powerWasLost = g_powerWasLost | _plat__WasPowerLost();
9
10     #if SIMULATION && DEBUG
11         // If power was lost and this was a simulation, put canary in RAM used by NV
12         // so that uninitialized memory can be detected more easily
13         if(g_powerWasLost)
14         {
15             memset(&gc, 0xbb, sizeof(gc));
16             memset(&gr, 0xbb, sizeof(gr));
17             memset(&gp, 0xbb, sizeof(gp));
18             memset(&go, 0xbb, sizeof(go));
19         }
20     #endif
21
22     #if ALLOW_FORCE_FAILURE_MODE
23         // Clear the flag that forces failure on self-test
24         g_forceFailureMode = FALSE;
25     #endif
26
27     // Disable the tick processing
28     #if ACT_SUPPORT
29         _plat__ACT_EnableTicks(FALSE);
30     #endif
31
32     // Set initialization state
33     TPMInit();
34
35     // Set g_DRTMHandle as unassigned
36     g_DRTMHandle = TPM_RH_UNASSIGNED;
37
38     // No H-CRTM, yet.
39     g_DrtmPreStartup = FALSE;
40
41     // Initialize the NvEnvironment.
42     g_nvOk = NvPowerOn();
43
44     // Initialize cryptographic functions
45     g_inFailureMode = (g_nvOk == FALSE) || (CryptInit() == FALSE);
46     if(!g_inFailureMode)
47     {
48         // Load the persistent data
49         NvReadPersistent();
50
51         // Load the orderly data (clock and DRBG state).
52         // If this is not done here, things break
53         NvRead(&go, NV_ORDERLY_DATA, sizeof(go));
54
55         // Start clock. Need to do this after NV has been restored.
56         TimePowerOn();
57     }
58     return;
59 }

```

DRAFT

## 9.3 TPM2\_Startup

### 9.3.1 General Description

TPM2\_Startup() is always preceded by \_TPM\_Init, which is the physical indication that TPM initialization is necessary because of a system-wide reset. TPM2\_Startup() is only valid after \_TPM\_Init. Additional TPM2\_Startup() commands are not allowed after it has completed successfully. If a TPM requires TPM2\_Startup() and another command is received, or if the TPM receives TPM2\_Startup() when it is not required, the TPM shall return TPM\_RC\_INITIALIZE.

NOTE 1 See clause 9.2.1 for other command options for a TPM supporting field upgrade mode.

NOTE 2 \_TPM\_Hash\_Start, \_TPM\_Hash\_Data, and \_TPM\_Hash\_End are not commands, and a platform-specific specification may allow these indications between \_TPM\_Init and TPM2\_Startup().

If in Failure mode, the TPM shall accept TPM2\_GetTestResult() and TPM2\_GetCapability() even if TPM2\_Startup() is not completed successfully or processed at all.

A platform-specific specification may restrict the localities at which TPM2\_Startup() may be received.

A Shutdown/Startup sequence determines the way in which the TPM will operate in response to TPM2\_Startup(). The three sequences are:

TPM Reset – This is a Startup(CLEAR) preceded by either Shutdown(CLEAR) or no TPM2\_Shutdown(). On TPM Reset, all variables go back to their default initialization state.

NOTE 3 Only those values that are specified as having a default initialization state are changed by TPM Reset. Persistent values that have no default initialization state are not changed by this command. Values such as seeds have no default initialization state and only change due to specific commands.

TPM Restart – This is a Startup(CLEAR) preceded by Shutdown(STATE). This preserves much of the previous state of the TPM except that PCR and the controls associated with the Platform hierarchy are all returned to their default initialization state;

TPM Resume – This is a Startup(STATE) preceded by Shutdown(STATE). This preserves the previous state of the TPM including the static Root of Trust for Measurement (S-RTM) PCR and the platform controls other than the *phEnable*.

If a TPM receives Startup(STATE) and that was not preceded by Shutdown(STATE), the TPM shall return TPM\_RC\_VALUE.

If, during TPM Restart or TPM Resume, the TPM fails to restore the state saved at the last Shutdown(STATE), the TPM shall enter Failure Mode and return TPM\_RC\_FAILURE.

On any TPM2\_Startup(),

- *phEnable* shall be SET;
- all transient contexts (objects, sessions, and sequences) shall be flushed from TPM memory;

NOTE 4 See Part 1 Time for a description of the TPMS\_TIME\_INFO.time behavior.

- use of *lockoutAuth* shall be enabled if *lockoutRecovery* is zero.

Additional actions are performed based on the Shutdown/Startup sequence.



## On TPM Reset:

- *platformAuth* and *platformPolicy* shall be set to the Empty Buffer,
- change *nullProof* and *nullSeed*,
- For each NV Index with TPMA\_NV\_WRITEDEFINE CLEAR or TPMA\_NV\_WRITTEN CLEAR, TPMA\_NV\_WRITELOCKED shall be CLEAR,
- For each NV Index with TPMA\_NV\_ORDERLY SET, TPMA\_NV\_WRITTEN shall be CLEAR unless the type is TPM\_NT\_COUNTER,
- On a disorderly reset, advance the orderly counters,
- For each NV Index with TPMA\_NV\_CLEAR\_STCLEAR SET, TPMA\_NV\_WRITTEN shall be CLEAR,
- tracking data for saved session contexts shall be set to its initial value,
- the object context sequence number is reset to zero,
- a new context encryption key shall be generated,
- TPMS\_CLOCK\_INFO.*restartCount* shall be reset to zero,
- TPMS\_CLOCK\_INFO.*resetCount* shall be incremented,
- the PCR Update Counter (*pcrUpdateCounter*) shall be clear to zero,

NOTE 5 Because the PCR update counter is permitted to be incremented when a PCR is reset, the PCR resets performed as part of this command can result in the PCR update counter being non-zero at the end of this command.

- *phEnableNV*, *shEnable* and *ehEnable* shall be SET, and
- PCR in all banks are reset to their default initial conditions as determined by the relevant platform-specific specification and the H-CRTM state (for exceptions, see TPM 2.0 Part 1, *H-CRTM before TPM2\_Startup()* and *TPM2\_Startup without H-CRTM*),
- For each ACT the timeout is reset to zero, the *signaled* attribute is set to CLEAR, its *authPolicy* is set to the Empty Buffer, and its hashAlg is set to TPM\_ALG\_NULL.

NOTE 6 PCR can be initialized any time between \_TPM\_Init and the end of TPM2\_Startup(). PCR that are preserved by TPM Resume will need to be restored during TPM2\_Startup().

NOTE 7 See "Initializing PCR" in TPM 2.0 Part 1 for a description of the default initial conditions for a PCR.

## On TPM Restart:

- TPMS\_CLOCK\_INFO.*restartCount* shall be incremented,
- *phEnableNV*, *shEnable* and *ehEnable* shall be SET,
- *platformAuth* and *platformPolicy* shall be set to the Empty Buffer,
- For each NV index with TPMA\_NV\_WRITEDEFINE CLEAR or TPMA\_NV\_WRITTEN CLEAR, TPMA\_NV\_WRITELOCKED shall be CLEAR,
- For each NV index with TPMA\_NV\_CLEAR\_STCLEAR SET, TPMA\_NV\_WRITTEN shall be CLEAR, and
- PCR in all banks are reset to their default initial conditions as determined by the relevant platform-specific specification and the H-CRTM state (for exceptions, see TPM 2.0 Part 1, *H-CRTM before TPM2\_Startup()* and *TPM2\_Startup without H-CRTM*),

NOTE 8 The PCR Update Counter (*pcrUpdateCounter*) is not modified.

- For each ACT the timeout is reset to zero, the *signaled* attribute is set to CLEAR, its *authPolicy* is set to the Empty Buffer and its hashAlg is set to TPM\_ALG\_NULL.

On TPM Resume:

- the H-CRTM startup method is the same for this TPM2\_Startup() as for the previous TPM2\_Startup(); (TPM\_RC\_LOCALITY)
- TPMS\_CLOCK\_INFO.*restartCount* shall be incremented; and
- PCR that are specified in a platform-specific specification to be preserved on TPM Resume are restored to their saved state and other PCR are set to their initial value as determined by a platform-specific specification. For constraints, see TPM 2.0 Part 1, *H-CRTM before TPM2\_Startup() and TPM2\_Startup without H-CRTM*.
- The ACT timeout, the ACT *signaled* attribute and the ACT specific *authPolicy* values are preserved.

Other TPM state may change as required to meet the needs of the implementation.

If the *startupType* is TPM\_SU\_STATE and the TPM requires TPM\_SU\_CLEAR, then the TPM shall return TPM\_RC\_VALUE.

NOTE 9           The TPM will require TPM\_SU\_CLEAR when no shutdown was performed or after Shutdown(CLEAR).

NOTE 10          If *startupType* is neither TPM\_SU\_STATE nor TPM\_SU\_CLEAR, then the unmarshaling code returns TPM\_RC\_VALUE.

### 9.3.2 Command and Response

**Table 5 — TPM2\_Startup Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_Startup {NV}
TPM_SU	startupType	TPM_SU_CLEAR or TPM_SU_STATE

**Table 6 — TPM2\_Startup Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 9.3.3 Detailed Actions

#### 9.3.3.1 /tpm/src/command/Startup/Startup.c

```

1  #include "Tpm.h"
2  #include "Startup_fp.h"
3
4  #if CC_Startup // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Initialize TPM because a system-wide reset
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_LOCALITY           a Startup(STATE) does not have the same H-CRTM
11 //                               state as the previous Startup() or the locality
12 //                               of the startup is not 0 or 3
13 //     TPM_RC_NV_UNINITIALIZED   the saved state cannot be recovered and a
14 //                               Startup(CLEAR) is required.
15 //     TPM_RC_VALUE              'startup' type is not compatible with previous
16 //                               shutdown sequence
17
18 TPM_RC
19 TPM2_Startup(Startup_In* in // IN: input parameter list
20 )
21 {
22     STARTUP_TYPE startup;
23     BYTE          locality = _plat__LocalityGet();
24     BOOL          OK       = TRUE;
25     //
26     // The command needs NV update.
27     RETURN_IF_NV_IS_NOT_AVAILABLE;
28
29     // Get the flags for the current startup locality and the H-CRTM.
30     // Rather than generalizing the locality setting, this code takes advantage
31     // of the fact that the PC Client specification only allows Startup()
32     // from locality 0 and 3. To generalize this probably would require a
33     // redo of the NV space and since this is a feature that is hardly ever used
34     // outside of the PC Client, this code just support the PC Client needs.
35
36     // Input Validation
37     // Check that the locality is a supported value
38     if(locality != 0 && locality != 3)
39         return TPM_RC_LOCALITY;
40     // If there was a H-CRTM, then treat the locality as being 3
41     // regardless of what the Startup() was. This is done to preserve the
42     // H-CRTM PCR so that they don't get overwritten with the normal
43     // PCR startup initialization. This basically means that g_StartupLocality3
44     // and g_DrtmPreStartup can't both be SET at the same time.
45     if(g_DrtmPreStartup)
46         locality = 0;
47     g_StartupLocality3 = (locality == 3);
48
49 # if USE_DA_USED
50     // If there was no orderly shutdown, then there might have been a write to
51     // failedTries that didn't get recorded but only if g_daUsed was SET in the
52     // shutdown state
53     g_daUsed = (gp.orderlyState == SU_DA_USED_VALUE);
54     if(g_daUsed)
55         gp.orderlyState = SU_NONE_VALUE;
56 # endif
57
58     g_prevOrderlyState = gp.orderlyState;
59

```

```

60 // If there was a proper shutdown, then the startup modifiers are in the
61 // orderlyState. Turn them off in the copy.
62 if(IS_ORDERLY(g_prevOrderlyState))
63     g_prevOrderlyState &= ~(PRE_STARTUP_FLAG | STARTUP_LOCALITY_3);
64 // If this is a Resume,
65 if(in->startupType == TPM_SU_STATE)
66 {
67     // then there must have been a prior TPM2_ShutdownState(STATE)
68     if(g_prevOrderlyState != TPM_SU_STATE)
69         return TPM_RCS_VALUE + RC_Startup_startupType;
70     // and the part of NV used for state save must have been recovered
71     // correctly.
72     // NOTE: if this fails, then the caller will need to do Startup(CLEAR). The
73     // code for Startup(Clear) cannot fail if the NV can't be read correctly
74     // because that would prevent the TPM from ever getting unstuck.
75     if(g_nvOk == FALSE)
76         return TPM_RC_NV_UNINITIALIZED;
77     // For Resume, the H-CRTM has to be the same as the previous boot
78     if(g_DrtmPreStartup != ((gp.orderlyState & PRE_STARTUP_FLAG) != 0))
79         return TPM_RCS_VALUE + RC_Startup_startupType;
80     if(g_StartupLocality3 != ((gp.orderlyState & STARTUP_LOCALITY_3) != 0))
81         return TPM_RC_LOCALITY;
82 }
83 // Clean up the gp state
84 gp.orderlyState = g_prevOrderlyState;
85
86 // Internal Date Update
87 if((gp.orderlyState == TPM_SU_STATE) && (g_nvOk == TRUE))
88 {
89     // Always read the data that is only cleared on a Reset because this is not
90     // a reset
91     NvRead(&gr, NV_STATE_RESET_DATA, sizeof(gr));
92     if(in->startupType == TPM_SU_STATE)
93     {
94         // If this is a startup STATE (a Resume) need to read the data
95         // that is cleared on a startup CLEAR because this is not a Reset
96         // or Restart.
97         NvRead(&gc, NV_STATE_CLEAR_DATA, sizeof(gc));
98         startup = SU_RESUME;
99     }
100     else
101         startup = SU_RESTART;
102 }
103 else
104     // Will do a TPM reset if Shutdown(CLEAR) and Startup(CLEAR) or no shutdown
105     // or there was a failure reading the NV data.
106     startup = SU_RESET;
107 // Startup for cryptographic library. Don't do this until after the orderly
108 // state has been read in from NV.
109 OK = OK && CryptStartup(startup);
110
111 // When the cryptographic library has been started, indicate that a TPM2_Startup
112 // command has been received.
113 OK = OK && TPMRegisterStartup();
114
115 # if VENDOR_PERMANENT_AUTH_ENABLED == YES
116 // Read the platform unique value that is used as VENDOR_PERMANENT_AUTH_HANDLE
117 // authorization value
118 g_platformUniqueAuth.t.size = (UINT16) plat_GetUniqueAuth(
119     1, sizeof(g_platformUniqueAuth.t.buffer), g_platformUniqueAuth.t.buffer);
120 # endif
121
122 // Start up subsystems
123 // Start set the safe flag
124 OK = OK && TimeStartup(startup);
125

```

```

126     // Start dictionary attack subsystem
127     OK = OK && DASTartup(startup);
128
129     // Enable hierarchies
130     OK = OK && HierarchyStartup(startup);
131
132     // Restore/Initialize PCR
133     OK = OK && PCRStartup(startup, locality);
134
135     // Restore/Initialize command audit information
136     OK = OK && CommandAuditStartup(startup);
137
138     // Restore the ACT
139 # if ACT_SUPPORT
140     OK = OK && ActStartup(startup);
141 # endif
142
143     // The following code was moved from Time.c where it made no sense
144     if(OK)
145     {
146         switch(startup)
147         {
148             case SU_RESUME:
149                 // Resume sequence
150                 gr.restartCount++;
151                 break;
152             case SU_RESTART:
153                 // Hibernate sequence
154                 gr.clearCount++;
155                 gr.restartCount++;
156                 break;
157             case SU_RESET:
158             default:
159                 // Reset object context ID to 0
160                 gr.objectContextID = 0;
161                 // Reset clearCount to 0
162                 gr.clearCount = 0;
163
164                 // Reset sequence
165                 // Increase resetCount
166                 gp.resetCount++;
167
168                 // Write resetCount to NV
169                 NV_SYNC_PERSISTENT(resetCount);
170
171                 gp.totalResetCount++;
172                 // We do not expect the total reset counter overflow during the life
173                 // time of TPM. if it ever happens, TPM will be put to failure mode
174                 // and there is no way to recover it.
175                 // The reason that there is no recovery is that we don't increment
176                 // the NV totalResetCount when incrementing would make it 0. When the
177                 // TPM starts up again, the old value of totalResetCount will be read
178                 // and we will get right back to here with the increment failing.
179                 if(gp.totalResetCount == 0)
180                     FAIL(FATAL_ERROR_INTERNAL);
181
182                 // Write total reset counter to NV
183                 NV_SYNC_PERSISTENT(totalResetCount);
184
185                 // Reset restartCount
186                 gr.restartCount = 0;
187
188                 break;
189         }
190     }
191     // Initialize session table

```

```
192     OK = OK && SessionStartup(startup);
193
194     // Initialize object table
195     OK = OK && ObjectStartup();
196
197     // Initialize index/evict data. This function clears read/write locks
198     // in NV index
199     OK = OK && NvEntityStartup(startup);
200
201     // Initialize the orderly shut down flag for this cycle to SU_NONE_VALUE.
202     gp.orderlyState = SU_NONE_VALUE;
203
204     OK = OK && NV_SYNC_PERSISTENT(orderlyState);
205
206     // This can be reset after the first completion of a TPM2_Startup() after
207     // a power loss. It can probably be reset earlier but this is an OK place.
208     if(OK)
209         g_powerWasLost = FALSE;
210
211     return (OK) ? TPM_RC_SUCCESS : TPM_RC_FAILURE;
212 }
213
214 #endif // CC_Startup
215
```

## 9.4 TPM2\_Shutdown

### 9.4.1 General Description

This command is used to prepare the TPM for a power cycle. The *shutdownType* parameter indicates how the subsequent TPM2\_Startup() will be processed.

For a *shutdownType* of any type, the volatile portion of Clock is saved to NV memory and the orderly shutdown indication is SET. NV Indexes with the TPMA\_NV\_ORDERLY attribute will be updated.

For a *shutdownType* of TPM\_SU\_STATE, the following additional items are saved:

- tracking information for saved session contexts;
- the session context counter;
- PCR that are designated as being preserved by TPM2\_Shutdown(TPM\_SU\_STATE);
- the PCR Update Counter (pcrUpdateCounter);
- flags associated with supporting the TPMA\_NV\_WRITESTCLEAR and TPMA\_NV\_READSTCLEAR attributes;
- the counter value and authPolicy for each ACT; and

NOTE If a counter has not been updated since the last TPM2\_Startup(), then the saved value will be one half of the current counter value.

- the command audit digest and count.

The following items shall not be saved and will not be in TPM memory after the next TPM2\_Startup:

- TPM-memory-resident session contexts;
- TPM-memory-resident transient objects; or
- TPM-memory-resident hash contexts created by TPM2\_HashSequenceStart().

Some values may be either derived from other values or saved to NV memory.

This command saves TPM state but does not change the state other than the internal indication that the context has been saved. The TPM shall continue to accept commands. If a subsequent command changes TPM state saved by this command, then the effect of this command is nullified. The TPM MAY nullify this command for any subsequent command rather than check whether the command changed state saved by this command. If this command is nullified, and if no TPM2\_Shutdown() occurs before the next TPM2\_Startup(), then the next TPM2\_Startup() shall be TPM2\_Startup(CLEAR).



### 9.4.2 Command and Response

**Table 7 — TPM2\_Shutdown Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_Shutdown {NV}
TPM_SU	shutdownType	TPM_SU_CLEAR or TPM_SU_STATE

**Table 8 — TPM2\_Shutdown Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 9.4.3 Detailed Actions

#### 9.4.3.1 /tpm/src/command/Startup/Shutdown.c

```

1  #include "Tpm.h"
2  #include "Shutdown_fp.h"
3
4  #if CC_Shutdown // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Shut down TPM for power off
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_TYPE           if PCR bank has been re-configured, a
11 //                           Shutdown(CLEAR) is required
12 TPM_RC
13 TPM2_Shutdown(Shutdown_In* in // IN: input parameter list
14 )
15 {
16     // The command needs NV update. Check if NV is available.
17     // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
18     // this point
19     RETURN_IF_NV_IS_NOT_AVAILABLE;
20
21     // Input Validation
22     // If PCR bank has been reconfigured, a CLEAR state save is required
23     if(g_pcrReConfig && in->shutdownType == TPM_SU_STATE)
24         return TPM_RC_TYPE + RC_Shutdown_shutdownType;
25     // Internal Data Update
26     gp.orderlyState = in->shutdownType;
27
28     # if USE_DA_USED
29     // CLEAR g_daUsed so that any future DA-protected access will cause the
30     // shutdown to become non-orderly. It is not sufficient to invalidate the
31     // shutdown state after a DA failure because an attacker can inhibit access
32     // to NV and use the fact that an update of failedTries was attempted as an
33     // indication of an authorization failure. By making sure that the orderly state
34     // is CLEAR before any DA attempt, this prevents the possibility of this 'attack.'
35     g_daUsed = FALSE;
36     # endif
37
38     // PCR private data state save
39     PCRStateSave(in->shutdownType);
40
41     # if ACT_SUPPORT
42     // Save the ACT state
43     ActShutdown(in->shutdownType);
44     # endif
45
46     // Save RAM backed NV index data
47     NvUpdateIndexOrderlyData();
48
49     # if ACCUMULATE_SELF_HEAL_TIMER
50     // Save the current time value
51     go.time = g_time;
52     # endif
53
54     // Save all orderly data
55     NvWrite(NV_ORDERLY_DATA, sizeof(ORDERLY_DATA), &go);
56
57     if(in->shutdownType == TPM_SU_STATE)
58     {
59         // Save STATE_RESET and STATE_CLEAR data

```

```
60     NvWrite(NV_STATE_CLEAR_DATA, sizeof(STATE_CLEAR_DATA), &gc);
61     NvWrite(NV_STATE_RESET_DATA, sizeof(STATE_RESET_DATA), &gr);
62
63     // Save the startup flags for resume
64     if(g_DrtmPreStartup)
65         gp.orderlyState = TPM_SU_STATE | PRE_STARTUP_FLAG;
66     else if(g_StartupLocality3)
67         gp.orderlyState = TPM_SU_STATE | STARTUP_LOCALITY_3;
68 }
69 // only two shutdown options.
70 else if(in->shutdownType != TPM_SU_CLEAR)
71     return TPM_RCS_VALUE + RC_Shutdown_shutdownType;
72
73 NV_SYNC_PERSISTENT(orderlyState);
74
75 return TPM_RC_SUCCESS;
76 }
77 #endif // CC_Shutdown
78
```

## 10 Testing

### 10.1 Introduction

Compliance to standards for hardware security modules may require that the TPM test its functions before the results that depend on those functions may be returned. The TPM may perform operations using testable functions before those functions have been tested as long as the TPM returns no value that depends on the correctness of the testable function.

**EXAMPLE**      TPM2\_PCR\_Extend() can be executed before the hash algorithms have been tested. However, until the hash algorithms have been tested, the contents of a PCR cannot be used in any command if that command may result in a value being returned to the TPM user. This means that TPM2\_PCR\_Read() or TPM2\_PolicyPCR() could not complete until the hashes have been checked but other TPM2\_PCR\_Extend() commands may be executed even though the operation uses previous PCR values.

If a command is received that requires return of a value that depends on untested functions, the TPM shall test the required functions before completing the command.

Once the TPM has received TPM2\_SelfTest() and before completion of all tests, the TPM is required to return TPM\_RC\_TESTING for any command that uses a function that requires a test.

If a self-test fails at any time, the TPM will enter Failure mode. While in Failure mode, the TPM will return TPM\_RC\_FAILURE for any command other than TPM2\_GetTestResult() and TPM2\_GetCapability(). The TPM will remain in Failure mode until the next TPM\_Init.

## 10.2 TPM2\_SelfTest

### 10.2.1 General Description

This command causes the TPM to perform a test of its capabilities. If the *fullTest* is YES, the TPM will test all functions. If *fullTest* = NO, the TPM will only test those functions that have not previously been tested.

If any tests are required, the TPM shall either

- return TPM\_RC\_TESTING and begin self-test of the required functions, or

NOTE 1 If *fullTest* is NO, and all functions have been tested, the TPM shall return TPM\_RC\_SUCCESS.

- perform the tests and return the test result when complete. On failure, the TPM shall return TPM\_RC\_FAILURE.

If the TPM uses option a), the TPM shall return TPM\_RC\_TESTING for any command that requires use of a testable function, even if the functions required for completion of the command have already been tested.

NOTE 2 This command can cause the TPM to continue processing after it has returned the response. So that software can be notified of the completion of the testing, the interface can include controls that would allow the TPM to generate an interrupt when the “background” processing is complete. This would be in addition to the interrupt that may be available for signaling normal command completion. It is not necessary that there be two interrupts, but the interface should provide a way to indicate the nature of the interrupt (normal command or deferred command).

NOTE 3 The PC Client platform specific TPM, in response to *fullTest* YES, will not return TPM\_RC\_TESTING. It will block until all tests are complete.

## 10.2.2 Command and Response

Table 9 — TPM2\_SelfTest Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_SelfTest {NV}
TPMI_YES_NO	fullTest	YES if full test to be performed NO if only test of untested functions required

Table 10 — TPM2\_SelfTest Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 10.2.3 Detailed Actions

#### 10.2.3.1 /tpm/src/command/Testing/SelfTest.c

```
1  #include "Tpm.h"
2  #include "SelfTest_fp.h"
3
4  #if CC_SelfTest  // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // perform a test of TPM capabilities
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_CANCELED      the command was canceled (some incremental
11 //                          process may have been made)
12 //     TPM_RC_TESTING       self test in process
13 TPM_RC
14 TPM2_SelfTest(SelfTest_In* in  // IN: input parameter list
15 )
16 {
17     // Command Output
18
19     // Call self test function in crypt module
20     return CryptSelfTest(in->fullTest);
21 }
22
23 #endif  // CC_SelfTest
24
```

## 10.3 TPM2\_IncrementalSelfTest

### 10.3.1 General Description

This command causes the TPM to perform a test of the selected algorithms.

**NOTE 1** The *toTest* list indicates the algorithms that software would like the TPM to test in anticipation of future use. This allows tests to be done so that a future command will not be delayed due to testing.

The implementation may treat algorithms on the *toTest* list as either 'test each completely' or 'test this combination.'

**EXAMPLE 1** If the *toTest* list includes AES and CTR mode, it can be interpreted as a request to test only AES in CTR mode. Alternatively, it may be interpreted as a request to test AES in all modes and CTR mode for all symmetric algorithms.

If *toTest* contains an algorithm that has already been tested, it will not be tested again.

**NOTE 2** The only way to force retesting of an algorithm is with `TPM2_SelfTest(fullTest = YES)`.

The TPM will return in *toDoList* a list of algorithms that are yet to be tested. This list is not the list of algorithms that are scheduled to be tested but the algorithms/functions that have not been tested. Only the algorithms on the *toTest* list are scheduled to be tested by this command.

**NOTE 3** An algorithm remains on the *toDoList* while any part of it remains untested.

**EXAMPLE 2** A symmetric algorithm remains untested until it is tested with all its modes.

Making *toTest* an empty list allows the determination of the algorithms that remain untested without triggering any testing.

If *toTest* is not an empty list, the TPM shall return `TPM_RC_SUCCESS` for this command and then return `TPM_RC_TESTING` for any subsequent command (including `TPM2_IncrementalSelfTest()`) until the requested testing is complete.

**NOTE 4** If *toDoList* is empty, then no additional tests are required and `TPM_RC_TESTING` will not be returned in subsequent commands and no additional delay will occur in a command due to testing.

**NOTE 5** If none of the algorithms listed in *toTest* is in the *toDoList*, then no tests will be performed.

**NOTE 6** The TPM cannot return `TPM_RC_TESTING` for the first call to this command even when testing is not complete because response parameters can only be returned with the `TPM_RC_SUCCESS` return code.

If all the parameters in this command are valid, the TPM returns `TPM_RC_SUCCESS` and the *toDoList* (which may be empty).

**NOTE 7** An implementation is permitted to perform all requested tests before returning `TPM_RC_SUCCESS`, or it is permitted to return `TPM_RC_SUCCESS` for this command and then return `TPM_RC_TESTING` for all subsequent commands (including `TPM2_IncrementatSelfTest()`) until the requested tests are complete.



## 10.3.2 Command and Response

Table 11 — TPM2\_IncrementalSelfTest Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_IncrementalSelfTest {NV}
TPML_ALG	toTest	list of algorithms that should be tested

Table 12 — TPM2\_IncrementalSelfTest Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPML_ALG	toDoList	list of algorithms that need testing

### 10.3.3 Detailed Actions

#### 10.3.3.1 /tpm/src/command/Testing/IncrementalSelfTest.c

```

1  #include "Tpm.h"
2  #include "IncrementalSelfTest_fp.h"
3
4  #if CC_IncrementalSelfTest // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // perform a test of selected algorithms
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_CANCELED      the command was canceled (some tests may have
11 //                          completed)
12 //     TPM_RC_VALUE        an algorithm in the toTest list is not implemented
13 TPM_RC
14 TPM2_IncrementalSelfTest(IncrementalSelfTest_In* in, // IN: input parameter list
15                          IncrementalSelfTest_Out* out // OUT: output parameter list
16 )
17 {
18     TPM_RC result;
19     // Command Output
20
21     // Call incremental self test function in crypt module. If this function
22     // returns TPM_RC_VALUE, it means that an algorithm on the 'toTest' list is
23     // not implemented.
24     result = CryptIncrementalSelfTest(&in->toTest, &out->toDoList);
25     if(result == TPM_RC_VALUE)
26         return TPM_RCS_VALUE + RC_IncrementalSelfTest_toTest;
27     return result;
28 }
29
30 #endif // CC_IncrementalSelfTest
31

```

## 10.4 TPM2\_GetTestResult

### 10.4.1 General Description

This command returns manufacturer-specific information regarding the results of a self-test and an indication of the test status.

If TPM2\_SelfTest() has not been executed and a testable function has not been tested, *testResult* will be TPM\_RC\_NEEDS\_TEST. If TPM2\_SelfTest() has been received and the tests are not complete, *testResult* will be TPM\_RC\_TESTING.

If testing of all functions is complete without functional failures, *testResult* will be TPM\_RC\_SUCCESS. If any test failed, *testResult* will be TPM\_RC\_FAILURE.

This command will operate when the TPM is in Failure mode so that software can determine the test status of the TPM and so that diagnostic information can be obtained for use in failure analysis. If the TPM is in Failure mode, then *tag* is required to be TPM\_ST\_NO\_SESSIONS or the TPM shall return TPM\_RC\_FAILURE.

NOTE           The reference implementation can return a 32-bit value *s\_failFunction*. This simply gives a unique value to each of the possible places where a failure could occur. It is not intended to provide a pointer to the function. *\_\_func\_\_* is a pointer to a character string but the failure mode code can only return 32-bit values. It is expected that the manufacturer can disambiguate this value if a customer's TPM goes into failure mode.

## 10.4.2 Command and Response

Table 13 — TPM2\_GetTestResult Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_GetTestResult

Table 14 — TPM2\_GetTestResult Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_MAX_BUFFER	outData	test result data contains manufacturer-specific information
TPM_RC	testResult	

### 10.4.3 Detailed Actions

#### 10.4.3.1 /tpm/src/command/Testing/GetTestResult.c

```
1  #include "Tpm.h"
2  #include "GetTestResult_fp.h"
3
4  #if CC_GetTestResult  // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // returns manufacturer-specific information regarding the results of a self-
8  // test and an indication of the test status.
9  */
10
11 // In the reference implementation, this function is only reachable if the TPM is
12 // not in failure mode meaning that all tests that have been run have completed
13 // successfully. There is not test data and the test result is TPM_RC_SUCCESS.
14 TPM_RC
15 TPM2_GetTestResult(GetTestResult_Out* out // OUT: output parameter list
16 )
17 {
18     // Command Output
19
20     // Call incremental self test function in crypt module
21     out->testResult = CryptGetTestResult(&out->outData);
22
23     return TPM_RC_SUCCESS;
24 }
25
26 #endif // CC_GetTestResult
27
```

## 11 Session Commands

### 11.1 TPM2\_StartAuthSession

#### 11.1.1 General Description

This command is used to start an authorization session using alternative methods of establishing the session key (*sessionKey*). The session key is then used to derive values used for authorization and for encrypting parameters.

This command allows injection of a secret into the TPM using either asymmetric or symmetric encryption. The type of *tpmKey* determines how the value in *encryptedSalt* is encrypted. The decrypted secret value is used to compute the *sessionKey*.

NOTE 1 If *tpmKey* is TPM\_RH\_NULL, then *encryptedSalt* is required to be an Empty Buffer.

The label value of “SECRET” (see TPM 2.0 Part 1, *Terms and Definitions*) is used in the recovery of the secret value.

The TPM generates the *sessionKey* from the recovered secret value.

No authorization is required for *tpmKey* or *bind*.

NOTE 2 The justification for using *tpmKey* without providing authorization is that the result of using the key is not available to the caller, except indirectly through the *sessionKey*. This does not represent a point of attack on the authorization value of the key.

NOTE 3 If a *bind* entity is subject to DA protection, use of the session is subject to DA regardless of the DA status of the entity being authorized. If the caller attempts to use the session without knowing the *sessionKey* value, the authorization failure will trigger the dictionary attack logic.

The entity referenced with the *bind* parameter contributes an authorization value to the *sessionKey* generation process.

If both *tpmKey* and *bind* are TPM\_RH\_NULL, then *sessionKey* is set to the Empty Buffer. If *tpmKey* is not TPM\_RH\_NULL, then *encryptedSalt* is used in the computation of *sessionKey*. If *bind* is not TPM\_RH\_NULL, the *authValue* of *bind* is used in the *sessionKey* computation and *policySession*→*bindEntity* (*policySession*→*cpHash*) is set.

If *symmetric* specifies a block cipher, then TPM\_ALG\_CFB is the only allowed value for the *mode* field in the *symmetric* parameter (TPM\_RC\_MODE).

This command starts an authorization session and returns the session handle along with an initial *nonceTPM* in the response.

If the TPM does not have a free slot for an authorization session, it shall return TPM\_RC\_SESSION\_HANDLES.

If the TPM implements a “gap” scheme for assigning *contextID* values, then the TPM shall return TPM\_RC\_CONTEXT\_GAP if creating the session would prevent recycling of old saved contexts (see TPM 2.0 Part 1, *Context Management*).

If *tpmKey* is not TPM\_ALG\_NULL, then *encryptedSalt* shall be a TPM2B\_ENCRYPTED\_SECRET of the proper type for *tpmKey*. The TPM shall return TPM\_RC\_HANDLE if the sensitive portion of *tpmKey* is not loaded. The TPM shall return TPM\_RC\_VALUE if:

a) *tpmKey* references an RSA key and

- 1) the size of *encryptedSalt* is not the same as the size of the public modulus of *tpmKey*,
- 2) *encryptedSalt* has a value that is greater than the public modulus of *tpmKey*,
- 3) *encryptedSalt* is not a properly encoded OAEP value, or
- 4) the decrypted *salt* value is larger than the size of the digest produced by the *nameAlg* of *tpmKey*;  
or

NOTE 4 The *asymScheme* of the key object is ignored in this case and TPM\_ALG\_OAEP is used, even if *asymScheme* is set to TPM\_ALG\_NULL.

b) *tpmKey* references an ECC key and *encryptedSalt*

- 1) does not contain a TPMS\_ECC\_POINT or
- 2) is not a point on the curve of *tpmKey*;

NOTE 5 When ECC is used, the point multiply process produces a value (Z) that is used in a KDF to produce the final secret value. The size of the secret value is an input parameter to the KDF, and the result will be set to be the size of the digest produced by the *nameAlg* of *tpmKey*.

The TPM shall return TPM\_RC\_KEY if *tpmKey* does not reference an asymmetric key. The TPM shall return TPM\_RC\_VALUE if the scheme of the key is not TPM\_ALG\_OAEP or TPM\_ALG\_NULL. The TPM shall return TPM\_RC\_ATTRIBUTES if *tpmKey* does not have the *decrypt* attribute SET.

NOTE 6 While TPM\_RC\_VALUE is preferred, TPM\_RC\_SCHEME is acceptable.

NOTE 7 *tpmKey* is typically a *restricted* key so an attacker cannot use *tpmKey* to decrypt the salt. Otherwise, the use of *tpmKey* to decrypt has to be under control of the caller.

If *bind* references a transient object, then the TPM shall return TPM\_RC\_HANDLE if the sensitive portion of the object is not loaded.

For all session types, this command will cause initialization of the *sessionKey* and may establish binding between the session and an entity (the *bind* entity). If *sessionType* is TPM\_SE\_POLICY or TPM\_SE\_TRIAL, the additional session initialization is:

- set *policySession*→*policyDigest* to a Zero Digest (the digest size for *policySession*→*policyDigest* is the size of the digest produced by *authHash*);
- authorization may be given at any locality;
- authorization may apply to any command code;
- authorization may apply to any command parameters or handles;
- the authorization has no time limit;
- an *authValue* is not needed when the authorization is used;
- the session is not bound;
- the session is not an audit session; and
- the time at which the policy session was created is recorded.

Additionally, if *sessionType* is TPM\_SE\_TRIAL, the session will not be usable for authorization but can be used to compute the *authPolicy* for an object.

NOTE 8 Although this command changes the session allocation information in the TPM, it does not invalidate a saved context. That is, TPM2\_Shutdown() is not required after this command in order to re-establish the orderly state of the TPM. This is because the created context will occupy an available slot in the TPM and sessions in the TPM do not survive any TPM2\_Startup(). However, if a created session is context saved, the orderly state does change.

The TPM shall return `TPM_RC_SIZE` if *nonceCaller* is less than 16 octets or is greater than the size of the digest produced by *authHash*.

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## 11.1.2 Command and Response

Table 15 — TPM2\_StartAuthSession Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit, decrypt, or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_StartAuthSession
TPMI_DH_OBJECT+	tpmKey	handle of a loaded decrypt key used to encrypt <i>salt</i> may be TPM_RH_NULL Auth Index: None
TPMI_DH_ENTITY+	bind	entity providing the <i>authValue</i> may be TPM_RH_NULL Auth Index: None
TPM2B_NONCE	nonceCaller	initial <i>nonceCaller</i> , sets nonceTPM size for the session shall be at least 16 octets
TPM2B_ENCRYPTED_SECRET	encryptedSalt	value encrypted according to the type of <i>tpmKey</i> If <i>tpmKey</i> is TPM_RH_NULL, this shall be the Empty Buffer.
TPM_SE	sessionType	indicates the type of the session; simple HMAC or policy (including a trial policy)
TPMT_SYM_DEF+	symmetric	the algorithm and key size for parameter encryption may select TPM_ALG_NULL
TPMI_ALG_HASH	authHash	hash algorithm to use for the session Shall be a hash algorithm supported by the TPM and not TPM_ALG_NULL

Table 16 — TPM2\_StartAuthSession Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPMI_SH_AUTH_SESSION	sessionHandle	handle for the newly created session
TPM2B_NONCE	nonceTPM	the initial nonce from the TPM, used in the computation of the <i>sessionKey</i>

### 11.1.3 Detailed Actions

#### 11.1.3.1 /tpm/src/command/Session/StartAuthSession.c

```

1  #include "Tpm.h"
2  #include "StartAuthSession_fp.h"
3
4  #if CC_StartAuthSession // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Start an authorization session
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_ATTRIBUTES      'tpmKey' does not reference a decrypt key
11 //     TPM_RC_CONTEXT_GAP     the difference between the most recently created
12 //                             active context and the oldest active context is at
13 //                             the limits of the TPM
14 //     TPM_RC_HANDLE          input decrypt key handle only has public portion
15 //                             loaded
16 //     TPM_RC_MODE             'symmetric' specifies a block cipher but the mode
17 //                             is not TPM_ALG_CFB.
18 //     TPM_RC_SESSION_HANDLES no session handle is available
19 //     TPM_RC_SESSION_MEMORY   no more slots for loading a session
20 //     TPM_RC_SIZE             nonce less than 16 octets or greater than the size
21 //                             of the digest produced by 'authHash'
22 //     TPM_RC_VALUE            secret size does not match decrypt key type; or the
23 //                             recovered secret is larger than the digest size of
24 //                             the nameAlg of 'tpmKey'; or, for an RSA decrypt key,
25 //                             if 'encryptedSecret' is greater than the
26 //                             public modulus of 'tpmKey'.
27 TPM_RC
28 TPM2_StartAuthSession(StartAuthSession_In* in, // IN: input parameter buffer
29                      StartAuthSession_Out* out // OUT: output parameter buffer
30 )
31 {
32     TPM_RC result = TPM_RC_SUCCESS;
33     OBJECT* tpmKey; // TPM key for decrypt salt
34     TPM2B_DATA salt;
35
36     // Input Validation
37
38     // Check input nonce size. IT should be at least 16 bytes but not larger
39     // than the digest size of session hash.
40     if(in->nonceCaller.t.size < 16
41        || in->nonceCaller.t.size > CryptHashGetDigestSize(in->authHash))
42         return TPM_RCS_SIZE + RC_StartAuthSession_nonceCaller;
43
44     // If an decrypt key is passed in, check its validation
45     if(in->tpmKey != TPM_RH_NULL)
46     {
47         // Get pointer to loaded decrypt key
48         tpmKey = HandleToObject(in->tpmKey);
49
50         // key must be asymmetric with its sensitive area loaded. Since this
51         // command does not require authorization, the presence of the sensitive
52         // area was not already checked as it is with most other commands that
53         // use the sensitive area so check it here
54         if(!CryptIsAsymAlgorithm(tpmKey->publicArea.type))
55             return TPM_RCS_KEY + RC_StartAuthSession_tpmKey;
56         // secret size cannot be 0
57         if(in->encryptedSalt.t.size == 0)
58             return TPM_RCS_VALUE + RC_StartAuthSession_encryptedSalt;
59         // Decrypting salt requires accessing the private portion of a key.

```

```

60     // Therefore, tmpKey can not be a key with only public portion loaded
61     if(tpmKey->attributes.publicOnly)
62         return TPM_RCS_HANDLE + RC_StartAuthSession_tpmKey;
63     // HMAC session input handle check.
64     // tpmKey should be a decryption key
65     if(!IS_ATTRIBUTE(tpmKey->publicArea.objectAttributes, TPMA_OBJECT, decrypt))
66         return TPM_RCS_ATTRIBUTES + RC_StartAuthSession_tpmKey;
67     // Secret Decryption. A TPM_RC_VALUE, TPM_RC_KEY or Unmarshal errors
68     // may be returned at this point
69     result = CryptSecretDecrypt(
70         tpmKey, &in->nonceCaller, SECRET_KEY, &in->encryptedSalt, &salt);
71     if(result != TPM_RC_SUCCESS)
72         return TPM_RCS_VALUE + RC_StartAuthSession_encryptedSalt;
73 }
74 else
75 {
76     // secret size must be 0
77     if(in->encryptedSalt.t.size != 0)
78         return TPM_RCS_VALUE + RC_StartAuthSession_encryptedSalt;
79     salt.t.size = 0;
80 }
81 switch(HandleGetType(in->bind))
82 {
83     case TPM_HT_TRANSIENT:
84     {
85         OBJECT* object = HandleToObject(in->bind);
86         // If the bind handle references a transient object, make sure that we
87         // can get to the authorization value. Also, make sure that the object
88         // has a proper Name (nameAlg != TPM_ALG_NULL). If it doesn't, then
89         // it might be possible to bind to an object where the authValue is
90         // known. This does not create a real issue in that, if you know the
91         // authorization value, you can actually bind to the object. However,
92         // there is a potential
93         if(object->attributes.publicOnly == SET)
94             return TPM_RCS_HANDLE + RC_StartAuthSession_bind;
95         break;
96     }
97     case TPM_HT_NV_INDEX:
98         // a PIN index can't be a bind object
99         {
100             NV_INDEX* nvIndex = NvGetIndexInfo(in->bind, NULL);
101             if(IsNvPinPassIndex(nvIndex->publicArea.attributes)
102                 || IsNvPinFailIndex(nvIndex->publicArea.attributes))
103                 return TPM_RCS_HANDLE + RC_StartAuthSession_bind;
104             break;
105         }
106     default:
107         break;
108 }
109 // If 'symmetric' is a symmetric block cipher (not TPM_ALG_NULL or TPM_ALG_XOR)
110 // then the mode must be CFB.
111 if(in->symmetric.algorithm != TPM_ALG_NULL
112     && in->symmetric.algorithm != TPM_ALG_XOR
113     && in->symmetric.mode.sym != TPM_ALG_CFB)
114     return TPM_RCS_MODE + RC_StartAuthSession_symmetric;
115
116 // Internal Data Update and command output
117
118 // Create internal session structure. TPM_RC_CONTEXT_GAP, TPM_RC_NO_HANDLES
119 // or TPM_RC_SESSION_MEMORY errors may be returned at this point.
120 //
121 // The detailed actions for creating the session context are not shown here
122 // as the details are implementation dependent
123 // SessionCreate sets the output handle and nonceTPM
124 result = SessionCreate(in->sessionType,
125     in->authHash,

```

```
126         &in->nonceCaller,  
127         &in->symmetric,  
128         in->bind,  
129         &salt,  
130         &out->sessionHandle,  
131         &out->nonceTPM);  
132     return result;  
133 }  
134  
135 #endif // CC_StartAuthSession  
136
```

## 11.2 TPM2\_PolicyRestart

### 11.2.1 General Description

This command allows a policy authorization session to be returned to its initial state. This command is used after the TPM returns TPM\_RC\_PCR\_CHANGED. That response code indicates that a policy will fail because the PCR have changed after TPM2\_PolicyPCR() was executed. Restarting the session allows the authorizations to be replayed because the session restarts with the same *nonce*<sub>TPM</sub>. If the PCR are valid for the policy, the policy may then succeed.

This command does not reset the policy ID or the policy start time.

### 11.2.2 Command and Response

**Table 17 — TPM2\_PolicyRestart Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyRestart
TPMI_SH_POLICY	sessionHandle	the handle for the policy session

**Table 18 — TPM2\_PolicyRestart Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 11.2.3 Detailed Actions

#### 11.2.3.1 /tpm/src/command/Session/PolicyRestart.c

```
1  #include "Tpm.h"
2  #include "PolicyRestart_fp.h"
3
4  #if CC_PolicyRestart // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Restore a policy session to its initial state
8  */
9  TPM_RC
10 TPM2_PolicyRestart(PolicyRestart_In* in // IN: input parameter list
11 )
12 {
13     // Initialize policy session data
14     SessionResetPolicyData(SessionGet(in->sessionHandle));
15
16     return TPM_RC_SUCCESS;
17 }
18
19 #endif // CC_PolicyRestart
20
```

## 12 Object Commands

### 12.1 TPM2\_Create

#### 12.1.1 General Description

This command is used to create an object that can be loaded into a TPM using TPM2\_Load(). If the command completes successfully, the TPM will create the new object and return the object's creation data (*creationData*), its public area (*outPublic*), and its encrypted sensitive area (*outPrivate*). Preservation of the returned data is the responsibility of the caller. The object will need to be loaded (TPM2\_Load()) before it may be used. The only difference between the *inPublic* TPMT\_PUBLIC template and the *outPublic* TPMT\_PUBLIC object is in the *unique* field.

NOTE 1 This command may require temporary use of a transient resource, even though the object does not remain loaded after the command (see TPM 2.0 Part 1, Transient Resources).

TPM2B\_PUBLIC template (*inPublic*) contains all of the fields necessary to define the properties of the new object. The setting for these fields is defined in "Public Area Template" in Part 1 of this specification and in "TPMA\_OBJECT" in Part 2 of this specification. The size of the *unique* field shall not be checked for consistency with the other object parameters.

NOTE 2 For interoperability, it is recommended that the *unique* field not be set to a value that is larger than allowed by object parameters, so that the unmarshaling will not fail. A size of zero is recommended. After unmarshaling, the TPM does not use the input *unique* field. It is, however, used in TPM2\_CreatePrimary() and TPM2\_CreateLoaded.

EXAMPLE 1 It is recommended that a TPM\_ALG\_RSA object with a *keyBits* of 2048 in the object's parameters have a *unique* field that is no larger than 256 bytes.

EXAMPLE 2 It is recommended that a TPM\_ALG\_KEYEDHASH or a TPM\_ALG\_SYMCIPHER object have a *unique* field that is no larger than the digest produced by the object's *nameAlg*.

The *parentHandle* parameter shall reference a loaded decryption key that has both the public and sensitive area loaded.

When defining the object, the caller provides a template structure for the object in a TPM2B\_PUBLIC structure (*inPublic*), an initial value for the object's *authValue* (*inSensitive.userAuth*), and, if the object is a symmetric object, an optional initial data value (*inSensitive.data*). The TPM shall validate the consistency of the attributes of *inPublic* according to the Creation rules in "TPMA\_OBJECT" in TPM 2.0 Part 2.

The *inSensitive* parameter may be encrypted using parameter encryption.

The methods in clause 12.1 are used by both TPM2\_Create() and TPM2\_CreatePrimary(). When a value is indicated as being TPM-generated, the value is filled in by bits from the RNG if the command is TPM2\_Create() and with values from KDFa() if the command is TPM2\_CreatePrimary(). The parameters of each creation value are specified in TPM 2.0 Part 1.

The *sensitiveDataOrigin* attribute of *inPublic* shall be SET if *inSensitive.data* is an Empty Buffer and CLEAR if *inSensitive.data* is not an Empty Buffer or the TPM shall return TPM\_RC\_ATTRIBUTES.

If the Object is a not a *keyedHash* object, and the *sign* and *encrypt* attributes are CLEAR, the TPM shall return TPM\_RC\_ATTRIBUTES.

The TPM will create new data for the sensitive area and compute a TPMT\_PUBLIC.*unique* from the sensitive area based on the object type:



## a) For a symmetric key:

- 1) If *inSensitive.sensitive.data* is the Empty Buffer, a TPM-generated key value is placed in the new object's *TPMT\_SENSITIVE.sensitive.sym*. The size of the key will be determined by *inPublic.publicArea.parameters*.
- 2) If *inSensitive.sensitive.data* is not the Empty Buffer, the TPM will validate that the size of *inSensitive.data* is no larger than the key size indicated in the *inPublic template* (*TPM\_RC\_SIZE*) and copy the *inSensitive.data* to *TPMT\_SENSITIVE.sensitive.sym* of the new object.
- 3) A TPM-generated obfuscation value is placed in *TPMT\_SENSITIVE.sensitive.seedValue*. The size of the obfuscation value is the size of the digest produced by the *nameAlg* in *inPublic*. This value prevents the public *unique* value from leaking information about the *sensitive* area.
- 4) The *TPMT\_PUBLIC.unique.sym* value for the new object is then generated, as shown in equation (1) below, by hashing the key and obfuscation values in the *TPMT\_SENSITIVE* with the *nameAlg* of the object.

$$unique := H_{nameAlg}(sensitive.seedValue.buffer || sensitive.any.buffer) \quad (1)$$

## b) If the Object is an asymmetric key:

- 1) If *inSensitive.sensitive.data* is not the Empty Buffer, then the TPM shall return *TPM\_RC\_VALUE*.
- 2) A TPM-generated private key value is created with the size determined by the parameters of *inPublic.publicArea.parameters*.
- 3) If the key is a Storage Key, a TPM-generated *TPMT\_SENSITIVE.seedValue* value is created; otherwise, *TPMT\_SENSITIVE.seedValue.size* is set to zero.

NOTE 3 An Object that is not a storage key has no child Objects to encrypt, so it does not need a symmetric key.

- 4) The public *unique* value is computed from the private key according to the methods of the key type.
- 5) If the key is an ECC key and the scheme required by the *curveID* is not the same as *scheme* in the public area of the template, then the TPM shall return *TPM\_RC\_SCHEME*.
- 6) If the key is an ECC key and the KDF required by the *curveID* is not the same as *kdf* in the public area of the template, then the TPM shall return *TPM\_RC\_KDF*.

NOTE 4 There is currently no command in which the caller may specify the KDF to be used with an ECC decryption key. Since there is no use for this capability, the reference implementation requires that the *kdf* in the template be set to *TPM\_ALG\_NULL* or *TPM\_RC\_KDF* is returned.

c) If the Object is a *keyedHash* object:

- 1) If *inSensitive.sensitive.data* is an Empty Buffer, and both *sign* and *decrypt* are CLEAR in the attributes of *inPublic*, the TPM shall return *TPM\_RC\_ATTRIBUTES*. This would be a data object with no data.

NOTE 5 Revisions 1.34 and earlier reference code did not check the error case of *sensitiveDataOrigin* SET and an Empty Buffer. Thus, some TPM implementations did not include this error check.

- 2) If *sign* and *decrypt* are both CLEAR or both SET and the *scheme* in the public area of the template is not *TPM\_ALG\_NULL*, the TPM shall return *TPM\_RC\_SCHEME*.

NOTE 6 Revisions 1.38 and earlier did not enforce this error case.

- 3) If *inSensitive.sensitive.data* is not an Empty Buffer, the TPM will copy the *inSensitive.sensitive.data* to TPMT\_SENSITIVE.*sensitive.bits* of the new object.

NOTE 7            The size of *inSensitive.sensitive.data* is limited to be no larger than MAX\_SYM\_DATA.

- 4) If *inSensitive.sensitive.data* is an Empty Buffer, a TPM-generated key value that is the size of the digest produced by the *nameAlg* in *inPublic* is placed in TPMT\_SENSITIVE.*sensitive.bits*.
- 5) A TPM-generated obfuscation value that is the size of the digest produced by the *nameAlg* of *inPublic* is placed in TPMT\_SENSITIVE.*seedValue*.
- 6) The TPMT\_PUBLIC.*unique.keyedHash* value for the new object is then generated, as shown in equation (1) above, by hashing the key and obfuscation values in the TPMT\_SENSITIVE with the *nameAlg* of the object.

For TPM2\_Load(), the TPM will apply normal symmetric protections to the created TPMT\_SENSITIVE to create *outPublic*.

NOTE 8            The encryption key is derived from the symmetric seed in the sensitive area of the parent.

In addition to *outPublic* and *outPrivate*, the TPM will build a TPMS\_CREATION\_DATA structure for the object. TPMS\_CREATION\_DATA.*outsideInfo* is set to *outsideInfo*. This structure is returned in *creationData*. Additionally, the digest of this structure is returned in *creationHash*, and, finally, a TPMT\_TK\_CREATION is created so that the association between the creation data and the object may be validated by TPM2\_CertifyCreation().

NOTE 9            *creationData* and *creationHash* provide information about the parent storage keys back to the hierarchy root. They do not contain information about the object. *creationTicket* includes the object Name and thus the linkage between the object and its ancestors.

If the object being created is a Storage Key and *fixedParent* is SET in the attributes of *inPublic*, then the symmetric algorithms and parameters of *inPublic* are required to match those of the parent. The algorithms that must match are *inPublic.nameAlg*, and the values in *inPublic.parameters* that select the symmetric scheme. If *inPublic.nameAlg* does not match, the TPM shall return TPM\_RC\_HASH. If the symmetric scheme of the key does not match, the parent, the TPM shall return TPM\_RC\_SYMMETRIC. The TPM shall not use different response code to differentiate between mismatches of the components of *inPublic.parameters*. However, after this verification, when using the scheme to encrypt child objects, the TPM ignores the symmetric mode and uses TPM\_ALG\_CFB.

NOTE 9            The symmetric scheme is a TPMT\_SYM\_DEF\_OBJECT. In a symmetric block cipher, it is at *inPublic.parameters.symDetail.sym* and in an asymmetric object is at *inPublic.parameters.asymDetail.symmetric*.

NOTE 10           Prior to revision 01.34, the parent asymmetric algorithms were also checked for *fixedParent* storage keys.

## 12.1.2 Command and Response

Table 19 — TPM2\_Create Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_Create
TPMI_DH_OBJECT	@parentHandle	handle of parent for new object Auth Index: 1 Auth Role: USER
TPM2B_SENSITIVE_CREATE	inSensitive	the sensitive data
TPM2B_PUBLIC	inPublic	the public template
TPM2B_DATA	outsideInfo	data that will be included in the creation data for this object to provide permanent, verifiable linkage between this object and some object owner data
TPML_PCR_SELECTION	creationPCR	PCR that will be used in creation data

Table 20 — TPM2\_Create Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_PRIVATE	outPrivate	the private portion of the object
TPM2B_PUBLIC	outPublic	the public portion of the created object
TPM2B_CREATION_DATA	creationData	contains a TPMS_CREATION_DATA
TPM2B_DIGEST	creationHash	digest of <i>creationData.creationData</i> using <i>nameAlg</i> of <i>outPublic</i>
TPMT_TK_CREATION	creationTicket	ticket used by TPM2_CertifyCreation() to validate that the creation data was produced by the TPM

### 12.1.3 Detailed Actions

#### 12.1.3.1 /tpm/src/command/Object/Create.c

```

1  #include "Tpm.h"
2  #include "Object_spt_fp.h"
3  #include "Create_fp.h"
4
5  #if CC_Create // Conditional expansion of this file
6
7  /*(See part 3 specification)
8  // Create a regular object
9  */
10 // Return Type: TPM_RC
11 //     TPM_RC_ATTRIBUTES      'sensitiveDataOrigin' is CLEAR when 'sensitive.data'
12 //                             is an Empty Buffer, or is SET when 'sensitive.data' is
13 //                             not empty;
14 //                             'fixedTPM', 'fixedParent', or 'encryptedDuplication'
15 //                             attributes are inconsistent between themselves or with
16 //                             those of the parent object;
17 //                             inconsistent 'restricted', 'decrypt' and 'sign'
18 //                             attributes;
19 //                             attempt to inject sensitive data for an asymmetric
20 //                             key;
21 //     TPM_RC_HASH             non-duplicable storage key and its parent have
22 //                             different name algorithm
23 //     TPM_RC_KDF               incorrect KDF specified for decrypting keyed hash
24 //                             object
25 //     TPM_RC_KEY              invalid key size values in an asymmetric key public
26 //                             area or a provided symmetric key has a value that is
27 //                             not allowed
28 //     TPM_RC_KEY_SIZE         key size in public area for symmetric key differs from
29 //                             the size in the sensitive creation area; may also be
30 //                             returned if the TPM does not allow the key size to be
31 //                             used for a Storage Key
32 //     TPM_RC_OBJECT_MEMORY    a free slot is not available as scratch memory for
33 //                             object creation
34 //     TPM_RC_RANGE            the exponent value of an RSA key is not supported.
35 //     TPM_RC_SCHEME            inconsistent attributes 'decrypt', 'sign', or
36 //                             'restricted' and key's scheme ID; or hash algorithm is
37 //                             inconsistent with the scheme ID for keyed hash object
38 //     TPM_RC_SIZE             size of public authPolicy or sensitive authValue does
39 //                             not match digest size of the name algorithm
40 //                             sensitive data size for the keyed hash object is
41 //                             larger than is allowed for the scheme
42 //     TPM_RC_SYMMETRIC        a storage key with no symmetric algorithm specified;
43 //                             or non-storage key with symmetric algorithm different
44 //                             from TPM_ALG_NULL
45 //     TPM_RC_TYPE             unknown object type;
46 //                             'parentHandle' does not reference a restricted
47 //                             decryption key in the storage hierarchy with both
48 //                             public and sensitive portion loaded
49 //     TPM_RC_VALUE            exponent is not prime or could not find a prime using
50 //                             the provided parameters for an RSA key;
51 //                             unsupported name algorithm for an ECC key
52 //     TPM_RC_OBJECT_MEMORY    there is no free slot for the object
53 TPM_RC
54 TPM2_Create(Create_In* in, // IN: input parameter list
55             Create_Out* out // OUT: output parameter list
56 )
57 {
58     TPM_RC      result = TPM_RC_SUCCESS;
59     OBJECT*     parentObject;

```

```

60     OBJECT*      newObject;
61     TPMT_PUBLIC* publicArea;
62
63     // Input Validation
64     parentObject = HandleToObject(in->parentHandle);
65     pAssert(parentObject != NULL);
66
67     // Does parent have the proper attributes?
68     if(!ObjectIsParent(parentObject))
69         return TPM_RCS_TYPE + RC_Create_parentHandle;
70
71     // Get a slot for the creation
72     newObject = FindEmptyObjectSlot(NULL);
73     if(newObject == NULL)
74         return TPM_RC_OBJECT_MEMORY;
75     // If the TPM2B_PUBLIC was passed as a structure, marshal it into is canonical
76     // form for processing
77
78     // to save typing.
79     publicArea = &newObject->publicArea;
80
81     // Copy the input structure to the allocated structure
82     *publicArea = in->inPublic.publicArea;
83
84     // Check attributes in input public area. CreateChecks() checks the things that
85     // are unique to creation and then validates the attributes and values that are
86     // common to create and load.
87     result = CreateChecks(parentObject,
88                          /* primaryHierarchy = */ 0,
89                          publicArea,
90                          in->inSensitive.sensitive.data.t.size);
91     if(result != TPM_RC_SUCCESS)
92         return RcSafeAddToResult(result, RC_Create_inPublic);
93     // Clean up the authValue if necessary
94     if(!AdjustAuthSize(&in->inSensitive.sensitive.userAuth, publicArea->nameAlg))
95         return TPM_RCS_SIZE + RC_Create_inSensitive;
96
97     // Command Output
98     // Create the object using the default TPM random-number generator
99     result = CryptCreateObject(newObject, &in->inSensitive.sensitive, NULL);
100    if(result != TPM_RC_SUCCESS)
101        return result;
102    // Fill in creation data
103    FillInCreationData(in->parentHandle,
104                      publicArea->nameAlg,
105                      &in->creationPCR,
106                      &in->outsideInfo,
107                      &out->creationData,
108                      &out->creationHash);
109
110    // Compute creation ticket
111    result = TicketComputeCreation(EntityGetHierarchy(in->parentHandle),
112                                  &newObject->name,
113                                  &out->creationHash,
114                                  &out->creationTicket);
115    if(result != TPM_RC_SUCCESS)
116        return result;
117
118    // Prepare output private data from sensitive
119    SensitiveToPrivate(&newObject->sensitive,
120                      &newObject->name,
121                      parentObject,
122                      publicArea->nameAlg,
123                      &out->outPrivate);
124
125    newObject->hierarchy = parentObject->hierarchy;

```

```
126
127     // Finish by copying the remaining return values
128     out->outPublic.publicArea = newObject->publicArea;
129
130     return TPM_RC_SUCCESS;
131 }
132
133 #endif // CC_Create
134
```

## 12.2 TPM2\_Load

### 12.2.1 General Description

This command is used to load objects into the TPM. This command is used when both a TPM2B\_PUBLIC and TPM2B\_PRIVATE are to be loaded. If only a TPM2B\_PUBLIC is to be loaded, the TPM2\_LoadExternal command is used.

NOTE 1 Loading an object is not the same as restoring a saved object context.

The object's TPMA\_OBJECT attributes will be checked according to the rules defined in "TPMA\_OBJECT" in TPM 2.0 Part 2 of this specification. If the Object is a not a *keyedHash* object, and the *sign* and *encrypt* attributes are CLEAR, the TPM shall return TPM\_RC\_ATTRIBUTES.

Objects loaded using this command will have a Name. The Name is the concatenation of *nameAlg* and the digest of the public area using the *nameAlg*.

NOTE 2 *nameAlg* is a parameter in the public area of the inPublic structure.

If *inPrivate.size* is zero, the load will fail.

The integrity value shall be checked before the private area is decrypted and unmarshalled.

NOTE 3 Checking the integrity before the data is decrypted and unmarshalled prevents attacks on the sensitive area by fuzzing the data and looking at the differences in the response codes.

The command returns a handle for the loaded object and the Name that the TPM computed for *inPublic.public* (that is, the digest of the TPMT\_PUBLIC structure in *inPublic*).

NOTE 4 The TPM-computed Name is provided as a convenience to the caller for those cases where the caller does not implement the hash algorithms specified in the *nameAlg* of the object.

NOTE 5 The returned handle is associated with the object until the object is flushed (TPM2\_FlushContext) or until the next TPM2\_Startup.

For all objects, the size of the key in the sensitive area shall be consistent with the key size indicated in the public area or the TPM shall return TPM\_RC\_KEY\_SIZE.

Before use, a loaded object shall be checked to validate that the public and sensitive portions are properly linked, cryptographically. Use of an object includes use in any policy command. If the parts of the object are not properly linked, the TPM shall return TPM\_RC\_BINDING. If a weak symmetric key is in the sensitive portion, the TPM shall return TPM\_RC\_KEY.

EXAMPLE 1 For a symmetric object, the unique value in the public area is the digest of the sensitive key and the obfuscation value.

EXAMPLE 2 For a two-prime RSA key, the remainder when dividing the public modulus by the private primes is zero and it is possible to form a private exponent from the two prime factors of the public modulus.

EXAMPLE 3 For an ECC key, the public point shall be  $f(x)$  where  $x$  is the private key.

## 12.2.2 Command and Response

Table 21 — TPM2\_Load Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_Load
TPMI_DH_OBJECT	@parentHandle	TPM handle of parent key; shall not be a reserved handle Auth Index: 1 Auth Role: USER
TPM2B_PRIVATE	inPrivate	the private portion of the object
TPM2B_PUBLIC	inPublic	the public portion of the object

Table 22 — TPM2\_Load Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM_HANDLE	objectHandle	handle of type TPM_HT_TRANSIENT for the loaded object
TPM2B_NAME	name	Name of the loaded object



## 12.2.3 Detailed Actions

### 12.2.3.1 /tpm/src/command/Object/Load.c

```

1  #include "Tpm.h"
2  #include "Load_fp.h"
3
4  #if CC_Load // Conditional expansion of this file
5
6  # include "Object_spt_fp.h"
7
8  /*(See part 3 specification)
9  // Load an ordinary or temporary object
10 */
11 // Return Type: TPM_RC
12 //     TPM_RC_ATTRIBUTES      'inPublic' attributes are not allowed with selected
13 //                             parent
14 //     TPM_RC_BINDING         'inPrivate' and 'inPublic' are not
15 //                             cryptographically bound
16 //     TPM_RC_HASH            incorrect hash selection for signing key or
17 //                             the 'nameAlg' for 'inPublic' is not valid
18 //     TPM_RC_INTEGRITY       HMAC on 'inPrivate' was not valid
19 //     TPM_RC_KDF             KDF selection not allowed
20 //     TPM_RC_KEY             the size of the object's 'unique' field is not
21 //                             consistent with the indicated size in the object's
22 //                             parameters
23 //     TPM_RC_OBJECT_MEMORY   no available object slot
24 //     TPM_RC_SCHEME          the signing scheme is not valid for the key
25 //     TPM_RC_SENSITIVE       the 'inPrivate' did not unmarshal correctly
26 //     TPM_RC_SIZE            'inPrivate' missing, or 'authPolicy' size for
27 //                             'inPublic' or is not valid
28 //     TPM_RC_SYMMETRIC       symmetric algorithm not provided when required
29 //     TPM_RC_TYPE            'parentHandle' is not a storage key, or the object
30 //                             to load is a storage key but its parameters do not
31 //                             match the parameters of the parent.
32 //     TPM_RC_VALUE           decryption failure
33 TPM_RC
34 TPM2_Load(Load_In* in, // IN: input parameter list
35           Load_Out* out // OUT: output parameter list
36 )
37 {
38     TPM_RC      result = TPM_RC_SUCCESS;
39     TPMT_SENSITIVE sensitive;
40     OBJECT*     parentObject;
41     OBJECT*     newObject;
42
43     // Input Validation
44     // Don't get invested in loading if there is no place to put it.
45     newObject = FindEmptyObjectSlot(&out->objectHandle);
46     if(newObject == NULL)
47         return TPM_RC_OBJECT_MEMORY;
48
49     if(in->inPrivate.t.size == 0)
50         return TPM_RCS_SIZE + RC_Load_inPrivate;
51
52     parentObject = HandleToObject(in->parentHandle);
53     pAssert(parentObject != NULL);
54     // Is the object that is being used as the parent actually a parent.
55     if(!ObjectIsParent(parentObject))
56         return TPM_RCS_TYPE + RC_Load_parentHandle;
57
58     // Compute the name of object. If there isn't one, it is because the nameAlg is
59     // not valid.

```

```
60     PublicMarshalAndComputeName(&in->inPublic.publicArea, &out->name);
61     if(out->name.t.size == 0)
62         return TPM_RCS_HASH + RC_Load_inPublic;
63
64     // Retrieve sensitive data.
65     result = PrivateToSensitive(&in->inPrivate.b,
66                                &out->name.b,
67                                parentObject,
68                                in->inPublic.publicArea.nameAlg,
69                                &sensitive);
70     if(result != TPM_RC_SUCCESS)
71         return RcSafeAddToResult(result, RC_Load_inPrivate);
72
73     // Internal Data Update
74     // Load and validate object
75     result = ObjectLoad(newObject,
76                         parentObject,
77                         &in->inPublic.publicArea,
78                         &sensitive,
79                         RC_Load_inPublic,
80                         RC_Load_inPrivate,
81                         &out->name);
82     if(result == TPM_RC_SUCCESS)
83     {
84         // Set the common OBJECT attributes for a loaded object.
85         ObjectSetLoadedAttributes(newObject, in->parentHandle);
86     }
87     return result;
88 }
89
90 #endif // CC_Load
91
```

## 12.3 TPM2\_LoadExternal

### 12.3.1 General Description

This command is used to load an object that is not a Protected Object into the TPM. The command allows loading of a public area or both a public and sensitive area.

NOTE 1 Typical use for loading a public area is to allow the TPM to validate an asymmetric signature. Typical use for loading both a public and sensitive area is to allow the TPM to be used as a crypto accelerator.

Load of a public external object area allows the object to be associated with a hierarchy so that the correct algorithms may be used when creating tickets. The *hierarchy* parameter provides this association. If the public and sensitive portions of the object are loaded, *hierarchy* is required to be TPM\_RH\_NULL.

NOTE 2 If both the public and private portions of an object are loaded, the object is not allowed to appear to be part of a hierarchy.

The object's TPMA\_OBJECT attributes will be checked according to the rules defined in "TPMA\_OBJECT" in TPM 2.0 Part 2. In particular, *fixedTPM*, *fixedParent*, and *restricted* shall be CLEAR if *inPrivate* is not the Empty Buffer.

NOTE 3 The duplication status of a public key needs to be able to be the same as the full key which may be resident on a different TPM. If both the public and private parts of the key are loaded, then it is not possible for the key to be either *fixedTPM* or *fixedParent* since its private area would not be available in the clear to load.

Objects loaded using this command will have a Name. The Name is the *nameAlg* of the object concatenated with the digest of the public area using the *nameAlg*. The Qualified Name for the object will be the same as its Name. The TPM will validate that the *authPolicy* is either the size of the digest produced by *nameAlg* or the Empty Buffer.

NOTE 4 If *nameAlg* is TPM\_ALG\_NULL, then the Name is the Empty Buffer. When the authorization value for an object with no Name is computed, no Name value is included in the HMAC. To ensure that these unnamed entities are not substituted, it is recommended that they have an *authValue* that is statistically unique.

NOTE 5 The digest size for TPM\_ALG\_NULL is zero.

If the *nameAlg* is TPM\_ALG\_NULL, the TPM cannot, and thus shall not verify the integrity HMAC on the sensitive area. The TPM will still perform cryptographic validity checks (e.g., the ECC public point is on the curve) and public/private keypair consistency checks.

The TPM will validate that the size of the key in the sensitive area is consistent with the size indicated in the public area. If it is not, the TPM shall return TPM\_RC\_KEY\_SIZE.

NOTE 6 For an ECC object, the TPM will verify that the public key is on the curve of the key before the public area is used.

If *nameAlg* is not TPM\_ALG\_NULL, then the same consistency checks between *inPublic* and *inPrivate* are made as for TPM2\_Load().

NOTE 7 Consistency checks are necessary because an object with a Name needs to have the public and sensitive portions cryptographically bound so that an attacker cannot mix public and sensitive areas.

The command returns a handle for the loaded object and the Name that the TPM computed for *inPublic.public* (that is, the TPMT\_PUBLIC structure in *inPublic*).

NOTE 8 The TPM-computed Name is provided as a convenience to the caller for those cases where the caller does not implement the hash algorithm specified in the *nameAlg* of the object.

The *hierarchy* parameter associates the external object with a hierarchy. External objects are flushed when their associated hierarchy is disabled. If *hierarchy* is TPM\_RH\_NULL, the object is part of no hierarchy, and there is no implicit flush.

If *hierarchy* is TPM\_RH\_NULL or *nameAlg* is TPM\_ALG\_NULL, a ticket produced using the object shall be a NULL Ticket.

**EXAMPLE** If a key is loaded with hierarchy set to TPM\_RH\_NULL, then TPM2\_VerifySignature() will produce a NULL Ticket of the required type.

External objects are Temporary Objects. The saved external object contexts shall be invalidated at the next TPM Reset.

If a weak symmetric key is in the sensitive area, the TPM shall return TPM\_RC\_KEY.

For an RSA key, the private exponent is computed using the two prime factors of the public modulus. One of the primes is P, and the second prime (Q) is found by dividing the public modulus by P. A TPM may return an error (TPM\_RC\_BINDING) if the bit size of P and Q are not the same.”

## 12.3.2 Command and Response

Table 23 — TPM2\_LoadExternal Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit, encrypt, or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_LoadExternal
TPM2B_SENSITIVE	inPrivate	the sensitive portion of the object (optional)
TPM2B_PUBLIC+	inPublic	the public portion of the object
TPMI_RH_HIERARCHY	hierarchy	hierarchy with which the object area is associated

Table 24 — TPM2\_LoadExternal Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM_HANDLE	objectHandle	handle of type TPM_HT_TRANSIENT for the loaded object
TPM2B_NAME	name	name of the loaded object

### 12.3.3 Detailed Actions

#### 12.3.3.1 /tpm/src/command/Object/LoadExternal.c

```

1  #include "Tpm.h"
2  #include "LoadExternal_fp.h"
3
4  #if CC_LoadExternal // Conditional expansion of this file
5
6  # include "Object_spt_fp.h"
7
8  /*(See part 3 specification)
9  // to load an object that is not a Protected Object into the public portion
10 // of an object into the TPM. The command allows loading of a public area or
11 // both a public and sensitive area
12 */
13 // Return Type: TPM_RC
14 // TPM_RC_ATTRIBUTES 'fixedParent', 'fixedTPM', and 'restricted' must
15 // be CLEAR if sensitive portion of an object is loaded
16 // TPM_RC_BINDING the 'inPublic' and 'inPrivate' structures are not
17 // cryptographically bound
18 // TPM_RC_HASH incorrect hash selection for signing key
19 // TPM_RC_HIERARCHY 'hierarchy' is turned off, or only NULL hierarchy
20 // is allowed when loading public and private parts
21 // of an object
22 // TPM_RC_KDF incorrect KDF selection for decrypting
23 // keyedHash object
24 // TPM_RC_KEY the size of the object's 'unique' field is not
25 // consistent with the indicated size in the object's
26 // parameters
27 // TPM_RC_OBJECT_MEMORY if there is no free slot for an object
28 // TPM_RC_ECC_POINT for a public-only ECC key, the ECC point is not
29 // on the curve
30 // TPM_RC_SCHEME the signing scheme is not valid for the key
31 // TPM_RC_SIZE 'authPolicy' is not zero and is not the size of a
32 // digest produced by the object's 'nameAlg'
33 // TPM_RC_NULL hierarchy
34 // TPM_RC_SYMMETRIC symmetric algorithm not provided when required
35 // TPM_RC_TYPE 'inPublic' and 'inPrivate' are not the same type
36 TPM_RC
37 TPM2_LoadExternal(LoadExternal_In* in, // IN: input parameter list
38                  LoadExternal_Out* out // OUT: output parameter list
39 )
40 {
41     TPM_RC result;
42     OBJECT* object;
43     TPMT_SENSITIVE* sensitive = NULL;
44
45     // Input Validation
46     // Don't get invested in loading if there is no place to put it.
47     object = FindEmptyObjectSlot(&out->objectHandle);
48     if(object == NULL)
49         return TPM_RC_OBJECT_MEMORY;
50
51     // If the hierarchy to be associated with this object is turned off, the object
52     // cannot be loaded.
53     if(!HierarchyIsEnabled(in->hierarchy))
54         return TPM_RCS_HIERARCHY + RC_LoadExternal_hierarchy;
55
56     // For loading an object with both public and sensitive
57     if(in->inPrivate.size != 0)
58     {
59         // An external object with a sensitive area can only be loaded in the

```

```

60     // NULL hierarchy
61     if(in->hierarchy != TPM_RH_NULL)
62         return TPM_RCS_HIERARCHY + RC_LoadExternal_hierarchy;
63     // An external object with a sensitive area must have fixedTPM == CLEAR
64     // fixedParent == CLEAR so that it does not appear to be a key created by
65     // this TPM.
66     if(IS_ATTRIBUTE(
67         in->inPublic.publicArea.objectAttributes, TPMA_OBJECT, fixedTPM)
68         || IS_ATTRIBUTE(
69             in->inPublic.publicArea.objectAttributes, TPMA_OBJECT, fixedParent)
70         || IS_ATTRIBUTE(
71             in->inPublic.publicArea.objectAttributes, TPMA_OBJECT, restricted))
72         return TPM_RCS_ATTRIBUTES + RC_LoadExternal_inPublic;
73
74     // Have sensitive point to something other than NULL so that object
75     // initialization will load the sensitive part too
76     sensitive = &in->inPrivate.sensitiveArea;
77 }
78
79 // Need the name to initialize the object structure
80 PublicMarshalAndComputeName(&in->inPublic.publicArea, &out->name);
81
82 // Load and validate key
83 result = ObjectLoad(object,
84                     NULL,
85                     &in->inPublic.publicArea,
86                     sensitive,
87                     RC_LoadExternal_inPublic,
88                     RC_LoadExternal_inPrivate,
89                     &out->name);
90 if(result == TPM_RC_SUCCESS)
91 {
92     object->attributes.external = SET;
93     // Set the common OBJECT attributes for a loaded object.
94     ObjectSetLoadedAttributes(object, in->hierarchy);
95 }
96 return result;
97 }
98
99 #endif // CC_LoadExternal
100

```

## 12.4 TPM2\_ReadPublic

### 12.4.1 General Description

This command allows access to the public area of a loaded object.

Use of the *objectHandle* does not require authorization.

NOTE                Since the caller is not likely to know the public area of the object associated with *objectHandle*, it would not be possible to include the Name associated with *objectHandle* in the *cpHash* computation.

If *objectHandle* references a sequence object, the TPM shall return TPM\_RC\_SEQUENCE.



## 12.4.2 Command and Response

Table 25 — TPM2\_ReadPublic Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ReadPublic
TPMI_DH_OBJECT	objectHandle	TPM handle of an object Auth Index: None

Table 26 — TPM2\_ReadPublic Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_PUBLIC	outPublic	structure containing the public area of an object
TPM2B_NAME	name	name of the object
TPM2B_NAME	qualifiedName	the Qualified Name of the object

### 12.4.3 Detailed Actions

#### 12.4.3.1 /tpm/src/command/Object/ReadPublic.c

```
1  #include "Tpm.h"
2  #include "ReadPublic_fp.h"
3
4  #if CC_ReadPublic // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // read public area of a loaded object
8  */
9  // Return Type: TPM_RC
10 // TPM_RC_SEQUENCE can not read the public area of a sequence
11 // object
12 TPM_RC
13 TPM2_ReadPublic(ReadPublic_In* in, // IN: input parameter list
14                ReadPublic_Out* out // OUT: output parameter list
15 )
16 {
17     OBJECT* object = HandleToObject(in->objectHandle);
18
19     // Input Validation
20     // Can not read public area of a sequence object
21     if(ObjectIsSequence(object))
22         return TPM_RC_SEQUENCE;
23
24     // Command Output
25     out->outPublic.publicArea = object->publicArea;
26     out->name = object->name;
27     out->qualifiedName = object->qualifiedName;
28
29     return TPM_RC_SUCCESS;
30 }
31
32 #endif // CC_ReadPublic
33
```

## 12.5 TPM2\_ActivateCredential

### 12.5.1 General Description

This command enables the association of a credential with an object in a way that ensures that the TPM has validated the parameters of the credentialed object.

If both the public and private portions of *activateHandle* and *keyHandle* are not loaded, then the TPM shall return TPM\_RC\_AUTH\_UNAVAILABLE.

If *keyHandle* is not a Storage Key, then the TPM shall return TPM\_RC\_TYPE.

Authorization for *activateHandle* requires the ADMIN role.

The key associated with *keyHandle* is used to recover a seed from secret, which is the encrypted seed. The Name of the object associated with *activateHandle*, and the recovered seed are used in a KDF to recover the symmetric key. The recovered seed (but not the Name) is used in a KDF to recover the HMAC key.

The HMAC is used to validate that the *credentialBlob* is associated with *activateHandle* and that the data in *credentialBlob* has not been modified. The linkage to the object associated with *activateHandle* is achieved by including the Name in the HMAC calculation.

If the integrity checks succeed, *credentialBlob* is decrypted and returned as *certInfo*.

NOTE            The output *certInfo* parameter is an application defined value. It is typically a symmetric key or seed that is used to decrypt a certificate. See the TPM2\_MakeCredential *credential* input parameter.

## 12.5.2 Command and Response

Table 27 — TPM2\_ActivateCredential Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ActivateCredential
TPMI_DH_OBJECT	@activateHandle	handle of the object associated with certificate in <i>credentialBlob</i> Auth Index: 1 Auth Role: ADMIN
TPMI_DH_OBJECT	@keyHandle	loaded key used to decrypt the TPMS_SENSITIVE in <i>credentialBlob</i> Auth Index: 2 Auth Role: USER
TPM2B_ID_OBJECT	credentialBlob	the credential
TPM2B_ENCRYPTED_SECRET	secret	<i>keyHandle</i> algorithm-dependent encrypted seed that protects <i>credentialBlob</i>

Table 28 — TPM2\_ActivateCredential Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_DIGEST	certInfo	the decrypted information the data should be no larger than the size of the digest of the <i>nameAlg</i> associated with <i>keyHandle</i>

### 12.5.3 Detailed Actions

#### 12.5.3.1 /tpm/src/command/Object/ActivateCredential.c

```

1  #include "Tpm.h"
2  #include "ActivateCredential_fp.h"
3
4  #if CC_ActivateCredential // Conditional expansion of this file
5
6  # include "Object_spt_fp.h"
7
8  /*(See part 3 specification)
9  // Activate Credential with an object
10 */
11 // Return Type: TPM_RC
12 //     TPM_RC_ATTRIBUTES      'keyHandle' does not reference a decryption key
13 //     TPM_RC_ECC_POINT       'secret' is invalid (when 'keyHandle' is an ECC key)
14 //     TPM_RC_INSUFFICIENT    'secret' is invalid (when 'keyHandle' is an ECC key)
15 //     TPM_RC_INTEGRITY       'credentialBlob' fails integrity test
16 //     TPM_RC_NO_RESULT       'secret' is invalid (when 'keyHandle' is an ECC key)
17 //     TPM_RC_SIZE            'secret' size is invalid or the 'credentialBlob'
18 //                             does not unmarshal correctly
19 //     TPM_RC_TYPE            'keyHandle' does not reference an asymmetric key.
20 //     TPM_RC_VALUE           'secret' is invalid (when 'keyHandle' is an RSA key)
21 TPM_RC
22 TPM2_ActivateCredential(ActivateCredential_In* in, // IN: input parameter list
23                        ActivateCredential_Out* out // OUT: output parameter list
24 )
25 {
26     TPM_RC      result = TPM_RC_SUCCESS;
27     OBJECT*     object; // decrypt key
28     OBJECT*     activateObject; // key associated with credential
29     TPM2B_DATA data; // credential data
30
31     // Input Validation
32
33     // Get decrypt key pointer
34     object = HandleToObject(in->keyHandle);
35
36     // Get certificated object pointer
37     activateObject = HandleToObject(in->activateHandle);
38
39     // input decrypt key must be an asymmetric, restricted decryption key
40     if(!CryptIsAsymAlgorithm(object->publicArea.type)
41        || !IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT, decrypt)
42        || !IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT, restricted))
43         return TPM_RCS_TYPE + RC_ActivateCredential_keyHandle;
44
45     // Command output
46
47     // Decrypt input credential data via asymmetric decryption. A
48     // TPM_RC_VALUE, TPM_RC_KEY or unmarshal errors may be returned at this
49     // point
50     result = CryptSecretDecrypt(object, NULL, IDENTITY_STRING, &in->secret, &data);
51     if(result != TPM_RC_SUCCESS)
52     {
53         if(result == TPM_RC_KEY)
54             return TPM_RC_FAILURE;
55         return RcSafeAddToResult(result, RC_ActivateCredential_secret);
56     }
57
58     // Retrieve secret data. A TPM_RC_INTEGRITY error or unmarshal
59     // errors may be returned at this point

```

```
60     result = CredentialToSecret(&in->credentialBlob.b,  
61                               &activateObject->name.b,  
62                               &data.b,  
63                               object,  
64                               &out->certInfo);  
65     if(result != TPM_RC_SUCCESS)  
66         return RcSafeAddToResult(result, RC_ActivateCredential_credentialBlob);  
67  
68     return TPM_RC_SUCCESS;  
69 }  
70  
71 #endif // CC_ActivateCredential  
72
```

## 12.6 TPM2\_MakeCredential

### 12.6.1 General Description

This command allows the TPM to perform the actions required of a Certificate Authority (CA) in creating a TPM2B\_ID\_OBJECT containing an activation credential.

NOTE      The input *credential* parameter is an application defined value. It might be a symmetric key or seed that is used to encrypt a certificate, or it might be a challenge such as a random number. See the TPM2\_ActivateCredential *certInfo* output parameter.

The TPM will produce a TPM2B\_ID\_OBJECT according to the methods in “Credential Protection” in TPM 2.0 Part 1.

The loaded public area referenced by *handle* is required to be the public area of a Storage key, otherwise, the credential cannot be properly sealed.

This command does not use any TPM secrets, nor does it require authorization. It is a convenience function, using the TPM to perform cryptographic calculations that could be done externally.

## 12.6.2 Command and Response

Table 29 — TPM2\_MakeCredential Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit, encrypt, or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_MakeCredential
TPMI_DH_OBJECT	handle	loaded public area, used to encrypt the sensitive area containing the credential key Auth Index: None
TPM2B_DIGEST	credential	the credential information
TPM2B_NAME	objectName	Name of the object to which the credential applies

Table 30 — TPM2\_MakeCredential Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_ID_OBJECT	credentialBlob	the credential
TPM2B_ENCRYPTED_SECRET	secret	<i>handle</i> algorithm-dependent data that wraps the key that encrypts <i>credentialBlob</i>



### 12.6.3 Detailed Actions

#### 12.6.3.1 /tpm/src/command/Object/MakeCredential.c

```

1  #include "Tpm.h"
2  #include "MakeCredential_fp.h"
3
4  #if CC_MakeCredential // Conditional expansion of this file
5
6  # include "Object_spt_fp.h"
7
8  /*(See part 3 specification)
9  // Make Credential with an object
10 */
11 // Return Type: TPM_RC
12 //     TPM_RC_KEY           'handle' referenced an ECC key that has a unique
13 //                          field that is not a point on the curve of the key
14 //     TPM_RC_SIZE         'credential' is larger than the digest size of
15 //                          Name algorithm of 'handle'
16 //     TPM_RC_TYPE         'handle' does not reference an asymmetric
17 //                          decryption key
18 TPM_RC
19 TPM2_MakeCredential(MakeCredential_In* in, // IN: input parameter list
20                   MakeCredential_Out* out // OUT: output parameter list
21 )
22 {
23     TPM_RC    result = TPM_RC_SUCCESS;
24
25     OBJECT*    object;
26     TPM2B_DATA data;
27
28     // Input Validation
29
30     // Get object pointer
31     object = HandleToObject(in->handle);
32
33     // input key must be an asymmetric, restricted decryption key
34     // NOTE: Needs to be restricted to have a symmetric value.
35     if(!CryptIsAsymAlgorithm(object->publicArea.type)
36        || !IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT, decrypt)
37        || !IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT, restricted))
38         return TPM_RCS_TYPE + RC_MakeCredential_handle;
39
40     // The credential information may not be larger than the digest size used for
41     // the Name of the key associated with handle.
42     if(in->credential.t.size > CryptHashGetDigestSize(object->publicArea.nameAlg))
43         return TPM_RCS_SIZE + RC_MakeCredential_credential;
44
45     // Command Output
46
47     // Make encrypt key and its associated secret structure.
48     out->secret.t.size = sizeof(out->secret.t.secret);
49     result = CryptSecretEncrypt(object, IDENTITY_STRING, &data, &out->secret);
50     if(result != TPM_RC_SUCCESS)
51         return result;
52
53     // Prepare output credential data from secret
54     SecretToCredential(
55         &in->credential, &in->objectName.b, &data.b, object, &out->credentialBlob);
56
57     return TPM_RC_SUCCESS;
58 }
59

```

```
60 #endif // CC_MakeCredential
61
```

## 12.7 TPM2\_Unseal

### 12.7.1 General Description

This command returns the data in a loaded Sealed Data Object.

NOTE 1           A random, TPM-generated, Sealed Data Object can be created by the TPM with TPM2\_Create() or TPM2\_CreatePrimary() using the template for a Sealed Data Object.

NOTE 2           TPM 1.2 hard coded PCR authorization. TPM 2.0 PCR authorization requires a policy.

The returned value may be encrypted using authorization session encryption.

If either *restricted*, *decrypt*, or *sign* is SET in the attributes of *itemHandle*, then the TPM shall return TPM\_RC\_ATTRIBUTES. If the *type* of *itemHandle* is not TPM\_ALG\_KEYEDHASH, then the TPM shall return TPM\_RC\_TYPE.

## 12.7.2 Command and Response

Table 31 — TPM2\_Unseal Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_Unseal
TPMI_DH_OBJECT	@itemHandle	handle of a loaded data object Auth Index: 1 Auth Role: USER

Table 32 — TPM2\_Unseal Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_SENSITIVE_DATA	outData	unsealed data Size of <i>outData</i> is limited to be no more than 128 octets.

### 12.7.3 Detailed Actions

#### 12.7.3.1 /tpm/src/command/Object/Unseal.c

```

1  #include "Tpm.h"
2  #include "Unseal_fp.h"
3
4  #if CC_Unseal // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // return data in a sealed data blob
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_ATTRIBUTES      'itemHandle' has wrong attributes
11 //     TPM_RC_TYPE            'itemHandle' is not a KEYEDHASH data object
12 TPM_RC
13 TPM2_Unseal(Unseal_In* in, Unseal_Out* out)
14 {
15     OBJECT* object;
16     // Input Validation
17     // Get pointer to loaded object
18     object = HandleToObject(in->itemHandle);
19
20     // Input handle must be a data object
21     if(object->publicArea.type != TPM_ALG_KEYEDHASH)
22         return TPM_RCS_TYPE + RC_Unseal_itemHandle;
23     if(IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT, decrypt)
24        || IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT, sign)
25        || IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT, restricted))
26         return TPM_RCS_ATTRIBUTES + RC_Unseal_itemHandle;
27     // Command Output
28     // Copy data
29     out->outData = object->sensitive.sensitive.bits;
30     return TPM_RC_SUCCESS;
31 }
32
33 #endif // CC_Unseal
34

```

## 12.8 TPM2\_ObjectChangeAuth

### 12.8.1 General Description

This command is used to change the authorization secret for a TPM-resident object.

If successful, a new private area for the TPM-resident object associated with *objectHandle* is returned, which includes the new authorization value.

This command does not change the authorization of the TPM-resident object on which it operates. Therefore, the old authValue (of the TPM-resident object) is used when generating the response HMAC key if required.

NOTE 1            The returned *outPrivate* will need to be loaded before the new authorization will apply.

NOTE 2            The TPM-resident object can be persistent and changing the authorization value of the persistent object could prevent other users from accessing the object. This is why this command does not change the TPM-resident object.

EXAMPLE          If a persistent key is being used as a Storage Root Key and the authorization of the key is a well-known value so that the key can be used generally, then changing the authorization value in the persistent key would deny access to other users.

This command may not be used to change the authorization value for an NV Index or a Primary Object.

NOTE 3            If an NV Index is to have a new authorization, it is done with TPM2\_NV\_ChangeAuth().

NOTE 4            If a Primary Object is to have a new authorization, it needs to be recreated (TPM2\_CreatePrimary()).

## 12.8.2 Command and Response

Table 33 — TPM2\_ObjectChangeAuth Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ObjectChangeAuth
TPMI_DH_OBJECT	@objectHandle	handle of the object Auth Index: 1 Auth Role: ADMIN
TPMI_DH_OBJECT	parentHandle	handle of the parent Auth Index: None
TPM2B_AUTH	newAuth	new authorization value

Table 34 — TPM2\_ObjectChangeAuth Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_PRIVATE	outPrivate	private area containing the new authorization value

### 12.8.3 Detailed Actions

#### 12.8.3.1 /tpm/src/command/Object/ObjectChangeAuth.c

```

1  #include "Tpm.h"
2  #include "ObjectChangeAuth_fp.h"
3
4  #if CC_ObjectChangeAuth // Conditional expansion of this file
5
6  # include "Object_spt_fp.h"
7
8  /*(See part 3 specification)
9  // Create an object
10 */
11 // Return Type: TPM_RC
12 //     TPM_RC_SIZE           'newAuth' is larger than the size of the digest
13 //                           of the Name algorithm of 'objectHandle'
14 //     TPM_RC_TYPE           the key referenced by 'parentHandle' is not the
15 //                           parent of the object referenced by 'objectHandle';
16 //                           or 'objectHandle' is a sequence object.
17 TPM_RC
18 TPM2_ObjectChangeAuth(ObjectChangeAuth_In* in, // IN: input parameter list
19                      ObjectChangeAuth_Out* out // OUT: output parameter list
20 )
21 {
22     TPMT_SENSITIVE sensitive;
23
24     OBJECT* object = HandleToObject(in->objectHandle);
25     TPM2B_NAME QNCompare;
26
27     // Input Validation
28
29     // Can not change authorization on sequence object
30     if(ObjectIsSequence(object))
31         return TPM_RCS_TYPE + RC_ObjectChangeAuth_objectHandle;
32
33     // Make sure that the authorization value is consistent with the nameAlg
34     if(!AdjustAuthSize(&in->newAuth, object->publicArea.nameAlg))
35         return TPM_RCS_SIZE + RC_ObjectChangeAuth_newAuth;
36
37     // Parent handle should be the parent of object handle. In this
38     // implementation we verify this by checking the QN of object. Other
39     // implementation may choose different method to verify this attribute.
40     ComputeQualifiedName(
41         in->parentHandle, object->publicArea.nameAlg, &object->name, &QNCompare);
42     if(!MemoryEqual2B(&object->qualifiedName.b, &QNCompare.b))
43         return TPM_RCS_TYPE + RC_ObjectChangeAuth_parentHandle;
44
45     // Command Output
46     // Prepare the sensitive area with the new authorization value
47     sensitive = object->sensitive;
48     sensitive.authValue = in->newAuth;
49
50     // Protect the sensitive area
51     SensitiveToPrivate(&sensitive,
52                      &object->name,
53                      HandleToObject(in->parentHandle),
54                      object->publicArea.nameAlg,
55                      &out->outPrivate);
56     return TPM_RC_SUCCESS;
57 }
58
59 #endif // CC_ObjectChangeAuth

```



DRAFT

## 12.9 TPM2\_CreateLoaded

### 12.9.1 General Description

This command creates an object and loads it in the TPM. This command allows creation of any type of object (Primary, Ordinary, or Derived) depending on the type of *parentHandle*. If *parentHandle* references a Primary Seed, then a Primary Object is created; if *parentHandle* references a Storage Parent, then an Ordinary Object is created; and if *parentHandle* references a Derivation Parent, then a Derived Object is generated.

The input validation is the same as for TPM2\_Create() and TPM2\_CreatePrimary() with one exception: when *parentHandle* references a Derivation Parent, then *sensitiveDataOrigin* in *inPublic* is required to be CLEAR.

Note 1 In the general descriptions of TPM2\_Create() and TPM2\_CreatePrimary() the validations refer to a TPMT\_PUBLIC structure that is in *inPublic*. For TPM2\_CreateLoaded(), *inPublic* is a TPM2B\_TEMPLATE that can contain a TPMT\_PUBLIC that is used for object creation. For object derivation, the *unique* field can contain a *label* and *context* that are used in the derivation process. To allow both the TPMT\_PUBLIC and the derivation variation, a TPM2B\_TEMPLATE is used. When referring to the checks in TPM2\_Create() and TPM2\_CreatePrimary(), TPM2B\_TEMPLATE should be assumed to contain a TPMT\_PUBLIC.

If *parentHandle* references a Derivation Parent, then the TPM may return TPM\_RC\_TYPE if the key type to be generated is an RSA key.

If *parentHandle* references a Derivation Parent or a Primary Seed, then *outPrivate* will be an Empty Buffer.

NOTE 2 Returning *outPrivate* would imply that the returned primary or derived object can be loaded, and it cannot. It can only be re-derived.

A primary key cannot be loaded is because loading a key is a way to attack the protections of a key (e.g., using DPA). A saved context for a primary object is protected. The TPM will go into failure mode if the integrity of a saved context is good but the fingerprint doesn't decrypt. It is not possible to have these protections on loaded objects because this would be a simple way for an attacker to put the TPM into failure mode. Saved contexts are assumed to be under control of the driver but loaded objects are not.

If all objects were derived from their parents, then load could not be used as an attack. However, that would preclude importation of objects and key hierarchies.

NOTE 3 Unlike TPM2\_Create() and TPM2\_CreatePrimary(), this command does not return creation data. If creation data is needed, then TPM2\_Create() or TPM2\_CreatePrimary() should be used.

NOTE 4 If *parentHandle* references a Derivation Parent, the bits of the Label and Context are used in the creation of the key. This differs from TPM2\_CreatePrimary(), where the bits of the template are used. This means that different templates (specifically, different public attributes) will result in the same key.

## 12.9.2 Command and Response

Table 35 — TPM2\_CreateLoaded Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_CreateLoaded
TPMI_DH_PARENT+	@parentHandle	Handle of a transient storage key, a persistent storage key, TPM_RH_ENDORSEMENT, TPM_RH_OWNER, TPM_RH_PLATFORM+{PP}, or TPM_RH_NULL Auth Index: 1 Auth Role: USER
TPM2B_SENSITIVE_CREATE	inSensitive	the sensitive data, see TPM 2.0 Part 1 Sensitive Values
TPM2B_TEMPLATE	inPublic	the public template

Table 36 — TPM2\_CreateLoaded Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM_HANDLE	objectHandle	handle of type TPM_HT_TRANSIENT for created object
TPM2B_PRIVATE	outPrivate	the sensitive area of the object (optional)
TPM2B_PUBLIC	outPublic	the public portion of the created object
TPM2B_NAME	name	the name of the created object

### 12.9.3 Detailed Actions

#### 12.9.3.1 /tpm/src/command/Object/CreateLoaded.c

```

1  #include "Tpm.h"
2  #include "CreateLoaded_fp.h"
3
4  #if CC_CreateLoaded // Conditional expansion of this file
5
6  /*(See part 3 of specification)
7   * Create and load any type of key, including a temporary key.
8   * The input template is a marshaled public area rather than an unmarshaled one as
9   * used in Create and CreatePrimary. This is so that the label and context that
10  * could be in the template can be processed without changing the formats for the
11  * calls to Create and CreatePrimary.
12  */
13  // Return Type: TPM_RC
14  // TPM_RC_ATTRIBUTES 'sensitiveDataOrigin' is CLEAR when 'sensitive.data'
15  // is an Empty Buffer;
16  // 'fixedTPM', 'fixedParent', or 'encryptedDuplication'
17  // attributes are inconsistent between themselves or with
18  // those of the parent object;
19  // inconsistent 'restricted', 'decrypt' and 'sign'
20  // attributes;
21  // attempt to inject sensitive data for an asymmetric
22  // key;
23  // attempt to create a symmetric cipher key that is not
24  // a decryption key
25  // TPM_RC_FW_LIMITED The requested hierarchy is FW-limited, but the TPM
26  // does not support FW-limited objects or the TPM failed
27  // to derive the Firmware Secret.
28  // TPM_RC_SVN_LIMITED The requested hierarchy is SVN-limited, but the TPM
29  // does not support SVN-limited objects or the TPM failed
30  // to derive the Firmware SVN Secret for the requested
31  // SVN.
32  // TPM_RC_KDF incorrect KDF specified for decrypting keyed hash
33  // object
34  // TPM_RC_KEY the value of a provided symmetric key is not allowed
35  // TPM_RC_OBJECT_MEMORY there is no free slot for the object
36  // TPM_RC_SCHEME inconsistent attributes 'decrypt', 'sign',
37  // 'restricted' and key's scheme ID; or hash algorithm is
38  // inconsistent with the scheme ID for keyed hash object
39  // TPM_RC_SIZE size of public authorization policy or sensitive
40  // authorization value does not match digest size of the
41  // name algorithm sensitive data size for the keyed hash
42  // object is larger than is allowed for the scheme
43  // TPM_RC_SYMMETRIC a storage key with no symmetric algorithm specified;
44  // or non-storage key with symmetric algorithm different
45  // from TPM_ALG_NULL
46  // TPM_RC_TYPE cannot create the object of the indicated type
47  // (usually only occurs if trying to derive an RSA key).
48  TPM_RC
49  TPM2_CreateLoaded(CreateLoaded_In* in, // IN: input parameter list
50                  CreateLoaded_Out* out // OUT: output parameter list
51  )
52  {
53      TPM_RC result = TPM_RC_SUCCESS;
54      OBJECT* parent = HandleToObject(in->parentHandle);
55      OBJECT* newObject;
56      BOOL derivation;
57      TPMT_PUBLIC* publicArea;
58      RAND_STATE randState;
59      RAND_STATE* rand = &randState;

```

```

60     TPMS_DERIVE    labelContext;
61
62     // Input Validation
63
64     // How the public area is unmarshaled is determined by the parent, so
65     // see if parent is a derivation parent
66     derivation = (parent != NULL && parent->attributes.derivation);
67
68     // If the parent is an object, then make sure that it is either a parent or
69     // derivation parent
70     if(parent != NULL && !parent->attributes.isParent && !derivation)
71         return TPM_RCS_TYPE + RC_CreateLoaded_parentHandle;
72
73     // Get a spot in which to create the newObject
74     newObject = FindEmptyObjectSlot(&out->objectHandle);
75     if(newObject == NULL)
76         return TPM_RC_OBJECT_MEMORY;
77
78     // Do this to save typing
79     publicArea = &newObject->publicArea;
80
81     // Unmarshal the template into the object space. TPM2_Create() and
82     // TPM2_CreatePrimary() have the publicArea unmarshaled by CommandDispatcher.
83     // This command is different because of an unfortunate property of the
84     // unique field of an ECC key. It is a structure rather than a single TPM2B. If
85     // it had been a TPM2B, then the label and context could be within a TPM2B and
86     // unmarshaled like other public areas. Since it is not, this command needs its
87     // on template that is a TPM2B that is unmarshaled as a BYTE array with a
88     // its own unmarshal function.
89     result = UnmarshalToPublic(publicArea, &in->inPublic, derivation, &labelContext);
90     if(result != TPM_RC_SUCCESS)
91         return result + RC_CreateLoaded_inPublic;
92
93     // Validate that the authorization size is appropriate
94     if(!AdjustAuthSize(&in->inSensitive.sensitive.userAuth, publicArea->nameAlg))
95         return TPM_RCS_SIZE + RC_CreateLoaded_inSensitive;
96
97     // Command output
98     if(derivation)
99     {
100         TPMT_KEYEDHASH_SCHEME* scheme;
101         scheme = &parent->publicArea.parameters.keyedHashDetail.scheme;
102
103         // SP800-108 is the only KDF supported by this implementation and there is
104         // no default hash algorithm.
105         pAssert(scheme->details.xor.hashAlg != TPM_ALG_NULL
106                && scheme->details.xor.kdf == TPM_ALG_KDF1_SP800_108);
107         // Don't derive RSA keys
108         if(publicArea->type == TPM_ALG_RSA)
109             return TPM_RCS_TYPE + RC_CreateLoaded_inPublic;
110         // sensitiveDataOrigin has to be CLEAR in a derived object. Since this
111         // is specific to a derived object, it is checked here.
112         if(IS_ATTRIBUTE(
113             publicArea->objectAttributes, TPMA_OBJECT, sensitiveDataOrigin))
114             return TPM_RCS_ATTRIBUTES;
115         // Check the rest of the attributes
116         result = PublicAttributesValidation(parent, 0, publicArea);
117         if(result != TPM_RC_SUCCESS)
118             return RcSafeAddToResult(result, RC_CreateLoaded_inPublic);
119         // Process the template and sensitive areas to get the actual 'label' and
120         // 'context' values to be used for this derivation.
121         result = SetLabelAndContext(&labelContext, &in->inSensitive.sensitive.data);
122         if(result != TPM_RC_SUCCESS)
123             return result;
124         // Set up the KDF for object generation
125         DRBG_InstantiateSeededKdf((KDF_STATE*)rand,

```

```

126         scheme->details.xor.hashAlg,
127         scheme->details.xor.kdf,
128         &parent->sensitive.sensitive.bits.b,
129         &labelContext.label.b,
130         &labelContext.context.b,
131         TPM_MAX_DERIVATION_BITS);
132     // Clear the sensitive size so that the creation functions will not try
133     // to use this value.
134     in->inSensitive.sensitive.data.t.size = 0;
135 }
136 else
137 {
138     // Check attributes in input public area. CreateChecks() checks the things
139     // that are unique to creation and then validates the attributes and values
140     // that are common to create and load.
141     result = CreateChecks(parent,
142                          (parent == NULL) ? in->parentHandle : 0,
143                          publicArea,
144                          in->inSensitive.sensitive.data.t.size);
145
146     if(result != TPM_RC_SUCCESS)
147         return RcSafeAddToResult(result, RC_CreateLoaded_inPublic);
148     // Creating a primary object
149     if(parent == NULL)
150     {
151         TPM2B_NAME name;
152         TPM2B_SEED primary_seed;
153
154         newObject->attributes.primary = SET;
155         if(HierarchyNormalizeHandle(in->parentHandle) == TPM_RH_ENDORSEMENT)
156             newObject->attributes.epsHierarchy = SET;
157
158         result = HierarchyGetPrimarySeed(in->parentHandle, &primary_seed);
159         if(result != TPM_RC_SUCCESS)
160             return result;
161
162         // If so, use the primary seed and the digest of the template
163         // to seed the DRBG
164         result = DRBG_InstantiateSeeded(
165             (DRBG_STATE*)rand,
166             &primary_seed.b,
167             PRIMARY_OBJECT_CREATION,
168             (TPM2B*)PublicMarshalAndComputeName(publicArea, &name),
169             &in->inSensitive.sensitive.data.b);
170         MemorySet(primary_seed.b.buffer, 0, primary_seed.b.size);
171
172         if(result != TPM_RC_SUCCESS)
173             return result;
174     }
175     else
176     {
177         // This is an ordinary object so use the normal random number generator
178         rand = NULL;
179     }
180 }
181 // Internal data update
182 // Create the object
183 result = CryptCreateObject(newObject, &in->inSensitive.sensitive, rand);
184 DRBG_Uninstantiate((DRBG_STATE*)rand);
185 if(result != TPM_RC_SUCCESS)
186     return result;
187 // if this is not a Primary key and not a derived key, then return the sensitive
188 // area
189 if(parent != NULL && !derivation)
190     // Prepare output private data from sensitive
191     SensitiveToPrivate(&newObject->sensitive,

```

```
192         &newObject->name,  
193         parent,  
194         newObject->publicArea.nameAlg,  
195         &out->outPrivate);  
196     else  
197         out->outPrivate.t.size = 0;  
198     // Set the remaining return values  
199     out->outPublic.publicArea = newObject->publicArea;  
200     out->name = newObject->name;  
201     // Set the remaining attributes for a loaded object  
202     ObjectSetLoadedAttributes(newObject, in->parentHandle);  
203  
204     return result;  
205 }  
206  
207 #endif // CC_CreateLoaded  
208
```

## 13 Duplication Commands

### 13.1 TPM2\_Duplicate

#### 13.1.1 General Description

This command duplicates a loaded object so that it may be used in a different hierarchy. The new parent key for the duplicate may be on the same or different TPM or TPM\_RH\_NULL. Only the public area of *newParentHandle* is required to be loaded.

NOTE 1 Since the new parent may only be extant on a different TPM, it is likely that the new parent's sensitive area could not be loaded in the TPM from which *objectHandle* is being duplicated.

If *encryptedDuplication* is SET in the object being duplicated, then the TPM shall return TPM\_RC\_SYMMETRIC if *symmetricAlg.algorithm* is TPM\_ALG\_NULL or TPM\_RC\_HIERARCHY if *newParentHandle* is TPM\_RH\_NULL.

The authorization for this command shall be with a policy session.

If *fixedParent* of *objectHandle→attributes* is SET, the TPM shall return TPM\_RC\_ATTRIBUTES. If *objectHandle→nameAlg* is TPM\_ALG\_NULL, the TPM shall return TPM\_RC\_TYPE.

The *policySession→commandCode* parameter in the policy session is required to be TPM\_CC\_Duplicate to indicate that authorization for duplication has been provided. This indicates that the policy that is being used is a policy that is for duplication, and not a policy that would approve another use. That is, authority to use an object does not grant authority to duplicate the object.

The policy is likely to include cpHash in order to restrict where duplication can occur. If TPM2\_PolicyCpHash() has been executed as part of the policy, the *policySession→cpHash* is compared to the cpHash of the command.

If TPM2\_PolicyDuplicationSelect() has been executed as part of the policy, the *policySession→nameHash* is compared to

$$H_{\text{policyAlg}}(\text{objectHandle} \rightarrow \text{Name} || \text{newParentHandle} \rightarrow \text{Name}) \quad (2)$$

If the compared hashes are not the same, then the TPM shall return TPM\_RC\_POLICY\_FAIL.

NOTE 2 It is allowed that *policySession→nameHash* and *policySession→cpHash* share the same memory space.

NOTE 3 A duplication policy is not required to have either TPM2\_PolicyDuplicationSelect() or TPM2\_PolicyCpHash() as part of the policy. If neither is present, then the duplication policy may be satisfied with a policy that only contains TPM2\_PolicyCommandCode(*code* = TPM\_CC\_Duplicate).

The TPM shall follow the process of encryption defined in the "Duplication" subclause of "Protected Storage Hierarchy" in TPM 2.0 Part 1.



## 13.1.2 Command and Response

Table 37 — TPM2\_Duplicate Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_Duplicate
TPMI_DH_OBJECT	@objectHandle	loaded object to duplicate Auth Index: 1 Auth Role: DUP
TPMI_DH_OBJECT+	newParentHandle	shall reference the public area of an asymmetric key Auth Index: None
TPM2B_DATA	encryptionKeyIn	optional symmetric encryption key The size for this key is set to zero when the TPM is to generate the key. This parameter may be encrypted.
TPMT_SYM_DEF_OBJECT+	symmetricAlg	definition for the symmetric algorithm to be used for the inner wrapper may be TPM_ALG_NULL if no inner wrapper is applied

Table 38 — TPM2\_Duplicate Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_DATA	encryptionKeyOut	If the caller provided an encryption key or if <i>symmetricAlg</i> was TPM_ALG_NULL, then this will be the Empty Buffer; otherwise, it shall contain the TPM-generated, symmetric encryption key for the inner wrapper.
TPM2B_PRIVATE	duplicate	private area that may be encrypted by <i>encryptionKeyIn</i> ; and may be doubly encrypted
TPM2B_ENCRYPTED_SECRET	outSymSeed	seed protected by the asymmetric algorithms of new parent (NP)

### 13.1.3 Detailed Actions

#### 13.1.3.1 /tpm/src/command/Duplication/Duplicate.c

```

1  #include "Tpm.h"
2  #include "Duplicate_fp.h"
3
4  #if CC_Duplicate // Conditional expansion of this file
5
6  # include "Object_spt_fp.h"
7
8  /*(See part 3 specification)
9  // Duplicate a loaded object
10 */
11 // Return Type: TPM_RC
12 //     TPM_RC_ATTRIBUTES key to duplicate has 'fixedParent' SET
13 //     TPM_RC_HASH       for an RSA key, the nameAlg digest size for the
14 //                       newParent is not compatible with the key size
15 //     TPM_RC_HIERARCHY  'encryptedDuplication' is SET and 'newParentHandle'
16 //                       specifies Null Hierarchy
17 //     TPM_RC_KEY        'newParentHandle' references invalid ECC key (public
18 //                       point not on the curve)
19 //     TPM_RC_SIZE       input encryption key size does not match the
20 //                       size specified in symmetric algorithm
21 //     TPM_RC_SYMMETRIC  'encryptedDuplication' is SET but no symmetric
22 //                       algorithm is provided
23 //     TPM_RC_TYPE       'newParentHandle' is neither a storage key nor
24 //                       TPM_RH_NULL; or the object has a NULL nameAlg
25 //     TPM_RC_VALUE      for an RSA newParent, the sizes of the digest and
26 //                       the encryption key are too large to be OAEP encoded
27 TPM_RC
28 TPM2_Duplicate(Duplicate_In* in, // IN: input parameter list
29               Duplicate_Out* out // OUT: output parameter list
30 )
31 {
32     TPM_RC result = TPM_RC_SUCCESS;
33     TPMT_SENSITIVE sensitive;
34
35     UINT16 innerKeySize = 0; // encrypt key size for inner wrap
36
37     OBJECT* object;
38     OBJECT* newParent;
39     TPM2B_DATA data;
40
41     // Input Validation
42
43     // Get duplicate object pointer
44     object = HandleToObject(in->objectHandle);
45     // Get new parent
46     newParent = HandleToObject(in->newParentHandle);
47
48     // duplicate key must have fixParent bit CLEAR.
49     if(IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT, fixedParent))
50         return TPM_RCS_ATTRIBUTES + RC_Duplicate_objectHandle;
51
52     // Do not duplicate object with NULL nameAlg
53     if(object->publicArea.nameAlg == TPM_ALG_NULL)
54         return TPM_RCS_TYPE + RC_Duplicate_objectHandle;
55
56     // new parent key must be a storage object or TPM_RH_NULL
57     if(in->newParentHandle != TPM_RH_NULL && !ObjectIsStorage(in->newParentHandle))
58         return TPM_RCS_TYPE + RC_Duplicate_newParentHandle;
59

```

```

60 // If the duplicated object has encryptedDuplication SET, then there must be
61 // an inner wrapper and the new parent may not be TPM_RH_NULL
62 if(IS_ATTRIBUTE(
63     object->publicArea.objectAttributes, TPMA_OBJECT, encryptedDuplication))
64 {
65     if(in->symmetricAlg.algorithm == TPM_ALG_NULL)
66         return TPM_RCS_SYMMETRIC + RC_Duplicate_symmetricAlg;
67     if(in->newParentHandle == TPM_RH_NULL)
68         return TPM_RCS_HIERARCHY + RC_Duplicate_newParentHandle;
69 }
70
71 if(in->symmetricAlg.algorithm == TPM_ALG_NULL)
72 {
73     // if algorithm is TPM_ALG_NULL, input key size must be 0
74     if(in->encryptionKeyIn.t.size != 0)
75         return TPM_RCS_SIZE + RC_Duplicate_encryptionKeyIn;
76 }
77 else
78 {
79     // Get inner wrap key size
80     innerKeySize = in->symmetricAlg.keyBits.sym;
81
82     // If provided the input symmetric key must match the size of the algorithm
83     if(in->encryptionKeyIn.t.size != 0
84         && in->encryptionKeyIn.t.size != (innerKeySize + 7) / 8)
85         return TPM_RCS_SIZE + RC_Duplicate_encryptionKeyIn;
86 }
87
88 // Command Output
89
90 if(in->newParentHandle != TPM_RH_NULL)
91 {
92     // Make encrypt key and its associated secret structure. A TPM_RC_KEY
93     // error may be returned at this point
94     out->outSymSeed.t.size = sizeof(out->outSymSeed.t.secret);
95     result =
96         CryptSecretEncrypt(newParent, DUPLICATE_STRING, &data, &out->outSymSeed);
97     if(result != TPM_RC_SUCCESS)
98         return result;
99 }
100 else
101 {
102     // Do not apply outer wrapper
103     data.t.size = 0;
104     out->outSymSeed.t.size = 0;
105 }
106
107 // Copy sensitive area
108 sensitive = object->sensitive;
109
110 // Prepare output private data from sensitive.
111 // Note: If there is no encryption key, one will be provided by
112 // SensitiveToDuplicate(). This is why the assignment of encryptionKeyIn to
113 // encryptionKeyOut will work properly and is not conditional.
114 SensitiveToDuplicate(&sensitive,
115     &object->name.b,
116     newParent,
117     object->publicArea.nameAlg,
118     &data.b,
119     &in->symmetricAlg,
120     &in->encryptionKeyIn,
121     &out->duplicate);
122
123 out->encryptionKeyOut = in->encryptionKeyIn;
124
125 return TPM_RC_SUCCESS;

```

```
126 }  
127  
128 #endif // CC_Duplicate  
129
```

## 13.2 TPM2\_Rewrap

### 13.2.1 General Description

This command allows the TPM to serve in the role as a Duplication Authority. If proper authorization for use of the *oldParent* is provided, then an HMAC key and a symmetric key are recovered from *inSymSeed* and used to integrity check and decrypt *inDuplicate*. A new protection seed value is generated according to the methods appropriate for *newParent* and the blob is re-encrypted and a new integrity value is computed. The re-encrypted blob is returned in *outDuplicate*, and the symmetric key returned in *outSymKey*.

In the rewrap process, L is “DUPLICATE” (see TPM 2.0 Part 1, *Terms and Definitions*).

If *inSymSeed* has a zero length, then *oldParent* is required to be TPM\_RH\_NULL and no decryption of *inDuplicate* takes place.

If *newParent* is TPM\_RH\_NULL, then no encryption is performed on *outDuplicate*. *outSymSeed* will have a zero length (see TPM 2.0 Part 2, *encryptedDuplication*).

## 13.2.2 Command and Response

Table 39 — TPM2\_Rewrap Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_Rewrap
TPMI_DH_OBJECT+	@oldParent	parent of object Auth Index: 1 Auth Role: User
TPMI_DH_OBJECT+	newParent	new parent of the object Auth Index: None
TPM2B_PRIVATE	inDuplicate	an object encrypted using symmetric key derived from <i>inSymSeed</i>
TPM2B_NAME	name	the Name of the object being rewrapped
TPM2B_ENCRYPTED_SECRET	inSymSeed	the seed for the symmetric key and HMAC key needs <i>oldParent</i> private key to recover the seed and generate the symmetric key

Table 40 — TPM2\_Rewrap Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_PRIVATE	outDuplicate	an object encrypted using symmetric key derived from <i>outSymSeed</i>
TPM2B_ENCRYPTED_SECRET	outSymSeed	seed for a symmetric key protected by <i>newParent</i> asymmetric key

### 13.2.3 Detailed Actions

#### 13.2.3.1 /tpm/src/command/Duplication/Rewrap.c

```

1  #include "Tpm.h"
2  #include "Rewrap_fp.h"
3
4  #if CC_Rewrap // Conditional expansion of this file
5
6  # include "Object_spt_fp.h"
7
8  /*(See part 3 specification)
9  // This command allows the TPM to serve in the role as an MA.
10 */
11 // Return Type: TPM_RC
12 //     TPM_RC_ATTRIBUTES      'newParent' is not a decryption key
13 //     TPM_RC_HANDLE          'oldParent' is not consistent with inSymSeed
14 //     TPM_RC_INTEGRITY       the integrity check of 'inDuplicate' failed
15 //     TPM_RC_KEY             for an ECC key, the public key is not on the curve
16 //                             of the curve ID
17 //     TPM_RC_KEY_SIZE        the decrypted input symmetric key size
18 //                             does not match the symmetric algorithm
19 //                             key size of 'oldParent'
20 //     TPM_RC_TYPE            'oldParent' is not a storage key, or 'newParent'
21 //                             is not a storage key
22 //     TPM_RC_VALUE           for an 'oldParent'; RSA key, the data to be decrypted
23 //                             is greater than the public exponent
24 //     Unmarshal errors       errors during unmarshaling the input
25 //                             encrypted buffer to a ECC public key, or
26 //                             unmarshal the private buffer to 'sensitive'
27 TPM_RC
28 TPM2_Rewrap(Rewrap_In* in, // IN: input parameter list
29            Rewrap_Out* out // OUT: output parameter list
30 )
31 {
32     TPM_RC      result = TPM_RC_SUCCESS;
33     TPM2B_DATA   data; // symmetric key
34     UINT16       hashSize = 0;
35     TPM2B_PRIVATE privateBlob; // A temporary private blob
36                               // to transit between old
37                               // and new wrappers
38                               // Input Validation
39     if((in->inSymSeed.t.size == 0 && in->oldParent != TPM_RH_NULL)
40        || (in->inSymSeed.t.size != 0 && in->oldParent == TPM_RH_NULL))
41         return TPM_RCS_HANDLE + RC_Rewrap_oldParent;
42     if(in->oldParent != TPM_RH_NULL)
43     {
44         OBJECT* oldParent = HandleToObject(in->oldParent);
45
46         // old parent key must be a storage object
47         if(!ObjectIsStorage(in->oldParent))
48             return TPM_RCS_TYPE + RC_Rewrap_oldParent;
49         // Decrypt input secret data via asymmetric decryption. A
50         // TPM_RC_VALUE, TPM_RC_KEY or unmarshal errors may be returned at this
51         // point
52         result = CryptSecretDecrypt(
53             oldParent, NULL, DUPLICATE_STRING, &in->inSymSeed, &data);
54         if(result != TPM_RC_SUCCESS)
55             return TPM_RCS_VALUE + RC_Rewrap_inSymSeed;
56         // Unwrap Outer
57         result = UnwrapOuter(oldParent,
58                             &in->name.b,
59                             oldParent->publicArea.nameAlg,

```

```

60         &data.b,
61         FALSE,
62         in->inDuplicate.t.size,
63         in->inDuplicate.t.buffer);
64     if(result != TPM_RC_SUCCESS)
65         return RcSafeAddToResult(result, RC_Rewrap_inDuplicate);
66     // Copy unwrapped data to temporary variable, remove the integrity field
67     hashSize =
68         sizeof(UINT16) + CryptHashGetDigestSize(oldParent->publicArea.nameAlg);
69     privateBlob.t.size = in->inDuplicate.t.size - hashSize;
70     pAssert(privateBlob.t.size <= sizeof(privateBlob.t.buffer));
71     MemoryCopy(privateBlob.t.buffer,
72               in->inDuplicate.t.buffer + hashSize,
73               privateBlob.t.size);
74 }
75 else
76 {
77     // No outer wrap from input blob. Direct copy.
78     privateBlob = in->inDuplicate;
79 }
80 if(in->newParent != TPM_RH_NULL)
81 {
82     OBJECT* newParent;
83     newParent = HandleToObject(in->newParent);
84
85     // New parent must be a storage object
86     if(!ObjectIsStorage(in->newParent))
87         return TPM_RCS_TYPE + RC_Rewrap_newParent;
88     // Make new encrypt key and its associated secret structure. A
89     // TPM_RC_VALUE error may be returned at this point if RSA algorithm is
90     // enabled in TPM
91     out->outSymSeed.t.size = sizeof(out->outSymSeed.t.secret);
92     result =
93         CryptSecretEncrypt(newParent, DUPLICATE_STRING, &data, &out->outSymSeed);
94     if(result != TPM_RC_SUCCESS)
95         return result;
96     // Copy temporary variable to output, reserve the space for integrity
97     hashSize =
98         sizeof(UINT16) + CryptHashGetDigestSize(newParent->publicArea.nameAlg);
99     // Make sure that everything fits into the output buffer
100    // Note: this is mostly only an issue if there was no outer wrapper on
101    // 'inDuplicate'. It could be as large as a TPM2B_PRIVATE buffer. If we add
102    // a digest for an outer wrapper, it won't fit anymore.
103    if((privateBlob.t.size + hashSize) > sizeof(out->outDuplicate.t.buffer))
104        return TPM_RCS_VALUE + RC_Rewrap_inDuplicate;
105    // Command output
106    out->outDuplicate.t.size = privateBlob.t.size;
107    pAssert(privateBlob.t.size <= sizeof(out->outDuplicate.t.buffer) - hashSize);
108    MemoryCopy(out->outDuplicate.t.buffer + hashSize,
109              privateBlob.t.buffer,
110              privateBlob.t.size);
111    // Produce outer wrapper for output
112    out->outDuplicate.t.size = ProduceOuterWrap(newParent,
113                                              &in->name.b,
114                                              newParent->publicArea.nameAlg,
115                                              &data.b,
116                                              FALSE,
117                                              out->outDuplicate.t.size,
118                                              out->outDuplicate.t.buffer);
119 }
120 else // New parent is a null key so there is no seed
121 {
122     out->outSymSeed.t.size = 0;
123
124     // Copy privateBlob directly
125     out->outDuplicate = privateBlob;

```



```
126     }  
127     return TPM_RC_SUCCESS;  
128 }  
129  
130 #endif // CC_Rewrap  
131
```

### 13.3 TPM2\_Import

#### 13.3.1 General Description

This command allows an object to be encrypted using the symmetric encryption values of a Storage Key. After encryption, the object may be loaded and used in the new hierarchy. The imported object (*duplicate*) may be singly encrypted, multiply encrypted, or unencrypted.

If *fixedTPM* or *fixedParent* is SET in *objectPublic*, the TPM shall return TPM\_RC\_ATTRIBUTES.

If *encryptedDuplication* is SET in the object referenced by *parentHandle* and *encryptedDuplication* is CLEAR in *objectPublic*, the TPM may return TPM\_RC\_ATTRIBUTES.

If *encryptedDuplication* is SET in *objectPublic*, then *inSymSeed* and *encryptionKey* shall not be Empty buffers (TPM\_RC\_ATTRIBUTES). Recovery of the sensitive data of the object occurs in the TPM in a multi-step process in the following order:

a) If *inSymSeed* has a non-zero size:

- 1) The asymmetric parameters and private key of *parentHandle* are used to recover the seed used in the creation of the HMAC key and encryption keys used to protect the duplication blob.

NOTE 1 When recovering the seed from *inSymSeed*, *L* is "DUPLICATE".

- 2) The integrity value in *duplicate.buffer.integrityOuter* is used to verify the integrity of the data blob, which is the remainder of *duplicate.buffer* (TPM\_RC\_INTEGRITY).

NOTE 2 The data blob will contain a TPMT\_SENSITIVE and can contain a TPM2B\_DIGEST for the *innerIntegrity*.

- 3) The symmetric key recovered in 1) is used to decrypt the data blob.

NOTE 3 Checking the integrity before the data is used prevents attacks on the sensitive area by fuzzing the data and looking at the differences in the response codes.

b) If *encryptionKey* is not an Empty Buffer:

- 1) Use *encryptionKey* to decrypt the inner blob.
- 2) Use the TPM2B\_DIGEST at the start of the inner blob to verify the integrity of the inner blob (TPM\_RC\_INTEGRITY).

c) Unmarshal the sensitive area

NOTE 4 It is not necessary to validate that the sensitive area data is cryptographically bound to the public area other than that the Name of the public area is included in the HMAC. However, if the binding is not validated by this command, the binding must be checked each time the object is loaded. For an object that is imported under a parent with *fixedTPM* SET, binding need only be checked at import. If the parent has *fixedTPM* CLEAR, then the binding needs to be checked each time the object is loaded, or before the TPM performs an operation for which the binding affects the outcome of the operation (for example, TPM2\_PolicySigned() or TPM2\_Certify()).

Similarly, if the new parent's *fixedTPM* is set, the *encryptedDuplication* state need only be checked at import.

If the new parent is not *fixedTPM*, then that object will be loadable on any TPM (including SW versions) on which the new parent exists. This means that, each time an object is loaded under a parent that is not *fixedTPM*, it is necessary to validate all of the properties of that object. If the parent is *fixedTPM*, then the new private blob is integrity protected by the TPM that "owns" the parent. So, it is sufficient to validate the object's properties (attribute and public-private binding) on import and not again.

If a weak symmetric key is being imported, the TPM shall return TPM\_RC\_KEY.

After integrity checks and decryption, the TPM will create a new symmetrically encrypted private area using the encryption key of the parent.

NOTE 5            The symmetric re-encryption is the normal integrity generation and symmetric encryption applied to a child object.

NOTE 6            Revision 01.16 of this specification required the ECC private key in *duplicate* to be padded.

DRAFT

## 13.3.2 Command and Response

Table 41 — TPM2\_Import Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_Import
TPMI_DH_OBJECT	@parentHandle	the handle of the new parent for the object Auth Index: 1 Auth Role: USER
TPM2B_DATA	encryptionKey	the optional symmetric encryption key used as the inner wrapper for <i>duplicate</i> If <i>symmetricAlg</i> is TPM_ALG_NULL, then this parameter shall be the Empty Buffer.
TPM2B_PUBLIC	objectPublic	the public area of the object to be imported This is provided so that the integrity value for <i>duplicate</i> and the object attributes can be checked. NOTE Even if the integrity value of the object is not checked on input, the object Name is required to create the integrity value for the imported object.
TPM2B_PRIVATE	duplicate	the symmetrically encrypted duplicate object that may contain an inner symmetric wrapper
TPM2B_ENCRYPTED_SECRET	inSymSeed	the seed for the symmetric key and HMAC key <i>inSymSeed</i> is encrypted/encoded using the algorithms of <i>newParent</i> .
TPMT_SYM_DEF_OBJECT+	symmetricAlg	definition for the symmetric algorithm to use for the inner wrapper If this algorithm is TPM_ALG_NULL, no inner wrapper is present and <i>encryptionKey</i> shall be the Empty Buffer.

Table 42 — TPM2\_Import Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_PRIVATE	outPrivate	the sensitive area encrypted with the symmetric key of <i>parentHandle</i>

### 13.3.3 Detailed Actions

#### 13.3.3.1 /tpm/src/command/Duplication/Import.c

```

1  #include "Tpm.h"
2  #include "Import_fp.h"
3
4  #if CC_Import // Conditional expansion of this file
5
6  # include "Object_spt_fp.h"
7
8  /*(See part 3 specification)
9  // This command allows an asymmetrically encrypted blob, containing a duplicated
10 // object to be re-encrypted using the group symmetric key associated with the
11 // parent.
12 */
13 // Return Type: TPM_RC
14 // TPM_RC_ATTRIBUTES 'FixedTPM' and 'fixedParent' of 'objectPublic' are not
15 // both CLEAR; or 'inSymSeed' is nonempty and
16 // 'parentHandle' does not reference a decryption key; or
17 // 'objectPublic' and 'parentHandle' have incompatible
18 // or inconsistent attributes; or
19 // encryptedDuplication is SET in 'objectPublic' but the
20 // inner or outer wrapper is missing.
21 // Note that if the TPM provides parameter values, the
22 // parameter number will indicate 'symmetricKey' (missing
23 // inner wrapper) or 'inSymSeed' (missing outer wrapper)
24 // TPM_RC_BINDING 'duplicate' and 'objectPublic' are not
25 // cryptographically bound
26 // TPM_RC_ECC_POINT 'inSymSeed' is nonempty and ECC point in 'inSymSeed'
27 // is not on the curve
28 // TPM_RC_HASH 'objectPublic' does not have a valid nameAlg
29 // TPM_RC_INSUFFICIENT 'inSymSeed' is nonempty and failed to retrieve ECC
30 // point from the secret; or unmarshaling sensitive value
31 // from 'duplicate' failed the result of 'inSymSeed'
32 // decryption
33 // TPM_RC_INTEGRITY 'duplicate' integrity is broken
34 // TPM_RC_KDF 'objectPublic' representing decrypting keyed hash
35 // object specifies invalid KDF
36 // TPM_RC_KEY inconsistent parameters of 'objectPublic'; or
37 // 'inSymSeed' is nonempty and 'parentHandle' does not
38 // reference a key of supported type; or
39 // invalid key size in 'objectPublic' representing an
40 // asymmetric key
41 // TPM_RC_NO_RESULT 'inSymSeed' is nonempty and multiplication resulted in
42 // ECC point at infinity
43 // TPM_RC_OBJECT_MEMORY no available object slot
44 // TPM_RC_SCHEME inconsistent attributes 'decrypt', 'sign',
45 // 'restricted' and key's scheme ID in 'objectPublic';
46 // or hash algorithm is inconsistent with the scheme ID
47 // for keyed hash object
48 // TPM_RC_SIZE 'authPolicy' size does not match digest size of the
49 // name algorithm in 'objectPublic'; or
50 // 'symmetricAlg' and 'encryptionKey' have different
51 // sizes; or
52 // 'inSymSeed' is nonempty and its size is not
53 // consistent with the type of 'parentHandle'; or
54 // unmarshaling sensitive value from 'duplicate' failed
55 // TPM_RC_SYMMETRIC 'objectPublic' is either a storage key with no
56 // symmetric algorithm or a non-storage key with
57 // symmetric algorithm different from TPM_ALG_NULL
58 // TPM_RC_TYPE unsupported type of 'objectPublic'; or
59 // 'parentHandle' is not a storage key; or

```

```

60 // only the public portion of 'parentHandle' is loaded;
61 // or 'objectPublic' and 'duplicate' are of different
62 // types
63 // TPM_RC_VALUE nonempty 'inSymSeed' and its numeric value is
64 // greater than the modulus of the key referenced by
65 // 'parentHandle' or 'inSymSeed' is larger than the
66 // size of the digest produced by the name algorithm of
67 // the symmetric key referenced by 'parentHandle'
68 TPM_RC
69 TPM2_Import(Import_In* in, // IN: input parameter list
70             Import_Out* out // OUT: output parameter list
71 )
72 {
73     TPM_RC result = TPM_RC_SUCCESS;
74     OBJECT* parentObject;
75     TPM2B_DATA data; // symmetric key
76     TPMT_SENSITIVE sensitive;
77     TPM2B_NAME name;
78     TPMA_OBJECT attributes;
79     UINT16 innerKeySize = 0; // encrypt key size for inner
80                             // wrapper
81
82     // Input Validation
83     // to save typing
84     attributes = in->objectPublic.publicArea.objectAttributes;
85     // FixedTPM and fixedParent must be CLEAR
86     if(IS_ATTRIBUTE(attributes, TPMA_OBJECT, fixedTPM)
87        || IS_ATTRIBUTE(attributes, TPMA_OBJECT, fixedParent))
88         return TPM_RCS_ATTRIBUTES + RC_Import_objectPublic;
89
90     // Get parent pointer
91     parentObject = HandleToObject(in->parentHandle);
92
93     if(!ObjectIsParent(parentObject))
94         return TPM_RCS_TYPE + RC_Import_parentHandle;
95
96     if(in->symmetricAlg.algorithm != TPM_ALG_NULL)
97     {
98         // Get inner wrap key size
99         innerKeySize = in->symmetricAlg.keyBits.sym;
100        // Input symmetric key must match the size of algorithm.
101        if(in->encryptionKey.t.size != (innerKeySize + 7) / 8)
102            return TPM_RCS_SIZE + RC_Import_encryptionKey;
103    }
104    else
105    {
106        // If input symmetric algorithm is NULL, input symmetric key size must
107        // be 0 as well
108        if(in->encryptionKey.t.size != 0)
109            return TPM_RCS_SIZE + RC_Import_encryptionKey;
110        // If encryptedDuplication is SET, then the object must have an inner
111        // wrapper
112        if(IS_ATTRIBUTE(attributes, TPMA_OBJECT, encryptedDuplication))
113            return TPM_RCS_ATTRIBUTES + RC_Import_encryptionKey;
114    }
115    // See if there is an outer wrapper
116    if(in->inSymSeed.t.size != 0)
117    {
118        // in->inParentHandle is a parent, but in order to decrypt an outer wrapper,
119        // it must be able to do key exchange and a symmetric key can't do that.
120        if(parentObject->publicArea.type == TPM_ALG_SYMCIPHER)
121            return TPM_RCS_TYPE + RC_Import_parentHandle;
122
123        // Decrypt input secret data via asymmetric decryption. TPM_RC_ATTRIBUTES,
124        // TPM_RC_ECC_POINT, TPM_RC_INSUFFICIENT, TPM_RC_KEY, TPM_RC_NO_RESULT,
125        // TPM_RC_SIZE, TPM_RC_VALUE may be returned at this point

```

```

126     result = CryptSecretDecrypt(
127         parentObject, NULL, DUPLICATE_STRING, &in->inSymSeed, &data);
128     pAssert(result != TPM_RC_BINDING);
129     if(result != TPM_RC_SUCCESS)
130         return RcSafeAddToResult(result, RC_Import_inSymSeed);
131 }
132 else
133 {
134     // If encryptedDuplication is set, then the object must have an outer
135     // wrapper
136     if(IS_ATTRIBUTE(attributes, TPMA_OBJECT, encryptedDuplication))
137         return TPM_RCS_ATTRIBUTES + RC_Import_inSymSeed;
138     data.t.size = 0;
139 }
140 // Compute name of object
141 PublicMarshalAndComputeName(&(in->objectPublic.publicArea), &name);
142 if(name.t.size == 0)
143     return TPM_RCS_HASH + RC_Import_objectPublic;
144
145 // Retrieve sensitive from private.
146 // TPM_RC_INSUFFICIENT, TPM_RC_INTEGRITY, TPM_RC_SIZE may be returned here.
147 result = DuplicateToSensitive(&in->duplicate.b,
148                             &name.b,
149                             parentObject,
150                             in->objectPublic.publicArea.nameAlg,
151                             &data.b,
152                             &in->symmetricAlg,
153                             &in->encryptionKey.b,
154                             &sensitive);
155 if(result != TPM_RC_SUCCESS)
156     return RcSafeAddToResult(result, RC_Import_duplicate);
157
158 // If the parent of this object has fixedTPM SET, then validate this
159 // object as if it were being loaded so that validation can be skipped
160 // when it is actually loaded.
161 if(IS_ATTRIBUTE(parentObject->publicArea.objectAttributes, TPMA_OBJECT, fixedTPM))
162 {
163     result = ObjectLoad(NULL,
164                        NULL,
165                        &in->objectPublic.publicArea,
166                        &sensitive,
167                        RC_Import_objectPublic,
168                        RC_Import_duplicate,
169                        NULL);
170 }
171 // Command output
172 if(result == TPM_RC_SUCCESS)
173 {
174     // Prepare output private data from sensitive
175     SensitiveToPrivate(&sensitive,
176                      &name,
177                      parentObject,
178                      in->objectPublic.publicArea.nameAlg,
179                      &out->outPrivate);
180 }
181 return result;
182 }
183
184 #endif // CC_Import
185

```

## 14 Asymmetric Primitives

### 14.1 Introduction

The commands in clause 13.3.3.1 provide low-level primitives for access to the asymmetric algorithms implemented in the TPM. Many of these commands are only allowed if the asymmetric key is an unrestricted key.

### 14.2 TPM2\_RSA\_Encrypt

#### 14.2.1 General Description

This command performs RSA encryption using the indicated padding scheme according to IETF RFC 8017. If the *scheme* of *keyHandle* is TPM\_ALG\_NULL, then the caller may use *inScheme* to specify the padding scheme. If *scheme* of *keyHandle* is not TPM\_ALG\_NULL, then *inScheme* shall either be TPM\_ALG\_NULL or be the same as *scheme* (TPM\_RC\_SCHEME).

The key referenced by *keyHandle* is required to be an RSA key (TPM\_RC\_KEY).

The three types of allowed padding are:

- 1) TPM\_ALG\_OAEP – Data is OAEP padded as described in 7.1 of IETF RFC 8017 (PKCS#1). The only supported mask generation is MGF1.
- 2) TPM\_ALG\_RSAES – Data is padded as described in 7.2 of IETF RFC 8017 (PKCS#1).
- 3) TPM\_ALG\_NULL – Data is not padded by the TPM and the TPM will treat *message* as an unsigned integer and perform a modular exponentiation of *message* using the public exponent of the key referenced by *keyHandle*. This scheme is only used if both the *scheme* in the key referenced by *keyHandle* is TPM\_ALG\_NULL, and the *inScheme* parameter of the command is TPM\_ALG\_NULL. The input value cannot be larger than the public modulus of the key referenced by *keyHandle*.

**Table 43 — Padding Scheme Selection**

<i>keyHandle</i> → <i>scheme</i>	<i>inScheme</i>	padding scheme used
TPM_ALG_NULL	TPM_ALG_NULL	none
	TPM_ALG_RSAES	RSAES
	TPM_ALG_OAEP	OAEP
TPM_ALG_RSAES	TPM_ALG_NULL	RSAES
	TPM_ALG_RSAES	RSAES
	TPM_ALG_OAEP	error (TPM_RC_SCHEME)
TPM_ALG_OAEP	TPM_ALG_NULL	OAEP
	TPM_ALG_RSAES	error (TPM_RC_SCHEME)
	TPM_ALG_OAEP	OAEP

After padding, the data is RSAEP encrypted according to 5.1.1 of IETF RFC 8017 (PKCS#1).

If *inScheme* is used, and the scheme requires a hash algorithm it may not be TPM\_ALG\_NULL.

**NOTE 1** Because only the public portion of the key needs to be loaded for this command, the caller can manipulate the attributes of the key in any way desired. As a result, the TPM shall not check the consistency of the attributes. The only property checking is that the key is an RSA key and that the padding scheme is supported.



The *message* parameter is limited in size by the padding scheme according to the following table:

**Table 44 — Message Size Limits Based on Padding**

Scheme	Maximum Message Length ( <i>mLen</i> ) in Octets	Comments
TPM_ALG_OAEP	$mLen \leq k - 2hLen - 2$	
TPM_ALG_RSAES	$mLen \leq k - 11$	
TPM_ALG_NULL	$mLen \leq k$	The numeric value of the message must be less than the numeric value of the public modulus ( <i>n</i> ).
NOTES <i>k</i> := the number of bytes in the public modulus <i>hLen</i> := the number of octets in the digest produced by the hash algorithm used in the process		

The *label* parameter is optional. If provided (*label.size* != 0) then the TPM shall return TPM\_RC\_VALUE if the last octet in *label* is not zero. The terminating octet of zero is included in the *label* used in the padding scheme.

NOTE 2 If the scheme does not use a label, the TPM will still verify that label is properly formatted if label is present.

NOTE 3 Specifications before version 1.54 stated that *label* is truncated after the first zero octet. Applications should not include embedded zero bytes for compatibility.

The function returns padded and encrypted value *outData*.

The *message* parameter in the command may be encrypted using parameter encryption.

NOTE 4 Only the public area of *keyHandle* is required to be loaded. A public key can be loaded with any desired scheme. If the scheme is to be changed, a different public area needs to be loaded.

## 14.2.2 Command and Response

Table 45 — TPM2\_RSA\_Encrypt Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit, encrypt, or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_RSA_Encrypt
TPMI_DH_OBJECT	keyHandle	reference to public portion of RSA key to use for encryption Auth Index: None
TPM2B_PUBLIC_KEY_RSA	message	message to be encrypted NOTE The data type was chosen because it limits the overall size of the input to no greater than the size of the largest RSA public key. This may be larger than allowed for <i>keyHandle</i> .
TPMT_RSA_DECRYPT+	inScheme	the padding scheme to use if <i>scheme</i> associated with <i>keyHandle</i> is TPM_ALG_NULL
TPM2B_DATA	label	optional label <i>L</i> to be associated with the message Size of the buffer is zero if no label is present NOTE See the description of <i>label</i> above.

Table 46 — TPM2\_RSA\_Encrypt Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_PUBLIC_KEY_RSA	outData	encrypted output

### 14.2.3 Detailed Actions

#### 14.2.3.1 /tpm/src/command/Asymmetric/RSA\_Encrypt.c

```

1  #include "Tpm.h"
2  #include "RSA_Encrypt_fp.h"
3
4  #if CC_RSA_Encrypt // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // This command performs the padding and encryption of a data block
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_ATTRIBUTES      'decrypt' attribute is not SET in key referenced
11 //                             by 'keyHandle'
12 //     TPM_RC_KEY             'keyHandle' does not reference an RSA key
13 //     TPM_RC_SCHEME          incorrect input scheme, or the chosen
14 //                             scheme is not a valid RSA decrypt scheme
15 //     TPM_RC_VALUE           the numeric value of 'message' is greater than
16 //                             the public modulus of the key referenced by
17 //                             'keyHandle', or 'label' is not a null-terminated
18 //                             string
19 TPM_RC
20 TPM2_RSA_Encrypt(RSA_Encrypt_In* in, // IN: input parameter list
21                 RSA_Encrypt_Out* out // OUT: output parameter list
22 )
23 {
24     TPM_RC      result;
25     OBJECT*     rsaKey;
26     TPMT_RSA_DECRYPT* scheme;
27     // Input Validation
28     rsaKey = HandleToObject(in->keyHandle);
29
30     // selected key must be an RSA key
31     if(rsaKey->publicArea.type != TPM_ALG_RSA)
32         return TPM_RCS_KEY + RC_RSA_Encrypt_keyHandle;
33     // selected key must have the decryption attribute
34     if(!IS_ATTRIBUTE(rsaKey->publicArea.objectAttributes, TPMA_OBJECT, decrypt))
35         return TPM_RCS_ATTRIBUTES + RC_RSA_Encrypt_keyHandle;
36
37     // Is there a label?
38     if(!IsLabelProperlyFormatted(&in->label.b))
39         return TPM_RCS_VALUE + RC_RSA_Encrypt_label;
40     // Command Output
41     // Select a scheme for encryption
42     scheme = CryptRsaSelectScheme(in->keyHandle, &in->inScheme);
43     if(scheme == NULL)
44         return TPM_RCS_SCHEME + RC_RSA_Encrypt_inScheme;
45
46     // Encryption. TPM_RC_VALUE, or TPM_RC_SCHEME errors may be returned by
47     // CryptEncryptRSA.
48     out->outData.t.size = sizeof(out->outData.t.buffer);
49
50     result = CryptRsaEncrypt(
51         &out->outData, &in->message.b, rsaKey, scheme, &in->label.b, NULL);
52     return result;
53 }
54
55 #endif // CC_RSA_Encrypt
56

```

## 14.3 TPM2\_RSA\_Decrypt

### 14.3.1 General Description

This command performs RSA decryption using the indicated padding scheme according to IETF RFC 8017 ((PKCS#1).

The scheme selection for this command is the same as for TPM2\_RSA\_Encrypt() and is shown in Table 43.

The key referenced by *keyHandle* shall be an RSA key (TPM\_RC\_KEY) with *restricted* CLEAR and *decrypt* SET (TPM\_RC\_ATTRIBUTES).

This command uses the private key of *keyHandle* for this operation and authorization is required.

The TPM will perform a modular exponentiation of ciphertext using the private exponent associated with *keyHandle* (this is described in IETF RFC 8017 (PKCS#1), clause 5.1.2). It will then validate the padding according to the selected scheme. If the padding checks fail, TPM\_RC\_VALUE is returned. Otherwise, the data is returned with the padding removed. If no padding is used, the returned value is an unsigned integer value that is the result of the modular exponentiation of *cipherText* using the private exponent of *keyHandle*. The returned value may include leading octets zeros so that it is the same size as the public modulus. For the other padding schemes, the returned value will be smaller than the public modulus but will contain all the data remaining after padding is removed and this may include leading zeros if the original encrypted value contained leading zeros.

If a label is used in the padding process of the scheme during encryption, the *label* parameter is required to be present in the decryption process and *label* is required to be the same in both cases. If label is not the same, the decrypt operation is very likely to fail ((TPM\_RC\_VALUE). If *label* is present (*label.size* != 0), it shall be a byte stream whose last byte is zero or the TPM will return TPM\_RC\_VALUE.

NOTE                      The size of *label* includes the terminating null.

The *message* parameter in the response may be encrypted using parameter encryption.

If *inScheme* is used, and the scheme requires a hash algorithm it may not be TPM\_ALG\_NULL.

If the scheme does not require a label, the value in *label* is not used but the size of the label field is checked for consistency with the indicated data type (TPM2B\_DATA). That is, the field may not be larger than allowed for a TPM2B\_DATA.

## 14.3.2 Command and Response

Table 47 — TPM2\_RSA\_Decrypt Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_RSA_Decrypt
TPMI_DH_OBJECT	@keyHandle	RSA key to use for decryption Auth Index: 1 Auth Role: USER
TPM2B_PUBLIC_KEY_RSA	cipherText	cipher text to be decrypted NOTE An encrypted RSA data block is the size of the public modulus.
TPMT_RSA_DECRYPT+	inScheme	the padding scheme to use if <i>scheme</i> associated with <i>keyHandle</i> is TPM_ALG_NULL
TPM2B_DATA	label	label whose association with the message is to be verified

Table 48 — TPM2\_RSA\_Decrypt Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_PUBLIC_KEY_RSA	message	decrypted output

### 14.3.3 Detailed Actions

#### 14.3.3.1 /tpm/src/command/Asymmetric/RSA\_Decrypt.c

```

1  #include "Tpm.h"
2  #include "RSA_Decrypt_fp.h"
3
4  #if CC_RSA_Decrypt // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // decrypts the provided data block and removes the padding if applicable
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_ATTRIBUTES      'decrypt' is not SET or if 'restricted' is SET in
11 //                             the key referenced by 'keyHandle'
12 //     TPM_RC_BINDING         The public and private parts of the key are not
13 //                             properly bound
14 //     TPM_RC_KEY              'keyHandle' does not reference an unrestricted
15 //                             decrypt key
16 //     TPM_RC_SCHEME           incorrect input scheme, or the chosen
17 //                             'scheme' is not a valid RSA decrypt scheme
18 //     TPM_RC_SIZE             'cipherText' is not the size of the modulus
19 //                             of key referenced by 'keyHandle'
20 //     TPM_RC_VALUE            'label' is not a null terminated string or the value
21 //                             of 'cipherText' is greater than the modulus of
22 //                             'keyHandle' or the encoding of the data is not
23 //                             valid
24
25 TPM_RC
26 TPM2_RSA_Decrypt(RSA_Decrypt_In* in, // IN: input parameter list
27                 RSA_Decrypt_Out* out // OUT: output parameter list
28 )
29 {
30     TPM_RC      result;
31     OBJECT*     rsaKey;
32     TPMT_RSA_DECRYPT* scheme;
33
34     // Input Validation
35
36     rsaKey = HandleToObject(in->keyHandle);
37
38     // The selected key must be an RSA key
39     if(rsaKey->publicArea.type != TPM_ALG_RSA)
40         return TPM_RCS_KEY + RC_RSA_Decrypt_keyHandle;
41
42     // The selected key must be an unrestricted decryption key
43     if(!IS_ATTRIBUTE(rsaKey->publicArea.objectAttributes, TPMA_OBJECT, restricted)
44        || !IS_ATTRIBUTE(rsaKey->publicArea.objectAttributes, TPMA_OBJECT, decrypt))
45         return TPM_RCS_ATTRIBUTES + RC_RSA_Decrypt_keyHandle;
46
47     // NOTE: Proper operation of this command requires that the sensitive area
48     // of the key is loaded. This is assured because authorization is required
49     // to use the sensitive area of the key. In order to check the authorization,
50     // the sensitive area has to be loaded, even if authorization is with policy.
51
52     // If label is present, make sure that it is a NULL-terminated string
53     if(!IsLabelProperlyFormatted(&in->label.b))
54         return TPM_RCS_VALUE + RC_RSA_Decrypt_label;
55     // Command Output
56     // Select a scheme for decrypt.
57     scheme = CryptRsaSelectScheme(in->keyHandle, &in->inScheme);
58     if(scheme == NULL)
59         return TPM_RCS_SCHEME + RC_RSA_Decrypt_inScheme;

```

```
60
61 // Decryption. TPM_RC_VALUE, TPM_RC_SIZE, and TPM_RC_KEY error may be
62 // returned by CryptRsaDecrypt.
63 // NOTE: CryptRsaDecrypt can also return TPM_RC_ATTRIBUTES or TPM_RC_BINDING
64 // when the key is not a decryption key but that was checked above.
65 out->message.t.size = sizeof(out->message.t.buffer);
66 result
67     = CryptRsaDecrypt(
68         &out->message.b, &in->cipherText.b, rsaKey, scheme, &in->label.b);
68 return result;
69 }
70
71 #endif // CC_RSA_Decrypt
72
```

## 14.4 TPM2\_ECDH\_KeyGen

### 14.4.1 General Description

This command uses the TPM to generate an ephemeral key pair ( $d_e, Q_e$  where  $Q_e := [d_e]G$ ). It uses the private ephemeral key and a loaded public key ( $Q_S$ ) to compute the shared secret value ( $P := [hd_e]Q_S$ ).

*keyHandle* shall refer to a loaded, ECC key (TPM\_RC\_KEY). The sensitive portion of this key need not be loaded.

The curve parameters of the loaded ECC key are used to generate the ephemeral key.

NOTE      This function is the equivalent of encrypting data to another object's public key. The *seed* value is used in a KDF to generate a symmetric key and that key is used to encrypt the data. Once the data is encrypted and the symmetric key discarded, only the object with the private portion of the *keyHandle* will be able to decrypt it.

The *zPoint* in the response may be encrypted using parameter encryption.



## 14.4.2 Command and Response

Table 49 — TPM2\_ECDH\_KeyGen Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ECDH_KeyGen
TPMI_DH_OBJECT	keyHandle	Handle of a loaded ECC key public area. Auth Index: None

Table 50 — TPM2\_ECDH\_KeyGen Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_ECC_POINT	zPoint	results of $P := h[d_e]Q_s$
TPM2B_ECC_POINT	pubPoint	generated ephemeral public point ( $Q_e$ )

### 14.4.3 Detailed Actions

#### 14.4.3.1 /tpm/src/command/Asymmetric/ECDH\_KeyGen.c

```

1  #include "Tpm.h"
2  #include "ECDH_KeyGen_fp.h"
3
4  #if CC_ECDH_KeyGen // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // This command uses the TPM to generate an ephemeral public key and the product
8  // of the ephemeral private key and the public portion of an ECC key.
9  */
10 // Return Type: TPM_RC
11 //     TPM_RC_KEY          'keyHandle' does not reference an ECC key
12 TPM_RC
13 TPM2_ECDH_KeyGen(ECDH_KeyGen_In* in, // IN: input parameter list
14                 ECDH_KeyGen_Out* out // OUT: output parameter list
15 )
16 {
17     OBJECT*          eccKey;
18     TPM2B_ECC_PARAMETER sensitive;
19     TPM_RC           result;
20
21     // Input Validation
22
23     eccKey = HandleToObject(in->keyHandle);
24
25     // Referenced key must be an ECC key
26     if(eccKey->publicArea.type != TPM_ALG_ECC)
27         return TPM_RCS_KEY + RC_ECDH_KeyGen_keyHandle;
28
29     // Command Output
30     do
31     {
32         TPMT_PUBLIC* keyPublic = &eccKey->publicArea;
33         // Create ephemeral ECC key
34         result = CryptEccNewKeyPair(&out->pubPoint.point,
35                                     &sensitive,
36                                     keyPublic->parameters.eccDetail.curveID);
37         if(result == TPM_RC_SUCCESS)
38         {
39             // Compute Z
40             result = CryptEccPointMultiply(&out->zPoint.point,
41                                             keyPublic->parameters.eccDetail.curveID,
42                                             &keyPublic->unique.ecc,
43                                             &sensitive,
44                                             NULL,
45                                             NULL);
46
47             // The point in the key is not on the curve. Indicate
48             // that the key is bad.
49             if(result == TPM_RC_ECC_POINT)
50                 return TPM_RCS_KEY + RC_ECDH_KeyGen_keyHandle;
51             // The other possible error from CryptEccPointMultiply is
52             // TPM_RC_NO_RESULT indicating that the multiplication resulted in
53             // the point at infinity, so get a new random key and start over
54             // BTW, this never happens.
55         }
56     } while(result == TPM_RC_NO_RESULT);
57     return result;
58 }
59 #endif // CC_ECDH_KeyGen

```

DRAFT

## 14.5 TPM2\_ECDH\_ZGen

### 14.5.1 General Description

This command uses the TPM to recover the Z value from a public point ( $Q_B$ ) and a private key ( $d_s$ ). It will perform the multiplication of the provided *inPoint* ( $Q_B$ ) with the private key ( $d_s$ ) and return the coordinates of the resultant point ( $Z = (x_Z, y_Z) := [hd_s]Q_B$ ; where  $h$  is the cofactor of the curve).

*keyHandle* shall refer to a loaded, ECC key (TPM\_RC\_KEY) with the *restricted* attribute CLEAR and the *decrypt* attribute SET (TPM\_RC\_ATTRIBUTES).

NOTE While TPM\_RC\_ATTRIBUTES is preferred, TPM\_RC\_KEY is acceptable.

The *scheme* of the key referenced by *keyHandle* is required to be either TPM\_ALG\_ECDH or TPM\_ALG\_NULL (TPM\_RC\_SCHEME).

*inPoint* is required to be on the curve of the key referenced by *keyHandle* (TPM\_RC\_ECC\_POINT).

The parameters of the key referenced by *keyHandle* are used to perform the point multiplication.

## 14.5.2 Command and Response

Table 51 — TPM2\_ECDH\_ZGen Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ECDH_ZGen
TPMI_DH_OBJECT	@keyHandle	handle of a loaded ECC key Auth Index: 1 Auth Role: USER
TPM2B_ECC_POINT	inPoint	a public key

Table 52 — TPM2\_ECDH\_ZGen Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_ECC_POINT	outPoint	X and Y coordinates of the product of the multiplication $Z = (x_Z, y_Z) := [hd_S]Q_B$

### 14.5.3 Detailed Actions

#### 14.5.3.1 /tpm/src/command/Asymmetric/ECDH\_ZGen.c

```

1  #include "Tpm.h"
2  #include "ECDH_ZGen_fp.h"
3
4  #if CC_ECDH_ZGen // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // This command uses the TPM to recover the Z value from a public point
8  */
9  // Return Type: TPM_RC
10 // TPM_RC_ATTRIBUTES key referenced by 'keyA' is restricted or
11 // not a decrypt key
12 // TPM_RC_KEY key referenced by 'keyA' is not an ECC key
13 // TPM_RC_NO_RESULT multiplying 'inPoint' resulted in a
14 // point at infinity
15 // TPM_RC_SCHEME the scheme of the key referenced by 'keyA'
16 // is not TPM_ALG_NULL, TPM_ALG_ECDH,
17 TPM_RC
18 TPM2_ECDH_ZGen(ECDH_ZGen_In* in, // IN: input parameter list
19               ECDH_ZGen_Out* out // OUT: output parameter list
20 )
21 {
22     TPM_RC result;
23     OBJECT* eccKey;
24
25     // Input Validation
26     eccKey = HandleToObject(in->keyHandle);
27
28     // Selected key must be a non-restricted, decrypt ECC key
29     if(eccKey->publicArea.type != TPM_ALG_ECC)
30         return TPM_RCS_KEY + RC_ECDH_ZGen_keyHandle;
31     // Selected key needs to be unrestricted with the 'decrypt' attribute
32     if(!IS_ATTRIBUTE(eccKey->publicArea.objectAttributes, TPMA_OBJECT, restricted)
33        || !IS_ATTRIBUTE(eccKey->publicArea.objectAttributes, TPMA_OBJECT, decrypt))
34         return TPM_RCS_ATTRIBUTES + RC_ECDH_ZGen_keyHandle;
35     // Make sure the scheme allows this use
36     if(eccKey->publicArea.parameters.eccDetail.scheme.scheme != TPM_ALG_ECDH
37        && eccKey->publicArea.parameters.eccDetail.scheme.scheme != TPM_ALG_NULL)
38         return TPM_RCS_SCHEME + RC_ECDH_ZGen_keyHandle;
39     // Command Output
40     // Compute Z. TPM_RC_ECC_POINT or TPM_RC_NO_RESULT may be returned here.
41     result = CryptEccPointMultiply(&out->outPoint.point,
42                                   eccKey->publicArea.parameters.eccDetail.curveID,
43                                   &in->inPoint.point,
44                                   &eccKey->sensitive.sensitive.ecc,
45                                   NULL,
46                                   NULL);
47     if(result != TPM_RC_SUCCESS)
48         return RcSafeAddToResult(result, RC_ECDH_ZGen_inPoint);
49     return result;
50 }
51
52 #endif // CC_ECDH_ZGen
53

```

## 14.6 TPM2\_ECC\_Parameters

### 14.6.1 General Description

This command returns the parameters of an ECC curve identified by its TCG-assigned *curveID*.

The value returned is the same as that from the TCG Algorithm Registry but may not be the same size.

EXAMPLE        The value 01 can be returned as 00000001.

### 14.6.2 Command and Response

**Table 53 — TPM2\_ECC\_Parameters Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ECC_Parameters
TPMI_ECC_CURVE	curveID	parameter set selector

**Table 54 — TPM2\_ECC\_Parameters Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPMS_ALGORITHM_DETAIL_ECC	parameters	ECC parameters for the selected curve



### 14.6.3 Detailed Actions

#### 14.6.3.1 /tpm/src/command/Asymmetric/ECC\_Parameters.c

```
1  #include "Tpm.h"
2  #include "ECC_Parameters_fp.h"
3
4  #if CC_ECC_Parameters // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // This command returns the parameters of an ECC curve identified by its TCG
8  // assigned curveID
9  */
10 // Return Type: TPM_RC
11 //      TPM_RC_VALUE                Unsupported ECC curve ID
12 TPM_RC
13 TPM2_ECC_Parameters(ECC_Parameters_In* in, // IN: input parameter list
14                    ECC_Parameters_Out* out // OUT: output parameter list
15 )
16 {
17     // Command Output
18
19     // Get ECC curve parameters
20     if(CryptEccGetParameters(in->curveID, &out->parameters))
21         return TPM_RC_SUCCESS;
22     else
23         return TPM_RCS_VALUE + RC_ECC_Parameters_curveID;
24 }
25
26 #endif // CC_ECC_Parameters
27
```

## 14.7 TPM2\_ZGen\_2Phase

### 14.7.1 General Description

This command supports two-phase key exchange protocols. The command is used in combination with TPM2\_EC\_Ephemeral(). TPM2\_EC\_Ephemeral() generates an ephemeral key and returns the public point of that ephemeral key along with a numeric value that allows the TPM to regenerate the associated private key.

The input parameters for this command are a static public key ( $inQsU$ ), an ephemeral key ( $inQeU$ ) from party B, and the *commitCounter* returned by TPM2\_EC\_Ephemeral(). The TPM uses the counter value to regenerate the ephemeral private key ( $d_{e,v}$ ) and the associated public key ( $Q_{e,v}$ ). *keyA* provides the static ephemeral elements  $d_{s,v}$  and  $Q_{s,v}$ . This provides the two pairs of ephemeral and static keys that are required for the schemes supported by this command.

The TPM will compute  $Z$  or  $Z_s$  and  $Z_e$  according to the selected scheme. If the scheme is not a two-phase key exchange scheme or if the scheme is not supported, the TPM will return TPM\_RC\_SCHEME.

It is an error if  $inQsB$  or  $inQeB$  are not on the curve of *keyA* (TPM\_RC\_ECC\_POINT).

The two-phase key schemes that were assigned an algorithm ID as of the time of the publication of this specification are TPM\_ALG\_ECDH, TPM\_ALG\_ECMQV, and TPM\_ALG\_SM2.

If this command is supported, then support for TPM\_ALG\_ECDH is required. Support for TPM\_ALG\_ECMQV or TPM\_ALG\_SM2 is optional.

NOTE 1 If SM2 is supported and this command is supported, then the implementation is required to support the key exchange protocol of SM2, part 3.

For TPM\_ALG\_ECDH *outZ1* will be  $Z_s$  and *outZ2* will be  $Z_e$  as defined in clause 6.1.1.2 of SP800-56A.

NOTE 2 An unrestricted decryption key using ECDH can be used in either TPM2\_ECDH\_ZGen() or TPM2\_ZGen\_2Phase as the computation done with the private part of *keyA* is the same in both cases.

For TPM\_ALG\_ECMQV or TPM\_ALG\_SM2 *outZ1* will be  $Z$  and *outZ2* will be an Empty Point.

NOTE 3 An Empty Point has two Empty Buffers as coordinates meaning the minimum *size* value for *outZ2* will be four.

If the input scheme is TPM\_ALG\_ECDH, then *outZ1* will be  $Z_s$  and *outZ2* will be  $Z_e$ . For schemes like MQV (including SM2), *outZ1* will contain the computed value and *outZ2* will be an Empty Point.

NOTE 4 The  $Z$  values returned by the TPM are a full point and not just an x-coordinate.

If a computation of either  $Z$  produces the point at infinity, then the corresponding  $Z$  value will be an Empty Point.

## 14.7.2 Command and Response

Table 55 — TPM2\_ZGen\_2Phase Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ZGen_2Phase
TPMI_DH_OBJECT	@keyA	handle of an unrestricted decryption key ECC The private key referenced by this handle is used as $d_{s,A}$ Auth Index: 1 Auth Role: USER
TPM2B_ECC_POINT	inQsB	other party's static public key ( $Q_{s,B} = (X_{s,B}, Y_{s,B})$ )
TPM2B_ECC_POINT	inQeB	other party's ephemeral public key ( $Q_{e,B} = (X_{e,B}, Y_{e,B})$ )
TPMI_ECC_KEY_EXCHANGE	inScheme	the key exchange scheme
UINT16	counter	value returned by TPM2_EC_Ephemeral()

Table 56 — TPM2\_ZGen\_2Phase Response

Type	Name	Description
TPM_ST	tag	
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_ECC_POINT	outZ1	X and Y coordinates of the computed value (scheme dependent)
TPM2B_ECC_POINT	outZ2	X and Y coordinates of the second computed value (scheme dependent)

### 14.7.3 Detailed Actions

#### 14.7.3.1 /tpm/src/command/Asymmetric/ZGen\_2Phase.c

```

1  #include "Tpm.h"
2  #include "ZGen_2Phase_fp.h"
3
4  #if CC_ZGen_2Phase // Conditional expansion of this file
5
6  // This command uses the TPM to recover one or two Z values in a two phase key
7  // exchange protocol
8  // Return Type: TPM_RC
9  // TPM_RC_ATTRIBUTES key referenced by 'keyA' is restricted or
10 // not a decrypt key
11 // TPM_RC_ECC_POINT 'inQsB' or 'inQeB' is not on the curve of
12 // the key reference by 'keyA'
13 // TPM_RC_KEY key referenced by 'keyA' is not an ECC key
14 // TPM_RC_SCHEME the scheme of the key referenced by 'keyA'
15 // is not TPM_ALG_NULL, TPM_ALG_ECDH,
16 // TPM_ALG_ECMQV or TPM_ALG_SM2
17 TPM_RC
18 TPM2_ZGen_2Phase(ZGen_2Phase_In* in, // IN: input parameter list
19                 ZGen_2Phase_Out* out // OUT: output parameter list
20 )
21 {
22     TPM_RC result;
23     OBJECT* eccKey;
24     TPM2B_ECC_PARAMETER r;
25     TPM_ALG_ID scheme;
26
27     // Input Validation
28
29     eccKey = HandleToObject(in->keyA);
30
31     // keyA must be an ECC key
32     if(eccKey->publicArea.type != TPM_ALG_ECC)
33         return TPM_RCS_KEY + RC_ZGen_2Phase_keyA;
34
35     // keyA must not be restricted and must be a decrypt key
36     if(IS_ATTRIBUTE(eccKey->publicArea.objectAttributes, TPMA_OBJECT, restricted)
37        || !IS_ATTRIBUTE(eccKey->publicArea.objectAttributes, TPMA_OBJECT, decrypt))
38         return TPM_RCS_ATTRIBUTES + RC_ZGen_2Phase_keyA;
39
40     // if the scheme of keyA is TPM_ALG_NULL, then use the input scheme; otherwise
41     // the input scheme must be the same as the scheme of keyA
42     scheme = eccKey->publicArea.parameters.asymDetail.scheme.scheme;
43     if(scheme != TPM_ALG_NULL)
44     {
45         if(scheme != in->inScheme)
46             return TPM_RCS_SCHEME + RC_ZGen_2Phase_inScheme;
47     }
48     else
49     {
50         scheme = in->inScheme;
51         if(scheme == TPM_ALG_NULL)
52             return TPM_RCS_SCHEME + RC_ZGen_2Phase_inScheme;
53     }
54
55     // Input points must be on the curve of keyA
56     if(!CryptEccIsPointOnCurve(eccKey->publicArea.parameters.eccDetail.curveID,
57                                &in->inQsB.point))
58         return TPM_RCS_ECC_POINT + RC_ZGen_2Phase_inQsB;
59
60     if(!CryptEccIsPointOnCurve(eccKey->publicArea.parameters.eccDetail.curveID,
61                                &in->inQeB.point))

```

```
60     return TPM_RCS_ECC_POINT + RC_ZGen_2Phase_inQeB;
61
62     if(!CryptGenerateR(
63         &r, &in->counter, eccKey->publicArea.parameters.eccDetail.curveID, NULL))
64         return TPM_RCS_VALUE + RC_ZGen_2Phase_counter;
65
66     // Command Output
67
68     result =
69         CryptEcc2PhaseKeyExchange(&out->outZ1.point,
70                                 &out->outZ2.point,
71                                 eccKey->publicArea.parameters.eccDetail.curveID,
72                                 scheme,
73                                 &eccKey->sensitive.sensitive.ecc,
74                                 &r,
75                                 &in->inQsB.point,
76                                 &in->inQeB.point);
77     if(result == TPM_RC_SCHEME)
78         return TPM_RCS_SCHEME + RC_ZGen_2Phase_inScheme;
79
80     if(result == TPM_RC_SUCCESS)
81         CryptEndCommit(in->counter);
82
83     return result;
84 }
85 #endif // CC_ZGen_2Phase
86
```

## 14.8 TPM2\_ECC\_Encrypt

### 14.8.1 General Description

This command performs ECC encryption as described in Part 1, Annex D.

The key referenced by *keyHandle* (*key*) is required to be an ECC key (TPM\_RC\_KEY).

The TPM does not verify the *objectAttributes* of *key*.

NOTE 1 The TPM cannot check the integrity of *objectAttributes* when only the public portion of *key* is loaded.

If the default key scheme is TPM\_ALG\_NULL, an appropriate *inScheme* is required. If the default key scheme is not TPM\_ALG\_NULL, the key scheme and *inScheme* must be the same, and the scheme must be a valid encryption scheme.

NOTE 2 The key scheme and input scheme are checked in the same way for both this command and for TPM2\_ECC\_Decrypt(). This consistency is to simplify the TPM.

As determined by the encryption scheme, the function returns a public ephemeral key (C1), encrypted data (C2), and an integrity value (C3).

The *plainText* parameter in the command may be encrypted using parameter encryption.

NOTE 3 TPM2\_ECC\_Encrypt() was added in revision 01.61.

### 14.8.2 Command and Response

Table 57 — TPM2\_ECC\_Encrypt Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit, encrypt, or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ECC_Encrypt
TPMI_DH_OBJECT	keyHandle	reference to the public portion of ECC key to use for encryption Auth Index: None
TPM2B_MAX_BUFFER	plainText	Plaintext to be encrypted
TPMT_KDF_SCHEME+	inScheme	the KDF to use if <i>scheme</i> associated with <i>keyHandle</i> is TPM_ALG_NULL

Table 58 — TPM2\_ECC\_Encrypt Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_ECC_POINT	C1	the public ephemeral key used for ECDH
TPM2B_MAX_BUFFER	C2	the data block produced by the XOR process
TPM2B_DIGEST	C3	the integrity value

### 14.8.3 Detailed Actions

#### 14.8.3.1 /tpm/src/command/Asymmetric/ECC\_Encrypt.c

```

1  #include "Tpm.h"
2  #include "ECC_Encrypt_fp.h"
3
4  #if CC_ECC_Encrypt // Conditional expansion of this file
5
6  // Return Type: TPM_RC
7  //     TPM_RC_ATTRIBUTES      key referenced by 'keyHandle' is restricted
8  //     TPM_RC_KEY             keyHandle does not reference an ECC key
9  //     TPM_RC_SCHEMA          bad scheme
10 TPM_RC
11 TPM2_ECC_Encrypt(ECC_Encrypt_In* in, // IN: input parameter list
12                 ECC_Encrypt_Out* out // OUT: output parameter list
13 )
14 {
15     OBJECT* pubKey = HandleToObject(in->keyHandle);
16     // Parameter validation
17     if(pubKey->publicArea.type != TPM_ALG_ECC)
18         return TPM_RC_KEY + RC_ECC_Encrypt_keyHandle;
19     // Have to have a scheme selected
20     if(!CryptEccSelectScheme(pubKey, &in->inScheme))
21         return TPM_RC_SCHEMA + RC_ECC_Encrypt_inScheme;
22     // Command Output
23     return CryptEccEncrypt(
24         pubKey, &in->inScheme, &in->plainText, &out->C1.point, &out->C2, &out->C3);
25 }
26
27 #endif // CC_ECC_Encrypt
28

```

## 14.9 TPM2\_ECC\_Decrypt

### 14.9.1 General Description

This command performs ECC decryption.

The key referenced by *keyHandle* shall be an ECC key (TPM\_RC\_KEY) with *restricted* CLEAR and *decrypt* SET (TPM\_RC\_ATTRIBUTES).

This command uses the private key of *keyHandle* for this operation and authorization is required.

If the default key scheme is TPM\_ALG\_NULL, an appropriate *inScheme* is required. If the default key scheme is not TPM\_ALG\_NULL, the key scheme and *inScheme* must be the same, and the scheme must be a valid decryption scheme.

The function returns decrypted value *plainText*.

The *ciphertext* parameter in the command may be encrypted using parameter encryption.

NOTE TPM2\_ECC\_Decrypt() was added in revision 01.61.

### 2.2.1 Command and Response

**Table 59 — TPM2\_ECC\_Decrypt Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ECC_Decrypt
TPMI_DH_OBJECT	@keyHandle	ECC key to use for decryption Auth Index: 1 Auth Role: USER
TPM2B_ECC_POINT	C1	the public ephemeral key used for ECDH
TPM2B_MAX_BUFFER	C2	the data block produced by the XOR process
TPM2B_DIGEST	C3	the integrity value
TPMT_KDF_SCHEME+	inScheme	the KDF to use if <i>scheme</i> associated with <i>keyHandle</i> is TPM_ALG_NULL

**Table 60 — TPM2\_ECC\_Decrypt Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_MAX_BUFFER	plainText	decrypted output



## 14.9.2 Detailed Actions

### 14.9.2.1 /tpm/src/command/Asymmetric/ECC\_Decrypt.c

```

1  #include "Tpm.h"
2  #include "ECC_Decrypt_fp.h"
3  #include "CryptEccCrypt_fp.h"
4
5  #if CC_ECC_Decrypt // Conditional expansion of this file
6
7  // Return Type: TPM_RC
8  //     TPM_RC_ATTRIBUTES      key referenced by 'keyHandle' is restricted
9  //     TPM_RC_KEY             keyHandle does not reference an ECC key
10 //     TPM_RC_NO_RESULT       internal error in big number processing
11 //     TPM_RC_SCHEME          bad scheme
12 //     TPM_RC_VALUE           C3 did not match hash of recovered data
13 TPM_RC
14 TPM2_ECC_Decrypt(ECC_Decrypt_In* in, // IN: input parameter list
15                 ECC_Decrypt_Out* out // OUT: output parameter list
16 )
17 {
18     OBJECT* key = HandleToObject(in->keyHandle);
19     // Parameter validation
20     // Must be the correct type of key with correct attributes
21     if(key->publicArea.type != TPM_ALG_ECC)
22         return TPM_RC_KEY + RC_ECC_Decrypt_keyHandle;
23     if(IS_ATTRIBUTE(key->publicArea.objectAttributes, TPMA_OBJECT, restricted)
24        || !IS_ATTRIBUTE(key->publicArea.objectAttributes, TPMA_OBJECT, decrypt))
25         return TPM_RCS_ATTRIBUTES + RC_ECC_Decrypt_keyHandle;
26     // Have to have a scheme selected
27     if(!CryptEccSelectScheme(key, &in->inScheme))
28         return TPM_RCS_SCHEME + RC_ECC_Decrypt_inScheme;
29     // Command Output
30     return CryptEccDecrypt(
31         key, &in->inScheme, &out->plainText, &in->C1.point, &in->C2, &in->C3);
32 }
33
34 #endif // CC_ECC_Decrypt
35

```

## 15 Symmetric Primitives

### 15.1 Introduction

The commands in clause 14.9.2.1 provide low-level primitives for access to the symmetric algorithms implemented in the TPM that operate on blocks of data. These include symmetric encryption and decryption as well as hash and HMAC. All of the commands in this group are stateless. That is, they have no persistent state that is retained in the TPM when the command is complete.

For hashing, HMAC, and Events that require large blocks of data with retained state, the sequence commands are provided (see clause 16.2.3.1).

Some of the symmetric encryption/decryption modes use an IV. When an IV is used, it may be an initiation value or a chained value from a previous stage. The chaining for each mode is described in Table 61.

Table 61 — Symmetric Chaining Process

Mode	Chaining process
TPM_ALG_CTR	<p>The TPM will increment the entire IV provided by the caller. The next count value will be returned to the caller as <i>ivOut</i>. This can be the input value to the next encrypt or decrypt operation.</p> <p><i>ivIn</i> is required to be the size of a block encrypted by the selected algorithm and key combination. If the size of <i>ivIn</i> is not correct, the TPM shall return TPM_RC_SIZE.</p> <p>EXAMPLE 1      AES requires that <i>ivIn</i> be 128 bits (16 octets).</p> <p><i>ivOut</i> will be the size of a cipher block and not the size of the last encrypted block.</p> <p>NOTE      <i>ivOut</i> will be the value of the counter after the last block is encrypted.</p> <p>EXAMPLE 2      If <i>ivIn</i> were 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00<sub>16</sub> and four data blocks were encrypted, <i>ivOut</i> will have a value of 00 00 00 00 00 00 00 00 00 00 00 00 00 00 04<sub>16</sub>.</p> <p>All the bits of the IV are incremented as if it were an unsigned integer.</p>
TPM_ALG_OFB	<p>In Output Feedback (OFB), the output of the pseudo-random function (the block encryption algorithm) is XORed with a plaintext block to produce a ciphertext block. <i>ivOut</i> will be the value that was XORed with the last plaintext block. That value can be used as the <i>ivIn</i> for a next buffer.</p> <p><i>ivIn</i> is required to be the size of a block encrypted by the selected algorithm and key combination. If the size of <i>ivIn</i> is not correct, the TPM shall return TPM_RC_SIZE.</p> <p><i>ivOut</i> will be the size of a cipher block and not the size of the last encrypted block.</p>
TPM_ALG_CBC	<p>For Cipher Block Chaining (CBC), a block of ciphertext is XORed with the next plaintext block and that block is encrypted. The encrypted block is then input to the encryption of the next block. The last ciphertext block then is used as an IV for the next buffer.</p> <p>Even though the last ciphertext block is evident in the encrypted data, it is also returned in <i>ivOut</i>.</p> <p><i>ivIn</i> is required to be the size of a block encrypted by the selected algorithm and key combination. If the size of <i>ivIn</i> is not correct, the TPM shall return TPM_RC_SIZE.</p> <p><i>inData</i> is required to be an even multiple of the block encrypted by the selected algorithm and key combination. If the size of <i>inData</i> is not correct, the TPM shall return TPM_RC_SIZE.</p>
TPM_ALG_CFB	<p>Similar to CBC in that the last ciphertext block is an input to the encryption of the next block. <i>ivOut</i> will be the value that was XORed with the last plaintext block. That value can be used as the <i>ivIn</i> for a next buffer.</p> <p><i>ivIn</i> is required to be the size of a block encrypted by the selected algorithm and key combination. If the size of <i>ivIn</i> is not correct, the TPM shall return TPM_RC_SIZE.</p> <p><i>ivOut</i> will be the size of a cipher block and not the size of the last encrypted block.</p>
TPM_ALG_ECB	<p>Electronic Codebook (ECB) has no chaining. Each block of plaintext is encrypted using the key. ECB does not support chaining and <i>ivIn</i> shall be the Empty Buffer. <i>ivOut</i> will be the Empty Buffer.</p> <p><i>inData</i> is required to be an even multiple of the block encrypted by the selected algorithm and key combination. If the size of <i>inData</i> is not correct, the TPM shall return TPM_RC_SIZE.</p>

## 15.2 TPM2\_EncryptDecrypt

### 15.2.1 General Description

NOTE 1 This command is deprecated, and TPM2\_EncryptDecrypt2() is preferred. This should be reflected in platform-specific specifications.

NOTE 2 A TPM often will not implement this command for commercial reasons. Platform-specific specifications may provide additional details about this.

This command performs symmetric encryption or decryption using the symmetric key referenced by *keyHandle* and the selected mode.

*keyHandle* shall reference a symmetric cipher object (TPM\_RC\_KEY) with the *restricted* attribute CLEAR (TPM\_RC\_ATTRIBUTES).

If the *decrypt* parameter of the command is TRUE, then the *decrypt* attribute of the key is required to be SET (TPM\_RC\_ATTRIBUTES). If the *decrypt* parameter of the command is FALSE, then the *sign* attribute of the key is required to be SET (TPM\_RC\_ATTRIBUTES).

NOTE 3 A key is permitted to have both *decrypt* and *sign* SET.

If the mode of the key is not TPM\_ALG\_NULL, then that is the only mode that can be used with the key and the caller is required to set *mode* either to TPM\_ALG\_NULL or to the same mode as the key (TPM\_RC\_MODE). If the mode of the key is TPM\_ALG\_NULL, then the caller may set *mode* to any valid symmetric encryption/decryption mode but may not select TPM\_ALG\_NULL (TPM\_RC\_MODE).

If the TPM allows this command to be canceled before completion, then the TPM may produce incremental results and return TPM\_RC\_SUCCESS rather than TPM\_RC\_CANCELED. In such case, *outData* may be less than *inData*.

NOTE 4 If all the data is encrypted/decrypted, the size of *outData* will be the same as *inData*.

## 15.2.2 Command and Response

Table 62 — TPM2\_EncryptDecrypt Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_EncryptDecrypt
TPMI_DH_OBJECT	@keyHandle	the symmetric key used for the operation Auth Index: 1 Auth Role: USER
TPMI_YES_NO	decrypt	if YES, then the operation is decryption; if NO, the operation is encryption
TPMI_ALG_CIPHER_MODE+	mode	symmetric encryption/decryption mode this field shall match the default mode of the key or be TPM_ALG_NULL.
TPM2B_IV	ivIn	an initial value as required by the algorithm
TPM2B_MAX_BUFFER	inData	the data to be encrypted/decrypted

Table 63 — TPM2\_EncryptDecrypt Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_MAX_BUFFER	outData	encrypted or decrypted output
TPM2B_IV	ivOut	chaining value to use for IV in next round

### 15.2.3 Detailed Actions

#### 15.2.3.1 /tpm/src/command/Symmetric/EncryptDecrypt.c

```

1  #include "Tpm.h"
2  #include "EncryptDecrypt_fp.h"
3  #if CC_EncryptDecrypt2
4  # include "EncryptDecrypt_spt_fp.h"
5  #endif
6
7  #if CC_EncryptDecrypt // Conditional expansion of this file
8
9  /*(See part 3 specification)
10 // symmetric encryption or decryption
11 */
12 // Return Type: TPM_RC
13 //     TPM_RC_KEY           is not a symmetric decryption key with both
14 //                           public and private portions loaded
15 //     TPM_RC_SIZE          'IvIn' size is incompatible with the block cipher mode;
16 //                           or 'inData' size is not an even multiple of the block
17 //                           size for CBC or ECB mode
18 //     TPM_RC_VALUE         'keyHandle' is restricted and the argument 'mode' does
19 //                           not match the key's mode
20 TPM_RC
21 TPM2_EncryptDecrypt(EncryptDecrypt_In* in, // IN: input parameter list
22                     EncryptDecrypt_Out* out // OUT: output parameter list
23 )
24 {
25 # if CC_EncryptDecrypt2
26     return EncryptDecryptShared(
27         in->keyHandle, in->decrypt, in->mode, &in->ivIn, &in->inData, out);
28 # else
29     OBJECT*      symKey;
30     UINT16       keySize;
31     UINT16       blockSize;
32     BYTE*        key;
33     TPM_ALG_ID   alg;
34     TPM_ALG_ID   mode;
35     TPM_RC       result;
36     BOOL         OK;
37     TPMA_OBJECT  attributes;
38
39     // Input Validation
40     symKey      = HandleToObject(in->keyHandle);
41     mode        = symKey->publicArea.parameters.symDetail.sym.mode.sym;
42     attributes  = symKey->publicArea.objectAttributes;
43
44     // The input key should be a symmetric key
45     if(symKey->publicArea.type != TPM_ALG_SYMCIPHER)
46         return TPM_RCS_KEY + RC_EncryptDecrypt_keyHandle;
47     // The key must be unrestricted and allow the selected operation
48     OK      = IS_ATTRIBUTE(attributes, TPMA_OBJECT, restricted) if (YES == in->decrypt)
49     OK      = OK && IS_ATTRIBUTE(attributes, TPMA_OBJECT, decrypt);
50     else OK = OK && IS_ATTRIBUTE(attributes, TPMA_OBJECT, sign);
51     if(!OK)
52         return TPM_RCS_ATTRIBUTES + RC_EncryptDecrypt_keyHandle;
53
54     // If the key mode is not TPM_ALG_NULL...
55     // or TPM_ALG_NULL
56     if(mode != TPM_ALG_NULL)
57     {
58         // then the input mode has to be TPM_ALG_NULL or the same as the key
59         if((in->mode != TPM_ALG_NULL) && (in->mode != mode))

```

```

60         return TPM_RCS_MODE + RC_EncryptDecrypt_mode;
61     }
62     else
63     {
64         // if the key mode is null, then the input can't be null
65         if(in->mode == TPM_ALG_NULL)
66             return TPM_RCS_MODE + RC_EncryptDecrypt_mode;
67         mode = in->mode;
68     }
69     // The input iv for ECB mode should be an Empty Buffer. All the other modes
70     // should have an iv size same as encryption block size
71     keySize = symKey->publicArea.parameters.symDetail.sym.keyBits.sym;
72     alg = symKey->publicArea.parameters.symDetail.sym.algorithm;
73     blockSize = CryptGetSymmetricBlockSize(alg, keySize);
74
75     // reverify the algorithm. This is mainly to keep static analysis tools happy
76     if(blockSize == 0)
77         return TPM_RCS_KEY + RC_EncryptDecrypt_keyHandle;
78
79     // Note: When an algorithm is not supported by a TPM, the TPM_ALG_xxx for that
80     // algorithm is not defined. However, it is assumed that the TPM_ALG_xxx for
81     // the algorithm is always defined. Both have the same numeric value.
82     // TPM_ALG_xxx is used here so that the code does not get cluttered with
83     // #ifdef's. Having this check does not mean that the algorithm is supported.
84     // If it was not supported the unmarshaling code would have rejected it before
85     // this function were called. This means that, depending on the implementation,
86     // the check could be redundant but it doesn't hurt.
87     if(((mode == TPM_ALG_ECB) && (in->ivIn.t.size != 0))
88        || ((mode != TPM_ALG_ECB) && (in->ivIn.t.size != blockSize)))
89         return TPM_RCS_SIZE + RC_EncryptDecrypt_ivIn;
90
91     // The input data size of CBC mode or ECB mode must be an even multiple of
92     // the symmetric algorithm's block size
93     if(((mode == TPM_ALG_CBC) || (mode == TPM_ALG_ECB))
94        && ((in->inData.t.size % blockSize) != 0))
95         return TPM_RCS_SIZE + RC_EncryptDecrypt_inData;
96
97     // Copy IV
98     // Note: This is copied here so that the calls to the encrypt/decrypt functions
99     // will modify the output buffer, not the input buffer
100    out->ivOut = in->ivIn;
101
102    // Command Output
103    key = symKey->sensitive.sensitive.sym.t.buffer;
104    // For symmetric encryption, the cipher data size is the same as plain data
105    // size.
106    out->outData.t.size = in->inData.t.size;
107    if(in->decrypt == YES)
108    {
109        // Decrypt data to output
110        result = CryptSymmetricDecrypt(out->outData.t.buffer,
111                                     alg,
112                                     keySize,
113                                     key,
114                                     &(out->ivOut),
115                                     mode,
116                                     in->inData.t.size,
117                                     in->inData.t.buffer);
118    }
119    else
120    {
121        // Encrypt data to output
122        result = CryptSymmetricEncrypt(out->outData.t.buffer,
123                                     alg,
124                                     keySize,
125                                     key,

```

```
126         &(out->ivOut) ,  
127         mode,  
128         in->inData.t.size,  
129         in->inData.t.buffer);  
130     }  
131     return result;  
132 # endif // CC_EncryptDecrypt2  
133 }  
134  
135 #endif // CC_EncryptDecrypt  
136
```



## 15.3 TPM2\_EncryptDecrypt2

### 15.3.1 General Description

This command is identical to TPM2\_EncryptDecrypt(), except that the *inData* parameter is the first parameter. This permits *inData* to be parameter encrypted.

NOTE 1 In platform specification updates, this command is preferred and TPM2\_EncryptDecrypt() should be deprecated.

NOTE 2 A TPM often will not implement this command for commercial reasons. Platform-specific specifications may provide additional details about this.

### 15.3.2 Command and Response

**Table 64 — TPM2\_EncryptDecrypt2 Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_EncryptDecrypt2
TPMI_DH_OBJECT	@keyHandle	the symmetric key used for the operation Auth Index: 1 Auth Role: USER
TPM2B_MAX_BUFFER	inData	the data to be encrypted/decrypted
TPMI_YES_NO	decrypt	if YES, then the operation is decryption; if NO, the operation is encryption
TPMI_ALG_CIPHER_MODE+	mode	symmetric mode this field shall match the default mode of the key or be TPM_ALG_NULL.
TPM2B_IV	ivIn	an initial value as required by the algorithm

**Table 65 — TPM2\_EncryptDecrypt2 Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_MAX_BUFFER	outData	encrypted or decrypted output
TPM2B_IV	ivOut	chaining value to use for IV in next round

### 15.3.3 Detailed Actions

#### 15.3.3.1 /tpm/src/command/Symmetric/EncryptDecrypt2.c

```

1  #include "Tpm.h"
2  #include "EncryptDecrypt2_fp.h"
3  #include "EncryptDecrypt_fp.h"
4  #include "EncryptDecrypt_spt_fp.h"
5
6  #if CC_EncryptDecrypt2 // Conditional expansion of this file
7
8  /*(See part 3 specification)
9  // symmetric encryption or decryption using modified parameter list
10 */
11 // Return Type: TPM_RC
12 //     TPM_RC_KEY           is not a symmetric decryption key with both
13 //                           public and private portions loaded
14 //     TPM_RC_SIZE          'IvIn' size is incompatible with the block cipher mode;
15 //                           or 'inData' size is not an even multiple of the block
16 //                           size for CBC or ECB mode
17 //     TPM_RC_VALUE         'keyHandle' is restricted and the argument 'mode' does
18 //                           not match the key's mode
19 TPM_RC
20 TPM2_EncryptDecrypt2(EncryptDecrypt2_In* in, // IN: input parameter list
21                     EncryptDecrypt2_Out* out // OUT: output parameter list
22 )
23 {
24     TPM_RC result;
25     // EncryptDecryptShared() performs the operations as shown in
26     // TPM2_EncryptDecrypt
27     result = EncryptDecryptShared(in->keyHandle,
28                                  in->decrypt,
29                                  in->mode,
30                                  &in->ivIn,
31                                  &in->inData,
32                                  (EncryptDecrypt_Out*)out);
33     // Handle response code swizzle.
34     switch(result)
35     {
36     case TPM_RCS_MODE + RC_EncryptDecrypt_mode:
37         result = TPM_RCS_MODE + RC_EncryptDecrypt2_mode;
38         break;
39     case TPM_RCS_SIZE + RC_EncryptDecrypt_ivIn:
40         result = TPM_RCS_SIZE + RC_EncryptDecrypt2_ivIn;
41         break;
42     case TPM_RCS_SIZE + RC_EncryptDecrypt_inData:
43         result = TPM_RCS_SIZE + RC_EncryptDecrypt2_inData;
44         break;
45     default:
46         break;
47     }
48     return result;
49 }
50
51 #endif // CC_EncryptDecrypt2
52

```

## 15.4 TPM2\_Hash

### 15.4.1 General Description

This command performs a hash operation on a data buffer and returns the results.

**NOTE** If the data buffer to be hashed is larger than will fit into the TPM's input buffer, then the sequence hash commands will need to be used.

If the results of the hash will be used in a signing operation that uses a restricted signing key, then the ticket returned by this command can indicate that the hash is safe to sign.

If the digest is not safe to sign, then the TPM will return a TPMT\_TK\_HASHCHECK with the hierarchy set to TPM\_RH\_NULL and *digest* set to the Empty Buffer.

If *hierarchy* is TPM\_RH\_NULL, then *digest* in the ticket will be the Empty Buffer.

## 15.4.2 Command and Response

Table 66 — TPM2\_Hash Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit, decrypt, or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_Hash
TPM2B_MAX_BUFFER	data	data to be hashed
TPMI_ALG_HASH	hashAlg	algorithm for the hash being computed – shall not be TPM_ALG_NULL
TPMI_RH_HIERARCHY	hierarchy	hierarchy to use for the ticket

Table 67 — TPM2\_Hash Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_DIGEST	outHash	results
TPMT_TK_HASHCHECK	validation	ticket indicating that the sequence of octets used to compute <i>outHash</i> did not start with TPM_GENERATED_VALUE will be a NULL ticket if the digest may not be signed with a restricted key

### 15.4.3 Detailed Actions

#### 15.4.3.1 /tpm/src/command/Symmetric/Hash.c

```

1  #include "Tpm.h"
2  #include "Hash_fp.h"
3
4  #if CC_Hash // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Hash a data buffer
8  */
9  TPM_RC
10 TPM2_Hash(Hash_In* in, // IN: input parameter list
11           Hash_Out* out // OUT: output parameter list
12 )
13 {
14     HASH_STATE hashState;
15
16     // Command Output
17
18     // Output hash
19     // Start hash stack
20     out->outHash.t.size = CryptHashStart(&hashState, in->hashAlg);
21     // Adding hash data
22     CryptDigestUpdate2B(&hashState, &in->data.b);
23     // Complete hash
24     CryptHashEnd2B(&hashState, &out->outHash.b);
25
26     // Output ticket
27     out->validation.tag = TPM_ST_HASHCHECK;
28     out->validation.hierarchy = in->hierarchy;
29
30     if(in->hierarchy == TPM_RH_NULL)
31     {
32         // Ticket is not required
33         out->validation.hierarchy = TPM_RH_NULL;
34         out->validation.digest.t.size = 0;
35     }
36     else if(
37         in->data.t.size >= sizeof(TPM_GENERATED_VALUE) && !TicketIsSafe(&in->data.b))
38     {
39         // Ticket is not safe
40         out->validation.hierarchy = TPM_RH_NULL;
41         out->validation.digest.t.size = 0;
42     }
43     else
44     {
45         TPM_RC result;
46         // Compute ticket
47         result = TicketComputeHashCheck(
48             in->hierarchy, in->hashAlg, &out->outHash, &out->validation);
49         if(result != TPM_RC_SUCCESS)
50             return result;
51     }
52
53     return TPM_RC_SUCCESS;
54 }
55
56 #endif // CC_Hash
57

```

## 15.5 TPM2\_HMAC

### 15.5.1 General Description

This command performs an HMAC on the supplied data using the indicated hash algorithm.

NOTE 1 A TPM can implement either TPM2\_HMAC() or TPM2\_MAC() but not both, as they have the same command code and there is no way to distinguish them. A TPM that supports TPM2\_MAC() will support any code that was written to use TPM2\_HMAC(), but a TPM that supports TPM2\_HMAC() will not support a MAC based on symmetric block ciphers.

The caller shall provide proper authorization for use of *handle*.

If the *sign* attribute is not SET in the key referenced by *handle*, then the TPM shall return TPM\_RC\_KEY. If the key type is not TPM\_ALG\_KEYEDHASH then the TPM shall return TPM\_RC\_TYPE. If the key referenced by *handle* has the *restricted* attribute SET, the TPM shall return TPM\_RC\_ATTRIBUTES.

NOTE 2 For symmetric signing with a restricted key, see TPM2\_Sign(). TPM2\_HMAC() has no ticket parameter, which is required with a restricted key.

If the default scheme of the key referenced by *handle* is not TPM\_ALG\_NULL, then the *hashAlg* parameter is required to be either the same as the key's default or TPM\_ALG\_NULL (TPM\_RC\_VALUE). If the default scheme of the key is TPM\_ALG\_NULL, then *hashAlg* is required to be a valid hash and not TPM\_ALG\_NULL (TPM\_RC\_VALUE) (see hash selection matrix in Table 76).

NOTE 3 A key can only have both sign and decrypt SET if the key is unrestricted. When both sign and decrypt are set, there is no default scheme for the key and the hash algorithm must be specified.

## 15.5.2 Command and Response

Table 68 — TPM2\_HMAC Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_HMAC
TPMI_DH_OBJECT	@handle	handle for the symmetric signing key providing the HMAC key Auth Index: 1 Auth Role: USER
TPM2B_MAX_BUFFER	buffer	HMAC data
TPMI_ALG_HASH+	hashAlg	algorithm to use for HMAC

Table 69 — TPM2\_HMAC Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_DIGEST	outHMAC	the returned HMAC in a sized buffer

### 15.5.3 Detailed Actions

#### 15.5.3.1 /tpm/src/command/Symmetric/HMAC.c

```

1  #include "Tpm.h"
2  #include "HMAC_fp.h"
3
4  #if CC_HMAC // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Compute HMAC on a data buffer
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_ATTRIBUTES    key referenced by 'handle' is a restricted key
11 //     TPM_RC_KEY           'handle' does not reference a signing key
12 //     TPM_RC_TYPE          key referenced by 'handle' is not an HMAC key
13 //     TPM_RC_VALUE         'hashAlg' is not compatible with the hash algorithm
14 //                          of the scheme of the object referenced by 'handle'
15 TPM_RC
16 TPM2_HMAC(HMAC_In* in, // IN: input parameter list
17           HMAC_Out* out // OUT: output parameter list
18 )
19 {
20     HMAC_STATE    hmacState;
21     OBJECT*       hmacObject;
22     TPMI_ALG_HASH hashAlg;
23     TPMT_PUBLIC*  publicArea;
24
25     // Input Validation
26
27     // Get HMAC key object and public area pointers
28     hmacObject = HandleToObject(in->handle);
29     publicArea = &hmacObject->publicArea;
30     // Make sure that the key is an HMAC key
31     if(publicArea->type != TPM_ALG_KEYEDHASH)
32         return TPM_RCS_TYPE + RC_HMAC_handle;
33
34     // and that it is unrestricted
35     if(IS_ATTRIBUTE(publicArea->objectAttributes, TPMA_OBJECT, restricted))
36         return TPM_RCS_ATTRIBUTES + RC_HMAC_handle;
37
38     // and that it is a signing key
39     if(!IS_ATTRIBUTE(publicArea->objectAttributes, TPMA_OBJECT, sign))
40         return TPM_RCS_KEY + RC_HMAC_handle;
41
42     // See if the key has a default
43     if(publicArea->parameters.keyedHashDetail.scheme.scheme == TPM_ALG_NULL)
44         // it doesn't so use the input value
45         hashAlg = in->hashAlg;
46     else
47     {
48         // key has a default so use it
49         hashAlg = publicArea->parameters.keyedHashDetail.scheme.details.hmac.hashAlg;
50         // and verify that the input was either the TPM_ALG_NULL or the default
51         if(in->hashAlg != TPM_ALG_NULL && in->hashAlg != hashAlg)
52             hashAlg = TPM_ALG_NULL;
53     }
54     // if we ended up without a hash algorithm then return an error
55     if(hashAlg == TPM_ALG_NULL)
56         return TPM_RCS_VALUE + RC_HMAC_hashAlg;
57
58     // Command Output
59

```



```
60     // Start HMAC stack
61     out->outhMAC.t.size = CryptHmacStart2B(
62         &hmacState, hashAlg, &hmacObject->sensitive.sensitive.bits.b);
63     // Adding HMAC data
64     CryptDigestUpdate2B(&hmacState.hashState, &in->buffer.b);
65
66     // Complete HMAC
67     CryptHmacEnd2B(&hmacState, &out->outhMAC.b);
68
69     return TPM_RC_SUCCESS;
70 }
71
72 #endif // CC_HMAC
73
```

## 15.6 TPM2\_MAC

### 15.6.1 General Description

This command performs an HMAC or a block cipher MAC on the supplied data using the indicated algorithm.

NOTE 1 A TPM can implement either TPM2\_HMAC() or TPM2\_MAC() but not both as they have the same command code and there is no way to distinguish them. A TPM that supports TPM2\_MAC() will support any code that was written to use TPM2\_HMAC() but a TPM that supports TPM2\_HMAC() will not support a MAC based on symmetric block ciphers.

The caller shall provide proper authorization for use of *handle*.

If the *sign* attribute is not SET in the key referenced by *handle*, then the TPM shall return TPM\_RC\_KEY. If the key type is neither TPM\_ALG\_KEYEDHASH nor TPM\_ALG\_SYMCIPHER then the TPM shall return TPM\_RC\_TYPE. If the key referenced by *handle* has the *restricted* attribute SET, the TPM shall return TPM\_RC\_ATTRIBUTES.

NOTE 2 For symmetric signing with a restricted key, see TPM2\_Sign(). TPM2\_MAC() has no ticket parameter, which is required with a restricted key.

If the default scheme or mode of the key referenced by *handle* is not TPM\_ALG\_NULL, then the *inScheme* parameter is required to be either the same as the key's default or TPM\_ALG\_NULL (TPM\_RC\_VALUE).

If the default scheme of an HMAC key is TPM\_ALG\_NULL, then *inScheme* is required to be a valid hash and not TPM\_ALG\_NULL (TPM\_RC\_VALUE) (see algorithm selection matrix in

Table 79).

If the default mode of a symmetric cipher key is TPM\_ALG\_NULL, then *inScheme* is required to be a valid block cipher mode for authentication and not TPM\_ALG\_NULL (TPM\_RC\_VALUE)

NOTE 3 A key can only have both sign and decrypt SET if the key is unrestricted. When both sign and decrypt are set, there is no default scheme for the key and *inScheme* may not be TPM\_ALG\_NULL.

NOTE 4 TPM2\_MAC() was added in revision 01.43.

## 15.6.2 Command and Response

Table 70 — TPM2\_MAC Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_MAC
TPMI_DH_OBJECT	@handle	handle for the symmetric signing key providing the MAC key Auth Index: 1 Auth Role: USER
TPM2B_MAX_BUFFER	buffer	MAC data
TPMI_ALG_MAC_SCHEME+	inScheme	algorithm to use for MAC

Table 71 — TPM2\_MAC Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_DIGEST	outMAC	the returned MAC in a sized buffer

### 15.6.3 Detailed Actions

#### 15.6.3.1 /tpm/src/command/Symmetric/MAC.c

```

1  #include "Tpm.h"
2  #include "MAC_fp.h"
3
4  #if CC_MAC // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Compute MAC on a data buffer
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_ATTRIBUTES    key referenced by 'handle' is a restricted key
11 //     TPM_RC_KEY           'handle' does not reference a signing key
12 //     TPM_RC_TYPE          key referenced by 'handle' is not an HMAC key
13 //     TPM_RC_VALUE         'hashAlg' is not compatible with the hash algorithm
14 //                          of the scheme of the object referenced by 'handle'
15 TPM_RC
16 TPM2_MAC(MAC_In* in, // IN: input parameter list
17          MAC_Out* out // OUT: output parameter list
18 )
19 {
20     OBJECT*    keyObject;
21     HMAC_STATE state;
22     TPMT_PUBLIC* publicArea;
23     TPM_RC     result;
24
25     // Input Validation
26     // Get MAC key object and public area pointers
27     keyObject = HandleToObject(in->handle);
28     publicArea = &keyObject->publicArea;
29
30     // If the key is not able to do a MAC, indicate that the handle selects an
31     // object that can't do a MAC
32     result = CryptSelectMac(publicArea, &in->inScheme);
33     if(result == TPM_RCS_TYPE)
34         return TPM_RCS_TYPE + RC_MAC_handle;
35     // If there is another error type, indicate that the scheme and key are not
36     // compatible
37     if(result != TPM_RC_SUCCESS)
38         return RcSafeAddToResult(result, RC_MAC_inScheme);
39     // Make sure that the key is not restricted
40     if(IS_ATTRIBUTE(publicArea->objectAttributes, TPMA_OBJECT, restricted))
41         return TPM_RCS_ATTRIBUTES + RC_MAC_handle;
42     // and that it is a signing key
43     if(!IS_ATTRIBUTE(publicArea->objectAttributes, TPMA_OBJECT, sign))
44         return TPM_RCS_KEY + RC_MAC_handle;
45     // Command Output
46     out->outMAC.t.size = CryptMacStart(&state,
47                                       &publicArea->parameters,
48                                       in->inScheme,
49                                       &keyObject->sensitive.sensitive.any.b);
50     // If the mac can't start, treat it as a fatal error
51     if(out->outMAC.t.size == 0)
52         return TPM_RC_FAILURE;
53     CryptDigestUpdate2B(&state.hashState, &in->buffer.b);
54     // If the MAC result is not what was expected, it is a fatal error
55     if(CryptHmacEnd2B(&state, &out->outMAC.b) != out->outMAC.t.size)
56         return TPM_RC_FAILURE;
57     return TPM_RC_SUCCESS;
58 }
59

```

```
60 #endif // CC_MAC  
61
```

## 16 Random Number Generator

### 16.1 TPM2\_GetRandom

#### 16.1.1 General Description

This command returns the next *bytesRequested* octets from the random number generator (RNG).

NOTE 1 It is recommended that a TPM implement the RNG in a manner that would allow it to return RNG octets such that, as long as the value of *bytesRequested* is not greater than the maximum digest size, the frequency of *bytesRequested* being more than the number of octets available is an infrequent occurrence.

If *bytesRequested* is more than will fit into a TPM2B\_DIGEST on the TPM, no error is returned but the TPM will only return as much data as will fit into a TPM2B\_DIGEST buffer for the TPM.

NOTE 2 TPM2B\_DIGEST is large enough to hold the largest digest that may be produced by the TPM. Because that digest size changes according to the implemented hashes, the maximum amount of data returned by this command is TPM implementation-dependent.

## 16.1.2 Command and Response

Table 72 — TPM2\_GetRandom Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_GetRandom
UINT16	bytesRequested	number of octets to return

Table 73 — TPM2\_GetRandom Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_DIGEST	randomBytes	the random octets

### 16.1.3 Detailed Actions

#### 16.1.3.1 /tpm/src/command/Random/GetRandom.c

```
1  #include "Tpm.h"
2  #include "GetRandom_fp.h"
3
4  #if CC_GetRandom // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // random number generator
8  */
9  TPM_RC
10 TPM2_GetRandom(GetRandom_In* in, // IN: input parameter list
11               GetRandom_Out* out // OUT: output parameter list
12 )
13 {
14     // Command Output
15
16     // if the requested bytes exceed the output buffer size, generates the
17     // maximum bytes that the output buffer allows
18     if(in->bytesRequested > sizeof(TPMU_HA))
19         out->randomBytes.t.size = sizeof(TPMU_HA);
20     else
21         out->randomBytes.t.size = in->bytesRequested;
22
23     CryptRandomGenerate(out->randomBytes.t.size, out->randomBytes.t.buffer);
24
25     return TPM_RC_SUCCESS;
26 }
27
28 #endif // CC_GetRandom
29
```



## 16.2 TPM2\_StirRandom

### 16.2.1 General Description

This command is used to add additional entropy to the RNG state.

NOTE           The "additional input" is as defined in SP800-90A.

The *inData* parameter may not be larger than 128 octets.

### 16.2.2 Command and Response

**Table 74 — TPM2\_StirRandom Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_StirRandom {NV}
TPM2B_SENSITIVE_DATA	inData	additional input

**Table 75 — TPM2\_StirRandom Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 16.2.3 Detailed Actions

#### 16.2.3.1 /tpm/src/command/Random/StirRandom.c

```
1  #include "Tpm.h"
2  #include "StirRandom_fp.h"
3
4  #if CC_StirRandom // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // add entropy to the RNG state
8  */
9  TPM_RC
10 TPM2_StirRandom(StirRandom_In* in // IN: input parameter list
11 )
12 {
13     // Internal Data Update
14     CryptRandomStir(in->inData.t.size, in->inData.t.buffer);
15
16     return TPM_RC_SUCCESS;
17 }
18
19 #endif // CC_StirRandom
20
```

## 17 Hash/HMAC/Event Sequences

### 17.1 Introduction

All of the commands in this group are to support sequences for which an intermediate state must be maintained. For a description of sequences, see “Hash, MAC, and Event Sequences” in TPM 2.0 Part 1.

A TPM may implement either TPM2\_HMAC\_Start() or TPM2\_MAC\_Start() but not both as they have the same command code and there is no way to distinguish them. A TPM that supports TPM2\_MAC\_Start() will support any code that was written to use TPM2\_HMAC\_Start() but a TPM that supports TPM2\_HMAC\_Start() will not support a MAC based on symmetric block ciphers.

### 17.2 TPM2\_HMAC\_Start

#### 17.2.1 General Description

This command starts an HMAC sequence. The TPM will create and initialize an HMAC sequence structure, assign a handle to the sequence, and set the *authValue* of the sequence object to the value in *auth*.

NOTE 1 The structure of a sequence object is vendor-dependent.

The caller shall provide proper authorization for use of *handle*.

If the *sign* attribute is not SET in the key referenced by *handle*, then the TPM shall return TPM\_RC\_KEY. If the key type is not TPM\_ALG\_KEYEDHASH then the TPM shall return TPM\_RC\_TYPE. If the key referenced by *handle* has the *restricted* attribute SET, the TPM shall return TPM\_RC\_ATTRIBUTES.

NOTE 2 For symmetric signing with a restricted key, see TPM2\_Sign(). TPM2\_HMAC\_Start() has no ticket parameter, which is required with a restricted key.

If the default scheme of the key referenced by *handle* is not TPM\_ALG\_NULL, then the *hashAlg* parameter is required to be either the same as the key's default or TPM\_ALG\_NULL (TPM\_RC\_VALUE). If the default scheme of the key is TPM\_ALG\_NULL, then *hashAlg* is required to be a valid hash and not TPM\_ALG\_NULL (TPM\_RC\_VALUE).

**Table 76 — Hash Selection Matrix**

<i>handle</i> → <i>restricted</i> (key's restricted attribute)	<i>handle</i> → <i>scheme</i> (hash algorithm from key's scheme)	<i>hashAlg</i>	hash used
CLEAR (unrestricted)	TPM_ALG_NULL <sup>(1)</sup>	TPM_ALG_NULL	error <sup>(1)</sup> (TPM_RC_VALUE)
CLEAR	TPM_ALG_NULL	valid hash	<i>hashAlg</i>
CLEAR	valid hash	TPM_ALG_NULL or same as <i>handle</i> → <i>scheme</i>	<i>handle</i> → <i>scheme</i>
CLEAR	valid hash	valid hash	error (TPM_RC_VALUE) if <i>hashAlg</i> != <i>handle</i> → <i>scheme</i>
SET (restricted)	don't care	don't care	TPM_RC_ATTRIBUTES

NOTE 1) A hash algorithm is required for the HMAC.

NOTE 2 A TPM may implement either TPM2\_HMAC\_Start() or TPM2\_MAC\_Start() but not both, as they have the same command code and there is no way to distinguish them. A TPM that supports TPM2\_MAC\_Start() will support any code that was written to use TPM2\_HMAC\_Start(), but a TPM that supports TPM2\_HMAC\_Start() will not support a MAC based on symmetric block ciphers.

## 17.2.2 Command and Response

Table 77 — TPM2\_HMAC\_Start Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_HMAC_Start
TPMI_DH_OBJECT	@handle	handle of an HMAC key Auth Index: 1 Auth Role: USER
TPM2B_AUTH	auth	authorization value for subsequent use of the sequence
TPMI_ALG_HASH+	hashAlg	the hash algorithm to use for the HMAC

Table 78 — TPM2\_HMAC\_Start Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPMI_DH_OBJECT	sequenceHandle	a handle to reference the sequence

### 17.2.3 Detailed Actions

#### 17.2.3.1 /tpm/src/command/HashHMAC/HMAC\_Start.c

```

1  #include "Tpm.h"
2  #include "HMAC_Start_fp.h"
3
4  #if CC_HMAC_Start // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Initialize a HMAC sequence and create a sequence object
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_ATTRIBUTES      key referenced by 'handle' is not a signing key
11 //                             or is restricted
12 //     TPM_RC_OBJECT_MEMORY   no space to create an internal object
13 //     TPM_RC_KEY             key referenced by 'handle' is not an HMAC key
14 //     TPM_RC_VALUE           'hashAlg' is not compatible with the hash algorithm
15 //                             of the scheme of the object referenced by 'handle'
16 TPM_RC
17 TPM2_HMAC_Start(HMAC_Start_In* in, // IN: input parameter list
18                HMAC_Start_Out* out // OUT: output parameter list
19 )
20 {
21     OBJECT*      keyObject;
22     TPMT_PUBLIC* publicArea;
23     TPM_ALG_ID   hashAlg;
24
25     // Input Validation
26
27     // Get HMAC key object and public area pointers
28     keyObject = HandleToObject(in->handle);
29     publicArea = &keyObject->publicArea;
30
31     // Make sure that the key is an HMAC key
32     if(publicArea->type != TPM_ALG_KEYEDHASH)
33         return TPM_RCS_TYPE + RC_HMAC_Start_handle;
34
35     // and that it is unrestricted
36     if(IS_ATTRIBUTE(publicArea->objectAttributes, TPMA_OBJECT, restricted))
37         return TPM_RCS_ATTRIBUTES + RC_HMAC_Start_handle;
38
39     // and that it is a signing key
40     if(!IS_ATTRIBUTE(publicArea->objectAttributes, TPMA_OBJECT, sign))
41         return TPM_RCS_KEY + RC_HMAC_Start_handle;
42
43     // See if the key has a default
44     if(publicArea->parameters.keyedHashDetail.scheme.scheme == TPM_ALG_NULL)
45         // it doesn't so use the input value
46         hashAlg = in->hashAlg;
47     else
48     {
49         // key has a default so use it
50         hashAlg = publicArea->parameters.keyedHashDetail.scheme.details.hmac.hashAlg;
51         // and verify that the input was either the TPM_ALG_NULL or the default
52         if(in->hashAlg != TPM_ALG_NULL && in->hashAlg != hashAlg)
53             hashAlg = TPM_ALG_NULL;
54     }
55     // if we ended up without a hash algorithm then return an error
56     if(hashAlg == TPM_ALG_NULL)
57         return TPM_RCS_VALUE + RC_HMAC_Start_hashAlg;
58
59     // Internal Data Update

```

```
60
61     // Create a HMAC sequence object. A TPM_RC_OBJECT_MEMORY error may be
62     // returned at this point
63     return ObjectCreateHMACSequence(
64         hashAlg, keyObject, &in->auth, &out->sequenceHandle);
65 }
66
67 #endif // CC_HMAC_Start
68
```

## 17.3 TPM2\_MAC\_Start

### 17.3.1 General Description

This command starts a MAC sequence. The TPM will create and initialize a MAC sequence structure, assign a handle to the sequence, and set the *authValue* of the sequence object to the value in *auth*.

NOTE 1 The structure of a sequence object is vendor-dependent.

The caller shall provide proper authorization for use of *handle*.

If the *sign* attribute is not SET in the key referenced by *handle*, then the TPM shall return TPM\_RC\_KEY. If the key type is not TPM\_ALG\_KEYEDHASH or TPM\_ALG\_SYMCIPHER then the TPM shall return TPM\_RC\_TYPE. If the key referenced by *handle* has the *restricted* attribute SET, the TPM shall return TPM\_RC\_ATTRIBUTES.

NOTE 2 For symmetric signing with a restricted key, see TPM2\_Sign(). TPM2\_MAC\_Start() has no ticket parameter, which is required with a restricted key.

If the default scheme of the key referenced by *handle* is not TPM\_ALG\_NULL, then the *inScheme* parameter is required to be either the same as the key's default or TPM\_ALG\_NULL (TPM\_RC\_VALUE). If the default scheme of the key is TPM\_ALG\_NULL, then *inScheme* is required to be a valid hash or symmetric MAC scheme and not TPM\_ALG\_NULL (TPM\_RC\_VALUE).

**Table 79 — Algorithm Selection Matrix**

<i>handle</i> → <i>restricted</i> (key's restricted attribute)	<i>handle</i> → <i>scheme</i> (algorithm from key's scheme)	<i>inScheme</i>	algorithm used
CLEAR (unrestricted)	TPM_ALG_NULL <sup>(1)</sup>	TPM_ALG_NULL	error <sup>(1)</sup> (TPM_RC_VALUE)
CLEAR	TPM_ALG_NULL	valid hash or symmetric MAC	<i>inScheme</i>
CLEAR	not TPM_ALG_NULL	TPM_ALG_NULL or same as <i>handle</i> → <i>scheme</i>	<i>handle</i> → <i>scheme</i>
CLEAR	not TPM_ALG_NULL	not TPM_ALG_NULL	error (TPM_RC_VALUE) if <i>inScheme</i> ≠ <i>handle</i> → <i>scheme</i>
SET (restricted)	don't care	don't care	TPM_RC_ATTRIBUTES
NOTES: 1) A hash algorithm is required for the HMAC. 2) hashAlg shall be TPM_ALG_NULL for handle referencing a CMAC key.			

NOTE 3 For a TPM\_ALG\_SYMCIPHER key, the symmetric block cipher algorithm is part of the key definition.

NOTE 4 TPM2\_MAC\_Start() was added in revision 01.43.



## 17.3.2 Command and Response

Table 80 — TPM2\_MAC\_Start Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_MAC_Start
TPMI_DH_OBJECT	@handle	handle of a MAC key Auth Index: 1 Auth Role: USER
TPM2B_AUTH	auth	authorization value for subsequent use of the sequence
TPMI_ALG_MAC_SCHEME+	inScheme	the algorithm to use for the MAC

Table 81 — TPM2\_MAC\_Start Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPMI_DH_OBJECT	sequenceHandle	a handle to reference the sequence

### 17.3.3 Detailed Actions

#### 17.3.3.1 /tpm/src/command/HashHMAC/MAC\_Start.c

```

1  #include "Tpm.h"
2  #include "MAC_Start_fp.h"
3
4  #if CC_MAC_Start // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Initialize a HMAC sequence and create a sequence object
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_ATTRIBUTES      key referenced by 'handle' is not a signing key
11 //                             or is restricted
12 //     TPM_RC_OBJECT_MEMORY    no space to create an internal object
13 //     TPM_RC_KEY              key referenced by 'handle' is not an HMAC key
14 //     TPM_RC_VALUE            'hashAlg' is not compatible with the hash algorithm
15 //                             of the scheme of the object referenced by 'handle'
16 TPM_RC
17 TPM2_MAC_Start(MAC_Start_In* in, // IN: input parameter list
18               MAC_Start_Out* out // OUT: output parameter list
19 )
20 {
21     OBJECT*      keyObject;
22     TPMT_PUBLIC* publicArea;
23     TPM_RC       result;
24
25     // Input Validation
26
27     // Get HMAC key object and public area pointers
28     keyObject = HandleToObject(in->handle);
29     publicArea = &keyObject->publicArea;
30
31     // Make sure that the key can do what is required
32     result = CryptSelectMac(publicArea, &in->inScheme);
33     // If the key is not able to do a MAC, indicate that the handle selects an
34     // object that can't do a MAC
35     if(result == TPM_RCS_TYPE)
36         return TPM_RCS_TYPE + RC_MAC_Start_handle;
37     // If there is another error type, indicate that the scheme and key are not
38     // compatible
39     if(result != TPM_RC_SUCCESS)
40         return RcSafeAddToResult(result, RC_MAC_Start_inScheme);
41     // Make sure that the key is not restricted
42     if(IS_ATTRIBUTE(publicArea->objectAttributes, TPMA_OBJECT, restricted))
43         return TPM_RCS_ATTRIBUTES + RC_MAC_Start_handle;
44     // and that it is a signing key
45     if(!IS_ATTRIBUTE(publicArea->objectAttributes, TPMA_OBJECT, sign))
46         return TPM_RCS_KEY + RC_MAC_Start_handle;
47
48     // Internal Data Update
49     // Create a HMAC sequence object. A TPM_RC_OBJECT_MEMORY error may be
50     // returned at this point
51     return ObjectCreateHMACSequence(
52         in->inScheme, keyObject, &in->auth, &out->sequenceHandle);
53 }
54
55 #endif // CC_MAC_Start
56

```

## 17.4 TPM2\_HashSequenceStart

### 17.4.1 General Description

This command starts a hash or an Event Sequence. If *hashAlg* is an implemented hash, then a hash sequence is started. If *hashAlg* is TPM\_ALG\_NULL, then an Event Sequence is started. If *hashAlg* is neither an implemented algorithm nor TPM\_ALG\_NULL, then the TPM shall return TPM\_RC\_HASH.

Depending on *hashAlg*, the TPM will create and initialize a Hash Sequence context or an Event Sequence context. Additionally, it will assign a handle to the context and set the *authValue* of the context to the value in *auth*. A sequence context for an Event (*hashAlg* = TPM\_ALG\_NULL) contains a hash context for each of the PCR banks implemented on the TPM.

## 17.4.2 Command and Response

Table 82 — TPM2\_HashSequenceStart Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_HashSequenceStart
TPM2B_AUTH	auth	authorization value for subsequent use of the sequence
TPMI_ALG_HASH+	hashAlg	the hash algorithm to use for the hash sequence An Event Sequence starts if this is TPM_ALG_NULL.

Table 83 — TPM2\_HashSequenceStart Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPMI_DH_OBJECT	sequenceHandle	a handle to reference the sequence

### 17.4.3 Detailed Actions

#### 17.4.3.1 /tpm/src/command/HashHMAC/HashSequenceStart.c

```
1  #include "Tpm.h"
2  #include "HashSequenceStart_fp.h"
3
4  #if CC_HashSequenceStart // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Start a hash or an event sequence
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_OBJECT_MEMORY      no space to create an internal object
11 TPM_RC
12 TPM2_HashSequenceStart(HashSequenceStart_In* in, // IN: input parameter list
13                       HashSequenceStart_Out* out // OUT: output parameter list
14 )
15 {
16     // Internal Data Update
17
18     if(in->hashAlg == TPM_ALG_NULL)
19         // Start a event sequence. A TPM_RC_OBJECT_MEMORY error may be
20         // returned at this point
21         return ObjectCreateEventSequence(&in->auth, &out->sequenceHandle);
22
23     // Start a hash sequence. A TPM_RC_OBJECT_MEMORY error may be
24     // returned at this point
25     return ObjectCreateHashSequence(in->hashAlg, &in->auth, &out->sequenceHandle);
26 }
27
28 #endif // CC_HashSequenceStart
29
```

## 17.5 TPM2\_SequenceUpdate

### 17.5.1 General Description

This command is used to add data to a hash or HMAC sequence. The amount of data in buffer may be any size up to the limits of the TPM.

NOTE 1 In all TPMs, a *buffer* size of 1,024 octets is allowed.

Proper authorization for the sequence object associated with *sequenceHandle* is required. If an authorization or audit of this command requires computation of a *cpHash* and an *rpHash*, the Name associated with *sequenceHandle* will be the Empty Buffer.

If the command does not return TPM\_RC\_SUCCESS, the state of the sequence is unmodified.

If the sequence is intended to produce a digest that will be signed by a restricted signing key, then the first block of data shall contain at least size of(TPM\_GENERATED) octets and the first octets shall not be TPM\_GENERATED\_VALUE.

NOTE 2 This requirement allows the TPM to validate that the first block is safe to sign without having to accumulate octets over multiple calls.

## 17.5.2 Command and Response

Table 84 — TPM2\_SequenceUpdate Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_SequenceUpdate
TPMI_DH_OBJECT	@sequenceHandle	handle for the sequence object Auth Index: 1 Auth Role: USER
TPM2B_MAX_BUFFER	buffer	data to be added to hash

Table 85 — TPM2\_SequenceUpdate Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 17.5.3 Detailed Actions

#### 17.5.3.1 /tpm/src/command/HashHMAC/SequenceUpdate.c

```

1  #include "Tpm.h"
2  #include "SequenceUpdate_fp.h"
3
4  #if CC_SequenceUpdate // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // This function is used to add data to a sequence object.
8  */
9  // Return Type: TPM_RC
10 // TPM_RC_MODE 'sequenceHandle' does not reference a hash or HMAC
11 // sequence object
12 TPM_RC
13 TPM2_SequenceUpdate(SequenceUpdate_In* in // IN: input parameter list
14 )
15 {
16     OBJECT* object;
17     HASH_OBJECT* hashObject;
18
19     // Input Validation
20
21     // Get sequence object pointer
22     object = HandleToObject(in->sequenceHandle);
23     hashObject = (HASH_OBJECT*)object;
24
25     // Check that referenced object is a sequence object.
26     if(!ObjectIsSequence(object))
27         return TPM_RCS_MODE + RC_SequenceUpdate_sequenceHandle;
28
29     // Internal Data Update
30
31     if(object->attributes.eventSeq == SET)
32     {
33         // Update event sequence object
34         UINT32 i;
35         for(i = 0; i < HASH_COUNT; i++)
36         {
37             // Update sequence object
38             CryptDigestUpdate2B(&hashObject->state.hashState[i], &in->buffer.b);
39         }
40     }
41     else
42     {
43         // Update hash/HMAC sequence object
44         if(hashObject->attributes.hashSeq == SET)
45         {
46             // Is this the first block of the sequence
47             if(hashObject->attributes.firstBlock == CLEAR)
48             {
49                 // If so, indicate that first block was received
50                 hashObject->attributes.firstBlock = SET;
51
52                 // Check the first block to see if the first block can contain
53                 // the TPM_GENERATED_VALUE. If it does, it is not safe for
54                 // a ticket.
55                 if(TicketIsSafe(&in->buffer.b))
56                     hashObject->attributes.ticketSafe = SET;
57             }
58             // Update sequence object hash/HMAC stack
59             CryptDigestUpdate2B(&hashObject->state.hashState[0], &in->buffer.b);

```



```
60     }
61     else if(object->attributes.hmacSeq == SET)
62     {
63         // Update sequence object HMAC stack
64         CryptDigestUpdate2B(&hashObject->state.hmacState.hashState,
65                             &in->buffer.b);
66     }
67 }
68 return TPM_RC_SUCCESS;
69 }
70
71 #endif // CC_SequenceUpdate
72
```

## 17.6 TPM2\_SequenceComplete

### 17.6.1 General Description

This command adds the last part of data, if any, to a hash/HMAC sequence and returns the result.

NOTE 1 This command is not used to complete an Event Sequence. TPM2\_EventSequenceComplete() is used for that purpose.

For a hash sequence, if the results of the hash will be used in a signing operation that uses a restricted signing key, then the ticket returned by this command can indicate that the hash is safe to sign. The *hierarchy* parameter determines the ticket lifetime, since the ticket is integrity protected with the hierarchy proof.

NOTE 2 The *hierarchy* parameter is not related to the signing key hierarchy.

If the *digest* is not safe to sign, then *validation* will be a TPMT\_TK\_HASHCHECK with the hierarchy set to TPM\_RH\_NULL and *digest* set to the Empty Buffer.

If *hierarchy* is TPM\_RH\_NULL, then *digest* in the ticket will be the Empty Buffer.

NOTE 3 Regardless of the contents of the first octets of the hashed message, if the first buffer sent to the TPM had fewer than sizeof(TPM\_GENERATED) octets, then the TPM will operate as if *digest* is not safe to sign.

NOTE 4 The ticket is only required for a signing operation that uses a restricted signing key. It is always returned but can be ignored if not needed.

If *sequenceHandle* references an Event Sequence, then the TPM shall return TPM\_RC\_MODE.

Proper authorization for the sequence object associated with *sequenceHandle* is required. If an authorization or audit of this command requires computation of a *cpHash* and an *rpHash*, the Name associated with *sequenceHandle* will be the Empty Buffer.

If this command completes successfully, the *sequenceHandle* object will be flushed.

## 17.6.2 Command and Response

Table 86 — TPM2\_SequenceComplete Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_SequenceComplete {F}
TPMI_DH_OBJECT	@sequenceHandle	authorization for the sequence Auth Index: 1 Auth Role: USER
TPM2B_MAX_BUFFER	buffer	data to be added to the hash/HMAC
TPMI_RH_HIERARCHY	hierarchy	hierarchy of the ticket for a hash

Table 87 — TPM2\_SequenceComplete Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_DIGEST	result	the returned HMAC or digest in a sized buffer
TPMT_TK_HASHCHECK	validation	ticket indicating that the sequence of octets used to compute <i>result</i> did not start with TPM_GENERATED_VALUE This is a NULL Ticket when the sequence is HMAC.

### 17.6.3 Detailed Actions

#### 17.6.3.1 /tpm/src/command/HashHMAC/SequenceComplete.c

```

1  #include "Tpm.h"
2  #include "SequenceComplete_fp.h"
3
4  #if CC_SequenceComplete // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Complete a sequence and flush the object.
8  */
9  // Return Type: TPM_RC
10 // TPM_RC_MODE 'sequenceHandle' does not reference a hash or HMAC
11 // sequence object
12 TPM_RC
13 TPM2_SequenceComplete(SequenceComplete_In* in, // IN: input parameter list
14                      SequenceComplete_Out* out // OUT: output parameter list
15 )
16 {
17     HASH_OBJECT* hashObject;
18     // Input validation
19     // Get hash object pointer
20     hashObject = (HASH_OBJECT*)HandleToObject(in->sequenceHandle);
21
22     // input handle must be a hash or HMAC sequence object.
23     if(hashObject->attributes.hashSeq == CLEAR
24        && hashObject->attributes.hmacSeq == CLEAR)
25         return TPM_RCS_MODE + RC_SequenceComplete_sequenceHandle;
26     // Command Output
27     if(hashObject->attributes.hashSeq == SET) // sequence object for hash
28     {
29         // Get the hash algorithm before the algorithm is lost in CryptHashEnd
30         TPM_ALG_ID hashAlg = hashObject->state.hashState[0].hashAlg;
31
32         // Update last piece of the data
33         CryptDigestUpdate2B(&hashObject->state.hashState[0], &in->buffer.b);
34
35         // Complete hash
36         out->result.t.size = CryptHashEnd(&hashObject->state.hashState[0],
37                                         sizeof(out->result.t.buffer),
38                                         out->result.t.buffer);
39         // Check if the first block of the sequence has been received
40         if(hashObject->attributes.firstBlock == CLEAR)
41         {
42             // If not, then this is the first block so see if it is 'safe'
43             // to sign.
44             if(TicketIsSafe(&in->buffer.b))
45                 hashObject->attributes.ticketSafe = SET;
46         }
47         // Output ticket
48         out->validation.tag = TPM_ST_HASHCHECK;
49         out->validation.hierarchy = in->hierarchy;
50
51         if(in->hierarchy == TPM_RH_NULL)
52         {
53             // Ticket is not required
54             out->validation.digest.t.size = 0;
55         }
56         else if(hashObject->attributes.ticketSafe == CLEAR)
57         {
58             // Ticket is not safe to generate
59             out->validation.hierarchy = TPM_RH_NULL;

```

```

60         out->validation.digest.t.size = 0;
61     }
62     else
63     {
64         TPM_RC result;
65         // Compute ticket
66         result = TicketComputeHashCheck(
67             out->validation.hierarchy, hashAlg, &out->result, &out->validation);
68         if(result != TPM_RC_SUCCESS)
69             return result;
70     }
71 }
72 else
73 {
74     // Update last piece of data
75     CryptDigestUpdate2B(&hashObject->state.hmacState.hashState, &in->buffer.b);
76 # if !SMAC_IMPLEMENTED
77     // Complete HMAC
78     out->result.t.size = CryptHmacEnd(&(hashObject->state.hmacState),
79                                     sizeof(out->result.t.buffer),
80                                     out->result.t.buffer);
81 # else
82     // Complete the MAC
83     out->result.t.size = CryptMacEnd(&(hashObject->state.hmacState),
84                                     sizeof(out->result.t.buffer),
85                                     out->result.t.buffer);
86 # endif
87     // No ticket is generated for HMAC sequence
88     out->validation.tag = TPM_ST_HASHCHECK;
89     out->validation.hierarchy = TPM_RH_NULL;
90     out->validation.digest.t.size = 0;
91 }
92 // Internal Data Update
93 // mark sequence object as evict so it will be flushed on the way out
94 hashObject->attributes.evict = SET;
95
96 return TPM_RC_SUCCESS;
97 }
98
99 #endif // CC_SequenceComplete
100

```

## 17.7 TPM2\_EventSequenceComplete

### 17.7.1 General Description

This command adds the last part of data, if any, to an Event Sequence and returns the result in a digest list. If *pcrHandle* references a PCR and not TPM\_RH\_NULL, then the returned digest list is processed in the same manner as the digest list input parameter to TPM2\_PCR\_Extend(). That is, if a bank contains a PCR associated with *pcrHandle*, it is extended with the associated digest value from the list.

If *sequenceHandle* references a hash or HMAC sequence, the TPM shall return TPM\_RC\_MODE.

Proper authorization for the sequence object associated with *sequenceHandle* is required. If an authorization or audit of this command requires computation of a *cpHash* and an *rpHash*, the Name associated with *sequenceHandle* will be the Empty Buffer.

If this command completes successfully, the *sequenceHandle* object will be flushed.

NOTE: Unlike TPM2\_PCR\_Event(), a digest is always returned for each implemented hash algorithm. There is no option to only return digests for which *pcrHandle* is allocated.

## 17.7.2 Command and Response

Table 88 — TPM2\_EventSequenceComplete Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_EventSequenceComplete {NV F}
TPMI_DH_PCR+	@pcrHandle	PCR to be extended with the Event data Auth Index: 1 Auth Role: USER
TPMI_DH_OBJECT	@sequenceHandle	authorization for the sequence Auth Index: 2 Auth Role: USER
TPM2B_MAX_BUFFER	buffer	data to be added to the Event

Table 89 — TPM2\_EventSequenceComplete Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPML_DIGEST_VALUES	results	list of digests computed for the PCR

### 17.7.3 Detailed Actions

#### 17.7.3.1 /tpm/src/command/HashHMAC/EventSequenceComplete.c

```

1  #include "Tpm.h"
2  #include "EventSequenceComplete_fp.h"
3
4  #if CC_EventSequenceComplete // Conditional expansion of this file
5
6  /*(See part 3 specification)
7   Complete an event sequence and flush the object.
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_LOCALITY    PCR extension is not allowed at the current locality
11 //     TPM_RC_MODE        input handle is not a valid event sequence object
12 TPM_RC
13 TPM2_EventSequenceComplete(
14     EventSequenceComplete_In* in, // IN: input parameter list
15     EventSequenceComplete_Out* out // OUT: output parameter list
16 )
17 {
18     HASH_OBJECT* hashObject;
19     UINT32        i;
20     TPM_ALG_ID    hashAlg;
21     // Input validation
22     // get the event sequence object pointer
23     hashObject = (HASH_OBJECT*)HandleToObject(in->sequenceHandle);
24
25     // input handle must reference an event sequence object
26     if(hashObject->attributes.eventSeq != SET)
27         return TPM_RCS_MODE + RC_EventSequenceComplete_sequenceHandle;
28
29     // see if a PCR extend is requested in call
30     if(in->pcrHandle != TPM_RH_NULL)
31     {
32         // see if extend of the PCR is allowed at the locality of the command,
33         if(!PCRIsExtendAllowed(in->pcrHandle))
34             return TPM_RC_LOCALITY;
35         // if an extend is going to take place, then check to see if there has
36         // been an orderly shutdown. If so, and the selected PCR is one of the
37         // state saved PCR, then the orderly state has to change. The orderly state
38         // does not change for PCR that are not preserved.
39         // NOTE: This doesn't just check for Shutdown(STATE) because the orderly
40         // state will have to change if this is a state-saved PCR regardless
41         // of the current state. This is because a subsequent Shutdown(STATE) will
42         // check to see if there was an orderly shutdown and not do anything if
43         // there was. So, this must indicate that a future Shutdown(STATE) has
44         // something to do.
45         if(PCRIsStateSaved(in->pcrHandle))
46             RETURN_IF_ORDERLY;
47     }
48     // Command Output
49     out->results.count = 0;
50
51     for(i = 0; i < HASH_COUNT; i++)
52     {
53         hashAlg = CryptHashGetAlgByIndex(i);
54         // Update last piece of data
55         CryptDigestUpdate2B(&hashObject->state.hashState[i], &in->buffer.b);
56         // Complete hash
57         out->results.digests[out->results.count].hashAlg = hashAlg;
58         CryptHashEnd(&hashObject->state.hashState[i],
59                     CryptHashGetDigestSize(hashAlg),

```



```
60         (BYTE*) &out->results.digests[out->results.count].digest);
61     // Extend PCR
62     if(in->pcrHandle != TPM_RH_NULL)
63         PCRExtend(in->pcrHandle,
64                 hashAlg,
65                 CryptHashGetDigestSize(hashAlg),
66                 (BYTE*) &out->results.digests[out->results.count].digest);
67     out->results.count++;
68 }
69 // Internal Data Update
70 // mark sequence object as evict so it will be flushed on the way out
71 hashObject->attributes.evict = SET;
72
73 return TPM_RC_SUCCESS;
74 }
75
76 #endif // CC_EventSequenceComplete
77
```

## 18 Attestation Commands

### 18.1 Introduction

The attestation commands cause the TPM to sign an internally generated data structure. The contents of the data structure vary according to the command.

If the *sign* attribute is not SET in the key referenced by *signHandle* then the TPM shall return TPM\_RC\_KEY.

All signing commands include a parameter (typically *inScheme*) for the caller to specify a scheme to be used for the signing operation. This scheme will be applied only if the scheme of the key is TPM\_ALG\_NULL or the key handle is TPM\_RH\_NULL. If the scheme for *signHandle* is not TPM\_ALG\_NULL, then *inScheme.scheme* shall be TPM\_ALG\_NULL or the same as *scheme* in the public area of the key. If the scheme for *signHandle* is TPM\_ALG\_NULL or the key handle is TPM\_RH\_NULL, then *inScheme* will be used for the signing operation and may not be TPM\_ALG\_NULL. The TPM shall return TPM\_RC\_SCHEME to indicate that the scheme is not appropriate.

For a signing key that is not restricted, the caller may specify the scheme to be used as long as the scheme is compatible with the family of the key (for example, TPM\_ALG\_RSAPSS cannot be selected for an ECC key). If the caller sets *scheme* to TPM\_ALG\_NULL, then the default scheme of the key is used. For a restricted signing key, the key's scheme cannot be TPM\_ALG\_NULL and cannot be overridden.

If the handle for the signing key (*signHandle*) is TPM\_RH\_NULL, then all of the actions of the command are performed, and the attestation block is “signed” with the NULL Signature.

NOTE 1 This mechanism is provided so that additional commands are not required to access the data that might be in an attestation structure.

NOTE 2 When *signHandle* is TPM\_RH\_NULL, *scheme* is still required to be a valid signing scheme (may be TPM\_ALG\_NULL), but the scheme will have no effect on the format of the signature. It will always be the NULL Signature.

NOTE 3 For TPM2\_Quote, a TPM may optionally return TPM\_RC\_SCHEME if *signHandle* is TPM\_RH\_NULL.

NOTE 4 Attestation commands typically use a *restricted* signing key. A key that is not *restricted* can sign any digest and would permit a forged attestation. It is common to use a *fixedTPM* key.

TPM2\_NV\_Certify() is an attestation command that is documented in 31.15.3.1. The remaining attestation commands are collected in the remainder of clause 17.7.3.1.

Each of the attestation structures contains a TPMS\_CLOCK\_INFO structure and a firmware version number. These values may be considered privacy-sensitive because they would aid in the correlation of attestations by different keys. To provide improved privacy, the *resetCount*, *restartCount*, and *firmwareVersion* numbers are obfuscated when the signing key is not in the Endorsement or Platform hierarchies.

The obfuscation value is computed by:

$$\text{obfuscation} := \text{KDFa}(\text{signHandle} \rightarrow \text{nameAlg}, \text{shProof}, \text{“OBFUSCATE”}, \text{signHandle} \rightarrow \text{QN}, 0, 128) \quad (3)$$

Of the returned 128 bits, 64 bits are added to the *versionNumber* field of the attestation structure; 32 bits are added to the *clockInfo.resetCount* and 32 bits are added to the *clockInfo.restartCount*. The order in which the bits are added is implementation-dependent.

NOTE 5 The obfuscation value for each signing key will be unique to that key in a specific location. That is, each version of a duplicated signing key will have a different obfuscation value.

When the signing key is TPM\_RH\_NULL, the data structure is produced but not signed; and the values in the signed data structure are obfuscated. When computing the obfuscation value for TPM\_RH\_NULL, the hash used for context integrity is used.

NOTE 6            The QN for TPM\_RH\_NULL is TPM\_RH\_NULL.

If the signing scheme of *signHandle* is an anonymous scheme, then the attestation blocks will not contain the Qualified Name of the *signHandle*.

Each of the attestation structures allows the caller to provide some qualifying data (*qualifyingData*). For most signing schemes, this value will be placed in the TPMS\_ATTEST.*extraData* parameter that is then hashed and signed. However, for some schemes such as ECDA, the *qualifyingData* is used in a different manner (for details, see “ECDA” in TPM 2.0 Part 1).

## 18.2 TPM2\_Certify

### 18.2.1 General Description

The purpose of this command is to prove that an object with a specific Name is loaded in the TPM. By certifying that the object is loaded, the TPM warrants that a public area with a given Name is self-consistent and associated with a valid sensitive area. If a relying party has a public area that has the same Name as a Name certified with this command, then the values in that public area are correct.

NOTE 1 See clause 18.1 for description of how the signing scheme is selected.

Authorization for *objectHandle* requires ADMIN role authorization. If performed with a policy session, the session shall have a *policySession→commandCode* set to TPM\_CC\_Certify. This indicates that the policy that is being used is a policy that is for certification, and not a policy that would approve another use. That is, authority to use an object does not grant authority to certify the object.

The object may be any object that is loaded with TPM2\_Load() or TPM2\_CreatePrimary(). An object that only has its public area loaded cannot be certified.

NOTE 2 The restriction occurs because the Name is used to identify the object being certified. If the TPM has not validated that the public area is associated with a matched sensitive area, then the public area may not represent a valid object and cannot be certified.

The certification includes the Name and Qualified Name of the certified object as well as the Name and the Qualified Name of the certifying object.

NOTE 3 If *signHandle* is TPM\_RH\_NULL, the TPMS\_ATTEST structure is returned and *signature* is a NULL Signature.

## 18.2.2 Command and Response

Table 90 — TPM2\_Certify Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_Certify
TPMI_DH_OBJECT	@objectHandle	handle of the object to be certified Auth Index: 1 Auth Role: ADMIN
TPMI_DH_OBJECT+	@signHandle	handle of the key used to sign the attestation structure Auth Index: 2 Auth Role: USER
TPM2B_DATA	qualifyingData	user provided qualifying data
TPMT_SIG_SCHEME+	inScheme	signing scheme to use if the <i>scheme</i> for <i>signHandle</i> is TPM_ALG_NULL

Table 91 — TPM2\_Certify Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	.
TPM2B_ATTEST	certifyInfo	the structure that was signed
TPMT_SIGNATURE	signature	the asymmetric signature over <i>certifyInfo</i> using the key referenced by <i>signHandle</i>

## 18.2.3 Detailed Actions

### 18.2.3.1 /tpm/src/command/Attestation/Certify.c

```

1  #include "Tpm.h"
2  #include "Attest_spt_fp.h"
3  #include "Certify_fp.h"
4
5  #if CC_Certify // Conditional expansion of this file
6
7  /*(See part 3 specification)
8  // prove an object with a specific Name is loaded in the TPM
9  */
10 // Return Type: TPM_RC
11 //     TPM_RC_KEY          key referenced by 'signHandle' is not a signing key
12 //     TPM_RC_SCHEME       'inScheme' is not compatible with 'signHandle'
13 //     TPM_RC_VALUE        digest generated for 'inScheme' is greater or has larger
14 //                         size than the modulus of 'signHandle', or the buffer for
15 //                         the result in 'signature' is too small (for an RSA key);
16 //                         invalid commit status (for an ECC key with a split scheme)
17 TPM_RC
18 TPM2_Certify(Certify_In* in, // IN: input parameter list
19             Certify_Out* out // OUT: output parameter list
20 )
21 {
22     TPMS_ATTEST certifyInfo;
23     OBJECT*      signObject      = HandleToObject(in->signHandle);
24     OBJECT*      certifiedObject = HandleToObject(in->objectHandle);
25     // Input validation
26     if(!IsSigningObject(signObject))
27         return TPM_RCS_KEY + RC_Certify_signHandle;
28     if(!CryptSelectSignScheme(signObject, &in->inScheme))
29         return TPM_RCS_SCHEME + RC_Certify_inScheme;
30
31     // Command Output
32     // Filling in attest information
33     // Common fields
34     FillInAttestInfo(
35         in->signHandle, &in->inScheme, &in->qualifyingData, &certifyInfo);
36
37     // Certify specific fields
38     certifyInfo.type = TPM_ST_ATTEST_CERTIFY;
39     // NOTE: the certified object is not allowed to be TPM_ALG_NULL so
40     // 'certifiedObject' will never be NULL
41     certifyInfo.attested.certify.name = certifiedObject->name;
42
43     // When using an anonymous signing scheme, need to set the qualified Name to the
44     // empty buffer to avoid correlation between keys
45     if(CryptIsSchemeAnonymous(in->inScheme.scheme))
46         certifyInfo.attested.certify.qualifiedName.t.size = 0;
47     else
48         certifyInfo.attested.certify.qualifiedName = certifiedObject->qualifiedName;
49
50     // Sign attestation structure. A NULL signature will be returned if
51     // signHandle is TPM_RH_NULL. A TPM_RC_NV_UNAVAILABLE, TPM_RC_NV_RATE,
52     // TPM_RC_VALUE, TPM_RC_SCHEME or TPM_RC_ATTRIBUTES error may be returned
53     // by SignAttestInfo()
54     return SignAttestInfo(signObject,
55                           &in->inScheme,
56                           &certifyInfo,
57                           &in->qualifyingData,
58                           &out->certifyInfo,
59                           &out->signature);

```

```
60  }  
61  
62  #endif  // CC_Certify  
63
```

## 18.3 TPM2\_CertifyCreation

### 18.3.1 General Description

This command is used to prove the association between an object and its creation data. The TPM will validate that the ticket was produced by the TPM and that the ticket validates the association between a loaded public area and the provided hash of the creation data (*creationHash*).

NOTE 1 See clause 18.1 for description of how the signing scheme is selected.

NOTE 2 This command is more straightforward for child keys. Since primary keys are repeatable, the same key can be generated with different creation data. The *outsideInfo* parameter can be used to provide creation ticket freshness.

The TPM will create a test ticket using the Name associated with *objectHandle* and *creationHash* as:

$$\text{HMAC}(\text{proof}, (\text{TPM\_ST\_CREATION} || \text{objectHandle} \rightarrow \text{Name} || \text{creationHash})) \quad (4)$$

This ticket is then compared to creation ticket. If the tickets are not the same, the TPM shall return TPM\_RC\_TICKET.

If the ticket is valid, then the TPM will create a TPMS\_ATTEST structure and place *creationHash* of the command in the *creationHash* field of the structure. The Name associated with *objectHandle* will be included in the attestation data that is then signed using the key associated with *signHandle*.

NOTE 3 If *signHandle* is TPM\_RH\_NULL, the TPMS\_ATTEST structure is returned and *signature* is a NULL Signature.

*objectHandle* may be any object that is loaded with TPM2\_Load() or TPM2\_CreatePrimary().



## 18.3.2 Command and Response

Table 92 — TPM2\_CertifyCreation Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_CertifyCreation
TPMI_DH_OBJECT+	@signHandle	handle of the key that will sign the attestation block Auth Index: 1 Auth Role: USER
TPMI_DH_OBJECT	objectHandle	the object associated with the creation data Auth Index: None
TPM2B_DATA	qualifyingData	user-provided qualifying data
TPM2B_DIGEST	creationHash	hash of the creation data produced by TPM2_Create() or TPM2_CreatePrimary()
TPMT_SIG_SCHEME+	inScheme	signing scheme to use if the <i>scheme</i> for <i>signHandle</i> is TPM_ALG_NULL
TPMT_TK_CREATION	creationTicket	ticket produced by TPM2_Create() or TPM2_CreatePrimary()

Table 93 — TPM2\_CertifyCreation Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_ATTEST	certifyInfo	the structure that was signed
TPMT_SIGNATURE	signature	the signature over <i>certifyInfo</i>

### 18.3.3 Detailed Actions

#### 18.3.3.1 /tpm/src/command/Attestation/CertifyCreation.c

```

1  #include "Tpm.h"
2  #include "Attest_spt_fp.h"
3  #include "CertifyCreation_fp.h"
4
5  #if CC_CertifyCreation // Conditional expansion of this file
6
7  /*(See part 3 specification)
8  // Prove the association between an object and its creation data
9  */
10 // Return Type: TPM_RC
11 //     TPM_RC_KEY           key referenced by 'signHandle' is not a signing key
12 //     TPM_RC_SCHEME        'inScheme' is not compatible with 'signHandle'
13 //     TPM_RC_TICKET        'creationTicket' does not match 'objectHandle'
14 //     TPM_RC_VALUE         digest generated for 'inScheme' is greater or has larger
15 //                           size than the modulus of 'signHandle', or the buffer for
16 //                           the result in 'signature' is too small (for an RSA key);
17 //                           invalid commit status (for an ECC key with a split
18 //                           scheme).
19 TPM_RC
20 TPM2_CertifyCreation(CertifyCreation_In* in, // IN: input parameter list
21                    CertifyCreation_Out* out // OUT: output parameter list
22 )
23 {
24     TPM_RC result = TPM_RC_SUCCESS;
25     TPMT_TK_CREATION ticket;
26     TPMS_ATTEST certifyInfo;
27     OBJECT* certified = HandleToObject(in->objectHandle);
28     OBJECT* signObject = HandleToObject(in->signHandle);
29     // Input Validation
30     if(!IsSigningObject(signObject))
31         return TPM_RCS_KEY + RC_CertifyCreation_signHandle;
32     if(!CryptSelectSignScheme(signObject, &in->inScheme))
33         return TPM_RCS_SCHEME + RC_CertifyCreation_inScheme;
34     // CertifyCreation specific input validation
35     // Re-compute ticket
36     result = TicketComputeCreation(
37         in->creationTicket.hierarchy, &certified->name, &in->creationHash, &ticket);
38     if(result != TPM_RC_SUCCESS)
39         return result;
40     // Compare ticket
41     if(!MemoryEqual2B(&ticket.digest.b, &in->creationTicket.digest.b))
42         return TPM_RCS_TICKET + RC_CertifyCreation_creationTicket;
43     // Command Output
44     // Common fields
45     FillInAttestInfo(
46         in->signHandle, &in->inScheme, &in->qualifyingData, &certifyInfo);
47     // CertifyCreation specific fields
48     // Attestation type
49     certifyInfo.type = TPM_ST_ATTEST_CREATION;
50     certifyInfo.attested.creation.objectName = certified->name;
51     // Copy the creationHash
52     certifyInfo.attested.creation.creationHash = in->creationHash;
53     // Sign attestation structure. A NULL signature will be returned if

```

```
59     // signObject is TPM_RH_NULL. A TPM_RC_NV_UNAVAILABLE, TPM_RC_NV_RATE,  
60     // TPM_RC_VALUE, TPM_RC_SCHEME or TPM_RC_ATTRIBUTES error may be returned at  
61     // this point  
62     return SignAttestInfo(signObject,  
63                           &in->inScheme,  
64                           &certifyInfo,  
65                           &in->qualifyingData,  
66                           &out->certifyInfo,  
67                           &out->signature);  
68 }  
69  
70 #endif // CC_CertifyCreation  
71
```

## 18.4 TPM2\_Quote

### 18.4.1 General Description

This command is used to quote PCR values.

The TPM will hash the list of PCR selected by *PCRselect* using the hash algorithm in the selected signing scheme. If the selected signing scheme or the scheme hash algorithm is TPM\_ALG\_NULL, then the TPM shall return TPM\_RC\_SCHEME.

NOTE 1 See clause 18.1 for description of how the signing scheme is selected.

The digest is computed as the hash of the concatenation of all of the digest values of the selected PCR.

The concatenation of PCR is described in TPM 2.0 Part 1, *Selecting Multiple PCR*.

NOTE 2 If *signHandle* is TPM\_RH\_NULL, the TPMS\_ATTEST structure is returned and *signature* is a NULL Signature.

NOTE 3 A TPM may optionally return TPM\_RC\_SCHEME if *signHandle* is TPM\_RH\_NULL.

NOTE 4 Unlike TPM 1.2, TPM2\_Quote does not return the PCR values. See Part 1, "Attesting to PCR" for a discussion of this issue.

## 18.4.2 Command and Response

Table 94 — TPM2\_Quote Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_Quote
TPMI_DH_OBJECT+	@signHandle	handle of key that will perform signature Auth Index: 1 Auth Role: USER
TPM2B_DATA	qualifyingData	data supplied by the caller
TPMT_SIG_SCHEME+	inScheme	signing scheme to use if the <i>scheme</i> for <i>signHandle</i> is TPM_ALG_NULL
TPML_PCR_SELECTION	PCRselect	PCR set to quote

Table 95 — TPM2\_Quote Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_ATTEST	quoted	the quoted information
TPMT_SIGNATURE	signature	the signature over <i>quoted</i>

### 18.4.3 Detailed Actions

#### 18.4.3.1 /tpm/src/command/Attestation/Quote.c

```

1  #include "Tpm.h"
2  #include "Attest_spt_fp.h"
3  #include "Quote_fp.h"
4
5  #if CC_Quote // Conditional expansion of this file
6
7  /*(See part 3 specification)
8  // quote PCR values
9  */
10 // Return Type: TPM_RC
11 //     TPM_RC_KEY           'signHandle' does not reference a signing key;
12 //     TPM_RC_SCHEME        the scheme is not compatible with sign key type,
13 //                          or input scheme is not compatible with default
14 //                          scheme, or the chosen scheme is not a valid
15 //                          sign scheme
16 TPM_RC
17 TPM2_Quote(Quote_In* in, // IN: input parameter list
18           Quote_Out* out // OUT: output parameter list
19 )
20 {
21     TPMI_ALG_HASH hashAlg;
22     TPMS_ATTEST quoted;
23     OBJECT* signObject = HandleToObject(in->signHandle);
24     // Input Validation
25     if(!IsSigningObject(signObject))
26         return TPM_RCS_KEY + RC_Quote_signHandle;
27     if(!CryptSelectSignScheme(signObject, &in->inScheme))
28         return TPM_RCS_SCHEME + RC_Quote_inScheme;
29
30     // Command Output
31
32     // Filling in attest information
33     // Common fields
34     // FillInAttestInfo may return TPM_RC_SCHEME or TPM_RC_KEY
35     FillInAttestInfo(in->signHandle, &in->inScheme, &in->qualifyingData, &quoted);
36
37     // Quote specific fields
38     // Attestation type
39     quoted.type = TPM_ST_ATTEST_QUOTE;
40
41     // Get hash algorithm in sign scheme. This hash algorithm is used to
42     // compute PCR digest. If there is no algorithm, then the PCR cannot
43     // be digested and this command returns TPM_RC_SCHEME
44     hashAlg = in->inScheme.details.any.hashAlg;
45
46     if(hashAlg == TPM_ALG_NULL)
47         return TPM_RCS_SCHEME + RC_Quote_inScheme;
48
49     // Compute PCR digest
50     PCRComputeCurrentDigest(
51         hashAlg, &in->PCRselect, &quoted.attested.quote.pcrDigest);
52
53     // Copy PCR select. "PCRselect" is modified in PCRComputeCurrentDigest
54     // function
55     quoted.attested.quote.pcrSelect = in->PCRselect;
56
57     // Sign attestation structure. A NULL signature will be returned if
58     // signObject is NULL.
59     return SignAttestInfo(signObject,

```

```
60         &in->inScheme,  
61         &quoted,  
62         &in->qualifyingData,  
63         &out->quoted,  
64         &out->signature);  
65     }  
66  
67     #endif // CC_Quote  
68
```

## 18.5 TPM2\_GetSessionAuditDigest

### 18.5.1 General Description

This command returns a digital signature of the audit session digest.

NOTE 1 See clause 18.1 for description of how the signing scheme is selected.

If *sessionHandle* is not an audit session, the TPM shall return TPM\_RC\_TYPE.

NOTE 2 A session does not become an audit session until the successful completion of the command in which the session is first used as an audit session.

This command requires authorization from the privacy administrator of the TPM (expressed with Endorsement Authorization) as well as authorization to use the key associated with *signHandle*.

If this command is audited, then the audit digest that is signed will not include the digest of this command because the audit digest is only updated when the command completes successfully.

This command does not cause the audit session to be closed and does not reset the digest value.

NOTE 3 If *sessionHandle* is used as an audit session for this command, the command is audited in the same manner as any other command.

NOTE 4 If *signHandle* is TPM\_RH\_NULL, the TPMS\_ATTEST structure is returned and *signature* is a NULL Signature.



## 18.5.2 Command and Response

Table 96 — TPM2\_GetSessionAuditDigest Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_GetSessionAuditDigest
TPMI_RH_ENDORSEMENT	@privacyAdminHandle	handle of the privacy administrator (TPM_RH_ENDORSEMENT) Auth Index: 1 Auth Role: USER
TPMI_DH_OBJECT+	@signHandle	handle of the signing key Auth Index: 2 Auth Role: USER
TPMI_SH_HMAC	sessionHandle	handle of the audit session Auth Index: None
TPM2B_DATA	qualifyingData	user-provided qualifying data – may be zero-length
TPMT_SIG_SCHEME+	inScheme	signing scheme to use if the <i>scheme</i> for <i>signHandle</i> is TPM_ALG_NULL

Table 97 — TPM2\_GetSessionAuditDigest Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_ATTEST	auditInfo	the audit information that was signed
TPMT_SIGNATURE	signature	the signature over <i>auditInfo</i>

### 18.5.3 Detailed Actions

#### 18.5.3.1 /tpm/src/command/Attestation/GetSessionAuditDigest.c

```

1  #include "Tpm.h"
2  #include "Attest_spt_fp.h"
3  #include "GetSessionAuditDigest_fp.h"
4
5  #if CC_GetSessionAuditDigest // Conditional expansion of this file
6
7  /*(See part 3 specification)
8  // Get audit session digest
9  */
10 // Return Type: TPM_RC
11 //     TPM_RC_KEY           key referenced by 'signHandle' is not a signing key
12 //     TPM_RC_SCHEME        'inScheme' is incompatible with 'signHandle' type; or
13 //                          both 'scheme' and key's default scheme are empty; or
14 //                          'scheme' is empty while key's default scheme requires
15 //                          explicit input scheme (split signing); or
16 //                          non-empty default key scheme differs from 'scheme'
17 //     TPM_RC_TYPE           'sessionHandle' does not reference an audit session
18 //     TPM_RC_VALUE         digest generated for the given 'scheme' is greater than
19 //                          the modulus of 'signHandle' (for an RSA key);
20 //                          invalid commit status or failed to generate "r" value
21 //                          (for an ECC key)
22 TPM_RC
23 TPM2_GetSessionAuditDigest(
24     GetSessionAuditDigest_In* in, // IN: input parameter list
25     GetSessionAuditDigest_Out* out // OUT: output parameter list
26 )
27 {
28     SESSION* session = SessionGet(in->sessionHandle);
29     TPMS_ATTEST auditInfo;
30     OBJECT* signObject = HandleToObject(in->signHandle);
31     // Input Validation
32     if(!IsSigningObject(signObject))
33         return TPM_RCS_KEY + RC_GetSessionAuditDigest_signHandle;
34     if(!CryptSelectSignScheme(signObject, &in->inScheme))
35         return TPM_RCS_SCHEME + RC_GetSessionAuditDigest_inScheme;
36
37     // session must be an audit session
38     if(session->attributes.isAudit == CLEAR)
39         return TPM_RCS_TYPE + RC_GetSessionAuditDigest_sessionHandle;
40
41     // Command Output
42     // Fill in attest information common fields
43     FillInAttestInfo(in->signHandle, &in->inScheme, &in->qualifyingData, &auditInfo);
44
45     // SessionAuditDigest specific fields
46     auditInfo.type = TPM_ST_ATTEST_SESSION_AUDIT;
47     auditInfo.attested.sessionAudit.sessionDigest = session->u2.auditDigest;
48
49     // Exclusive audit session
50     auditInfo.attested.sessionAudit.exclusiveSession =
51         (g_exclusiveAuditSession == in->sessionHandle);
52
53     // Sign attestation structure. A NULL signature will be returned if
54     // signObject is NULL.
55     return SignAttestInfo(signObject,
56                           &in->inScheme,
57                           &auditInfo,
58                           &in->qualifyingData,
59                           &out->auditInfo,

```

```
60         &out->signature) ;  
61     }  
62  
63     #endif // CC_GetSessionAuditDigest  
64
```

## 18.6 TPM2\_GetCommandAuditDigest

### 18.6.1 General Description

This command returns the current value of the command audit digest, a digest of the commands being audited, and the audit hash algorithm. These values are placed in an attestation structure and signed with the key referenced by *signHandle*.

NOTE 1 See clause 18.1 for description of how the signing scheme is selected.

When this command completes successfully, and *signHandle* is not TPM\_RH\_NULL, the audit digest is cleared. If *signHandle* is TPM\_RH\_NULL, *signature* is the Empty Buffer and the audit digest is not cleared.

NOTE 2 The way that the TPM tracks that the digest is clear is vendor-dependent. The reference implementation resets the size of the digest to zero.

If this command is being audited, then the signed digest produced by the command will not include the command. At the end of this command, the audit digest will be extended with *cpHash* and the *rpHash* of the command, which would change the command audit digest signed by the next invocation of this command.

This command requires authorization from the privacy administrator of the TPM (expressed with Endorsement Authorization) as well as authorization to use the key associated with *signHandle*.

## 18.6.2 Command and Response

Table 98 — TPM2\_GetCommandAuditDigest Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_GetCommandAuditDigest {NV}
TPMI_RH_ENDORSEMENT	@privacyHandle	handle of the privacy administrator (TPM_RH_ENDORSEMENT) Auth Index: 1 Auth Role: USER
TPMI_DH_OBJECT+	@signHandle	the handle of the signing key Auth Index: 2 Auth Role: USER
TPM2B_DATA	qualifyingData	other data to associate with this audit digest
TPMT_SIG_SCHEME+	inScheme	signing scheme to use if the <i>scheme</i> for <i>signHandle</i> is TPM_ALG_NULL

Table 99 — TPM2\_GetCommandAuditDigest Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_ATTEST	auditInfo	the auditInfo that was signed
TPMT_SIGNATURE	signature	the signature over <i>auditInfo</i>

### 18.6.3 Detailed Actions

#### 18.6.3.1 /tpm/src/command/Attestation/GetCommandAuditDigest.c

```

1  #include "Tpm.h"
2  #include "Attest_spt_fp.h"
3  #include "GetCommandAuditDigest_fp.h"
4
5  #if CC_GetCommandAuditDigest // Conditional expansion of this file
6
7  /*(See part 3 specification)
8  // Get current value of command audit log
9  */
10 // Return Type: TPM_RC
11 //     TPM_RC_KEY           key referenced by 'signHandle' is not a signing key
12 //     TPM_RC_SCHEME        'inScheme' is incompatible with 'signHandle' type; or
13 //                          both 'scheme' and key's default scheme are empty; or
14 //                          'scheme' is empty while key's default scheme requires
15 //                          explicit input scheme (split signing); or
16 //                          non-empty default key scheme differs from 'scheme'
17 //     TPM_RC_VALUE          digest generated for the given 'scheme' is greater than
18 //                          the modulus of 'signHandle' (for an RSA key);
19 //                          invalid commit status or failed to generate "r" value
20 //                          (for an ECC key)
21 TPM_RC
22 TPM2_GetCommandAuditDigest(
23     GetCommandAuditDigest_In* in, // IN: input parameter list
24     GetCommandAuditDigest_Out* out // OUT: output parameter list
25 )
26 {
27     TPM_RC      result;
28     TPMS_ATTEST auditInfo;
29     OBJECT*     signObject = HandleToObject(in->signHandle);
30     // Input validation
31     if(!IsSigningObject(signObject))
32         return TPM_RCS_KEY + RC_GetCommandAuditDigest_signHandle;
33     if(!CryptSelectSignScheme(signObject, &in->inScheme))
34         return TPM_RCS_SCHEME + RC_GetCommandAuditDigest_inScheme;
35
36     // Command Output
37     // Fill in attest information common fields
38     FillInAttestInfo(in->signHandle, &in->inScheme, &in->qualifyingData, &auditInfo);
39
40     // CommandAuditDigest specific fields
41     auditInfo.type = TPM_ST_ATTEST_COMMAND_AUDIT;
42     auditInfo.attested.commandAudit.digestAlg = gp.auditHashAlg;
43     auditInfo.attested.commandAudit.auditCounter = gp.auditCounter;
44
45     // Copy command audit log
46     auditInfo.attested.commandAudit.auditDigest = gr.commandAuditDigest;
47     CommandAuditGetDigest(&auditInfo.attested.commandAudit.commandDigest);
48
49     // Sign attestation structure. A NULL signature will be returned if
50     // signHandle is TPM_RH_NULL. A TPM_RC_NV_UNAVAILABLE, TPM_RC_NV_RATE,
51     // TPM_RC_VALUE, TPM_RC_SCHEME or TPM_RC_ATTRIBUTES error may be returned at
52     // this point
53     result = SignAttestInfo(signObject,
54                             &in->inScheme,
55                             &auditInfo,
56                             &in->qualifyingData,
57                             &out->auditInfo,
58                             &out->signature);
59     // Internal Data Update

```

```
60     if(result == TPM_RC_SUCCESS && in->signHandle != TPM_RH_NULL)
61         // Reset log
62         gr.commandAuditDigest.t.size = 0;
63
64     return result;
65 }
66
67 #endif // CC_GetCommandAuditDigest
68
```

## 18.7 TPM2\_GetTime

### 18.7.1 General Description

This command returns the current values of *Time* and *Clock*.

NOTE 1 See clause 18.1 for description of how the signing scheme is selected.

The values of *Clock*, *resetCount* and *restartCount* appear in two places in *timeInfo*: once in *TPMS\_ATTEST.clockInfo* and again in *TPMS\_ATTEST.attested.time.clockInfo*. The firmware version number also appears in two places (*TPMS\_ATTEST.firmwareVersion* and *TPMS\_ATTEST.attested.time.firmwareVersion*). If *signHandle* is in the endorsement or platform hierarchies, both copies of the data will be the same. However, if *signHandle* is in the storage hierarchy or is *TPM\_RH\_NULL*, the values in *TPMS\_ATTEST.clockInfo* and *TPMS\_ATTEST.firmwareVersion* are obfuscated but the values in *TPMS\_ATTEST.attested.time* are not.

NOTE 2 The purpose of this duplication is to allow an entity who is trusted by the privacy Administrator to correlate the obfuscated values with the clear-text values. This command requires Endorsement Authorization.

NOTE 3 If *signHandle* is *TPM\_RH\_NULL*, the *TPMS\_ATTEST* structure is returned and *signature* is a NULL Signature.



## 18.7.2 Command and Response

Table 100 — TPM2\_GetTime Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_GetTime
TPMI_RH_ENDORSEMENT	@privacyAdminHandle	handle of the privacy administrator (TPM_RH_ENDORSEMENT) Auth Index: 1 Auth Role: USER
TPMI_DH_OBJECT+	@signHandle	the <i>keyHandle</i> identifier of a loaded key that can perform digital signatures Auth Index: 2 Auth Role: USER
TPM2B_DATA	qualifyingData	data to tick stamp
TPMT_SIG_SCHEME+	inScheme	signing scheme to use if the <i>scheme</i> for <i>signHandle</i> is TPM_ALG_NULL

Table 101 — TPM2\_GetTime Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	.
TPM2B_ATTEST	timeInfo	standard TPM-generated attestation block
TPMT_SIGNATURE	signature	the signature over <i>timeInfo</i>

### 18.7.3 Detailed Actions

#### 18.7.3.1 /tpm/src/command/Attestation/GetTime.c

```

1  #include "Tpm.h"
2  #include "Attest_spt_fp.h"
3  #include "GetTime_fp.h"
4
5  #if CC_GetTime // Conditional expansion of this file
6
7  /*(See part 3 specification)
8  // Applies a time stamp to the passed blob (qualifyingData).
9  */
10 // Return Type: TPM_RC
11 //     TPM_RC_KEY           key referenced by 'signHandle' is not a signing key
12 //     TPM_RC_SCHEME        'inScheme' is incompatible with 'signHandle' type; or
13 //                          both 'scheme' and key's default scheme are empty; or
14 //                          'scheme' is empty while key's default scheme requires
15 //                          explicit input scheme (split signing); or
16 //                          non-empty default key scheme differs from 'scheme'
17 //     TPM_RC_VALUE         digest generated for the given 'scheme' is greater than
18 //                          the modulus of 'signHandle' (for an RSA key);
19 //                          invalid commit status or failed to generate "r" value
20 //                          (for an ECC key)
21 TPM_RC
22 TPM2_GetTime(GetTime_In* in, // IN: input parameter list
23             GetTime_Out* out // OUT: output parameter list
24 )
25 {
26     TPMS_ATTEST timeInfo;
27     OBJECT*      signObject = HandleToObject(in->signHandle);
28     // Input Validation
29     if(!IsSigningObject(signObject))
30         return TPM_RCS_KEY + RC_GetTime_signHandle;
31     if(!CryptSelectSignScheme(signObject, &in->inScheme))
32         return TPM_RCS_SCHEME + RC_GetTime_inScheme;
33
34     // Command Output
35     // Fill in attest common fields
36     FillInAttestInfo(in->signHandle, &in->inScheme, &in->qualifyingData, &timeInfo);
37
38     // GetClock specific fields
39     timeInfo.type = TPM_ST_ATTEST_TIME;
40     timeInfo.attested.time.time.time = g_time;
41     TimeFillInfo(&timeInfo.attested.time.time.clockInfo);
42
43     // Firmware version in plain text
44     timeInfo.attested.time.firmwareVersion =
45         ((UINT64)gp.firmwareV1) << 32) + gp.firmwareV2;
46
47     // Sign attestation structure. A NULL signature will be returned if
48     // signObject is NULL.
49     return SignAttestInfo(signObject,
50                          &in->inScheme,
51                          &timeInfo,
52                          &in->qualifyingData,
53                          &out->timeInfo,
54                          &out->signature);
55 }
56
57 #endif // CC_GetTime
58

```

DRAFT

## 18.8 TPM2\_CertifyX509

### 18.8.1 General Description

The purpose of this command is to generate an X.509 certificate that proves an object with a specific public key and attributes is loaded in the TPM. In contrast to TPM2\_Certify, which uses a TCG-defined data structure to convey attestation information, TPM2\_CertifyX509() encodes the attestation information in a DER-encoded X.509 certificate that is compliant with RFC5280 *Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile*.

As described in RFC, an X.509 certificate contains a collection of data that is hashed and signed. The full signature is the combination of the *to be signed* (TBS) data, a description of the signature algorithm, and the signature over the TBS data. The elements of the TBS data structure are DER-encoded values. They are:

- 1) Version [0] – integer value of 2 indicating version 3
- 2) Certificate Serial Number – integer value
- 3) Signature Algorithm Identifier – values (usually a collection of OIDs) identifying the algorithm used for the signature
- 4) Issuer Name – X.501 type *Name* to identify the entity that has authorized the use of *signHandle* to create the certificate.
- 5) Validity – two time values indicating the period during which the certificate is valid
- 6) Subject Name – X.501 type *Name* that identifies the entity that authorized the use of *objectHandle*
- 7) Subject Public Key Info – the public key associated with *objectHandle*,
- 8) Extensions [3] – a set of values that “provide methods for associating additional attributes with users or public keys and for managing relationships between CAs.”

NOTE 1: The numbers in square brackets (e.g., [0]) indicate application-specific tag values that are used to identify the type of the field.

NOTE 2: RFC 5280 describes two fields (issuerUniqueID and subjectUniqueID) but goes on to say: “CAs conforming to this profile MUST NOT generate certificates with unique identifiers.” The TPM does not allow them to be present.

The caller provides a partial certificate (*partialCertificate*) parameter that contains four or five of the elements enumerated above in a DER encoded SEQUENCE. They are:

- 1) Signature Algorithm Identifier (optional)
- 2) Issuer (mandatory)
- 3) Validity (mandatory)
- 4) Subject Name (mandatory)
- 5) Extensions (mandatory)

The fields are required to be in the order in which they are listed above.

NOTE 3: If one or more mandatory fields (Issuer, Validity, Subject Name, Extensions) are duplicated in the *partialCertificate*, the result of the command is unspecified.

If the fields listed above are not in the order listed, the command, the result of the command is unspecified.

If the Validity field is not compliant with RFC5280, the command can return successfully if the TPM does not parse the field.

NOTE 4: The TPM determines if the Signature Algorithm Identifier element is present by counting the elements.

The optional Signature Algorithm Identifier may be provided by the caller. If it is not present, the TPM will generate the value based on the selected signing scheme. If the caller provides this value, then the TPM will use it in the completed TBS. The TPM will not validate that the provided values are compatible with the signing scheme. If the caller does not provide this field and the TPM does not have OID values for the signing scheme, then the TPM will return an error (TPM\_RC\_SCHEME).

NOTE 5: The TPM may implement signing schemes for which OIDs are not defined at the time the TPM was manufactured. Those schemes may still be used if the caller can provide the Signature Algorithm Identifier.

The Extensions element is required to contain a Key Usage extension. The TPM will extract the Key Usage values and verify that the attributes of *objectHandle* are consistent with the selected values (TPM\_RC\_ATTRIBUTES) (see TPM 2.0 Part 2, *TPMA\_X509\_KEY\_USAGE*).

The Extensions element may contain a TPMA\_OBJECT extension. If present, the TPM will extract the value and verify that the extension value exactly matches the TPMA\_OBJECT of *objectKey* (TPM\_RC\_ATTRIBUTES). The element uses the TCG OID tcg-tpmaObject, 2.23.133.10.1.1.1. It is a SEQUENCE containing that OID and an OCTET STRING encapsulating a 4-byte BIT STRING holding the big endian TPMA\_OBJECT.

*signHandle* is required to have the *sign* attribute SET (TPM\_RC\_KEY).

NOTE 6: See clause 18.1 for description of how the signing scheme is selected.

Authorization for *objectHandle* requires ADMIN role authorization. If performed with a policy session, the session shall have a *policySession*→*commandCode* set to TPM\_CC\_CertifyX509. This indicates that the policy that is being used is a policy that is for certification, and not a policy that would approve another use. That is, authority to use an object does not grant authority to certify the object.

If *objectHandle* does not have a sensitive area loaded, the TPM will return an error (TPM\_RC\_AUTH\_UNAVAILABLE).

NOTE 7: The command requires that authorization be provided for use of *objectHandle*. An object that only has its *publicArea* loaded does not have an authorization value and the *authPolicy* has no meaning as the sensitive area is not present.

The TPM will create the Version, the Certificate Serial Number, the Subject Public Key Info, and, if not provided by the caller, the Signature Algorithm Identifier. These TPM-created values will be combined with the provided values to make a full TBSCertificate structure (see RFC 5280, clause 4.1). The TPM will then sign the certificate using the selected signing scheme.

The TPM-created values will be returned in *addedToCertificate*. If the TPM creates the Signature Algorithm Identifier, it will be in *addedToCertificate* before the Subject Public Key Info. The TPM returns *tbsDigest* as a debugging aid.

NOTE 8: These returned fields allow the caller to unambiguously create a full RFC5280-defined TBSCertificate.

NOTE 9: This command was added in revision 01.53.

## 18.8.2 Command and Response

Table 102 — TPM2\_CertifyX509 Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_CertifyX509
TPMI_DH_OBJECT	@objectHandle	handle of the object to be certified Auth Index: 1 Auth Role: ADMIN
TPMI_DH_OBJECT	@signHandle	handle of the key used to sign the attestation structure Auth Index: 2 Auth Role: USER
TPM2B_DATA	reserved	shall be an Empty Buffer
TPMT_SIG_SCHEME+	inScheme	signing scheme to use if the <i>scheme</i> for <i>signHandle</i> is TPM_ALG_NULL
TPM2B_MAX_BUFFER	partialCertificate	a DER encoded partial certificate

Table 103 — TPM2\_CertifyX509 Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	.
TPM2B_MAX_BUFFER	addedToCertificate	a DER encoded SEQUENCE containing the DER encoded fields added to partialCertificate to make it a complete RFC5280 TBSCertificate.
TPM2B_DIGEST	tbsDigest	the digest that was signed
TPMT_SIGNATURE	signature	The signature over <i>tbsDigest</i>

### 18.8.3 Detailed Actions

#### 18.8.3.1 /tpm/src/command/Attestation/CertifyX509.c

```

1  #include "Tpm.h"
2  #include "CertifyX509_fp.h"
3  #include "X509.h"
4  #include "TpmASN1_fp.h"
5  #include "X509_spt_fp.h"
6  #include "Attest_spt_fp.h"
7  #if CERTIFYX509_DEBUG
8  // TODO_RENAME_INC_FOLDER:platform_interface refers to the TPM_CoreLib platform
   interface
9  # include <platform_interface/tpm_to_platform_interface.h>
10 #endif
11
12 #if CC_CertifyX509 // Conditional expansion of this file
13
14 /*(See part 3 specification)
15 // Certify using an X509-formatted certificate
16 */
17 // return type: TPM_RC
18 //     TPM_RC_ATTRIBUTES    the attributes of 'objectHandle' are not compatible
19 //                          with the KeyUsage or TPMA_OBJECT values in the
20 //                          extensions fields
21 //     TPM_RC_BINDING       the public and private portions of the key are not
22 //                          properly bound.
23 //     TPM_RC_HASH          the hash algorithm in the scheme is not supported
24 //     TPM_RC_KEY           'signHandle' does not reference a signing key;
25 //     TPM_RC_SCHEME        the scheme is not compatible with sign key type,
26 //                          or input scheme is not compatible with default
27 //                          scheme, or the chosen scheme is not a valid
28 //                          sign scheme
29 //     TPM_RC_VALUE         most likely a problem with the format of
30 //                          'partialCertificate'
31 TPM_RC
32 TPM2_CertifyX509(CertifyX509_In* in, // IN: input parameter list
33                 CertifyX509_Out* out // OUT: output parameter list
34 )
35 {
36     TPM_RC          result;
37     OBJECT*         signKey = HandleToObject(in->signHandle);
38     OBJECT*         object  = HandleToObject(in->objectHandle);
39     HASH_STATE      hash;
40     INT16           length; // length for a tagged element
41     ASN1UnmarshalContext ctx;
42     ASN1MarshalContext ctxOut;
43     // certTBS holds an array of pointers and lengths. Each entry references the
44     // corresponding value in a TBSCertificate structure. For example, the 1th
45     // element references the version number
46     stringRef certTBS[REF_COUNT] = {{0}};
47 # define ALLOWED_SEQUENCES (SUBJECT_PUBLIC_KEY_REF - SIGNATURE_REF)
48     stringRef partial[ALLOWED_SEQUENCES] = {{0}};
49     INT16      countOfSequences          = 0;
50     INT16      i;
51     //
52 # if CERTIFYX509_DEBUG
53     DebugFileInit();
54     DebugDumpBuffer(in->partialCertificate.t.size,
55                    in->partialCertificate.t.buffer,
56                    "partialCertificate");
57 # endif
58

```

```

59 // Input Validation
60 if(in->reserved.b.size != 0)
61     return TPM_RC_SIZE + RC_CertifyX509_reserved;
62 // signing key must be able to sign
63 if(!IsSigningObject(signKey))
64     return TPM_RC_KEY + RC_CertifyX509_signHandle;
65 // Pick a scheme for sign. If the input sign scheme is not compatible with
66 // the default scheme, return an error.
67 if(!CryptSelectSignScheme(signKey, &in->inScheme))
68     return TPM_RC_SCHEME + RC_CertifyX509_inScheme;
69 // Make sure that the public Key encoding is known
70 if(X509AddPublicKey(NULL, object) == 0)
71     return TPM_RC_ASYMMETRIC + RC_CertifyX509_objectHandle;
72 // Unbundle 'partialCertificate'.
73 // Initialize the unmarshaling context
74 if(!ASN1UnmarshalContextInitialize(
75     &ctx, in->partialCertificate.t.size, in->partialCertificate.t.buffer))
76     return TPM_RC_VALUE + RC_CertifyX509_partialCertificate;
77 // Make sure that this is a constructed SEQUENCE
78 length = ASN1NextTag(&ctx);
79 // Must be a constructed SEQUENCE that uses all of the input parameter
80 if((ctx.tag != (ASN1_CONSTRUCTED_SEQUENCE))
81     || ((ctx.offset + length) != in->partialCertificate.t.size))
82     return TPM_RC_SIZE + RC_CertifyX509_partialCertificate;
83
84 // This scans through the contents of the outermost SEQUENCE. This would be the
85 // 'issuer', 'validity', 'subject', 'issuerUniqueID' (optional),
86 // 'subjectUniqueID' (optional), and 'extensions.'
87 while(ctx.offset < ctx.size)
88 {
89     INT16 startOfElement = ctx.offset;
90     //
91     // Read the next tag and length field.
92     length = ASN1NextTag(&ctx);
93     if(length < 0)
94         break;
95     if(ctx.tag == ASN1_CONSTRUCTED_SEQUENCE)
96     {
97         if(countOfSequences < ALLOWED_SEQUENCES)
98         {
99             partial[countOfSequences].buf = &ctx.buffer[startOfElement];
100             ctx.offset += length;
101             partial[countOfSequences].len = (INT16)ctx.offset - startOfElement;
102         }
103         countOfSequences++;
104         if(countOfSequences > ALLOWED_SEQUENCES)
105             break;
106     }
107     else if(ctx.tag == X509_EXTENSIONS)
108     {
109         if(certTBS[EXTENSIONS_REF].len != 0)
110             return TPM_RC_VALUE + RC_CertifyX509_partialCertificate;
111         certTBS[EXTENSIONS_REF].buf = &ctx.buffer[startOfElement];
112         ctx.offset += length;
113         certTBS[EXTENSIONS_REF].len = (INT16)ctx.offset - startOfElement;
114     }
115     else
116         return TPM_RC_VALUE + RC_CertifyX509_partialCertificate;
117 }
118 // Make sure that we used all of the data and found at least the required
119 // number of elements.
120 if((ctx.offset != ctx.size) || (countOfSequences < 3) || (countOfSequences > 4)
121     || (certTBS[EXTENSIONS_REF].buf == NULL))
122     return TPM_RC_SIZE + RC_CertifyX509_partialCertificate;
123 // Now that we know how many sequences there were, we can put them where they
124 // belong

```



```

125     for(i = 0; i < countOfSequences; i++)
126         certTBS[SUBJECT_KEY_REF - i] = partial[countOfSequences - 1 - i];
127
128     // If only three SEQUENCES, then the TPM needs to produce the signature algorithm.
129     // See if it can
130     if((countOfSequences == 3)
131         && (X509AddSigningAlgorithm(NULL, signKey, &in->inScheme) == 0))
132         return TPM_RCS_SCHEME + RC_CertifyX509_signHandle;
133
134     // Process the extensions
135     result = X509ProcessExtensions(object, &certTBS[EXTENSIONS_REF]);
136     if(result != TPM_RC_SUCCESS)
137         // If the extension has the TPMA_OBJECT extension and the attributes don't
138         // match, then the error code will be TPM_RCS_ATTRIBUTES. Otherwise, the error
139         // indicates a malformed partialCertificate.
140         return result
141             + ((result == TPM_RCS_ATTRIBUTES) ? RC_CertifyX509_objectHandle
142                : RC_CertifyX509_partialCertificate);
143
144     // Command Output
145     // Create the addedToCertificate values
146
147     // Build the addedToCertificate from the bottom up.
148     // Initialize the context structure
149     ASN1InitializeMarshalContext(&ctxOut,
150                                sizeof(out->addedToCertificate.t.buffer),
151                                out->addedToCertificate.t.buffer);
152
153     // Place a marker for the overall context
154     ASN1StartMarshalContext(&ctxOut); // SEQUENCE for addedToCertificate
155
156     // Add the subject public key descriptor
157     certTBS[SUBJECT_PUBLIC_KEY_REF].len = X509AddPublicKey(&ctxOut, object);
158     certTBS[SUBJECT_PUBLIC_KEY_REF].buf = ctxOut.buffer + ctxOut.offset;
159     // If the caller didn't provide the algorithm identifier, create it
160     if(certTBS[SIGNATURE_REF].len == 0)
161     {
162         certTBS[SIGNATURE_REF].len =
163             X509AddSigningAlgorithm(&ctxOut, signKey, &in->inScheme);
164         certTBS[SIGNATURE_REF].buf = ctxOut.buffer + ctxOut.offset;
165     }
166
167     // Create the serial number value. Use the out->tbsDigest as scratch.
168     {
169         TPM2B* digest = &out->tbsDigest.b;
170         //
171         digest->size = (INT16)CryptHashStart(&hash, signKey->publicArea.nameAlg);
172         pAssert(digest->size != 0);
173
174         // The serial number size is the smaller of the digest and the vendor-defined
175         // value
176         digest->size = MIN(digest->size, SIZE_OF_X509_SERIAL_NUMBER);
177         // Add all the parts of the certificate other than the serial number
178         // and version number
179         for(i = SIGNATURE_REF; i < REF_COUNT; i++)
180             CryptDigestUpdate(&hash, certTBS[i].len, certTBS[i].buf);
181         // throw in the Name of the signing key...
182         CryptDigestUpdate2B(&hash, &signKey->name.b);
183         // ...and the Name of the signed key.
184         CryptDigestUpdate2B(&hash, &object->name.b);
185         // Done
186         CryptHashEnd2B(&hash, digest);
187     }
188
189     // Add the serial number
190     certTBS[SERIAL_NUMBER_REF].len =
191         ASN1PushInteger(&ctxOut, out->tbsDigest.t.size, out->tbsDigest.t.buffer);
192     certTBS[SERIAL_NUMBER_REF].buf = ctxOut.buffer + ctxOut.offset;

```

```

191 // Add the static version number
192 ASN1StartMarshalContext(&ctxOut);
193 ASN1PushUINT(&ctxOut, 2);
194 certTBS[VERSION_REF].len =
195     ASN1EndEncapsulation(&ctxOut, ASN1_APPLICATION_SPECIFIC);
196 certTBS[VERSION_REF].buf = ctxOut.buffer + ctxOut.offset;
197
198 // Create a fake tag and length for the TBS in the space used for
199 // 'addedToCertificate'
200 {
201     for(length = 0, i = 0; i < REF_COUNT; i++)
202         length += certTBS[i].len;
203     // Put a fake tag and length into the buffer for use in the tbsDigest
204     certTBS[ENCODED_SIZE_REF].len =
205         ASN1PushTagAndLength(&ctxOut, ASN1_CONSTRUCTED_SEQUENCE, length);
206     certTBS[ENCODED_SIZE_REF].buf = ctxOut.buffer + ctxOut.offset;
207     // Restore the buffer pointer to add back the number of octets used for the
208     // tag and length
209     ctxOut.offset += certTBS[ENCODED_SIZE_REF].len;
210 }
211 // sanity check
212 if(ctxOut.offset < 0)
213     return TPM_RC_FAILURE;
214 // Create the tbsDigest to sign
215 out->tbsDigest.t.size = CryptHashStart(&hash, in->inScheme.details.any.hashAlg);
216 for(i = 0; i < REF_COUNT; i++)
217     CryptDigestUpdate(&hash, certTBS[i].len, certTBS[i].buf);
218 CryptHashEnd2B(&hash, &out->tbsDigest.b);
219
220 # if CERTIFYX509_DEBUG
221 {
222     BYTE fullTBS[4096];
223     BYTE* fill = fullTBS;
224     int j;
225     for(j = 0; j < REF_COUNT; j++)
226     {
227         MemoryCopy(fill, certTBS[j].buf, certTBS[j].len);
228         fill += certTBS[j].len;
229     }
230     DebugDumpBuffer((int)(fill - &fullTBS[0]), fullTBS, "\nfull TBS");
231 }
232 # endif
233
234 // Finish up the processing of addedToCertificate
235 // Create the actual tag and length for the addedToCertificate structure
236 out->addedToCertificate.t.size =
237     ASN1EndEncapsulation(&ctxOut, ASN1_CONSTRUCTED_SEQUENCE);
238 // Now move all the addedToContext to the start of the buffer
239 MemoryCopy(out->addedToCertificate.t.buffer,
240     ctxOut.buffer + ctxOut.offset,
241     out->addedToCertificate.t.size);
242 # if CERTIFYX509_DEBUG
243     DebugDumpBuffer(out->addedToCertificate.t.size,
244         out->addedToCertificate.t.buffer,
245         "\naddedToCertificate");
246 # endif
247 // only thing missing is the signature
248 result = CryptSign(signKey, &in->inScheme, &out->tbsDigest, &out->signature);
249
250 return result;
251 }
252
253 #endif // CC_CertifyX509
254

```

## 19 Ephemeral EC Keys

### 19.1 Introduction

The TPM generates keys that have different lifetimes. TPM keys in a hierarchy can be persistent for as long as the seed of the hierarchy is unchanged and these keys may be used multiple times. Other TPM-generated keys are only useful for a single operation. Some of these single-use keys are used in the command in which they are created. Examples of this use are TPM2\_Duplicate() where an ephemeral key is created for a single pass key exchange with another TPM. However, there are other cases, such as anonymous attestation, where the protocol requires two passes where the public part of the ephemeral key is used outside of the TPM before the final command "consumes" the ephemeral key.

For these uses, TPM2\_Commit() or TPM2\_EC\_Ephemeral() may be used to have the TPM create an ephemeral EC key and return the public part of the key for external use. Then in a subsequent command, the caller provides a reference to the ephemeral key so that the TPM can retrieve or recreate the associated private key.

When an ephemeral EC key is created, it is assigned a number and that number is returned to the caller as the identifier for the key. This number is not a handle. A handle is assigned to a key that may be context saved but these ephemeral EC keys may not be saved and do not have a full key context. When a subsequent command uses the ephemeral key, the caller provides the number of the ephemeral key. The TPM uses that number to either look up or recompute the associated private key. After the key is used, the TPM records the fact that the key has been used so that it cannot be used again.

As mentioned, the TPM can keep each assigned private ephemeral key in memory until it is used. However, this could consume a large amount of memory. To limit the memory size, the TPM is allowed to restrict the number of pending private keys – keys that have been allocated but not used.

NOTE            The minimum number of ephemeral keys is determined by a platform specific specification

To further reduce the memory requirements for the ephemeral private keys, the TPM is allowed to use pseudo-random values for the ephemeral keys. Instead of keeping the full value of the key in memory, the TPM can use a counter as input to a KDF. Incrementing the counter will cause the TPM to generate a new pseudo-random value.

Using the counter to generate pseudo-random private ephemeral keys greatly simplifies tracking of key usage. When a counter value is used to create a key, a bit in an array may be set to indicate that the key use is pending. When the ephemeral key is consumed, the bit is cleared. This prevents the key from being used more than once.

Since the TPM is allowed to restrict the number of pending ephemeral keys, the array size can be limited. For example, a 128-bit array would allow 128 keys to be "pending".

The management of the array is described in greater detail in the *Split Operations* clause in Annex C of TPM 2.0 Part 1.

## 19.2 TPM2\_Commit

### 19.2.1 General Description

TPM2\_Commit() performs the first part of an ECC anonymous signing operation. The TPM will perform the point multiplications on the provided points and return intermediate signing values. The *signHandle* parameter shall refer to an ECC key and the signing scheme must be anonymous (TPM\_RC\_SCHEME).

NOTE 1                Currently, TPM\_ALG\_ECDSA is the only defined anonymous scheme.

NOTE 2                This command cannot be used with a sign+decrypt key because that type of key is required to have a scheme of TPM\_ALG\_NULL.

For this command, *p1*, *s2* and *y2* are optional parameters. If *s2* is an Empty Buffer, then the TPM shall return TPM\_RC\_SIZE if *y2* is not an Empty Buffer.

The algorithm is specified in the TPM 2.0 Part 1 Annex for ECC, TPM2\_Commit().

## 19.2.2 Command and Response

Table 104 — TPM2\_Commit Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_Commit
TPMI_DH_OBJECT	@signHandle	handle of the key that will be used in the signing operation Auth Index: 1 Auth Role: USER
TPM2B_ECC_POINT	P1	a point ( $M$ ) on the curve used by <i>signHandle</i>
TPM2B_SENSITIVE_DATA	s2	octet array used to derive x-coordinate of a base point
TPM2B_ECC_PARAMETER	y2	y coordinate of the point associated with s2

Table 105 — TPM2\_Commit Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_ECC_POINT	K	ECC point $K := [d_s](x_2, y_2)$
TPM2B_ECC_POINT	L	ECC point $L := [r](x_2, y_2)$
TPM2B_ECC_POINT	E	ECC point $E := [r]P_1$
UINT16	counter	least-significant 16 bits of <i>commitCount</i>

## 19.2.3 Detailed Actions

## 19.2.3.1 /tpm/src/command/Ecdaa/Commit.c

```

1  #include "Tpm.h"
2  #include "Commit_fp.h"
3  #include "TpmMath_Util_fp.h"
4
5  #if CC_Commit // Conditional expansion of this file
6
7  /*(See part 3 specification)
8  // This command performs the point multiply operations for anonymous signing
9  // scheme.
10 */
11 // Return Type: TPM_RC
12 //     TPM_RC_ATTRIBUTES      'keyHandle' references a restricted key that is not a
13 //                             signing key
14 //     TPM_RC_ECC_POINT      either 'P1' or the point derived from 's2' is not on
15 //                             the curve of 'keyHandle'
16 //     TPM_RC_HASH            invalid name algorithm in 'keyHandle'
17 //     TPM_RC_KEY             'keyHandle' does not reference an ECC key
18 //     TPM_RC_SCHEME          the scheme of 'keyHandle' is not an anonymous scheme
19 //     TPM_RC_NO_RESULT      'K', 'L' or 'E' was a point at infinity; or
20 //                             failed to generate "r" value
21 //     TPM_RC_SIZE            's2' is empty but 'y2' is not or 's2' provided but
22 //                             'y2' is not
23 TPM_RC
24 TPM2_Commit(Commit_In* in, // IN: input parameter list
25             Commit_Out* out // OUT: output parameter list
26 )
27 {
28     OBJECT*      eccKey;
29     TPMS_ECC_POINT P2;
30     TPMS_ECC_POINT* pP2 = NULL;
31     TPMS_ECC_POINT* pP1 = NULL;
32     TPM2B_ECC_PARAMETER r;
33     TPM2B_ECC_PARAMETER p;
34     TPM_RC      result;
35     TPMS_ECC_PARMS* parms;
36
37     // Input Validation
38
39     eccKey = HandleToObject(in->signHandle);
40     parms = &eccKey->publicArea.parameters.eccDetail;
41
42     // Input key must be an ECC key
43     if(eccKey->publicArea.type != TPM_ALG_ECC)
44         return TPM_RCS_KEY + RC_Commit_signHandle;
45
46     // This command may only be used with a sign-only key using an anonymous
47     // scheme.
48     // NOTE: a sign + decrypt key has no scheme so it will not be an anonymous one
49     // and an unrestricted sign key might no have a signing scheme but it can't
50     // be use in Commit()
51     if(!CryptIsSchemeAnonymous(parms->scheme.scheme))
52         return TPM_RCS_SCHEME + RC_Commit_signHandle;
53
54     // Make sure that both parts of P2 are present if either is present
55     if((in->s2.t.size == 0) != (in->y2.t.size == 0))
56         return TPM_RCS_SIZE + RC_Commit_y2;
57
58     // Get prime modulus for the curve. This is needed later but getting this now
59     // allows confirmation that the curve exists.
60     if(!TpmMath_IntTo2B(ExtEcc_CurveGetPrime(parms->curveID), &p.b, 0))

```

```

61     return TPM_RCS_KEY + RC_Commit_signHandle;
62
63     // Get the random value that will be used in the point multiplications
64     // Note: this does not commit the count.
65     if(!CryptGenerateR(&r, NULL, parms->curveID, &eccKey->name))
66         return TPM_RC_NO_RESULT;
67
68     // Set up P2 if s2 and Y2 are provided
69     if(in->s2.t.size != 0)
70     {
71         TPM2B_DIGEST x2;
72
73         pP2 = &P2;
74
75         // copy y2 for P2
76         P2.y = in->y2;
77
78         // Compute x2 HnameAlg(s2) mod p
79         // do the hash operation on s2 with the size of curve 'p'
80         x2.t.size = CryptHashBlock(eccKey->publicArea.nameAlg,
81                                   in->s2.t.size,
82                                   in->s2.t.buffer,
83                                   sizeof(x2.t.buffer),
84                                   x2.t.buffer);
85
86         // If there were error returns in the hash routine, indicate a problem
87         // with the hash algorithm selection
88         if(x2.t.size == 0)
89             return TPM_RCS_HASH + RC_Commit_signHandle;
90         // The size of the remainder will be same as the size of p. DivideB() will
91         // pad the results (leading zeros) if necessary to make the size the same
92         P2.x.t.size = p.t.size;
93         // set p2.x = hash(s2) mod p
94         if(DivideB(&x2.b, &p.b, NULL, &P2.x.b) != TPM_RC_SUCCESS)
95             return TPM_RC_NO_RESULT;
96
97         if(!CryptEccIsPointOnCurve(parms->curveID, pP2))
98             return TPM_RCS_ECC_POINT + RC_Commit_s2;
99
100        if(eccKey->attributes.publicOnly == SET)
101            return TPM_RCS_KEY + RC_Commit_signHandle;
102    }
103    // If there is a P1, make sure that it is on the curve
104    // NOTE: an "empty" point has two UINT16 values which are the size values
105    // for each of the coordinates.
106    if(in->P1.size > 4)
107    {
108        pP1 = &in->P1.point;
109        if(!CryptEccIsPointOnCurve(parms->curveID, pP1))
110            return TPM_RCS_ECC_POINT + RC_Commit_P1;
111    }
112
113    // Pass the parameters to CryptCommit.
114    // The work is not done in-line because it does several point multiplies
115    // with the same curve. It saves work by not having to reload the curve
116    // parameters multiple times.
117    result = CryptEccCommitCompute(&out->K.point,
118                                  &out->L.point,
119                                  &out->E.point,
120                                  parms->curveID,
121                                  pP1,
122                                  pP2,
123                                  &eccKey->sensitive.sensitive.ecc,
124                                  &r);
125    if(result != TPM_RC_SUCCESS)
126        return result;

```

```
127
128     // The commit computation was successful so complete the commit by setting
129     // the bit
130     out->counter = CryptCommit();
131
132     return TPM_RC_SUCCESS;
133 }
134
135 #endif // CC_Commit
136
```



### 19.3 TPM2\_EC\_Ephemeral

#### 19.3.1 General Description

TPM2\_EC\_Ephemeral() creates an ephemeral key for use in a two-phase key exchange protocol.

The TPM will use the commit mechanism to assign an ephemeral key  $r$  and compute a public point  $Q := [r]G$  where  $G$  is the generator point associated with *curveID*.

## 19.3.2 Command and Response

Table 106 — TPM2\_EC\_Ephemeral Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_EC_Ephemeral
TPMI_ECC_CURVE	curveID	The curve for the computed ephemeral point

Table 107 — TPM2\_EC\_Ephemeral Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_ECC_POINT	Q	ephemeral public key $Q := [r]G$
UINT16	counter	least-significant 16 bits of <i>commitCount</i>

### 19.3.3 Detailed Actions

#### 19.3.3.1 /tpm/src/command/Asymmetric/EC\_Ephemeral.c

```

1  #include "Tpm.h"
2  #include "EC_Ephemeral_fp.h"
3
4  #if CC_EC_Ephemeral // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // This command creates an ephemeral key using the commit mechanism
8  */
9  // Return Type: TPM_RC
10 // TPM_RC_NO_RESULT the TPM is not able to generate an 'r' value
11 TPM_RC
12 TPM2_EC_Ephemeral(EC_Ephemeral_In* in, // IN: input parameter list
13                  EC_Ephemeral_Out* out // OUT: output parameter list
14 )
15 {
16     TPM2B_ECC_PARAMETER r;
17     TPM_RC result;
18     //
19     do
20     {
21         // Get the random value that will be used in the point multiplications
22         // Note: this does not commit the count.
23         if(!CryptGenerateR(&r, NULL, in->curveID, NULL))
24             return TPM_RC_NO_RESULT;
25         // do a point multiply
26         result =
27             CryptEccPointMultiply(&out->Q.point, in->curveID, NULL, &r, NULL, NULL);
28         // commit the count value if either the r value results in the point at
29         // infinity or if the value is good. The commit on the r value for infinity
30         // is so that the r value will be skipped.
31         if((result == TPM_RC_SUCCESS) || (result == TPM_RC_NO_RESULT))
32             out->counter = CryptCommit();
33     } while(result == TPM_RC_NO_RESULT);
34
35     return TPM_RC_SUCCESS;
36 }
37
38 #endif // CC_EC_Ephemeral
39

```

## 20 Signing and Signature Verification

### 20.1 TPM2\_VerifySignature

#### 20.1.1 General Description

This command uses loaded keys to validate a signature on a message with the message digest passed to the TPM.

If the signature check succeeds, then the TPM will produce a TPMT\_TK\_VERIFIED. Otherwise, the TPM shall return TPM\_RC\_SIGNATURE.

If the key is in the NULL hierarchy, then *digest* in the ticket will be the Empty Buffer.

**20.1.1.1 NOTE 1** A valid ticket can be used in subsequent commands to provide proof to the TPM that the TPM has validated the signature over the message using the key referenced by *keyHandle*. For example, see clause 23.15.3.1 /tpm/src/command/EA/PolicyDuplicationSelect.c

```

1  #include "Tpm.h"
2  #include "PolicyDuplicationSelect_fp.h"
3
4  #if CC_PolicyDuplicationSelect // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // allows qualification of duplication so that it a specific new parent may be
8  // selected or a new parent selected for a specific object.
9  */
10 // Return Type: TPM_RC
11 //     TPM_RC_COMMAND_CODE 'commandCode' of 'policySession' is not empty
12 //     TPM_RC_CPHASH       'nameHash' of 'policySession' is not empty
13 TPM_RC
14 TPM2_PolicyDuplicationSelect(
15     PolicyDuplicationSelect_In* in // IN: input parameter list
16 )
17 {
18     SESSION* session;
19     HASH_STATE hashState;
20     TPM_CC commandCode = TPM_CC_PolicyDuplicationSelect;
21
22     // Input Validation
23
24     // Get pointer to the session structure
25     session = SessionGet(in->policySession);
26
27     // nameHash in session context must be empty
28     if(session->ul.nameHash.t.size != 0)
29         return TPM_RC_CPHASH;
30
31     // commandCode in session context must be empty
32     if(session->commandCode != 0)
33         return TPM_RC_COMMAND_CODE;
34
35     // Internal Data Update
36
37     // Update name hash
38     session->ul.nameHash.t.size = CryptHashStart(&hashState, session->authHashAlg);
39
40     // add objectName
41     CryptDigestUpdate2B(&hashState, &in->objectName.b);
42
43     // add new parent name

```

```

44     CryptDigestUpdate2B(&hashState, &in->newParentName.b);
45
46     // complete hash
47     CryptHashEnd2B(&hashState, &session->u1.nameHash.b);
48     session->attributes.isNameHashDefined = SET;
49
50     // update policy hash
51     // Old policyDigest size should be the same as the new policyDigest size since
52     // they are using the same hash algorithm
53     session->u2.policyDigest.t.size =
54         CryptHashStart(&hashState, session->authHashAlg);
55     // add old policy
56     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
57
58     // add command code
59     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
60
61     // add objectName
62     if(in->includeObject == YES)
63         CryptDigestUpdate2B(&hashState, &in->objectName.b);
64
65     // add new parent name
66     CryptDigestUpdate2B(&hashState, &in->newParentName.b);
67
68     // add includeObject
69     CryptDigestUpdateInt(&hashState, sizeof(TPMI_YES_NO), in->includeObject);
70
71     // complete digest
72     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
73
74     // set commandCode in session context
75     session->commandCode = TPM_CC_Duplicate;
76
77     return TPM_RC_SUCCESS;
78 }
79
80 #endif // CC_PolicyDuplicationSelect
81

```

TPM2\_PolicyAuthorize.

If *keyHandle* references an asymmetric key, only the public portion of the key needs to be loaded. If *keyHandle* references a symmetric key, both the public and private portions need to be loaded.

NOTE 2            The sensitive area of the symmetric object is required to allow verification of the symmetric signature (the HMAC).

## 20.1.2 Command and Response

Table 108 — TPM2\_VerifySignature Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_VerifySignature
TPMI_DH_OBJECT	keyHandle	handle of public key that will be used in the validation Auth Index: None
TPM2B_DIGEST	digest	digest of the signed message
TPMT_SIGNATURE	signature	signature to be tested

Table 109 — TPM2\_VerifySignature Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPMT_TK_VERIFIED	validation	

### 20.1.3 Detailed Actions

#### 20.1.3.1 /tpm/src/command/Signature/VerifySignature.c

```

1  #include "Tpm.h"
2  #include "VerifySignature_fp.h"
3
4  #if CC_VerifySignature // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // This command uses loaded key to validate an asymmetric signature on a message
8  // with the message digest passed to the TPM.
9  */
10 // Return Type: TPM_RC
11 //     TPM_RC_ATTRIBUTES      'keyHandle' does not reference a signing key
12 //     TPM_RC_SIGNATURE       signature is not genuine
13 //     TPM_RC_SCHEME          CryptValidateSignature()
14 //     TPM_RC_HANDLE          the input handle is references an HMAC key but
15 //                             the private portion is not loaded
16 TPM_RC
17 TPM2_VerifySignature(VerifySignature_In* in, // IN: input parameter list
18                     VerifySignature_Out* out // OUT: output parameter list
19 )
20 {
21     TPM_RC      result;
22     OBJECT*     signObject = HandleToObject(in->keyHandle);
23     TPMI_RH_HIERARCHY hierarchy;
24
25     // Input Validation
26     // The object to validate the signature must be a signing key.
27     if(!IS_ATTRIBUTE(signObject->publicArea.objectAttributes, TPMA_OBJECT, sign))
28         return TPM_RCS_ATTRIBUTES + RC_VerifySignature_keyHandle;
29
30     // Validate Signature. TPM_RC_SCHEME, TPM_RC_HANDLE or TPM_RC_SIGNATURE
31     // error may be returned by CryptCVerifySignature()
32     result = CryptValidateSignature(in->keyHandle, &in->digest, &in->signature);
33     if(result != TPM_RC_SUCCESS)
34         return RcSafeAddToResult(result, RC_VerifySignature_signature);
35
36     // Command Output
37
38     hierarchy = GetHierarchy(in->keyHandle);
39     if(hierarchy == TPM_RH_NULL || signObject->publicArea.nameAlg == TPM_ALG_NULL)
40     {
41         // produce empty ticket if hierarchy is TPM_RH_NULL or nameAlg is
42         // TPM_ALG_NULL
43         out->validation.tag = TPM_ST_VERIFIED;
44         out->validation.hierarchy = TPM_RH_NULL;
45         out->validation.digest.t.size = 0;
46     }
47     else
48     {
49         // Compute ticket
50         result = TicketComputeVerified(
51             hierarchy, &in->digest, &signObject->name, &out->validation);
52         if(result != TPM_RC_SUCCESS)
53             return result;
54     }
55
56     return TPM_RC_SUCCESS;
57 }
58
59 #endif // CC_VerifySignature

```

DRAFT



## 20.2 TPM2\_Sign

### 20.2.1 General Description

This command causes the TPM to sign an externally provided hash with the specified symmetric or asymmetric signing key.

NOTE 1 If *keyHandle* references an unrestricted signing key, a digest can be signed using either this command or an HMAC command.

If *keyHandle* references a restricted signing key, then *validation* shall be provided, indicating that the TPM performed the hash of the data and *validation* shall indicate that hashed data did not start with TPM\_GENERATED\_VALUE.

NOTE 2 If the hashed data did start with TPM\_GENERATED\_VALUE, then the validation will be a NULL ticket.

The *x509sign* attribute of *keyHandle* may not be SET (TPM\_RC\_ATTRIBUTES).

If the scheme of *keyHandle* is not TPM\_ALG\_NULL, then *inScheme* shall either be the same scheme as *keyHandle* or TPM\_ALG\_NULL. If the *sign* attribute is not SET in the key referenced by *handle*, then the TPM shall return TPM\_RC\_KEY.

If the scheme of *keyHandle* is TPM\_ALG\_NULL, the TPM will sign using *inScheme*; otherwise, it will sign using the scheme of *keyHandle*.

NOTE 3 When the signing scheme uses a hash algorithm, the algorithm is defined in the qualifying data of the scheme. This is the same algorithm that is required to be used in producing *digest*. The size of *digest* must match that of the hash algorithm in the scheme.

If *inScheme* is not a valid signing scheme for the type of *keyHandle* (or TPM\_ALG\_NULL), then the TPM shall return TPM\_RC\_SCHEME.

If the scheme of *keyHandle* is an anonymous *scheme*, then *inScheme* shall have the same scheme algorithm as *keyHandle* and *inScheme* will contain a counter value that will be used in the signing process.

EXAMPLE For ECDA, *inScheme.details.ecdaa.count* will contain the count value.

If *validation* is provided, then the hash algorithm used in computing the digest is required to be the hash algorithm specified in the scheme of *keyHandle* (TPM\_RC\_TICKET).

If the *validation* parameter is not the Empty Buffer, then it will be checked even if the key referenced by *keyHandle* is not a restricted signing key.

NOTE 4 If *keyHandle* is both a sign and decrypt key, *keyHandle* will have a scheme of TPM\_ALG\_NULL. If *validation* is provided, then it must be a NULL validation ticket or the ticket validation will fail.

## 20.2.2 Command and Response

Table 110 — TPM2\_Sign Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_Sign
TPMI_DH_OBJECT	@keyHandle	Handle of key that will perform signing Auth Index: 1 Auth Role: USER
TPM2B_DIGEST	digest	digest to be signed
TPMT_SIG_SCHEME+	inScheme	signing scheme to use if the <i>scheme</i> for <i>keyHandle</i> is TPM_ALG_NULL
TPMT_TK_HASHCHECK	validation	proof that digest was created by the TPM If <i>keyHandle</i> is not a restricted signing key, then this may be a NULL Ticket with <i>tag</i> = TPM_ST_HASHCHECK.

Table 111 — TPM2\_Sign Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPMT_SIGNATURE	signature	the signature

## 20.2.3 Detailed Actions

### 20.2.3.1 /tpm/src/command/Signature/Sign.c

```

1  #include "Tpm.h"
2  #include "Sign_fp.h"
3
4  #if CC_Sign // Conditional expansion of this file
5
6  # include "Attest_spt_fp.h"
7
8  /*(See part 3 specification)
9  // sign an externally provided hash using an asymmetric signing key
10 */
11 // Return Type: TPM_RC
12 //     TPM_RC_BINDING      The public and private portions of the key are not
13 //                          properly bound.
14 //     TPM_RC_KEY          'signHandle' does not reference a signing key;
15 //     TPM_RC_SCHEME        the scheme is not compatible with sign key type,
16 //                          or input scheme is not compatible with default
17 //                          scheme, or the chosen scheme is not a valid
18 //                          sign scheme
19 //     TPM_RC_TICKET        'validation' is not a valid ticket
20 //     TPM_RC_VALUE         the value to sign is larger than allowed for the
21 //                          type of 'keyHandle'
22
23 TPM_RC
24 TPM2_Sign(Sign_In* in, // IN: input parameter list
25          Sign_Out* out // OUT: output parameter list
26 )
27 {
28     TPM_RC      result;
29     TPMT_TK_HASHCHECK ticket;
30     OBJECT*      signObject = HandleToObject(in->keyHandle);
31     //
32     // Input Validation
33     if(!IsSigningObject(signObject))
34         return TPM_RCS_KEY + RC_Sign_keyHandle;
35
36     // A key that will be used for x.509 signatures can't be used in TPM2_Sign().
37     if(IS_ATTRIBUTE(signObject->publicArea.objectAttributes, TPMA_OBJECT, x509sign))
38         return TPM_RCS_ATTRIBUTES + RC_Sign_keyHandle;
39
40     // pick a scheme for sign. If the input sign scheme is not compatible with
41     // the default scheme, return an error.
42     if(!CryptSelectSignScheme(signObject, &in->inScheme))
43         return TPM_RCS_SCHEME + RC_Sign_inScheme;
44
45     // If validation is provided, or the key is restricted, check the ticket
46     if(in->validation.digest.t.size != 0
47        || IS_ATTRIBUTE(
48            signObject->publicArea.objectAttributes, TPMA_OBJECT, restricted))
49     {
50         // Compute and compare ticket
51         result = TicketComputeHashCheck(in->validation.hierarchy,
52                                         in->inScheme.details.any.hashAlg,
53                                         &in->digest,
54                                         &ticket);
55         if(result != TPM_RC_SUCCESS)
56             return result;
57
58         if(!MemoryEqual2B(&in->validation.digest.b, &ticket.digest.b))
59             return TPM_RCS_TICKET + RC_Sign_validation;

```

```
60     }
61     else
62         // If we don't have a ticket, at least verify that the provided 'digest'
63         // is the size of the scheme hashAlg digest.
64         // NOTE: this does not guarantee that the 'digest' is actually produced using
65         // the indicated hash algorithm, but at least it might be.
66         {
67             if(in->digest.t.size
68                 != CryptHashGetDigestSize(in->inScheme.details.any.hashAlg))
69                 return TPM_RCS_SIZE + RC_Sign_digest;
70         }
71
72         // Command Output
73         // Sign the hash. A TPM_RC_VALUE or TPM_RC_SCHEME
74         // error may be returned at this point
75         result = CryptSign(signObject, &in->inScheme, &in->digest, &out->signature);
76
77     return result;
78 }
79
80 #endif // CC_Sign
81
```

## 21 Command Audit

### 21.1 Introduction

If a command has been selected for command audit, the command audit status will be updated when that command completes successfully. The digest is updated as:

$$commandAuditDigest_{new} := H_{auditAlg}(commandAuditDigest_{old} || cpHash || rpHash) \quad (5)$$

where

$H_{auditAlg}$	hash function using the algorithm of the audit sequence
$commandAuditDigest$	accumulated digest
$cpHash$	the command parameter hash
$rpHash$	the response parameter hash

$auditAlg$ , the hash algorithm, is set using `TPM2_SetCommandCodeAuditStatus()`.

`TPM2_Shutdown()` cannot be audited but `TPM2_Startup()` can be audited. If the  $cpHash$  of the `TPM2_Startup()` is `TPM_SU_STATE`, that would indicate that a `TPM2_Shutdown()` had been successfully executed.

`TPM2_SetCommandCodeAuditStatus()` is always audited, except when it is used to change  $auditAlg$ .

If the TPM is in Failure mode, command audit is not functional.

## 21.2 TPM2\_SetCommandCodeAuditStatus

### 21.2.1 General Description

This command may be used by the Privacy Administrator or platform to change the audit status of a command or to set the hash algorithm used for the audit digest, but not both at the same time.

If the *auditAlg* parameter is a supported hash algorithm and not the same as the current algorithm, then the TPM will check both *setList* and *clearList* are empty (zero length). If so, then the algorithm is changed, and the audit digest is cleared. If *auditAlg* is TPM\_ALG\_NULL or the same as the current algorithm, then the algorithm and audit digest are unchanged and the *setList* and *clearList* will be processed.

NOTE 1            Because the audit digest is cleared, the audit counter will increment the next time that an audited command is executed.

Use of TPM2\_SetCommandCodeAuditStatus() to change the list of audited commands is an audited event. If TPM\_CC\_SetCommandCodeAuditStatus is in *clearList*, the fact that it is in *clearList* is ignored.

NOTE 2            Use of this command to change the audit hash algorithm is not audited and the digest is reset when the command completes. The change in the audit hash algorithm is the evidence that this command was used to change the algorithm.

The commands in *setList* indicate the commands to be added to the list of audited commands and the commands in *clearList* indicate the commands that will no longer be audited. It is not an error if a command in *setList* is already audited or is not implemented. It is not an error if a command in *clearList* is not currently being audited or is not implemented.

If a command code is in both *setList* and *clearList*, then it will not be audited (that is, *setList* shall be processed first).

### 21.2.2 Command and Response

**Table 112 — TPM2\_SetCommandCodeAuditStatus Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_SetCommandCodeAuditStatus {NV}
TPMI_RH_PROVISION	@auth	TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER
TPMI_ALG_HASH+	auditAlg	hash algorithm for the audit digest; if TPM_ALG_NULL, then the hash is not changed
TPML_CC	setList	list of commands that will be added to those that will be audited
TPML_CC	clearList	list of commands that will no longer be audited

**Table 113 — TPM2\_SetCommandCodeAuditStatus Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

## 21.2.3 Detailed Actions

### 21.2.3.1 /tpm/src/command/CommandAudit/SetCommandCodeAuditStatus.c

```

1  #include "Tpm.h"
2  #include "SetCommandCodeAuditStatus_fp.h"
3
4  #if CC_SetCommandCodeAuditStatus // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // change the audit status of a command or to set the hash algorithm used for
8  // the audit digest.
9  */
10 TPM_RC
11 TPM2_SetCommandCodeAuditStatus(
12     SetCommandCodeAuditStatus_In* in // IN: input parameter list
13 )
14 {
15
16     // The command needs NV update. Check if NV is available.
17     // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
18     // this point
19     RETURN_IF_NV_IS_NOT_AVAILABLE;
20
21     // Internal Data Update
22
23     // Update hash algorithm
24     if(in->auditAlg != TPM_ALG_NULL && in->auditAlg != gp.auditHashAlg)
25     {
26         // Can't change the algorithm and command list at the same time
27         if(in->setList.count != 0 || in->clearList.count != 0)
28             return TPM_RCS_VALUE + RC_SetCommandCodeAuditStatus_auditAlg;
29
30         // Change the hash algorithm for audit
31         gp.auditHashAlg = in->auditAlg;
32
33         // Set the digest size to a unique value that indicates that the digest
34         // algorithm has been changed. The size will be cleared to zero in the
35         // command audit processing on exit.
36         gr.commandAuditDigest.t.size = 1;
37
38         // Save the change of command audit data (this sets g_updateNV so that NV
39         // will be updated on exit.)
40         NV_SYNC_PERSISTENT(auditHashAlg);
41     }
42     else
43     {
44         UINT32 i;
45         BOOL    changed = FALSE;
46
47         // Process set list
48         for(i = 0; i < in->setList.count; i++)
49
50             // If change is made in CommandAuditSet, set changed flag
51             if(CommandAuditSet(in->setList.commandCodes[i]))
52                 changed = TRUE;
53
54         // Process clear list
55         for(i = 0; i < in->clearList.count; i++)
56             // If change is made in CommandAuditClear, set changed flag
57             if(CommandAuditClear(in->clearList.commandCodes[i]))
58                 changed = TRUE;
59

```



```
60         // if change was made to command list, update NV
61         if(changed)
62             // this sets g_updateNV so that NV will be updated on exit.
63             NV_SYNC_PERSISTENT(auditCommands);
64     }
65
66     return TPM_RC_SUCCESS;
67 }
68
69 #endif // CC_SetCommandCodeAuditStatus
70
```

## 22 Integrity Collection (PCR)

### 22.1 Introduction

In TPM 1.2, an Event was hashed using SHA-1 and then the 20-octet digest was extended to a PCR using TPM\_Extend(). This specification allows the use of multiple PCR at a given Index, each using a different hash algorithm. Rather than require that the external software generate multiple hashes of the Event with each being extended to a different PCR, the Event data may be sent to the TPM for hashing. This ensures that the resulting digests will properly reflect the algorithms chosen for the PCR even if the calling software is unable to implement the hash algorithm.

NOTE 1 There is continued support for software hashing of events with TPM2\_PCR\_Extend().

To support recording of an Event that is larger than the TPM input buffer, the caller may use the command sequence described in clause 16.2.3.1.

Change to a PCR requires authorization. The authorization may be with either an authorization value or an authorization policy. The platform-specific specifications determine which PCR may be controlled by policy. All other PCR are controlled by authorization.

If a PCR may be associated with a policy, then the algorithm ID of that policy determines whether the policy is to be applied. If the algorithm ID is not TPM\_ALG\_NULL, then the policy digest associated with the PCR must match the *policySession*→*policyDigest* in a policy session. If the algorithm ID is TPM\_ALG\_NULL, then no policy is present, and the authorization requires an EmptyAuth.

If a platform-specific specification indicates that PCR are grouped, then all the PCR in the group use the same authorization policy or authorization value.

*pcrUpdateCounter* counter will be incremented on the successful completion of any command that modifies (Extends or resets) a PCR unless the platform-specific specification explicitly excludes the PCR from being counted.

NOTE 2 If a command causes PCR in multiple banks to change, the PCR Update Counter must be incremented once for each bank. The commands that extend PCR are: TPM2\_PCR\_Extend, TPM2\_PCR\_Event, and TPM2\_EventSequenceComplete.

If a command resets PCR in multiple banks, the PCR Update Counter must be incremented only once. The commands that reset PCR are: TPM2\_PCR\_Reset, and TPM2\_Startup.

A platform-specific specification may designate a set of PCR that are under control of the TCB. These PCR may not be modified without the proper authorization. Updates of these PCR shall not cause the PCR Update Counter to increment.

EXAMPLE Updates of the TCB PCR will not cause the PCR update counter to increment because these PCR are changed at the whim of the TCB and may not represent the trust state of the platform.

## 22.2 TPM2\_PCR\_Extend

### 22.2.1 General Description

This command is used to cause an update to the indicated PCR. The *digests* parameter contains one or more tagged digest values identified by an algorithm ID. For each digest, the PCR associated with *pcrHandle* is Extended into the bank identified by the tag (*hashAlg*).

EXAMPLE A SHA1 digest would be Extended into the SHA1 bank and a SHA256 digest would be Extended into the SHA256 bank.

For each list entry, the TPM will check to see if *pcrNum* is implemented for that algorithm. If so, the TPM shall perform the following operation:

$$PCR.digest_{new}[pcrNum][alg] := H_{alg}(PCR.digest_{old}[pcrNum][alg] || data[alg].buffer) \quad (6)$$

where

$H_{alg}()$	hash function using the hash algorithm associated with the PCR instance
<i>PCR.digest</i>	the digest value in a PCR
<i>pcrNum</i>	the PCR numeric selector ( <i>pcrHandle</i> )
<i>alg</i>	the PCR algorithm selector for the digest
<i>data[alg].buffer</i>	the bank-specific data to be extended

If no digest value is specified for a bank, then the PCR in that bank is not modified.

NOTE 1 This allows consistent operation of the digests list for all of the Event recording commands.

If a digest is present and the PCR in that bank is not implemented, the digest value is not used.

NOTE 2 If the caller includes digests for algorithms that are not implemented, then the TPM will fail the call because the unmarshalling of *digests* will fail. Each of the entries in the list is a TPMT\_HA, which is a hash algorithm followed by a digest. If the algorithm is not implemented, unmarshalling of the *hashAlg* will fail and the TPM will return TPM\_RC\_HASH.

If the TPM unmarshals the *hashAlg* of a list entry and the unmarshaled value is not a hash algorithm implemented on the TPM, the TPM shall return TPM\_RC\_HASH.

The *pcrHandle* parameter is allowed to reference TPM\_RH\_NULL. If so, the input parameters are processed but no action is taken by the TPM. This permits the caller to probe for implemented hash algorithms as an alternative to TPM2\_GetCapability.

NOTE 3 This command allows a list of digests so that PCR in all banks may be updated in a single command. While the semantics of this command allow multiple extends to a single PCR bank, this is not the preferred use and the limit on the number of entries in the list make this use somewhat impractical.

## 22.2.2 Command and Response

Table 114 — TPM2\_PCR\_Extend Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PCR_Extend {NV}
TPMI_DH_PCR+	@pcrHandle	handle of the PCR Auth Handle: 1 Auth Role: USER
TPML_DIGEST_VALUES	digests	list of tagged digest values to be extended

Table 115 — TPM2\_PCR\_Extend Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	.

## 22.2.3 Detailed Actions

### 22.2.3.1 /tpm/src/command/PCR/PCR\_Extend.c

```

1  #include "Tpm.h"
2  #include "PCR_Extend_fp.h"
3
4  #if CC_PCR_Extend // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Update PCR
8  */
9  // Return Type: TPM_RC
10 // TPM_RC_LOCALITY current command locality is not allowed to
11 // extend the PCR referenced by 'pcrHandle'
12 TPM_RC
13 TPM2_PCR_Extend(PCR_Extend_In* in // IN: input parameter list
14 )
15 {
16     UINT32 i;
17
18     // Input Validation
19
20     // NOTE: This function assumes that the unmarshaling function for 'digests' will
21     // have validated that all of the indicated hash algorithms are valid. If the
22     // hash algorithms are correct, the unmarshaling code will unmarshal a digest
23     // of the size indicated by the hash algorithm. If the overall size is not
24     // consistent, the unmarshaling code will run out of input data or have input
25     // data left over. In either case, it will cause an unmarshaling error and this
26     // function will not be called.
27
28     // For NULL handle, do nothing and return success
29     if(in->pcrHandle == TPM_RH_NULL)
30         return TPM_RC_SUCCESS;
31
32     // Check if the extend operation is allowed by the current command locality
33     if(!PCRIsExtendAllowed(in->pcrHandle))
34         return TPM_RC_LOCALITY;
35
36     // If PCR is state saved and we need to update orderlyState, check NV
37     // availability
38     if(PCRIsStateSaved(in->pcrHandle))
39         RETURN_IF_ORDERLY;
40
41     // Internal Data Update
42
43     // Iterate input digest list to extend
44     for(i = 0; i < in->digests.count; i++)
45     {
46         PCRExtend(in->pcrHandle,
47                 in->digests.digests[i].hashAlg,
48                 CryptHashGetDigestSize(in->digests.digests[i].hashAlg),
49                 (BYTE*)&in->digests.digests[i].digest);
50     }
51
52     return TPM_RC_SUCCESS;
53 }
54
55 #endif // CC_PCR_Extend
56

```

## 22.3 TPM2\_PCR\_Event

### 22.3.1 General Description

This command is used to cause an update to the indicated PCR.

The data in *eventData* is hashed using the hash algorithm associated with each bank in which the indicated PCR has been allocated. After the data is hashed, the *digests* list is returned. If the *pcrHandle* references an implemented PCR and not TPM\_RH\_NULL, the *digests* list is processed as in TPM2\_PCR\_Extend().

A TPM shall support an *eventData.size* of zero through 1,024 inclusive (*eventData.size* is an octet count). An *eventData.size* of zero indicates that there is no data, but the indicated operations will still occur.

**EXAMPLE 1** If the command implements PCR[2] in a SHA1 bank and a SHA256 bank, then an extend to PCR[2] will cause *eventData* to be hashed twice, once with SHA1 and once with SHA256. The SHA1 hash of *eventData* will be Extended to PCR[2] in the SHA1 bank and the SHA256 hash of *eventData* will be Extended to PCR[2] of the SHA256 bank.

On successful command completion, *digests* will contain the list of tagged digests of *eventData* that was computed in preparation for extending the data into the PCR. At the option of the TPM, the list may contain a digest for each bank, or it may only contain a digest for each bank in which *pcrHandle* is extant. If *pcrHandle* is TPM\_RH\_NULL, the TPM may return either an empty list or a digest for each bank.

**EXAMPLE 2** Assume a TPM that implements a SHA1 bank and a SHA256 bank and that PCR[22] is only implemented in the SHA1 bank. If *pcrHandle* references PCR[22], then *digests* may contain either a SHA1 and a SHA256 digest or just a SHA1 digest.

## 22.3.2 Command and Response

Table 116 — TPM2\_PCR\_Event Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PCR_Event {NV}
TPMI_DH_PCR+	@pcrHandle	Handle of the PCR Auth Handle: 1 Auth Role: USER
TPM2B_EVENT	eventData	Event data in sized buffer

Table 117 — TPM2\_PCR\_Event Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	.
TPML_DIGEST_VALUES	digests	

### 22.3.3 Detailed Actions

#### 22.3.3.1 /tpm/src/command/PCR/PCR\_Event.c

```

1  #include "Tpm.h"
2  #include "PCR_Event_fp.h"
3
4  #if CC_PCR_Event // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Update PCR
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_LOCALITY          current command locality is not allowed to
11 //                               extend the PCR referenced by 'pcrHandle'
12 TPM_RC
13 TPM2_PCR_Event(PCR_Event_In* in, // IN: input parameter list
14                PCR_Event_Out* out // OUT: output parameter list
15 )
16 {
17     HASH_STATE hashState;
18     UINT32      i;
19     UINT16      size;
20
21     // Input Validation
22
23     // If a PCR extend is required
24     if(in->pcrHandle != TPM_RH_NULL)
25     {
26         // If the PCR is not allow to extend, return error
27         if(!PCRIsExtendAllowed(in->pcrHandle))
28             return TPM_RC_LOCALITY;
29
30         // If PCR is state saved and we need to update orderlyState, check NV
31         // availability
32         if(PCRIsStateSaved(in->pcrHandle))
33             RETURN_IF_ORDERLY;
34     }
35
36     // Internal Data Update
37
38     out->digests.count = HASH_COUNT;
39
40     // Iterate supported PCR bank algorithms to extend
41     for(i = 0; i < HASH_COUNT; i++)
42     {
43         TPM_ALG_ID hash = CryptHashGetAlgByIndex(i);
44         out->digests.digests[i].hashAlg = hash;
45         size = CryptHashStart(&hashState, hash);
46         CryptDigestUpdate2B(&hashState, &in->eventData.b);
47         CryptHashEnd(&hashState, size, (BYTE*)&out->digests.digests[i].digest);
48         if(in->pcrHandle != TPM_RH_NULL)
49             PCRExtend(
50                 in->pcrHandle, hash, size, (BYTE*)&out->digests.digests[i].digest);
51     }
52
53     return TPM_RC_SUCCESS;
54 }
55
56 #endif // CC_PCR_Event
57

```



## 22.4 TPM2\_PCR\_Read

### 22.4.1 General Description

This command returns the values of all PCR specified in *pcrSelectionIn*.

The TPM will process the list of TPMS\_PCR\_SELECTION in *pcrSelectionIn* in order. Within each TPMS\_PCR\_SELECTION, the TPM will process the bits in the *pcrSelect* array in ascending PCR order (see TPM 2.0 Part 1, *Selecting Multiple PCR*). If a bit is SET, and the indicated PCR is present, then the TPM will add the digest of the PCR to the list of values to be returned in *pcrValues*.

The TPM will continue processing bits until all have been processed or until *pcrValues* would be too large to fit into the output buffer if additional values were added.

The returned *pcrSelectionOut* will have a bit SET in its *pcrSelect* structures for each value present in *pcrValues*.

The current value of the PCR Update Counter is returned in *pcrUpdateCounter*.

The returned list may be empty if none of the selected PCR are implemented.

NOTE If no PCR are returned from a bank, the selector for the bank will be present in *pcrSelectionOut*.

No authorization is required to read a PCR and any implemented PCR may be read from any locality.

## 22.4.2 Command and Response

Table 118 — TPM2\_PCR\_Read Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PCR_Read
TPML_PCR_SELECTION	pcrSelectionIn	The selection of PCR to read

Table 119 — TPM2\_PCR\_Read Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
UINT32	pcrUpdateCounter	the current value of the PCR update counter
TPML_PCR_SELECTION	pcrSelectionOut	the PCR in the returned list
TPML_DIGEST	pcrValues	the contents of the PCR indicated in <i>pcrSelectOut-&gt;pcrSelection[]</i> as tagged digests

### 22.4.3 Detailed Actions

#### 22.4.3.1 /tpm/src/command/PCR/PCR\_Read.c

```
1  #include "Tpm.h"
2  #include "PCR_Read_fp.h"
3
4  #if CC_PCR_Read // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Read a set of PCR
8  */
9  TPM_RC
10 TPM2_PCR_Read(PCR_Read_In* in, // IN: input parameter list
11               PCR_Read_Out* out // OUT: output parameter list
12 )
13 {
14     // Command Output
15
16     // Call PCR read function. input pcrSelectionIn parameter could be changed
17     // to reflect the actual PCR being returned
18     PCRRead(&in->pcrSelectionIn, &out->pcrValues, &out->pcrUpdateCounter);
19
20     out->pcrSelectionOut = in->pcrSelectionIn;
21
22     return TPM_RC_SUCCESS;
23 }
24
25 #endif // CC_PCR_Read
26
```

## 22.5 TPM2\_PCR\_Allocate

### 22.5.1 General Description

This command is used to set the desired PCR allocation of PCR and algorithms. This command requires Platform Authorization.

The TPM will evaluate the request and, if sufficient memory is available for the requested allocation, the TPM will store the allocation request for use during the next `_TPM_Init` operation. The PCR allocation in place when this command is executed will be retained until the next `_TPM_Init`. If this command is received multiple times before a `_TPM_Init`, each one overwrites the previous stored allocation.

This command will only change the allocations of banks that are listed in *pcrAllocation*.

**EXAMPLE 1** If a TPM supports SHA1 and SHA256, then it maintains an allocation for two banks (one of which could be empty). If *pcrAllocation* only has a selector for the SHA1 bank, then only the allocation of the SHA1 bank will be changed and the SHA256 bank will remain unchanged. To change the allocation of a TPM from 24 SHA1 PCR and no SHA256 PCR to 24 SHA256 PCR and no SHA1 PCR, the *pcrAllocation* would have to have two selections: one for the empty SHA1 bank and one for the SHA256 bank with 24 PCR.

If a bank is listed more than once, then the last selection in the *pcrAllocation* list is the one that the TPM will attempt to allocate.

**NOTE 1** This does not mean to imply that *pcrAllocation.count* can exceed `HASH_COUNT`, the number of digests implemented in the TPM.

**EXAMPLE 2** If `HASH_COUNT` is 2, *pcrAllocation* can specify SHA-256 twice, and the second one is used. However, if SHA\_256 is specified three times, the unmarshaling may fail and the TPM may return an error.

This command shall not allocate more PCR in any bank than there are PCR attribute definitions. The PCR attribute definitions indicate how a PCR is to be managed – if it is resettable, the locality for update, etc. In the response to this command, the TPM returns the maximum number of PCR allowed for any bank.

When PCR are allocated, if `DRTM_PCR` is defined, the resulting allocation must have at least one bank with the D-RTM PCR allocated. If `HCRTM_PCR` is defined, the resulting allocation must have at least one bank with the HCRTM\_PCR allocated. If not, the TPM returns `TPM_RC_PCR`.

The TPM may return `TPM_RC_SUCCESS` even though the request fails. This is to allow the TPM to return information about the size needed for the requested allocation and the size available. If the *sizeNeeded* parameter in the return is less than or equal to the *sizeAvailable* parameter, then the *allocationSuccess* parameter will be YES. Alternatively, if the request fails, The TPM may return `TPM_RC_NO_RESULT`.

**NOTE 2** An example for this type of failure is a TPM that can only support one bank at a time and cannot support arbitrary distribution of PCR among banks.

After this command, `TPM2_Shutdown()` is only allowed to have a *startupType* equal to `TPM_SU_CLEAR` until after the next `_TPM_Init`.

**NOTE 3** Even if this command does not cause the PCR allocation to change, the TPM cannot have its state saved. This is done in order to simplify the implementation. There is no need to optimize this command as it is not expected to be used more than once in the lifetime of the TPM (it can be used any number of times but there is no justification for optimization).

## 22.5.2 Command and Response

Table 120 — TPM2\_PCR\_Allocate Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PCR_Allocate {NV}
TPMI_RH_PLATFORM	@authHandle	TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER
TPML_PCR_SELECTION	pcrAllocation	the requested allocation

Table 121 — TPM2\_PCR\_Allocate Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPMI_YES_NO	allocationSuccess	YES if the allocation succeeded
UINT32	maxPCR	maximum number of PCR that may be in a bank
UINT32	sizeNeeded	number of octets required to satisfy the request
UINT32	sizeAvailable	Number of octets available. Computed before the allocation.

## 22.5.3 Detailed Actions

### 22.5.3.1 /tpm/src/command/PCR/PCR\_Allocate.c

```

1  #include "Tpm.h"
2  #include "PCR_Allocate_fp.h"
3
4  #if CC_PCR_Allocate // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Allocate PCR banks
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_PCR           the allocation did not have required PCR
11 //     TPM_RC_NV_UNAVAILABLE NV is not accessible
12 //     TPM_RC_NV_RATE       NV is in a rate-limiting mode
13 TPM_RC
14 TPM2_PCR_Allocate(PCR_Allocate_In* in, // IN: input parameter list
15                  PCR_Allocate_Out* out // OUT: output parameter list
16 )
17 {
18     TPM_RC result;
19
20     // The command needs NV update. Check if NV is available.
21     // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
22     // this point.
23     // Note: These codes are not listed in the return values above because it is
24     // an implementation choice to check in this routine rather than in a common
25     // function that is called before these actions are called. These return values
26     // are described in the Response Code section of Part 3.
27     RETURN_IF_NV_IS_NOT_AVAILABLE;
28
29     // Command Output
30
31     // Call PCR Allocation function.
32     result = PCRAllocate(
33         &in->pcrAllocation, &out->maxPCR, &out->sizeNeeded, &out->sizeAvailable);
34     if(result == TPM_RC_PCR)
35         return result;
36
37     //
38     out->allocationSuccess = (result == TPM_RC_SUCCESS);
39
40     // if re-configuration succeeds, set the flag to indicate PCR configuration is
41     // going to be changed in next boot
42     if(out->allocationSuccess == YES)
43         g_pcrReConfig = TRUE;
44
45     return TPM_RC_SUCCESS;
46 }
47
48 #endif // CC_PCR_Allocate
49

```

## 22.6 TPM2\_PCR\_SetAuthPolicy

### 22.6.1 General Description

This command is used to associate a policy with a PCR or group of PCR. The policy determines the conditions under which a PCR may be extended or reset.

A policy may only be associated with a PCR that has been defined by a platform-specific specification as allowing a policy. If the TPM implementation does not allow a policy for *pcrNum*, the TPM shall return TPM\_RC\_VALUE.

A platform-specific specification may group PCR so that they share a common policy. In such case, a *pcrNum* that selects any of the PCR in the group will change the policy for all PCR in the group.

The policy setting is persistent and may only be changed by TPM2\_PCR\_SetAuthPolicy() or by TPM2\_ChangePPS().

Before this command is first executed on a TPM or after TPM2\_ChangePPS(), the access control on the PCR will be set to the default value defined in the platform-specific specification.

NOTE 1 It is expected that the typical default will be with the policy hash set to TPM\_ALG\_NULL and an Empty Buffer for the *authPolicy* value. This will allow an *EmptyAuth* to be used as the authorization value.

If the size of the data buffer in *authPolicy* is not the size of a digest produced by *hashAlg*, the TPM shall return TPM\_RC\_SIZE.

NOTE 2 If *hashAlg* is TPM\_ALG\_NULL, then the size is required to be zero.

This command requires platformAuth/platformPolicy.

NOTE 3 If the PCR is in multiple policy sets, the policy will be changed in only one set. The set that is changed will be implementation dependent.

## 22.6.2 Command and Response

Table 122 — TPM2\_PCR\_SetAuthPolicy Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PCR_SetAuthPolicy {NV}
TPMI_RH_PLATFORM	@authHandle	TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER
TPM2B_DIGEST	authPolicy	the desired <i>authPolicy</i>
TPMI_ALG_HASH+	hashAlg	the hash algorithm of the policy
TPMI_DH_PCR	pcrNum	the PCR for which the policy is to be set

Table 123 — TPM2\_PCR\_SetAuthPolicy Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	



## 22.6.3 Detailed Actions

### 22.6.3.1 /tpm/src/command/PCR/PCR\_SetAuthPolicy.c

```

1  #include "Tpm.h"
2  #include "PCR_SetAuthPolicy_fp.h"
3
4  #if CC_PCR_SetAuthPolicy // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Set authPolicy to a group of PCR
8  */
9  // Return Type: TPM_RC
10 // TPM_RC_SIZE size of 'authPolicy' is not the size of a digest
11 // produced by 'policyDigest'
12 // TPM_RC_VALUE PCR referenced by 'pcrNum' is not a member
13 // of a PCR policy group
14 TPM_RC
15 TPM2_PCR_SetAuthPolicy(PCR_SetAuthPolicy_In* in // IN: input parameter list
16 )
17 {
18     UINT32 groupIndex;
19
20     // The command needs NV update. Check if NV is available.
21     // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
22     // this point
23     RETURN_IF_NV_IS_NOT_AVAILABLE;
24
25     // Input Validation:
26
27     // Check the authPolicy consistent with hash algorithm
28     if(in->authPolicy.t.size != CryptHashGetDigestSize(in->hashAlg))
29         return TPM_RCS_SIZE + RC_PCR_SetAuthPolicy_authPolicy;
30
31     // If PCR does not belong to a policy group, return TPM_RC_VALUE
32     if(!PCRBelongsPolicyGroup(in->pcrNum, &groupIndex))
33         return TPM_RCS_VALUE + RC_PCR_SetAuthPolicy_pcrNum;
34
35     // Internal Data Update
36
37     // Set PCR policy
38     gp.pcrPolicies.hashAlg[groupIndex] = in->hashAlg;
39     gp.pcrPolicies.policy[groupIndex] = in->authPolicy;
40
41     // Save new policy to NV
42     NV_SYNC_PERSISTENT(pcrPolicies);
43
44     return TPM_RC_SUCCESS;
45 }
46
47 #endif // CC_PCR_SetAuthPolicy
48

```

## 22.7 TPM2\_PCR\_SetAuthValue

### 22.7.1 General Description

This command changes the *authValue* of a PCR or group of PCR.

An *authValue* may only be associated with a PCR that has been defined by a platform-specific specification as allowing an authorization value. If the TPM implementation does not allow an authorization for *pcrNum*, the TPM shall return TPM\_RC\_VALUE. A platform-specific specification may group PCR so that they share a common authorization value. In such case, a *pcrNum* that selects any of the PCR in the group will change the *authValue* value for all PCR in the group.

The authorization setting is set to EmptyAuth on each STARTUP(CLEAR) or by TPM2\_Clear(). The authorization setting is preserved by SHUTDOWN(STATE).

## 22.7.2 Command and Response

Table 124 — TPM2\_PCR\_SetAuthValue Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PCR_SetAuthValue
TPMI_DH_PCR	@pcrHandle	handle for a PCR that may have an authorization value set Auth Index: 1 Auth Role: USER
TPM2B_DIGEST	auth	the desired authorization value

Table 125 — TPM2\_PCR\_SetAuthValue Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

## 22.7.3 Detailed Actions

### 22.7.3.1 /tpm/src/command/PCR/PCR\_SetAuthValue.c

```

1  #include "Tpm.h"
2  #include "PCR_SetAuthValue_fp.h"
3
4  #if CC_PCR_SetAuthValue // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Set authValue to a group of PCR
8  */
9  // Return Type: TPM_RC
10 // TPM_RC_VALUE PCR referenced by 'pcrHandle' is not a member
11 // of a PCR authorization group
12 TPM_RC
13 TPM2_PCR_SetAuthValue(PCR_SetAuthValue_In* in // IN: input parameter list
14 )
15 {
16     UINT32 groupIndex;
17     // Input Validation:
18
19     // If PCR does not belong to an auth group, return TPM_RC_VALUE
20     if(!PCRBelongsAuthGroup(in->pcrHandle, &groupIndex))
21         return TPM_RC_VALUE;
22
23     // The command may cause the orderlyState to be cleared due to the update of
24     // state clear data. If this is the case, Check if NV is available.
25     // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
26     // this point
27     RETURN_IF_ORDERLY;
28
29     // Internal Data Update
30
31     // Set PCR authValue
32     MemoryRemoveTrailingZeros(&in->auth);
33     gc.pcrAuthValues.auth[groupIndex] = in->auth;
34
35     return TPM_RC_SUCCESS;
36 }
37
38 #endif // CC_PCR_SetAuthValue
39

```

## 22.8 TPM2\_PCR\_Reset

### 22.8.1 General Description

If the attribute of a PCR allows the PCR to be reset and proper authorization is provided, then this command may be used to set the PCR in all banks to zero. The attributes of the PCR may restrict the locality that can perform the reset operation.

NOTE 1            The definition of TPMI\_DH\_PCR in TPM 2.0 Part 2 indicates that if *pcrHandle* is out of the allowed range for PCR, then the appropriate return value is TPM\_RC\_VALUE.

If *pcrHandle* references a PCR that cannot be reset, the TPM shall return TPM\_RC\_LOCALITY.

NOTE 2            TPM\_RC\_LOCALITY is returned because the reset attributes are defined on a per-locality basis.

## 22.8.2 Command and Response

Table 126 — TPM2\_PCR\_Reset Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PCR_Reset {NV}
TPMI_DH_PCR	@pcrHandle	the PCR to reset Auth Index: 1 Auth Role: USER

Table 127 — TPM2\_PCR\_Reset Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

## 22.8.3 Detailed Actions

### 22.8.3.1 /tpm/src/command/PCR/PCR\_Reset.c

```

1  #include "Tpm.h"
2  #include "PCR_Reset_fp.h"
3
4  #if CC_PCR_Reset // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Reset PCR
8  */
9  // Return Type: TPM_RC
10 // TPM_RC_LOCALITY current command locality is not allowed to
11 // reset the PCR referenced by 'pcrHandle'
12 TPM_RC
13 TPM2_PCR_Reset(PCR_Reset_In* in // IN: input parameter list
14 )
15 {
16     // Input Validation
17
18     // Check if the reset operation is allowed by the current command locality
19     if(!PCRIsResetAllowed(in->pcrHandle))
20         return TPM_RC_LOCALITY;
21
22     // If PCR is state saved and we need to update orderlyState, check NV
23     // availability
24     if(PCRIsStateSaved(in->pcrHandle))
25         RETURN_IF_ORDERLY;
26
27     // Internal Data Update
28
29     // Reset selected PCR in all banks to 0
30     PCRSetValue(in->pcrHandle, 0);
31
32     // Indicate that the PCR changed so that pcrCounter will be incremented if
33     // necessary.
34     PCRChanged(in->pcrHandle);
35
36     return TPM_RC_SUCCESS;
37 }
38
39 #endif // CC_PCR_Reset
40

```

## 22.9 \_TPM\_Hash\_Start

### 22.9.1 Description

This indication from the TPM interface indicates the start of an H-CRTM measurement sequence. On receipt of this indication, the TPM will initialize an H-CRTM Event Sequence context.

If no object memory is available for creation of the sequence context, the TPM will flush the context of an object so that creation of the sequence context will always succeed.

A platform-specific specification may allow this indication before TPM2\_Startup().

**NOTE**

If this indication occurs after TPM2\_Startup(), it is the responsibility of software to ensure that an object context slot is available or to deal with the consequences of having the TPM select an arbitrary object to be flushed. If this indication occurs before TPM2\_Startup() then all context slots are available.



## 22.9.2 Detailed Actions

### 22.9.2.1 /tpm/src/events/\_TPM\_Hash\_Start.c

```

1  #include "Tpm.h"
2
3  // This function is called to process a _TPM_Hash_Start indication.
4  LIB_EXPORT void _TPM_Hash_Start(void)
5  {
6      TPM_RC      result;
7      TPMI_DH_OBJECT handle;
8
9      // If a DRTM sequence object exists, free it up
10     if(g_DRTMHandle != TPM_RH_UNASSIGNED)
11     {
12         FlushObject(g_DRTMHandle);
13         g_DRTMHandle = TPM_RH_UNASSIGNED;
14     }
15
16     // Create an event sequence object and store the handle in global
17     // g_DRTMHandle. A TPM_RC_OBJECT_MEMORY error may be returned at this point
18     // The NULL value for the first parameter will cause the sequence structure to
19     // be allocated without being set as present. This keeps the sequence from
20     // being left behind if the sequence is terminated early.
21     result = ObjectCreateEventSequence(NULL, &g_DRTMHandle);
22
23     // If a free slot was not available, then free up a slot.
24     if(result != TPM_RC_SUCCESS)
25     {
26         // An implementation does not need to have a fixed relationship between
27         // slot numbers and handle numbers. To handle the general case, scan for
28         // a handle that is assigned and free it for the DRTM sequence.
29         // In the reference implementation, the relationship between handles and
30         // slots is fixed. So, if the call to ObjectCreateEventSequence()
31         // failed indicating that all slots are occupied, then the first handle we
32         // are going to check (TRANSIENT_FIRST) will be occupied. It will be freed
33         // so that it can be assigned for use as the DRTM sequence object.
34         for(handle = TRANSIENT_FIRST; handle < TRANSIENT_LAST; handle++)
35         {
36             // try to flush the first object
37             if(IsObjectPresent(handle))
38                 break;
39         }
40         // If the first call to find a slot fails but none of the slots is occupied
41         // then there's a big problem
42         pAssert(handle < TRANSIENT_LAST);
43
44         // Free the slot
45         FlushObject(handle);
46
47         // Try to create an event sequence object again. This time, we must
48         // succeed.
49         result = ObjectCreateEventSequence(NULL, &g_DRTMHandle);
50         if(result != TPM_RC_SUCCESS)
51             FAIL(FATAL_ERROR_INTERNAL);
52     }
53
54     return;
55 }
56

```

## 22.10 \_TPM\_Hash\_Data

### 22.10.1 Description

This indication from the TPM interface indicates arrival of one or more octets of data that are to be included in the H-CRTM Event Sequence sequence context created by the \_TPM\_Hash\_Start indication. The context holds data for each hash algorithm for each PCR bank implemented on the TPM.

If no H-CRTM Event Sequence context exists, this indication is discarded, and no other action is performed.

## 22.10.2 Detailed Actions

### 22.10.2.1 /tpm/src/events/\_TPM\_Hash\_Data.c

```

1  #include "Tpm.h"
2
3  // This function is called to process a _TPM_Hash_Data indication.
4  LIB_EXPORT void _TPM_Hash_Data(uint32_t dataSize,    // IN: size of data to be extend
5                                     unsigned char* data // IN: data buffer
6  )
7  {
8      UINT32          i;
9      HASH_OBJECT* hashObject;
10     TPMI_DH_PCR    pcrHandle = TPMIsStarted() ? PCR_FIRST + DRTM_PCR
11                                     : PCR_FIRST + HCRTM_PCR;
12
13     // If there is no DRTM sequence object, then _TPM_Hash_Start
14     // was not called so this function returns without doing
15     // anything.
16     if(g_DRTMHandle == TPM_RH_UNASSIGNED)
17         return;
18
19     hashObject = (HASH_OBJECT*)HandleToObject(g_DRTMHandle);
20     pAssert(hashObject->attributes.eventSeq);
21
22     // For each of the implemented hash algorithms, update the digest with the
23     // data provided.
24     for(i = 0; i < HASH_COUNT; i++)
25     {
26         // make sure that the PCR is implemented for this algorithm
27         if(PcrIsAllocated(pcrHandle, hashObject->state.hashState[i].hashAlg))
28             // Update sequence object
29             CryptDigestUpdate(&hashObject->state.hashState[i], dataSize, data);
30     }
31
32     return;
33 }
34

```

## 22.11 \_TPM\_Hash\_End

### 22.11.1 Description

This indication from the TPM interface indicates the end of the H-CRTM measurement. This indication is discarded, and no other action performed if the TPM does not contain an H-CRTM Event Sequence context.

NOTE 1 An H-CRTM Event Sequence context is created by \_TPM\_Hash\_Start().

If the H-CRTM Event Sequence occurs after TPM2\_Startup(), the TPM will set all of the PCR designated in the platform-specific specifications as resettable by this event to the value indicated in the platform specific specification and increment *restartCount*. The TPM will then Extend the Event Sequence digest/digests into the designated D-RTM PCR (PCR[17]).

$$\text{PCR}[17][\text{hashAlg}] := \mathbf{H}_{\text{hashAlg}}(\text{initial\_value} || \mathbf{H}_{\text{hashAlg}}(\text{hash\_data})) \quad (7)$$

where

<i>hashAlg</i>	hash algorithm associated with a bank of PCR
<i>initial_value</i>	initialization value specified in the platform-specific specification (should be 0...0)
<i>hash_data</i>	all the octets of data received in _TPM_Hash_Data indications

A \_TPM\_Hash\_End indication that occurs after TPM2\_Startup() will increment *pcrUpdateCounter* unless a platform-specific specification excludes modifications of PCR[DRTM] from causing an increment.

A platform-specific specification may allow an H-CRTM Event Sequence before TPM2\_Startup(). If so, \_TPM\_Hash\_End will complete the digest, initialize PCR[0] with a digest-size value of 4, and then extend the H-CRTM Event Sequence data into PCR[0].

$$\text{PCR}[0][\text{hashAlg}] := \mathbf{H}_{\text{hashAlg}}(0...04 || \mathbf{H}_{\text{hashAlg}}(\text{hash\_data})) \quad (8)$$

NOTE 2 The entire sequence of \_TPM\_Hash\_Start, \_TPM\_Hash\_Data, and \_TPM\_Hash\_End are required to complete before TPM2\_Startup() or the sequence will have no effect on the TPM.

NOTE 3 PCR[0] does not need to be updated according to (8) until the end of TPM2\_Startup().

## 22.11.2 Detailed Actions

### 22.11.2.1 /tpm/src/events/\_TPM\_Hash\_End.c

```

1  #include "Tpm.h"
2
3  // This function is called to process a _TPM_Hash_End indication.
4  LIB_EXPORT void _TPM_Hash_End(void)
5  {
6      UINT32      i;
7      TPM2B_DIGEST digest;
8      HASH_OBJECT* hashObject;
9      TPMI_DH_PCR pcrHandle;
10
11     // If the DRTM handle is not being used, then either _TPM_Hash_Start has not
12     // been called, _TPM_Hash_End was previously called, or some other command
13     // was executed and the sequence was aborted.
14     if(g_DRTMHandle == TPM_RH_UNASSIGNED)
15         return;
16
17     // Get DRTM sequence object
18     hashObject = (HASH_OBJECT*)HandleToObject(g_DRTMHandle);
19
20     // Is this _TPM_Hash_End after Startup or before
21     if(TPMIsStarted())
22     {
23         // After
24
25         // Reset the DRTM PCR
26         PCRResetDynamics();
27
28         // Extend the DRTM PCR.
29         pcrHandle = PCR_FIRST + DRTM_PCR;
30
31         // DRTM sequence increments restartCount
32         gr.restartCount++;
33     }
34     else
35     {
36         pcrHandle = PCR_FIRST + HCRTM_PCR;
37         g_DrtmPreStartup = TRUE;
38     }
39
40     // Complete hash and extend PCR, or if this is an HCRTM, complete
41     // the hash, reset the H-CRTM register (PCR[0]) to 0...04, and then
42     // extend the H-CRTM data
43     for(i = 0; i < HASH_COUNT; i++)
44     {
45         TPMI_ALG_HASH hash = CryptHashGetAlgByIndex(i);
46         // make sure that the PCR is implemented for this algorithm
47         if(PcrIsAllocated(pcrHandle, hashObject->state.hashState[i].hashAlg))
48         {
49             // Complete hash
50             digest.t.size = CryptHashGetDigestSize(hash);
51             CryptHashEnd2B(&hashObject->state.hashState[i], &digest.b);
52
53             PcrDrtm(pcrHandle, hash, &digest);
54         }
55     }
56
57     // Flush sequence object.
58     FlushObject(g_DRTMHandle);
59
60     g_DRTMHandle = TPM_RH_UNASSIGNED;

```

```
61  
62     return;  
63 }  
64
```

DRAFT

## 23 Enhanced Authorization (EA) Commands

### 23.1 Introduction

The commands in clause 22.11.2.1 are used for policy evaluation. When successful, each command will update the *policySession*→*policyDigest* in a policy session context in order to establish that the authorizations required to use an object have been provided. Many of the commands will also modify other parts of a policy context so that the caller may constrain the scope of the authorization that is provided.

NOTE 1 Many of the terms used in clause 22.11.2.1 are described in detail in TPM 2.0 Part 1 and are not redefined in clause 22.11.2.1.

The *policySession* parameter of the command is the handle of the policy session context to be modified by the command.

If the *policySession* parameter indicates a trial policy session, then the *policySession*→*policyDigest* will be updated and the indicated validations are not performed. However, any authorizations required to perform the policy command will be checked and dictionary attack logic invoked as necessary.

NOTE 2 If software is used to create policies, no authorization values are used. For example, TPM\_PolicySecret requires an authorization in a trial policy session, but not in a policy calculation outside the TPM.

NOTE 3 A policy session is set to a trial policy by TPM2\_StartAuthSession(*sessionType* = TPM\_SE\_TRIAL).

NOTE 4 Unless there is an unmarshaling error in the parameters of the command, these commands will return TPM\_RC\_SUCCESS when *policySession* references a trial session.

NOTE 5 Policy context other than the *policySession*→*policyDigest* may be updated for a trial policy but it is not required.

## 23.2 Signed Authorization Actions

### 23.2.1 Introduction

The TPM2\_PolicySigned, TPM\_PolicySecret, and TPM2\_PolicyTicket commands use many of the same functions. Clause 23.2 consolidates those functions to simplify the document and to ensure uniformity of the operations.

### 23.2.2 Policy Parameter Checks

These parameter checks will be performed when indicated in the description of each of the commands:

- a) *nonceTPM* – If this parameter is not the Empty Buffer, and it does not match *policySession→nonceTPM*, then the TPM shall return TPM\_RC\_VALUE.

NOTE 1            The *nonceTPM* returned from TPM2\_StartAuthSession is a minimum of 16 bytes.

- b) *expiration* – If this parameter is not zero, then:

- 1) if *nonceTPM* is not an Empty Buffer, then the absolute value of *expiration* is converted to milliseconds and added to *policySession→startTime* to create the *timeout* value and proceed to c).
- 2) If *nonceTPM* is an Empty Buffer, then the absolute value of *expiration* is converted to milliseconds and used as the *timeout* value and proceed to c).

However, *timeout* can only be changed to a smaller value (see *timeout* in clause 23.2.4).

- c) *timeout* – If *timeout* is less than the current value of *Time*, or the current *timeEpoch* is not the same as *policySession→timeEpoch*, the TPM shall return TPM\_RC\_EXPIRED

- d) *cpHashA* – If this parameter is not an Empty Buffer

NOTE 2            *cpHashA* is the hash of the command to be executed using this policy session in the authorization. The algorithm used to compute this hash is required to be the algorithm of the policy session.

- 1) the TPM shall return TPM\_RC\_CPHASH if *policySession→cpHash* is set and the contents of *policySession→cpHash* are not the same as *cpHashA*; or

NOTE 3            *cpHash* is the expected cpHash value held in the policy session context.

- 2) the TPM shall return TPM\_RC\_SIZE if *cpHashA* is not the same size as *policySession→policyDigest*.

NOTE 4            *policySession→policyDigest* is the size of the digest produced by the hash algorithm used to compute *policyDigest*.



### 23.2.3 Policy Digest Update Function (PolicyUpdate())

This is the update process for *policySession*→*policyDigest* used by TPM2\_PolicySigned(), TPM2\_PolicySecret(), TPM2\_PolicyTicket(), and TPM2\_PolicyAuthorize(). The function prototype for the update function is:

$$\mathbf{PolicyUpdate}(commandCode, arg2, arg3) \quad (9)$$

where

*arg2* a TPM2B\_NAME

*arg3* a TPM2B

These parameters are used to update *policySession*→*policyDigest* by

$$policyDigest_{new} := \mathbf{H}_{policyAlg}(policyDigest_{old} || commandCode || arg2.name) \quad (10)$$

followed by

$$policyDigest_{new+1} := H_{policyAlg}(policyDigest_{new} || arg3.buffer) \quad (11)$$

where

$H_{policyAlg}()$  the hash algorithm chosen when the policy session was started

NOTE 1 If *arg3* is a TPM2B\_NAME, then *arg3.buffer* will actually be an *arg3.name*.

NOTE 2 The *arg2.size* and *arg3.size* fields are not included in the hashes.

NOTE 3      **PolicyUpdate()** uses two hash operations because *arg2* and *arg3* are variable-sized and the concatenation of *arg2* and *arg3* in a single hash could produce the same digest even though *arg2* and *arg3* are different. For example, *arg2* = 1 2 3 and *arg3* = 4 5 6 would produce the same digest as *arg2* = 1 2 and *arg3* = 3 4 5 6. Processing of the arguments separately in different Extend operation ensures that the digest produced by **PolicyUpdate()** will be different if *arg2* and *arg3* are different.

### 23.2.4 Policy Context Updates

When a policy command modifies some part of the policy session context other than the *policySession*→*policyDigest*, the following rules apply.

- **cpHash** – this parameter may only be changed if it contains its initialization value (an Empty Buffer). If *cpHash* is not the Empty Buffer when a policy command attempts to update it, the TPM will return an error (TPM\_RC\_CPHASH) if the current and update values are not the same.
- **timeOut** – this parameter may only be changed to a smaller value. If a command attempts to update this value with a larger value (longer into the future), the TPM will discard the update value. This is not an error condition.
- **commandCode** – once set by a policy command, this value may not be changed except by TPM2\_PolicyRestart(). If a policy command tries to change this to a different value, an error is returned (TPM\_RC\_POLICY\_CC).
- **pcrUpdateCounter** – this parameter is updated by TPM2\_PolicyPCR(). This value may only be set once during a policy. Each time TPM2\_PolicyPCR() executes, it checks to see if *policySession*→*pcrUpdateCounter* has its default state, indicating that this is the first TPM2\_PolicyPCR(). If it has its default value, then *policySession*→*pcrUpdateCounter* is set to the current value of *pcrUpdateCounter*. If *policySession*→*pcrUpdateCounter* does not have its default value and its value is not the same as *pcrUpdateCounter*, the TPM shall return TPM\_RC\_PCR\_CHANGED.

NOTE 1 If this parameter and *pcrUpdateCounter* are not the same, it indicates that PCR have changed since checked by the previous TPM2\_PolicyPCR(). Since they have changed, the previous PCR validation is no longer valid.

- **commandLocality** – this parameter is the logical AND of all enabled localities. All localities are enabled for a policy when the policy session is created. TPM2\_PolicyLocalities() selectively disables localities. Once use of a policy for a locality has been disabled, it cannot be enabled except by TPM2\_PolicyRestart().
- **isPPRequired** – once SET, this parameter may only be CLEARED by TPM2\_PolicyRestart().
- **isAuthValueNeeded** – once SET, this parameter may only be CLEARED by TPM2\_PolicyPassword() or TPM2\_PolicyRestart().
- **isPasswordNeeded** – once SET, this parameter may only be CLEARED by TPM2\_PolicyAuthValue() or TPM2\_PolicyRestart(),

NOTE 2 Both TPM2\_PolicyAuthValue() and TPM2\_PolicyPassword() change *policySession*→*policyDigest* in the same way. The different commands simply indicate to the TPM the format used for the *authValue* (HMAC or clear text). Both commands could be in the same policy. The final instance of these commands determines the format.

### 23.2.5 Policy Ticket Creation

For TPM2\_PolicySigned() or TPM2\_PolicySecret(), if the caller specified a negative value for *expiration*, then the TPM will return a ticket that includes a value indicating when the authorization expires. Otherwise, the TPM will return a NULL Ticket.

NOTE 1 If the *authHandle* in TPM2\_PolicySecret() references a PIN Pass Index, then the command may succeed but a NULL Ticket will be returned.

The required computation for the digest in the authorization ticket is:

$$\text{HMAC}_{\text{contextAlg}}(\text{proof}, (\text{TPM\_ST\_AUTH\_xxx} \parallel \text{cpHash} \parallel \text{policyRef} \parallel \text{authName} \parallel \text{timeout} \parallel [\text{timeEpoch}] \parallel [\text{resetCount}])) \quad (12)$$

where

$\text{HMAC}_{\text{contextAlg}}()$	an HMAC using the context integrity hash
<i>proof</i>	a TPM secret value associated with the hierarchy of the object associated with <i>authName</i>
TPM_ST_AUTH_xxx	either TPM_ST_AUTH_SIGNED or TPM_ST_AUTH_SECRET; used to ensure that the ticket is properly used
<i>cpHash</i>	optional hash of the authorized command
<i>policyRef</i>	optional reference to a policy value
<i>authName</i>	Name of the object that signed the authorization
<i>timeout</i>	implementation-specific value indicating when the authorization expires
<i>timeEpoch</i>	implementation-specific representation of the <i>timeEpoch</i> at the time the ticket was created

NOTE 2 Not included if *timeout* is zero.

<i>resetCount</i>	implementation-specific representation of the TPM's <i>totalResetCount</i>
-------------------	--

NOTE 3 Not included if *timeout* is zero or if *nonceTPM* was include in the authorization.

## 23.3 TPM2\_PolicySigned

### 23.3.1 General Description

This command includes a signed authorization in a policy. The command ties the policy to a signing key by including the Name of the signing key in the *policyDigest*

If *policySession* is a trial session, the TPM will not check the signature and will update *policySession*→*policyDigest* as described in clause 23.2.3 as if a properly signed authorization was received, but no ticket will be produced.

If *policySession* is not a trial session, the TPM will validate *auth* and only perform the update if it is a valid signature over the fields of the command.

The authorizing entity will sign a digest of the authorization qualifiers: *nonceTPM*, *expiration*, *cpHashA*, and *policyRef*. The digest is computed as:

$$aHash := H_{authAlg}(nonceTPM || expiration || cpHashA || policyRef) \quad (13)$$

where

$H_{authAlg}()$  the hash associated with the auth parameter of this command

NOTE 1 Each signature and key combination indicates the scheme, and each scheme has an associated hash.

*nonceTPM* the *nonceTPM* parameter from the TPM2\_StartAuthSession() response. If the authorization is not limited to this session, the size of this value is zero.

*expiration* time limit on authorization set by authorizing object. This 32-bit value is set to zero if the expiration time is not being set.

*cpHashA* digest of the command parameters for the command being approved using the hash algorithm of the policy session. Set to an Empty Digest if the authorization is not limited to a specific command.

NOTE 3 This is not the *cpHash* of this TPM2\_PolicySigned() command.

*policyRef* an opaque value determined by the authorizing entity. Set to the Empty Buffer if no value is present.

NOTE 4 The *nonceTPM*, *cpHashA*, and *policyRef* qualifiers used to compute *aHash* use the TPM2B buffer but do not prepend the size.

EXAMPLE The computation for an *aHash* if there are no restrictions is:

$$aHash := H_{authAlg}(00\ 00\ 00\ 00_{16})$$

which is the hash of an expiration time of zero.

The *aHash* is signed by the key associated with a key whose handle is *authObject*. The signature and signing parameters are combined to create the *auth* parameter.

The TPM will perform the parameter checks listed in clause 23.2.2

If the parameter checks succeed, the TPM will construct a test digest (*tHash*) over the provided parameters using the same formulation as shown in equation (13) above.

If *tHash* does not match the digest of the signed *aHash*, then the authorization fails and the TPM shall return TPM\_RC\_POLICY\_FAIL and make no change to *policySession*→*policyDigest*.

When all validations have succeeded, *policySession*→*policyDigest* is updated by **PolicyUpdate()** (see clause 23.2.3).

**PolicyUpdate**(TPM\_CC\_PolicySigned, *authObject*→*Name*, *policyRef*) (14)

*authObject*→*Name* is a TPM2B\_NAME. *policySession* is updated as described in clause 23.2.4. The TPM will optionally produce a ticket as described in clause 23.2.5.

Authorization to use *authObject* is not required.

## 23.3.2 Command and Response

Table 128 — TPM2\_PolicySigned Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit, encrypt, or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicySigned
TPMI_DH_OBJECT	authObject	handle for a key that will validate the signature Auth Index: None
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPM2B_NONCE	nonceTPM	the policy nonce for the session This can be the Empty Buffer.
TPM2B_DIGEST	cpHashA	digest of the command parameters to which this authorization is limited  This is not the <i>cpHash</i> for this command but the <i>cpHash</i> for the command to which this policy session will be applied. If it is not limited, the parameter will be the Empty Buffer.
TPM2B_NONCE	policyRef	a reference to a policy relating to the authorization – may be the Empty Buffer Size is limited to be no larger than the nonce size supported on the TPM.
INT32	expiration	time when authorization will expire, measured in seconds from the time that <i>nonceTPM</i> was generated If <i>expiration</i> is non-negative, a NULL Ticket is returned (see clause 23.2.5).
TPMT_SIGNATURE	auth	signed authorization (not optional)

Table 129 — TPM2\_PolicySigned Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_TIMEOUT	timeout	implementation-specific time value, used to indicate to the TPM when the ticket expires If <i>policyTicket</i> is a NULL Ticket, then this shall be the Empty Buffer.
TPMT_TK_AUTH	policyTicket	produced if the command succeeds and <i>expiration</i> in the command was non-zero; this ticket will use the TPMT_ST_AUTH_SIGNED structure tag (see clause 23.2.5).

### 23.3.3 Detailed Actions

#### 23.3.3.1 /tpm/src/command/EA/PolicySigned.c

```

1  #include "Tpm.h"
2  #include "Policy_spt_fp.h"
3  #include "PolicySigned_fp.h"
4
5  #if CC_PolicySigned // Conditional expansion of this file
6
7  /*(See part 3 specification)
8  // Include an asymmetrically signed authorization to the policy evaluation
9  */
10 // Return Type: TPM_RC
11 //     TPM_RC_CPHASH           cpHash was previously set to a different value
12 //     TPM_RC_EXPIRED          'expiration' indicates a time in the past or
13 //                             'expiration' is non-zero but no nonceTPM is present
14 //     TPM_RC_NONCE            'nonceTPM' is not the nonce associated with the
15 //                             'policySession'
16 //     TPM_RC_SCHEME            the signing scheme of 'auth' is not supported by the
17 //                             TPM
18 //     TPM_RC_SIGNATURE        the signature is not genuine
19 //     TPM_RC_SIZE              input cpHash has wrong size
20 TPM_RC
21 TPM2_PolicySigned(PolicySigned_In* in, // IN: input parameter list
22                  PolicySigned_Out* out // OUT: output parameter list
23 )
24 {
25     TPM_RC      result = TPM_RC_SUCCESS;
26     SESSION*    session;
27     TPM2B_NAME  entityName;
28     TPM2B_DIGEST authHash;
29     HASH_STATE  hashState;
30     UINT64      authTimeout = 0;
31     // Input Validation
32     // Set up local pointers
33     session = SessionGet(in->policySession); // the session structure
34
35     // Only do input validation if this is not a trial policy session
36     if(session->attributes.isTrialPolicy == CLEAR)
37     {
38         authTimeout = ComputeAuthTimeout(session, in->expiration, &in->nonceTPM);
39
40         result      = PolicyParameterChecks(session,
41                                             authTimeout,
42                                             &in->cpHashA,
43                                             &in->nonceTPM,
44                                             RC_PolicySigned_nonceTPM,
45                                             RC_PolicySigned_cpHashA,
46                                             RC_PolicySigned_expiration);
47
48         if(result != TPM_RC_SUCCESS)
49             return result;
50         // Re-compute the digest being signed
51         /*(See part 3 specification)
52         // The digest is computed as:
53         //     aHash := hash ( nonceTPM | expiration | cpHashA | policyRef)
54         // where:
55         //     hash()    the hash associated with the signed authorization
56         //     nonceTPM  the nonceTPM value from the TPM2_StartAuthSession .
57         //               response If the authorization is not limited to this
58         //               session, the size of this value is zero.
59         //     expiration time limit on authorization set by authorizing object.
60         //               This 32-bit value is set to zero if the expiration

```

```

60         //          time is not being set.
61         //          cpHashA      hash of the command parameters for the command being
62         //          approved using the hash algorithm of the PSAP session.
63         //          Set to NULLauth if the authorization is not limited
64         //          to a specific command.
65         //          policyRef     hash of an opaque value determined by the authorizing
66         //          object. Set to the NULLdigest if no hash is present.
67         */
68         // Start hash
69         authHash.t.size = CryptHashStart(&hashState, CryptGetSignHashAlg(&in->auth));
70         // If there is no digest size, then we don't have a verification function
71         // for this algorithm (e.g. TPM_ALG_ECDSA) so indicate that it is a
72         // bad scheme.
73         if(authHash.t.size == 0)
74             return TPM_RCS_SCHEME + RC_PolicySigned_auth;
75
76         // nonceTPM
77         CryptDigestUpdate2B(&hashState, &in->nonceTPM.b);
78
79         // expiration
80         CryptDigestUpdateInt(&hashState, sizeof(UINT32), in->expiration);
81
82         // cpHashA
83         CryptDigestUpdate2B(&hashState, &in->cpHashA.b);
84
85         // policyRef
86         CryptDigestUpdate2B(&hashState, &in->policyRef.b);
87
88         // Complete digest
89         CryptHashEnd2B(&hashState, &authHash.b);
90
91         // Validate Signature. A TPM_RC_SCHEME, TPM_RC_HANDLE or TPM_RC_SIGNATURE
92         // error may be returned at this point
93         result = CryptValidateSignature(in->authObject, &authHash, &in->auth);
94         if(result != TPM_RC_SUCCESS)
95             return RcSafeAddToResult(result, RC_PolicySigned_auth);
96     }
97     // Internal Data Update
98     // Update policy with input policyRef and name of authorization key
99     // These values are updated even if the session is a trial session
100    PolicyContextUpdate(TPM_CC_PolicySigned,
101                        EntityGetName(in->authObject, &entityName),
102                        &in->policyRef,
103                        &in->cpHashA,
104                        authTimeout,
105                        session);
106    // Command Output
107    // Create ticket and timeout buffer if in->expiration < 0 and this is not
108    // a trial session.
109    // NOTE: PolicyParameterChecks() makes sure that nonceTPM is present
110    // when expiration is non-zero.
111    if(in->expiration < 0 && session->attributes.isTrialPolicy == CLEAR)
112    {
113        BOOL expiresOnReset = (in->nonceTPM.t.size == 0);
114        // Compute policy ticket
115        authTimeout &= ~EXPIRATION_BIT;
116
117        result = TicketComputeAuth(TPM_ST_AUTH_SIGNED,
118                                  EntityGetHierarchy(in->authObject),
119                                  authTimeout,
120                                  expiresOnReset,
121                                  &in->cpHashA,
122                                  &in->policyRef,
123                                  &entityName,
124                                  &out->policyTicket);
125        if(result != TPM_RC_SUCCESS)

```

```
126         return result;
127
128         // Generate timeout buffer. The format of output timeout buffer is
129         // TPM-specific.
130         // Note: In this implementation, the timeout buffer value is computed after
131         // the ticket is produced so, when the ticket is checked, the expiration
132         // flag needs to be extracted before the ticket is checked.
133         // In the Windows compatible version, the least-significant bit of the
134         // timeout value is used as a flag to indicate if the authorization expires
135         // on reset. The flag is the MSb.
136         out->timeout.t.size = sizeof(authTimeout);
137         if(expiresOnReset)
138             authTimeout |= EXPIRATION_BIT;
139         UINT64_TO_BYTE_ARRAY(authTimeout, out->timeout.t.buffer);
140     }
141     else
142     {
143         // Generate a null ticket.
144         // timeout buffer is null
145         out->timeout.t.size = 0;
146
147         // authorization ticket is null
148         out->policyTicket.tag = TPM_ST_AUTH_SIGNED;
149         out->policyTicket.hierarchy = TPM_RH_NULL;
150         out->policyTicket.digest.t.size = 0;
151     }
152     return TPM_RC_SUCCESS;
153 }
154
155 #endif // CC_PolicySigned
156
```



## 23.4 TPM2\_PolicySecret

### 23.4.1 General Description

This command includes a secret-based authorization to a policy. The caller proves knowledge of the secret value using an authorization session using the *authValue* associated with *authHandle*. A password session, an HMAC session, or a policy session containing TPM2\_PolicyAuthValue() or TPM2\_PolicyPassword() will satisfy this requirement.

If a policy session is used and use of the *authValue* of *authHandle* is not required, the TPM will return TPM\_RC\_MODE. That is, the session for *authHandle* must have either *isAuthValueNeeded* or *isPasswordNeeded* SET.

The secret is the *authValue* of the entity whose handle is *authHandle*, which may be any TPM entity with a handle and an associated *authValue*. This includes the reserved handles (for example, Platform, Storage, and Endorsement), NV Indexes, and loaded objects. *authEntity* is the entity referenced by *authHandle*. If *authEntity* references an Ordinary object, it must have *userWithAuth* SET.

NOTE 1 The *userWithAuth* requirement permits the implementation to use common authorization code.

If *authEntity* references a non-PIN Index, TPMA\_NV\_AUTHREAD is required to be SET in the Index. If *authEntity* references an NV PIN index, TPMA\_NV\_WRITTEN is required to be SET and *pinCount* must be less than *pinLimit*.

NOTE 2 The authorization value for a hierarchy cannot be used in this command if the hierarchy is disabled.

If the authorization check fails, then the normal dictionary attack logic is invoked. If *authEntity* references a NV PIN Pass index, a successful authorization check increments *pinCount*. If *authEntity* references a NV PIN Fail index, a failing authorization check increments *pinCount*. The authorization is checked even for a trial policy session.

If the authorization provided by the authorization session is valid, the command parameters are checked as described in clause 23.2.2.

When all validations have succeeded, *policySession*→*policyDigest* is updated by **PolicyUpdate()** (see clause 23.2.3).

**PolicyUpdate**(TPM\_CC\_PolicySecret, *authEntity*→*Name*, *policyRef*) (15)

*authEntity*→*Name* is a TPM2B\_NAME. *policySession* is updated as described in clause 23.2.4. The TPM will optionally produce a ticket as described in clause 23.2.5.

If the session is a trial session, *policySession*→*policyDigest* is updated if the authorization is valid.

NOTE 2 If an HMAC is used to convey the authorization, a separate session is needed for the authorization. Because the HMAC in that authorization will include a nonce that prevents replay of the authorization, the value of the *nonceTPM* parameter in this command is limited. It is retained mostly to provide processing consistency with TPM2\_PolicySigned().

## 23.4.2 Command and Response

Table 130 — TPM2\_PolicySecret Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicySecret
TPMI_DH_ENTITY	@authHandle	handle for an entity providing the authorization Auth Index: 1 Auth Role: USER
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPM2B_NONCE	nonceTPM	the policy nonce for the session This can be the Empty Buffer.
TPM2B_DIGEST	cpHashA	digest of the command parameters to which this authorization is limited This not the <i>cpHash</i> for this command but the <i>cpHash</i> for the command to which this policy session will be applied. If it is not limited, the parameter will be the Empty Buffer.
TPM2B_NONCE	policyRef	a reference to a policy relating to the authorization – may be the Empty Buffer Size is limited to be no larger than the nonce size supported on the TPM.
INT32	expiration	time when authorization will expire, measured in seconds from the time that <i>nonceTPM</i> was generated If <i>expiration</i> is non-negative, a NULL Ticket is returned. (see clause 23.2.5).

Table 131 — TPM2\_PolicySecret Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_TIMEOUT	timeout	implementation-specific time value used to indicate to the TPM when the ticket expires
TPMT_TK_AUTH	policyTicket	produced if the command succeeds and <i>expiration</i> in the command was non-zero (see clause 23.2.5). This ticket will use the TPMT_ST_AUTH_SECRET structure tag

### 23.4.3 Detailed Actions

#### 23.4.3.1 /tpm/src/command/EA/PolicySecret.c

```

1  #include "Tpm.h"
2  #include "PolicySecret_fp.h"
3
4  #if CC_PolicySecret // Conditional expansion of this file
5
6  # include "Policy_spt_fp.h"
7  # include "NV_spt_fp.h"
8
9  /*(See part 3 specification)
10 // Add a secret-based authorization to the policy evaluation
11 */
12 // Return Type: TPM_RC
13 //     TPM_RC_CPHASH           cpHash for policy was previously set to a
14 //                               value that is not the same as 'cpHashA'
15 //     TPM_RC_EXPIRED          'expiration' indicates a time in the past
16 //     TPM_RC_NONCE            'nonceTPM' does not match the nonce associated
17 //                               with 'policySession'
18 //     TPM_RC_SIZE             'cpHashA' is not the size of a digest for the
19 //                               hash associated with 'policySession'
20 TPM_RC
21 TPM2_PolicySecret(PolicySecret_In* in, // IN: input parameter list
22                  PolicySecret_Out* out // OUT: output parameter list
23 )
24 {
25     TPM_RC      result;
26     SESSION*    session;
27     TPM2B_NAME  entityName;
28     UINT64      authTimeout = 0;
29     // Input Validation
30     // Get pointer to the session structure
31     session = SessionGet(in->policySession);
32
33     //Only do input validation if this is not a trial policy session
34     if(session->attributes.isTrialPolicy == CLEAR)
35     {
36         authTimeout = ComputeAuthTimeout(session, in->expiration, &in->nonceTPM);
37
38         result      = PolicyParameterChecks(session,
39                                             authTimeout,
40                                             &in->cpHashA,
41                                             &in->nonceTPM,
42                                             RC_PolicySecret_nonceTPM,
43                                             RC_PolicySecret_cpHashA,
44                                             RC_PolicySecret_expiration);
45
46         if(result != TPM_RC_SUCCESS)
47             return result;
48     }
49     // Internal Data Update
50     // Update policy context with input policyRef and name of authorizing key
51     // This value is computed even for trial sessions. Possibly update the cpHash
52     PolicyContextUpdate(TPM_CC_PolicySecret,
53                        EntityGetName(in->authHandle, &entityName),
54                        &in->policyRef,
55                        &in->cpHashA,
56                        authTimeout,
57                        session);
58
59     // Command Output
60     // Create ticket and timeout buffer if in->expiration < 0 and this is not
61     // a trial session.

```

```

60 // NOTE: PolicyParameterChecks() makes sure that nonceTPM is present
61 // when expiration is non-zero.
62 if(in->expiration < 0 && session->attributes.isTrialPolicy == CLEAR
63    && !NvIsPinPassIndex(in->authHandle))
64 {
65     BOOL expiresOnReset = (in->nonceTPM.t.size == 0);
66     // Compute policy ticket
67     authTimeout &= ~EXPIRATION_BIT;
68     result = TicketComputeAuth(TPM_ST_AUTH_SECRET,
69                               EntityGetHierarchy(in->authHandle),
70                               authTimeout,
71                               expiresOnReset,
72                               &in->cpHashA,
73                               &in->policyRef,
74                               &entityName,
75                               &out->policyTicket);
76     if(result != TPM_RC_SUCCESS)
77         return result;
78
79     // Generate timeout buffer. The format of output timeout buffer is
80     // TPM-specific.
81     // Note: In this implementation, the timeout buffer value is computed after
82     // the ticket is produced so, when the ticket is checked, the expiration
83     // flag needs to be extracted before the ticket is checked.
84     out->timeout.t.size = sizeof(authTimeout);
85     // In the Windows compatible version, the least-significant bit of the
86     // timeout value is used as a flag to indicate if the authorization expires
87     // on reset. The flag is the MSb.
88     if(expiresOnReset)
89         authTimeout |= EXPIRATION_BIT;
90     UINT64_TO_BYTE_ARRAY(authTimeout, out->timeout.t.buffer);
91 }
92 else
93 {
94     // timeout buffer is null
95     out->timeout.t.size = 0;
96
97     // authorization ticket is null
98     out->policyTicket.tag = TPM_ST_AUTH_SECRET;
99     out->policyTicket.hierarchy = TPM_RH_NULL;
100    out->policyTicket.digest.t.size = 0;
101 }
102 return TPM_RC_SUCCESS;
103 }
104
105 #endif // CC_PolicySecret
106

```

## 23.5 TPM2\_PolicyTicket

### 23.5.1 General Description

This command is similar to TPM2\_PolicySigned() except that it takes a ticket instead of a signed authorization. The ticket represents a validated authorization that had an expiration time associated with it.

The parameters of this command are checked as described in clause 23.2.2.

If the checks succeed, the TPM uses the *timeout*, *cpHashA*, *policyRef*, and *authName* to construct a ticket to compare with the value in *ticket*. If these tickets match, then the TPM will create a TPM2B\_NAME (*objectName*) using *authName* and update the context of *policySession* by **PolicyUpdate()** (see clause 23.2.3).

**PolicyUpdate**(*commandCode*, *authName*, *policyRef*) (16)

If the structure tag of ticket is TPM\_ST\_AUTH\_SECRET, then *commandCode* will be TPM\_CC\_PolicySecret. If the structure tag of ticket is TPM\_ST\_AUTH\_SIGNED, then *commandCode* will be TPM\_CC\_PolicySigned.

*policySession* is updated as described in clause 23.2.4.

## 23.5.2 Command and Response

Table 132 — TPM2\_PolicyTicket Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyTicket
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPM2B_TIMEOUT	timeout	time when authorization will expire The contents are TPM specific. This shall be the value returned when ticket was produced.
TPM2B_DIGEST	cpHashA	digest of the command parameters to which this authorization is limited If it is not limited, the parameter will be the Empty Buffer.
TPM2B_NONCE	policyRef	reference to a qualifier for the policy – may be the Empty Buffer
TPM2B_NAME	authName	name of the object that provided the authorization
TPMT_TK_AUTH	ticket	an authorization ticket returned by the TPM in response to a TPM2_PolicySigned() or TPM2_PolicySecret()

Table 133 — TPM2\_PolicyTicket Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 23.5.3 Detailed Actions

#### 23.5.3.1 /tpm/src/command/EA/PolicyTicket.c

```

1  #include "Tpm.h"
2  #include "PolicyTicket_fp.h"
3
4  #if CC_PolicyTicket // Conditional expansion of this file
5
6  # include "Policy_spt_fp.h"
7
8  /*(See part 3 specification)
9  // Include ticket to the policy evaluation
10 */
11 // Return Type: TPM_RC
12 //     TPM_RC_CPHASH           policy's cpHash was previously set to a different
13 //                               value
14 //     TPM_RC_EXPIRED          'timeout' value in the ticket is in the past and the
15 //                               ticket has expired
16 //     TPM_RC_SIZE              'timeout' or 'cpHash' has invalid size for the
17 //     TPM_RC_TICKET            'ticket' is not valid
18 TPM_RC
19 TPM2_PolicyTicket(PolicyTicket_In* in // IN: input parameter list
20 )
21 {
22     TPM_RC      result;
23     SESSION*    session;
24     UINT64      authTimeout;
25     TPMT_TK_AUTH ticketToCompare;
26     TPM_CC      commandCode = TPM_CC_PolicySecret;
27     BOOL        expiresOnReset;
28
29     // Input Validation
30
31     // Get pointer to the session structure
32     session = SessionGet(in->policySession);
33
34     // NOTE: A trial policy session is not allowed to use this command.
35     // A ticket is used in place of a previously given authorization. Since
36     // a trial policy doesn't actually authenticate, the validated
37     // ticket is not necessary and, in place of using a ticket, one
38     // should use the intended authorization for which the ticket
39     // would be a substitute.
40     if(session->attributes.isTrialPolicy)
41         return TPM_RCS_ATTRIBUTES + RC_PolicyTicket_policySession;
42     // Restore timeout data. The format of timeout buffer is TPM-specific.
43     // In this implementation, the most significant bit of the timeout value is
44     // used as the flag to indicate that the ticket expires on TPM Reset or
45     // TPM Restart. The flag has to be removed before the parameters and ticket
46     // are checked.
47     if(in->timeout.t.size != sizeof(UINT64))
48         return TPM_RCS_SIZE + RC_PolicyTicket_timeout;
49     authTimeout = BYTE_ARRAY_TO_UINT64(in->timeout.t.buffer);
50
51     // extract the flag
52     expiresOnReset = (authTimeout & EXPIRATION_BIT) != 0;
53     authTimeout &= ~EXPIRATION_BIT;
54
55     // Do the normal checks on the cpHashA and timeout values
56     result = PolicyParameterChecks(session,
57                                     authTimeout,
58                                     &in->cpHashA,
59                                     NULL, // no nonce

```

```

60                                     0, // no bad nonce return
61                                     RC_PolicyTicket_cpHashA,
62                                     RC_PolicyTicket_timeout);
63     if(result != TPM_RC_SUCCESS)
64         return result;
65     // Validate Ticket
66     // Re-generate policy ticket by input parameters
67     result = TicketComputeAuth(in->ticket.tag,
68                               in->ticket.hierarchy,
69                               authTimeout,
70                               expiresOnReset,
71                               &in->cpHashA,
72                               &in->policyRef,
73                               &in->authName,
74                               &ticketToCompare);
75     if(result != TPM_RC_SUCCESS)
76         return result;
77
78     // Compare generated digest with input ticket digest
79     if(!MemoryEqual2B(&in->ticket.digest.b, &ticketToCompare.digest.b))
80         return TPM_RCS_TICKET + RC_PolicyTicket_ticket;
81
82     // Internal Data Update
83
84     // Is this ticket to take the place of a TPM2_PolicySigned() or
85     // a TPM2_PolicySecret()?
86     if(in->ticket.tag == TPM_ST_AUTH_SIGNED)
87         commandCode = TPM_CC_PolicySigned;
88     else if(in->ticket.tag == TPM_ST_AUTH_SECRET)
89         commandCode = TPM_CC_PolicySecret;
90     else
91         // There could only be two possible tag values. Any other value should
92         // be caught by the ticket validation process.
93         FAIL(FATAL_ERROR_INTERNAL);
94
95     // Update policy context
96     PolicyContextUpdate(commandCode,
97                        &in->authName,
98                        &in->policyRef,
99                        &in->cpHashA,
100                        authTimeout,
101                        session);
102
103     return TPM_RC_SUCCESS;
104 }
105
106 #endif // CC_PolicyTicket
107

```



## 23.6 TPM2\_PolicyOR

### 23.6.1 General Description

This command allows options in authorizations without requiring that the TPM evaluate all of the options. If a policy may be satisfied by different sets of conditions, the TPM need only evaluate one set that satisfies the policy. This command will indicate that one of the required sets of conditions has been satisfied.

*PolicySession*→*policyDigest* is compared against the list of provided values. If the current *policySession*→*policyDigest* does not match any value in the list, the TPM shall return TPM\_RC\_VALUE. Otherwise, the TPM will reset *policySession*→*policyDigest* to a Zero Digest. Then *policySession*→*policyDigest* is extended by the concatenation of TPM\_CC\_PolicyOR and the concatenation of all of the digests.

If *policySession* is a trial session, the TPM will assume that *policySession*→*policyDigest* matches one of the list entries and compute the new value of *policyDigest*.

The algorithm for computing the new value for *policyDigest* of *policySession* is:

- a) Concatenate all the digest values in *pHashList*:

$$digests := pHashList.digests[1].buffer || \dots || pHashList.digests[n].buffer \quad (17)$$

NOTE 1 The TPM will not return an error if the size of an entry is not the same as the size of the digest of the policy. However, that entry cannot match *policyDigest*.

- b) Reset *policyDigest* to a Zero Digest.

- c) Extend the command code and the hashes computed in step a) above:

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyOR || digests) \quad (18)$$

NOTE 2 The computation in b) and c) above is equivalent to:  

$$policyDigest_{new} := H_{policyAlg}(0\dots0 || TPM\_CC\_PolicyOR || digests)$$

A TPM shall support a list with at least eight tagged digest values.

NOTE 3 If policies are to be portable between TPMs, then they should not use more than eight values.

## 23.6.2 Command and Response

Table 134 — TPM2\_PolicyOR Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyOR
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPML_DIGEST	pHashList	the list of hashes to check for a match

Table 135 — TPM2\_PolicyOR Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 23.6.3 Detailed Actions

#### 23.6.3.1 /tpm/src/command/EA/PolicyOR.c

```

1  #include "Tpm.h"
2  #include "PolicyOR_fp.h"
3
4  #if CC_PolicyOR // Conditional expansion of this file
5
6  # include "Policy_spt_fp.h"
7
8  /*(See part 3 specification)
9  // PolicyOR command
10 */
11 // Return Type: TPM_RC
12 //     TPM_RC_VALUE           no digest in 'pHashList' matched the current
13 //                             value of policyDigest for 'policySession'
14 TPM_RC
15 TPM2_PolicyOR(PolicyOR_In* in // IN: input parameter list
16 )
17 {
18     SESSION* session;
19     UINT32 i;
20
21     // Input Validation and Update
22
23     // Get pointer to the session structure
24     session = SessionGet(in->policySession);
25
26     // Compare and Update Internal Session policy if match
27     for(i = 0; i < in->pHashList.count; i++)
28     {
29         if(session->attributes.isTrialPolicy == SET
30            || (MemoryEqual2B(&session->u2.policyDigest.b,
31                             &in->pHashList.digests[i].b)))
32         {
33             // Found a match
34             HASH_STATE hashState;
35             TPM_CC      commandCode = TPM_CC_PolicyOR;
36
37             // Start hash
38             session->u2.policyDigest.t.size =
39                 CryptHashStart(&hashState, session->authHashAlg);
40             // Set policyDigest to 0 string and add it to hash
41             MemorySet(session->u2.policyDigest.t.buffer,
42                       0,
43                       session->u2.policyDigest.t.size);
44             CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
45
46             // add command code
47             CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
48
49             // Add each of the hashes in the list
50             for(i = 0; i < in->pHashList.count; i++)
51             {
52                 // Extend policyDigest
53                 CryptDigestUpdate2B(&hashState, &in->pHashList.digests[i].b);
54             }
55             // Complete digest
56             CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
57
58             return TPM_RC_SUCCESS;
59         }

```

```
60     }  
61     // None of the values in the list matched the current policyDigest  
62     return TPM_RCS_VALUE + RC_PolicyOR_pHashList;  
63 }  
64  
65 #endif // CC_PolicyOR  
66
```

## 23.7 TPM2\_PolicyPCR

### 23.7.1 General Description

This command is used to cause conditional gating of a policy based on PCR. This command together with TPM2\_PolicyOR() allows one group of authorizations to occur when PCR are in one state and a different set of authorizations when the PCR are in a different state.

The TPM will modify the *pcrs* parameter so that bits that correspond to unimplemented PCR are CLEAR. If *policySession* is not a trial policy session, the TPM will use the modified value of *pcrs* to select PCR values to hash according to TPM 2.0 Part 1, *Selecting Multiple PCR*. The hash algorithm of the policy session is used to compute a digest (*digestTPM*) of the selected PCR. If *pcrDigest* does not have a length of zero, then it is compared to *digestTPM*; and if the values do not match, the TPM shall return TPM\_RC\_VALUE and make no change to *policySession*→*policyDigest*. If the values match, or if the length of *pcrDigest* is zero, then *policySession*→*policyDigest* is extended by:

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyPCR || pcrs || digestTPM) \quad (19)$$

where

<i>pcrs</i>	the <i>pcrs</i> parameter with bits corresponding to unimplemented PCR set to 0
<i>digestTPM</i>	the digest of the selected PCR using the hash algorithm of the policy session

NOTE 1 If the caller provides the expected PCR value, the intention is that the policy evaluation stop at that point if the PCR do not match. If the caller does not provide the expected PCR value, then the validity of the settings will not be determined until an attempt is made to use the policy for authorization. If the policy is constructed such that the PCR check comes before user authorization checks, this early termination would allow software to avoid unnecessary prompts for user input to satisfy a policy that would fail later due to incorrect PCR values.

After this command completes successfully, the TPM shall return TPM\_RC\_PCR\_CHANGED if the policy session is used for authorization and the PCR are not known to be correct.

The TPM uses a “generation” number (*pcrUpdateCounter*) that is incremented each time PCR are updated (unless the PCR being changed is specified not to cause a change to this counter). The value of this counter is stored in the policy session context (*policySession*→*pcrUpdateCounter*) when this command is executed. When the policy is used for authorization, the current value of the counter is compared to the value in the policy session context and the authorization will fail if the values are not the same.

When this command is executed, *policySession*→*pcrUpdateCounter* is checked to see if it has been previously set (in the reference implementation, it has a value of zero if not previously set). If it has been set, it will be compared with the current value of *pcrUpdateCounter* to determine if any PCR changes have occurred. If the values are different, the TPM shall return TPM\_RC\_PCR\_CHANGED.

NOTE 2 Since the *pcrUpdateCounter* is updated if any PCR is extended (except those specified not to do so), this means that the command will fail even if a PCR not specified in the policy is updated. This is an optimization for the purposes of conserving internal TPM memory. This would be a rare occurrence, and, if this should occur, the policy could be reset using the TPM2\_PolicyRestart command and rerun.

If *policySession*→*pcrUpdateCounter* has not been set, then it is set to the current value of *pcrUpdateCounter*.

If this command is used for a trial *policySession*, *policySession*→*policyDigest* will be updated using the values from the command rather than the values from a digest of the TPM PCR. If the caller does not provide PCR settings (*pcrDigest* has a length of zero), the TPM may (and it is preferred to) use the current TPM PCR settings (*digestTPM*) in the calculation for the new *policyDigest*. The TPM may return

an error if the caller does not provide a PCR digest for a trial policy session, but this is not the preferred behavior.

The TPM will not check any PCR and will compute:

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyPCR || pcrs || pcrDigest) \quad (20)$$

In this computation, *pcrs* is the input parameter without modification.

NOTE 3            The *pcrs* parameter is expected to match the configuration of the TPM for which the policy is being computed which may not be the same as the TPM on which the trial policy is being computed.

NOTE 4            Although no PCR are checked in a trial policy session, *pcrDigest* is expected to correspond to some useful PCR values. It is legal, but pointless, to have the TPM aid in calculating a *policyDigest* corresponding to PCR values that are not useful in practice.

## 23.7.2 Command and Response

Table 136 — TPM2\_PolicyPCR Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyPCR
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPM2B_DIGEST	pcrDigest	expected digest value of the selected PCR using the hash algorithm of the session; may be zero length
TPML_PCR_SELECTION	pcrs	the PCR to include in the check digest

Table 137 — TPM2\_PolicyPCR Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 23.7.3 Detailed Actions

#### 23.7.3.1 /tpm/src/command/EA/PolicyPCR.c

```

1  #include "Tpm.h"
2
3  #if CC_PolicyPCR // Conditional expansion of this file
4
5  # include "PolicyPCR_fp.h"
6  # include "Marshal.h"
7
8  /*(See part 3 specification)
9  // Add a PCR gate for a policy session
10 */
11 // Return Type: TPM_RC
12 //     TPM_RC_VALUE      if provided, 'pcrDigest' does not match the
13 //                        current PCR settings
14 //     TPM_RC_PCR_CHANGED a previous TPM2_PolicyPCR() set
15 //                        pcrCounter and it has changed
16 TPM_RC
17 TPM2_PolicyPCR(PolicyPCR_In* in // IN: input parameter list
18 )
19 {
20     SESSION*      session;
21     TPM2B_DIGEST  pcrDigest;
22     BYTE          pcrcs[sizeof(TPML_PCR_SELECTION)];
23     UINT32        pcrSize;
24     BYTE*         buffer;
25     TPM_CC        commandCode = TPM_CC_PolicyPCR;
26     HASH_STATE    hashState;
27
28     // Input Validation
29
30     // Get pointer to the session structure
31     session = SessionGet(in->policySession);
32
33     // Compute current PCR digest
34     PCRComputeCurrentDigest(session->authHashAlg, &in->pcrcs, &pcrDigest);
35
36     // Do validation for non trial session
37     if(session->attributes.isTrialPolicy == CLEAR)
38     {
39         // Make sure that this is not going to invalidate a previous PCR check
40         if(session->pcrCounter != 0 && session->pcrCounter != gr.pcrCounter)
41             return TPM_RC_PCR_CHANGED;
42
43         // If the caller specified the PCR digest and it does not
44         // match the current PCR settings, return an error..
45         if(in->pcrDigest.t.size != 0)
46         {
47             if(!MemoryEqual2B(&in->pcrDigest.b, &pcrDigest.b))
48                 return TPM_RCS_VALUE + RC_PolicyPCR_pcrDigest;
49         }
50     }
51     else
52     {
53         // For trial session, just use the input PCR digest if one provided
54         // Note: It can't be too big because it is a TPM2B_DIGEST and the size
55         // would have been checked during unmarshaling
56         if(in->pcrDigest.t.size != 0)
57             pcrDigest = in->pcrDigest;
58     }
59     // Internal Data Update

```



```
60 // Update policy hash
61 // policyDigestnew = hash( policyDigestold || TPM_CC_PolicyPCR
62 //                          || PCRS || pcrDigest)
63 // Start hash
64 CryptHashStart(&hashState, session->authHashAlg);
65
66 // add old digest
67 CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
68
69 // add commandCode
70 CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
71
72 // add PCRS
73 buffer = pcrs;
74 pcrSize = TPML_PCR_SELECTION_Marshal(&in->pcrs, &buffer, NULL);
75 CryptDigestUpdate(&hashState, pcrSize, pcrs);
76
77 // add PCR digest
78 CryptDigestUpdate2B(&hashState, &pcrDigest.b);
79
80 // complete the hash and get the results
81 CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
82
83 // update pcrCounter in session context for non trial session
84 if(session->attributes.isTrialPolicy == CLEAR)
85 {
86     session->pcrCounter = gr.pcrCounter;
87 }
88
89 return TPM_RC_SUCCESS;
90 }
91
92 #endif // CC_PolicyPCR
93
```

## 23.8 TPM2\_PolicyLocality

### 23.8.1 General Description

This command indicates that the authorization will be limited to a specific locality.

*policySession*→*commandLocality* is a parameter kept in the session context. When the policy session is started, this parameter is initialized to a value that allows the policy to apply to any locality.

If *locality* has a value greater than 31, then an extended locality is indicated. For an extended locality, the TPM will validate that *policySession*→*commandLocality* has not previously been set or that the current value of *policySession*→*commandLocality* is the same as *locality* (TPM\_RC\_RANGE).

When *locality* is not an extended locality, the TPM will validate that the *policySession*→*commandLocality* is not set to an extended locality value (TPM\_RC\_RANGE). If not the TPM will disable any locality not SET in the *locality* parameter. If the result of disabling localities results in no locality being enabled, the TPM will return TPM\_RC\_RANGE.

If no error occurred in the validation of *locality*, *policySession*→*policyDigest* is extended with

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyLocality || locality) \quad (21)$$

Then *policySession*→*commandLocality* is updated to indicate which localities are still allowed after execution of TPM2\_PolicyLocality().

When the policy session is used to authorize a command, the authorization will fail if the locality used for the command is not one of the enabled localities in *policySession*→*commandLocality*.

**23.8.2 Command and Response****Table 138 — TPM2\_PolicyLocality Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyLocality
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPMA_LOCALITY	locality	the allowed localities for the policy

**Table 139 — TPM2\_PolicyLocality Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 23.8.3 Detailed Actions

#### 23.8.3.1 /tpm/src/command/EA/PolicyLocality.c

```

1  #include "Tpm.h"
2  #include "PolicyLocality_fp.h"
3  #include "Marshal.h"
4
5  #if CC_PolicyLocality // Conditional expansion of this file
6
7  // Return Type: TPM_RC
8  //     TPM_RC_RANGE    all the locality values selected by
9  //                     'locality' have been disabled
10 //                     by previous TPM2_PolicyLocality() calls.
11 TPM_RC
12 TPM2_PolicyLocality(PolicyLocality_In* in // IN: input parameter list
13 )
14 {
15     SESSION* session;
16     BYTE marshalBuffer[sizeof(TPMA_LOCALITY)];
17     BYTE prevSetting[sizeof(TPMA_LOCALITY)];
18     UINT32 marshalSize;
19     BYTE* buffer;
20     TPM_CC commandCode = TPM_CC_PolicyLocality;
21     HASH_STATE hashState;
22
23     // Input Validation
24
25     // Get pointer to the session structure
26     session = SessionGet(in->policySession);
27
28     // Get new locality setting in canonical form
29     marshalBuffer[0] = 0; // Code analysis says that this is not initialized
30     buffer = marshalBuffer;
31     marshalSize = TPMA_LOCALITY_Marshal(&in->locality, &buffer, NULL);
32
33     // Its an error if the locality parameter is zero
34     if(marshalBuffer[0] == 0)
35         return TPM_RCS_RANGE + RC_PolicyLocality_locality;
36
37     // Get existing locality setting in canonical form
38     prevSetting[0] = 0; // Code analysis says that this is not initialized
39     buffer = prevSetting;
40     TPMA_LOCALITY_Marshal(&session->commandLocality, &buffer, NULL);
41
42     // If the locality has previously been set
43     if(prevSetting[0] != 0
44        // then the current locality setting and the requested have to be the same
45        // type (that is, either both normal or both extended
46        && ((prevSetting[0] < 32) != (marshalBuffer[0] < 32)))
47         return TPM_RCS_RANGE + RC_PolicyLocality_locality;
48
49     // See if the input is a regular or extended locality
50     if(marshalBuffer[0] < 32)
51     {
52         // if there was no previous setting, start with all normal localities
53         // enabled
54         if(prevSetting[0] == 0)
55             prevSetting[0] = 0x1F;
56
57         // AND the new setting with the previous setting and store it in prevSetting
58         prevSetting[0] &= marshalBuffer[0];
59

```

```

60     // The result setting can not be 0
61     if(prevSetting[0] == 0)
62         return TPM_RCS_RANGE + RC_PolicyLocality_locality;
63 }
64 else
65 {
66     // for extended locality
67     // if the locality has already been set, then it must match the
68     if(prevSetting[0] != 0 && prevSetting[0] != marshalBuffer[0])
69         return TPM_RCS_RANGE + RC_PolicyLocality_locality;
70
71     // Setting is OK
72     prevSetting[0] = marshalBuffer[0];
73 }
74
75 // Internal Data Update
76
77 // Update policy hash
78 // policyDigestnew = hash(policyDigestold || TPM_CC_PolicyLocality || locality)
79 // Start hash
80 CryptHashStart(&hashState, session->authHashAlg);
81
82 // add old digest
83 CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
84
85 // add commandCode
86 CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
87
88 // add input locality
89 CryptDigestUpdate(&hashState, marshalSize, marshalBuffer);
90
91 // complete the digest
92 CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
93
94 // update session locality by unmarshal function. The function must succeed
95 // because both input and existing locality setting have been validated.
96 buffer = prevSetting;
97 TPMA_LOCALITY_Unmarshal(&session->commandLocality, &buffer, (INT32*)&marshalSize);
98
99 return TPM_RC_SUCCESS;
100 }
101
102 #endif // CC_PolicyLocality
103

```

## 23.9 TPM2\_PolicyNV

### 23.9.1 General Description

This command is used to cause conditional gating of a policy based on the contents of an NV Index. It is an immediate assertion. The NV index is validated during the TPM2\_PolicyNV() command, not when the session is used for authorization.

The authorization to read the NV Index must succeed even if *policySession* is a trial policy session.

If *policySession* is a trial policy session, the TPM will update *policySession*→*policyDigest* as shown in equations (22) and (23) below and return TPM\_RC\_SUCCESS. It will not perform any further validation. The remainder of this general description would apply only if *policySession* is not a trial policy session.

An authorization session providing authorization to read the NV Index shall be provided.

If TPMA\_NV\_WRITTEN is not SET in the NV Index, the TPM shall return TPM\_RC\_NV\_UNINITIALIZED. If TPMA\_NV\_READLOCKED of the NV Index is SET, then the TPM shall return TPM\_RC\_NV\_LOCKED.

For an NV Index with the TPM\_NT\_COUNTER or TPM\_NT\_BITS attribute SET, the TPM may ignore the *offset* parameter and use an offset of 0. Therefore, it is recommended that the caller set the *offset* parameter to 0 for interoperability.

If *offset* and the *size* field of *data* add to a value that is greater than the *dataSize* field of the NV Index referenced by *nvIndex*, the TPM shall return an error (TPM\_RC\_NV\_RANGE). The implementation may return an error (TPM\_RC\_VALUE) if it performs an additional check and determines that *offset* is greater than the *dataSize* field of the NV Index.

*operandA* begins at *offset* into the NV index contents and has a size equal to the size of *operandB*. The TPM will perform the indicated arithmetic check using *operandA* and *operandB*. If the check fails, the TPM shall return TPM\_RC\_POLICY and not change *policySession*→*policyDigest*. If the check succeeds, the TPM will hash the arguments:

$$args := H_{policyAlg}(operandB.buffer || offset || operation) \quad (22)$$

where

$H_{policyAlg}()$	hash function using the algorithm of the policy session
<i>operandB</i>	the value used for the comparison
<i>offset</i>	offset from the start of the NV Index data to start the comparison
<i>operation</i>	the operation parameter indicating the comparison being performed

The value of *args* and the Name of the NV Index are extended to *policySession*→*policyDigest* by

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyNV || args || nvIndex \rightarrow Name) \quad (23)$$

where

$H_{policyAlg}()$	hash function using the algorithm of the policy session
<i>args</i>	value computed in equation (22)
<i>nvIndex</i> → <i>Name</i>	the Name of the NV Index

The signed arithmetic operations are performed using twos-complement.

NOTE When comparing two negative values, TPMs prior to revision 1.66 might have implemented the signed arithmetic operations using signed-magnitude.

Magnitude comparisons assume that the octet at offset zero in the referenced NV location and in *operandB* contain the most significant octet of the data.

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## 23.9.2 Command and Response

Table 140 — TPM2\_PolicyNV Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyNV
TPMI_RH_NV_AUTH	@authHandle	handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER
TPMI_RH_NV_INDEX	nvIndex	the NV Index of the area to read Auth Index: None
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPM2B_OPERAND	operandB	the second operand
UINT16	offset	the octet offset in the NV Index for the start of operand A
TPM_EO	operation	the comparison to make

Table 141 — TPM2\_PolicyNV Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	



### 23.9.3 Detailed Actions

#### 23.9.3.1 /tpm/src/command/EA/PolicyNV.c

```

1  #include "Tpm.h"
2  #include "PolicyNV_fp.h"
3
4  #if CC_PolicyNV // Conditional expansion of this file
5
6  # include "Policy_spt_fp.h"
7
8  /*(See part 3 specification)
9  // Do comparison to NV location
10 */
11 // Return Type: TPM_RC
12 //     TPM_RC_AUTH_TYPE           NV index authorization type is not correct
13 //     TPM_RC_NV_LOCKED           NV index read locked
14 //     TPM_RC_NV_UNINITIALIZED    the NV index has not been initialized
15 //     TPM_RC_POLICY              the comparison to the NV contents failed
16 //     TPM_RC_SIZE                the size of 'nvIndex' data starting at 'offset'
17 //                               is less than the size of 'operandB'
18 //     TPM_RC_VALUE               'offset' is too large
19 TPM_RC
20 TPM2_PolicyNV(PolicyNV_In* in // IN: input parameter list
21 )
22 {
23     TPM_RC      result;
24     SESSION*    session;
25     NV_REF      locator;
26     NV_INDEX*   nvIndex;
27     BYTE        nvBuffer[sizeof(in->operandB.t.buffer)];
28     TPM2B_NAME  nvName;
29     TPM_CC      commandCode = TPM_CC_PolicyNV;
30     HASH_STATE  hashState;
31     TPM2B_DIGEST argHash;
32
33     // Input Validation
34
35     // Get pointer to the session structure
36     session = SessionGet(in->policySession);
37
38     //If this is a trial policy, skip all validations and the operation
39     if(session->attributes.isTrialPolicy == CLEAR)
40     {
41         // No need to access the actual NV index information for a trial policy.
42         nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
43
44         // Common read access checks. NvReadAccessChecks() may return
45         // TPM_RC_NV_AUTHORIZATION, TPM_RC_NV_LOCKED, or TPM_RC_NV_UNINITIALIZED
46         result = NvReadAccessChecks(
47             in->authHandle, in->nvIndex, nvIndex->publicArea.attributes);
48         if(result != TPM_RC_SUCCESS)
49             return result;
50
51         // Make sure that offset is within range
52         if(in->offset > nvIndex->publicArea.dataSize)
53             return TPM_RCS_VALUE + RC_PolicyNV_offset;
54
55         // Valid NV data size should not be smaller than input operandB size
56         if((nvIndex->publicArea.dataSize - in->offset) < in->operandB.t.size)
57             return TPM_RCS_SIZE + RC_PolicyNV_operandB;
58
59         // Get NV data. The size of NV data equals the input operand B size

```

```

60     NvGetIndexData(nvIndex, locator, in->offset, in->operandB.t.size, nvBuffer);
61
62     // Check to see if the condition is valid
63     if(!PolicySptCheckCondition(
64         in->operation, nvBuffer, in->operandB.t.buffer, in->operandB.t.size))
65         return TPM_RC_POLICY;
66     }
67     // Internal Data Update
68
69     // Start argument hash
70     argHash.t.size = CryptHashStart(&hashState, session->authHashAlg);
71
72     // add operandB
73     CryptDigestUpdate2B(&hashState, &in->operandB.b);
74
75     // add offset
76     CryptDigestUpdateInt(&hashState, sizeof(UINT16), in->offset);
77
78     // add operation
79     CryptDigestUpdateInt(&hashState, sizeof(TPM_EO), in->operation);
80
81     // complete argument digest
82     CryptHashEnd2B(&hashState, &argHash.b);
83
84     // Update policyDigest
85     // Start digest
86     CryptHashStart(&hashState, session->authHashAlg);
87
88     // add old digest
89     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
90
91     // add commandCode
92     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
93
94     // add argument digest
95     CryptDigestUpdate2B(&hashState, &argHash.b);
96
97     // Adding nvName
98     CryptDigestUpdate2B(&hashState, &EntityGetName(in->nvIndex, &nvName)->b);
99
100    // complete the digest
101    CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
102
103    return TPM_RC_SUCCESS;
104 }
105
106 #endif // CC_PolicyNV
107

```

## 23.10 TPM2\_PolicyCounterTimer

### 23.10.1 General Description

This command is used to cause conditional gating of a policy based on the contents of the TPMS\_TIME\_INFO structure.

If *policySession* is a trial policy session, the TPM will update *policySession*→*policyDigest* as shown in equations (24) and (25) below and return TPM\_RC\_SUCCESS. It will not perform any validation. The remainder of this general description would apply only if *policySession* is not a trial policy session.

The TPM will perform the indicated arithmetic check on the indicated portion of the TPMS\_TIME\_INFO structure. If the check fails, the TPM shall return TPM\_RC\_POLICY and not change *policySession*→*policyDigest*. If the check succeeds, the TPM will hash the arguments:

$$args := H_{policyAlg}(operandB.buffer || offset || operation) \quad (24)$$

where

$H_{policyAlg}()$	hash function using the algorithm of the policy session
<i>operandB.buffer</i>	the value used for the comparison
<i>offset</i>	offset from the start of the TPMS_TIME_INFO structure at which the comparison starts
<i>operation</i>	the operation parameter indicating the comparison being performed

NOTE There is no security related reason for the double hash.

The value of *args* is extended to *policySession*→*policyDigest* by

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyCounterTimer || args) \quad (25)$$

where

$H_{policyAlg}()$	hash function using the algorithm of the policy session
<i>args</i>	value computed in equation (24)

The signed arithmetic operations are performed using twos-complement. The indicated portion of the TPMS\_TIME\_INFO structure begins at *offset* and has a length of *operandB.size*. If the number of octets to be compared overflows the TPMS\_TIME\_INFO structure, the TPM returns TPM\_RC\_RANGE. If *offset* is greater than the size of the marshaled TPMS\_TIME\_INFO structure, the TPM returns TPM\_RC\_VALUE. The structure is marshaled into its canonical form with no padding. The TPM does not check for alignment of the offset with a TPMS\_TIME\_INFO structure member.

NOTE 1 When comparing two negative values, TPMs prior to revision 1.66 might have implemented the signed arithmetic operations using signed-magnitude.

Magnitude comparisons assume that the octet at offset zero in the referenced location and in *operandB* contain the most significant octet of the data.

If *operation* is TPM\_EO\_UNSIGNED\_LT and the comparison is specifically against *Time* in the TPMS\_TIME\_INFO structure (*offset* = 0), then the comparison value will indicate a time in seconds since the *nonceTPM* for the policy session was generated after which the policy session expires and cannot be used for authorization.

NOTE 2 This special case for TPM\_EO\_UNSIGNED\_LT was added in revision 1.65.

When used to set an expiration time, the value in *operandB* is used like the *expiration* parameter of *TPM2\_PolicySigned()* or *TPM2\_PolicySecret()*. The differences are that the *operandB* parameter is a 64-bit, unsigned value instead of a 32-bit signed value.

EXAMPLE This enables time limited key usage. A policy can be designed to permit a key to be authorized for e.g., one hour.

NOTE 4 A TPM implementation is allowed to reject (TPM\_RC\_VALUE) an expiration value with a decimal value larger than 2,147,483,647 (corresponds to 68 years).

For the comparison, *operandB* is converted to a 64-bit integer (*limit*) and *policySession→startTime* is added. If the resulting value of *limit* is less than TPM Time, then the TPM returns an error (TPM\_RC\_EXPIRED). Otherwise, the policy session context is updated:

$$policySession \rightarrow policyExpiration := \min(policySession \rightarrow policyExpiration, limit) \quad (26)$$

EXAMPLE If *OperandB* has a *buffer* size of 8 bytes with a value of 00<sub>16</sub>, 00<sub>16</sub>, 00<sub>16</sub>, 00<sub>16</sub>, 00<sub>16</sub>, 00<sub>16</sub>, 00<sub>16</sub>, 05<sub>16</sub>, then the authorization is valid for 5 seconds from the time the policy session's *nonceTpm* was generated.

## 23.10.2 Command and Response

Table 142 — TPM2\_PolicyCounterTimer Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyCounterTimer
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPM2B_OPERAND	operandB	the second operand
UINT16	offset	the octet offset in the TPMS_TIME_INFO structure for the start of operand A
TPM_EO	operation	the comparison to make

Table 143 — TPM2\_PolicyCounterTimer Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 23.10.3 Detailed Actions

#### 23.10.3.1 /tpm/src/command/EA/PolicyCounterTimer.c

```

1  #include "Tpm.h"
2  #include "PolicyCounterTimer_fp.h"
3
4  #if CC_PolicyCounterTimer // Conditional expansion of this file
5
6  # include "Policy_spt_fp.h"
7
8  /*(See part 3 specification)
9  // Add a conditional gating of a policy based on the contents of the
10 // TPMS_TIME_INFO structure.
11 */
12 // Return Type: TPM_RC
13 //     TPM_RC_POLICY           the comparison of the selected portion of the
14 //                             TPMS_TIME_INFO with 'operandB' failed
15 //     TPM_RC_RANGE           'offset' + 'size' exceed size of TPMS_TIME_INFO
16 //                             structure
17 TPM_RC
18 TPM2_PolicyCounterTimer(PolicyCounterTimer_In* in // IN: input parameter list
19 )
20 {
21     SESSION*      session;
22     TIME_INFO      infoData; // data buffer of TPMS_TIME_INFO
23     BYTE*          pInfoData = (BYTE*)&infoData;
24     UINT16          infoDataSize;
25     TPM_CC          commandCode = TPM_CC_PolicyCounterTimer;
26     HASH_STATE      hashState;
27     TPM2B_DIGEST    argHash;
28
29     // Input Validation
30     // Get a marshaled time structure
31     infoDataSize = TimeGetMarshaled(&infoData);
32     // Make sure that the referenced stays within the bounds of the structure.
33     // NOTE: the offset checks are made even for a trial policy because the policy
34     // will not make any sense if the references are out of bounds of the timer
35     // structure.
36     if(in->offset > infoDataSize)
37         return TPM_RCS_VALUE + RC_PolicyCounterTimer_offset;
38     if((UINT32)in->offset + (UINT32)in->operandB.t.size > infoDataSize)
39         return TPM_RCS_RANGE;
40     // Get pointer to the session structure
41     session = SessionGet(in->policySession);
42
43     //If this is a trial policy, skip the check to see if the condition is met.
44     if(session->attributes.isTrialPolicy == CLEAR)
45     {
46         // If the command is going to use any part of the counter or timer, need
47         // to verify that time is advancing.
48         // The time and clock vales are the first two 64-bit values in the clock
49         if(in->offset < sizeof(UINT64) + sizeof(UINT64))
50         {
51             // Using Clock or Time so see if clock is running. Clock doesn't
52             // run while NV is unavailable.
53             // TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned here.
54             RETURN_IF_NV_IS_NOT_AVAILABLE;
55         }
56         // offset to the starting position
57         pInfoData = (BYTE*)infoData;
58         // Check to see if the condition is valid
59         if(!PolicySptCheckCondition(in->operation,

```

```

60         pInfoData + in->offset,
61         in->operandB.t.buffer,
62         in->operandB.t.size))
63     return TPM_RC_POLICY;
64 }
65 // Internal Data Update
66 // Start argument list hash
67 argHash.t.size = CryptHashStart(&hashState, session->authHashAlg);
68 // add operandB
69 CryptDigestUpdate2B(&hashState, &in->operandB.b);
70 // add offset
71 CryptDigestUpdateInt(&hashState, sizeof(UINT16), in->offset);
72 // add operation
73 CryptDigestUpdateInt(&hashState, sizeof(TPM_EO), in->operation);
74 // complete argument hash
75 CryptHashEnd2B(&hashState, &argHash.b);
76
77 // update policyDigest
78 // start hash
79 CryptHashStart(&hashState, session->authHashAlg);
80
81 // add old digest
82 CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
83
84 // add commandCode
85 CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
86
87 // add argument digest
88 CryptDigestUpdate2B(&hashState, &argHash.b);
89
90 // complete the digest
91 CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
92
93 return TPM_RC_SUCCESS;
94 }
95
96 #endif // CC_PolicyCounterTimer
97

```

## 23.11 TPM2\_PolicyCommandCode

### 23.11.1 General Description

This command indicates that the authorization will be limited to a specific command code.

If *policySession*→*commandCode* has its default value, then it will be set to *code*. If *policySession*→*commandCode* does not have its default value, then the TPM will return TPM\_RC\_VALUE if the two values are not the same.

If *code* is not implemented, the TPM will return TPM\_RC\_POLICY\_CC.

If the TPM does not return an error, it will update *policySession*→*policyDigest* by

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyCommandCode || code) \quad (27)$$

NOTE 1 If a previous TPM2\_PolicyCommandCode() had been executed, then it is probable that the policy expression is improperly formed but the TPM does not return an error if *code* is the same.

NOTE 2 A TPM2\_PolicyOR() would be used to allow an authorization to be used for multiple commands.

When the policy session is used to authorize a command, the TPM will fail the command if the *commandCode* of that command does not match *policySession*→*commandCode*.

This command, or TPM2\_PolicyDuplicationSelect(), is required to enable the policy to be used for ADMIN role authorization.

EXAMPLE Before TPM2\_Certify() can be executed, TPM2\_PolicyCommandCode() with *code* set to TPM\_CC\_Certify is required.



## 23.11.2 Command and Response

Table 144 — TPM2\_PolicyCommandCode Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyCommandCode
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPM_CC	code	the allowed <i>commandCode</i>

Table 145 — TPM2\_PolicyCommandCode Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 23.11.3 Detailed Actions

#### 23.11.3.1 /tpm/src/command/EA/PolicyCommandCode.c

```

1  #include "Tpm.h"
2  #include "PolicyCommandCode_fp.h"
3
4  #if CC_PolicyCommandCode // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Add a Command Code restriction to the policyDigest
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_VALUE      'commandCode' of 'policySession' previously set to
11 //                        a different value
12
13 TPM_RC
14 TPM2_PolicyCommandCode(PolicyCommandCode_In* in // IN: input parameter list
15 )
16 {
17     SESSION* session;
18     TPM_CC commandCode = TPM_CC_PolicyCommandCode;
19     HASH_STATE hashState;
20
21     // Input validation
22
23     // Get pointer to the session structure
24     session = SessionGet(in->policySession);
25
26     if(session->commandCode != 0 && session->commandCode != in->code)
27         return TPM_RCS_VALUE + RC_PolicyCommandCode_code;
28     if(CommandCodeToCommandIndex(in->code) == UNIMPLEMENTED_COMMAND_INDEX)
29         return TPM_RCS_POLICY_CC + RC_PolicyCommandCode_code;
30
31     // Internal Data Update
32     // Update policy hash
33     // policyDigestnew = hash(policyDigestold || TPM_CC_PolicyCommandCode || code)
34     // Start hash
35     CryptHashStart(&hashState, session->authHashAlg);
36
37     // add old digest
38     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
39
40     // add commandCode
41     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
42
43     // add input commandCode
44     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), in->code);
45
46     // complete the hash and get the results
47     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
48
49     // update commandCode value in session context
50     session->commandCode = in->code;
51
52     return TPM_RC_SUCCESS;
53 }
54
55 #endif // CC_PolicyCommandCode
56

```

## 23.12 TPM2\_PolicyPhysicalPresence

### 23.12.1 General Description

This command indicates that physical presence will need to be asserted at the time the authorization is performed.

If this command is successful, *policySession*→*isPPRequired* will be SET to indicate that this check is required when the policy is used for authorization. Additionally, *policySession*→*policyDigest* is extended with

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyPhysicalPresence) \quad (28)$$

**23.12.2 Command and Response****Table 146 — TPM2\_PolicyPhysicalPresence Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyPhysicalPresence
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None

**Table 147 — TPM2\_PolicyPhysicalPresence Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 23.12.3 Detailed Actions

#### 23.12.3.1 /tpm/src/command/EA/PolicyPhysicalPresence.c

```

1  #include "Tpm.h"
2  #include "PolicyPhysicalPresence_fp.h"
3
4  #if CC_PolicyPhysicalPresence // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // indicate that physical presence will need to be asserted at the time the
8  // authorization is performed
9  */
10 TPM_RC
11 TPM2_PolicyPhysicalPresence(PolicyPhysicalPresence_In* in // IN: input parameter list
12 )
13 {
14     SESSION* session;
15     TPM_CC    commandCode = TPM_CC_PolicyPhysicalPresence;
16     HASH_STATE hashState;
17
18     // Internal Data Update
19
20     // Get pointer to the session structure
21     session = SessionGet(in->policySession);
22
23     // Update policy hash
24     // policyDigestnew = hash(policyDigestold || TPM_CC_PolicyPhysicalPresence)
25     // Start hash
26     CryptHashStart(&hashState, session->authHashAlg);
27
28     // add old digest
29     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
30
31     // add commandCode
32     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
33
34     // complete the digest
35     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
36
37     // update session attribute
38     session->attributes.isPPRequired = SET;
39
40     return TPM_RC_SUCCESS;
41 }
42
43 #endif // CC_PolicyPhysicalPresence
44

```

## 23.13 TPM2\_PolicyCpHash

### 23.13.1 General Description

This command is used to allow a policy to be bound to a specific command with specific parameters, executing against specific objects. To bind a policy to a specific command code only, TPM2\_PolicyCommandCode() can be used. To bind a policy to a specific command and parameters, but not specific objects, TPM2\_PolicyParameters() can be used. To bind a policy to specific objects, but not a specific command or parameters, TPM2\_PolicyNameHash() can be used.

Only one of the following:

- A bound session (created with TPM2\_StartAuthSession())
- TPM2\_PolicyCpHash()
- TPM2\_PolicyNameHash()
- TPM2\_PolicyParameters()
- TPM2\_PolicyTemplate()

can be used for a policy session. Because they are mutually exclusive, they can share *policySession→cpHash*.

If *policySession→cpHash* is already set and not the same as *cpHashA*, then the TPM shall return TPM\_RC\_CPHASH. If *cpHashA* does not have the size of the *policySession→policyDigest*, the TPM shall return TPM\_RC\_SIZE.

NOTE 1 If a previous TPM2\_PolicyCpHash() had been executed, then it is probable that the policy expression is improperly formed but the TPM does not return an error if *cpHash* is the same.

If the *cpHashA* checks succeed, *policySession→cpHash* is set to *cpHashA* and *policySession→policyDigest* is updated with

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyCpHash || cpHashA) \quad (29)$$

NOTE 2 If TPM2\_PolicyCpHash() is run with command parameter encryption, the TPM stores the decrypted *cpHashA* in *policySession→cpHash*. When this policy session is used later for authorization, the stored decrypted *cpHashA* is unlikely to match the command's *cpHash* if the command uses command parameter encryption since the command's *cpHash* will be calculated on the encrypted data.

## 23.13.2 Command and Response

Table 148 — TPM2\_PolicyCpHash Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyCpHash
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPM2B_DIGEST	cpHashA	the <i>cpHash</i> added to the policy

Table 149 — TPM2\_PolicyCpHash Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 23.13.3 Detailed Actions

#### 23.13.3.1 /tpm/src/command/EA/PolicyCpHash.c

```

1  #include "Tpm.h"
2  #include "PolicyCpHash_fp.h"
3
4  #if CC_PolicyCpHash // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Add a cpHash restriction to the policyDigest
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_CPHASH           cpHash of 'policySession' has previously been set
11 //                               to a different value
12 //     TPM_RC_SIZE             'cpHashA' is not the size of a digest produced
13 //                               by the hash algorithm associated with
14 //                               'policySession'
15 TPM_RC
16 TPM2_PolicyCpHash(PolicyCpHash_In* in // IN: input parameter list
17 )
18 {
19     SESSION* session;
20     TPM_CC commandCode = TPM_CC_PolicyCpHash;
21     HASH_STATE hashState;
22
23     // Input Validation
24
25     // Get pointer to the session structure
26     session = SessionGet(in->policySession);
27
28     // A valid cpHash must have the same size as session hash digest
29     // NOTE: the size of the digest can't be zero because TPM_ALG_NULL
30     // can't be used for the authHashAlg.
31     if(in->cpHashA.t.size != CryptHashGetDigestSize(session->authHashAlg))
32         return TPM_RCS_SIZE + RC_PolicyCpHash_cpHashA;
33
34     // error if the cpHash in session context is not empty and is not the same
35     // as the input or is not a cpHash
36     if((IsCpHashUnionOccupied(session->attributes))
37         && (!session->attributes.isCpHashDefined
38             || !MemoryEqual2B(&in->cpHashA.b, &session->u1.cpHash.b)))
39         return TPM_RC_CPHASH;
40
41     // Internal Data Update
42
43     // Update policy hash
44     // policyDigestnew = hash(policyDigestold || TPM_CC_PolicyCpHash || cpHashA)
45     // Start hash
46     CryptHashStart(&hashState, session->authHashAlg);
47
48     // add old digest
49     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
50
51     // add commandCode
52     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
53
54     // add cpHashA
55     CryptDigestUpdate2B(&hashState, &in->cpHashA.b);
56
57     // complete the digest and get the results
58     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
59

```



```
60     // update cpHash in session context
61     session->ul.cpHash      = in->cpHashA;
62     session->attributes.isCpHashDefined = SET;
63
64     return TPM_RC_SUCCESS;
65 }
66
67 #endif // CC_PolicyCpHash
68
```

## 23.14 TPM2\_PolicyNameHash

### 23.14.1 General Description

This command allows a policy to be bound to a specific set of TPM entities without being bound to the parameters of the command. This is most useful for commands such as TPM2\_Duplicate() and for TPM2\_PCR\_Event() when the referenced PCR requires a policy.

The *nameHash* parameter contains the digest of the Names associated with the handles to be used in the authorized command.

EXAMPLE For the TPM2\_Duplicate() command, two handles are provided. One is the handle of the object being duplicated and the other is the handle of the new parent. For that command, *nameHash* would contain:

$$nameHash := H_{policyAlg}(objectHandle \rightarrow Name || newParentHandle \rightarrow Name)$$

Only one of the following:

- A bound session (created with TPM2\_StartAuthSession())
- TPM2\_PolicyCpHash()
- TPM2\_PolicyNameHash()
- TPM2\_PolicyParameters()
- TPM2\_PolicyTemplate()

can be used for a policy session. Because they are mutually exclusive, they can share use *policySession*→*cpHash*.

If *policySession*→*cpHash* is already set, the TPM shall return TPM\_RC\_CPHASH. If the size of *nameHash* is not the size of *policySession*→*policyDigest*, the TPM shall return TPM\_RC\_SIZE. Otherwise, *policySession*→*cpHash* is set to *nameHash*.

If this command completes successfully, when the policy session is used for authorization, the *policySession*→*cpHash* will be compared to the digest of the Names associated with the handles in the command.

The *policySession*→*policyDigest* will be updated with

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyNameHash || nameHash) \quad (30)$$

NOTE 2 This command can only be used with TPM2\_PolicyAuthorize() or TPM2\_PolicyAuthorizeNV. The owner of the object being duplicated provides approval for their object to be migrated to a specific new parent.

Without this approval, the Name of the Object would need to be known at the time that Object's policy is created. However, since the Name of the Object includes its policy, the Name is not known. The Name can be known by the authorizing entity.

## 23.14.2 Command and Response

Table 150 — TPM2\_PolicyNameHash Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyNameHash
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPM2B_DIGEST	nameHash	the digest to be added to the policy

Table 151 — TPM2\_PolicyNameHash Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 23.14.3 Detailed Actions

#### 23.14.3.1 /tpm/src/command/EA/PolicyNameHash.c

```

1  #include "Tpm.h"
2  #include "PolicyNameHash_fp.h"
3
4  #if CC_PolicyNameHash // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Add a nameHash restriction to the policyDigest
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_CPHASH    'nameHash' has been previously set to a different value
11 //     TPM_RC_SIZE      'nameHash' is not the size of the digest produced by the
12 //                      hash algorithm associated with 'policySession'
13 TPM_RC
14 TPM2_PolicyNameHash(PolicyNameHash_In* in // IN: input parameter list
15 )
16 {
17     SESSION*    session;
18     TPM_CC      commandCode = TPM_CC_PolicyNameHash;
19     HASH_STATE  hashState;
20
21     // Input Validation
22
23     // Get pointer to the session structure
24     session = SessionGet(in->policySession);
25
26     // A valid nameHash must have the same size as session hash digest
27     // Since the authHashAlg for a session cannot be TPM_ALG_NULL, the digest size
28     // is always non-zero.
29     if(in->nameHash.t.size != CryptHashGetDigestSize(session->authHashAlg))
30         return TPM_RC_SIZE + RC_PolicyNameHash_nameHash;
31
32     // error if the nameHash in session context is not empty
33     if(IsCpHashUnionOccupied(session->attributes))
34         return TPM_RC_CPHASH;
35
36     // Internal Data Update
37
38     // Update policy hash
39     // policyDigestnew = hash(policyDigestold || TPM_CC_PolicyNameHash || nameHash)
40     // Start hash
41     CryptHashStart(&hashState, session->authHashAlg);
42
43     // add old digest
44     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
45
46     // add commandCode
47     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
48
49     // add nameHash
50     CryptDigestUpdate2B(&hashState, &in->nameHash.b);
51
52     // complete the digest
53     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
54
55     // update nameHash in session context
56     session->u1.nameHash = in->nameHash;
57     session->attributes.isNameHashDefined = SET;
58
59     return TPM_RC_SUCCESS;

```

```
60  }  
61  
62  #endif // CC_PolicyNameHash  
63
```

## 23.15 TPM2\_PolicyDuplicationSelect

### 23.15.1 General Description

This command allows qualification of duplication to allow duplication to a selected new parent.

If this command not used in conjunction with a TPM2\_PolicyAuthorize() Command, then only the new parent is selected and *includeObject* should be CLEAR.

**EXAMPLE** When an object is created when the list of allowed duplication targets is known, the policy would be created with *includeObject* CLEAR.

**NOTE 1** Only the new parent may be selected because, without TPM2\_PolicyAuthorize(), the Name of the Object to be duplicated would need to be known at the time that Object's policy is created. However, since the Name of the Object includes its policy, the Name is not known. The Name can be known by the authorizing entity (a PolicyAuthorize Command) in which case *includeObject* may be SET.

If used in conjunction with TPM2\_PolicyAuthorize(), then the authorizer of the new policy has the option of selecting just the new parent or of selecting both the new parent and the duplication Object.

**NOTE 2** If the authorizing entity for an TPM2\_PolicyAuthorize() only specifies the new parent, then that authorization may be applied to the duplication of any number of other Objects. If the authorizing entity specifies both a new parent and the duplicated Object, then the authorization only applies to that pairing of Object and new parent.

If either *policySession*→*cpHash* or *policySession*→*nameHash* has been previously set, the TPM shall return TPM\_RC\_CPHASH. Otherwise, *policySession*→*nameHash* will be set to:

$$nameHash := H_{policyAlg}(objectName.name || newParentName.name) \quad (31)$$

**NOTE 3** It is allowed that *policySession*→*nameHash* and *policySession*→*cpHash* share the same memory space.

**NOTE 4** The Name in these equations uses Name.name, indicating that the UINT16 size is not included in the hash.

The *policySession*→*policyDigest* will be updated according to the setting of *includeObject*. If equal to YES, *policySession*→*policyDigest* is updated by:

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyDuplicationSelect || objectName.name || newParentName.name || includeObject) \quad (32)$$

If *includeObject* is NO, *policySession*→*policyDigest* is updated by:

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyDuplicationSelect || newParentName.name || includeObject) \quad (33)$$

**NOTE 5** *policySession*→*nameHash* receives the digest of both Names so that the check performed in TPM2\_Duplicate() may be the same regardless of which Names are included in *policySession*→*policyDigest*. This means that, when TPM2\_PolicyDuplicationSelect() is executed, it is only valid for a specific pair of duplication object and new parent.

If the command succeeds, *policySession*→*commandCode* is set to TPM\_CC\_Duplicate.

**NOTE 6** The normal use of this command is before a TPM2\_PolicyAuthorize(). An authorized entity would approve a *policyDigest* that allowed duplication to a specific new parent. The authorizing entity may want to limit the authorization so that the approval allows only a specific object to be duplicated to the new parent. In that case, the authorizing entity would approve the *policyDigest* of equation (32).

## 23.15.2 Command and Response

Table 152 — TPM2\_PolicyDuplicationSelect Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyDuplicationSelect
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPM2B_NAME	objectName	the Name of the object to be duplicated
TPM2B_NAME	newParentName	the Name of the new parent
TPMI_YES_NO	includeObject	if YES, the <i>objectName</i> will be included in the value in <i>policySession</i> → <i>policyDigest</i>

Table 153 — TPM2\_PolicyDuplicationSelect Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 23.15.3 Detailed Actions

#### 23.15.3.1 /tpm/src/command/EA/PolicyDuplicationSelect.c

```

82  #include "Tpm.h"
83  #include "PolicyDuplicationSelect_fp.h"
84
85  #if CC_PolicyDuplicationSelect // Conditional expansion of this file
86
87  /*(See part 3 specification)
88  // allows qualification of duplication so that it a specific new parent may be
89  // selected or a new parent selected for a specific object.
90  */
91  // Return Type: TPM_RC
92  //     TPM_RC_COMMAND_CODE 'commandCode' of 'policySession' is not empty
93  //     TPM_RC_CPHASH       'nameHash' of 'policySession' is not empty
94  TPM_RC
95  TPM2_PolicyDuplicationSelect(
96      PolicyDuplicationSelect_In* in // IN: input parameter list
97  )
98  {
99      SESSION* session;
100      HASH_STATE hashState;
101      TPM_CC commandCode = TPM_CC_PolicyDuplicationSelect;
102
103      // Input Validation
104
105      // Get pointer to the session structure
106      session = SessionGet(in->policySession);
107
108      // nameHash in session context must be empty
109      if(session->u1.nameHash.t.size != 0)
110          return TPM_RC_CPHASH;
111
112      // commandCode in session context must be empty
113      if(session->commandCode != 0)
114          return TPM_RC_COMMAND_CODE;
115
116      // Internal Data Update
117
118      // Update name hash
119      session->u1.nameHash.t.size = CryptHashStart(&hashState, session->authHashAlg);
120
121      // add objectName
122      CryptDigestUpdate2B(&hashState, &in->objectName.b);
123
124      // add new parent name
125      CryptDigestUpdate2B(&hashState, &in->newParentName.b);
126
127      // complete hash
128      CryptHashEnd2B(&hashState, &session->u1.nameHash.b);
129      session->attributes.isNameHashDefined = SET;
130
131      // update policy hash
132      // Old policyDigest size should be the same as the new policyDigest size since
133      // they are using the same hash algorithm
134      session->u2.policyDigest.t.size =
135          CryptHashStart(&hashState, session->authHashAlg);
136      // add old policy
137      CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
138
139      // add command code
140      CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);

```



```
141
142 // add objectName
143 if(in->includeObject == YES)
144     CryptDigestUpdate2B(&hashState, &in->objectName.b);
145
146 // add new parent name
147 CryptDigestUpdate2B(&hashState, &in->newParentName.b);
148
149 // add includeObject
150 CryptDigestUpdateInt(&hashState, sizeof(TPMI_YES_NO), in->includeObject);
151
152 // complete digest
153 CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
154
155 // set commandCode in session context
156 session->commandCode = TPM_CC_Duplicate;
157
158 return TPM_RC_SUCCESS;
159 }
160
161 #endif // CC_PolicyDuplicationSelect
162
```

## 23.16 TPM2\_PolicyAuthorize

### 23.16.1 General Description

This command allows policies to change. If a policy were static, then it would be difficult to add users to a policy. This command lets a policy authority sign a new policy so that it may be used in an existing policy.

The authorizing entity signs a structure that contains

$$aHash := H_{aHashAlg}(approvedPolicy || policyRef) \quad (34)$$

The *aHashAlg* is required to be the *nameAlg* of the key used to sign the *aHash*. The *aHash* value is then signed (symmetric or asymmetric) by *keySign*. That signature is then checked by the TPM in 20.1 TPM2\_VerifySignature() which produces a ticket by

$$HMAC(proof, (TPM\_ST\_VERIFIED || aHash || keySign \rightarrow name)) \quad (35)$$

NOTE 1 The reason for the validation is because of the expectation that the policy will be used multiple times and it is more efficient to check a ticket than to load an object each time to check a signature.

The ticket is then used in TPM2\_PolicyAuthorize() to validate the parameters.

The *keySign* parameter is required to be a valid object name using *nameAlg* other than TPM\_ALG\_NULL. If the first two octets of *keySign* are not a valid hash algorithm, the TPM shall return TPM\_RC\_HASH. If the remainder of the Name is not the size of the indicated digest, the TPM shall return TPM\_RC\_SIZE.

The TPM validates that the *approvedPolicy* matches the current value of *policySession*→*policyDigest* and if not, shall return TPM\_RC\_VALUE.

The TPM then validates that the parameters to TPM2\_PolicyAuthorize() match the values used to generate the ticket. If so, the TPM will reset *policySession*→*policyDigest* to a Zero Digest. Then it will update *policySession*→*policyDigest* with **PolicyUpdate()** (see clause 23.2.3).

$$\mathbf{PolicyUpdate}(TPM\_CC\_PolicyAuthorize, keySign, policyRef) \quad (36)$$

If the ticket is not valid, the TPM shall return TPM\_RC\_POLICY.

If *policySession* is a trial session, *policySession*→*policyDigest* is extended as if the ticket is valid without actual verification.

NOTE 2 The unmarshaling process requires that a proper TPMT\_TK\_VERIFIED be provided for *checkTicket* but it may be a NULL Ticket. A NULL ticket is useful in a trial policy, where the caller uses the TPM to perform policy calculations but does not have a valid authorization ticket.

## 23.16.2 Command and Response

Table 154 — TPM2\_PolicyAuthorize Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyAuthorize
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPM2B_DIGEST	approvedPolicy	digest of the policy being approved
TPM2B_NONCE	policyRef	a policy qualifier
TPM2B_NAME	keySign	Name of a key that can sign a policy addition
TPMT_TK_VERIFIED	checkTicket	ticket validating that <i>approvedPolicy</i> and <i>policyRef</i> were signed by <i>keySign</i>

Table 155 — TPM2\_PolicyAuthorize Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 23.16.3 Detailed Actions

#### 23.16.3.1 /tpm/src/command/EA/PolicyAuthorize.c

```

1  #include "Tpm.h"
2  #include "PolicyAuthorize_fp.h"
3
4  #if CC_PolicyAuthorize // Conditional expansion of this file
5
6  # include "Policy_spt_fp.h"
7
8  /*(See part 3 specification)
9  // Change policy by a signature from authority
10 */
11 // Return Type: TPM_RC
12 //     TPM_RC_HASH      hash algorithm in 'keyName' is not supported
13 //     TPM_RC_SIZE      'keyName' is not the correct size for its hash algorithm
14 //     TPM_RC_VALUE     the current policyDigest of 'policySession' does not
15 //                     match 'approvedPolicy'; or 'checkTicket' doesn't match
16 //                     the provided values
17 TPM_RC
18 TPM2_PolicyAuthorize(PolicyAuthorize_In* in // IN: input parameter list
19 )
20 {
21     TPM_RC      result = TPM_RC_SUCCESS;
22     SESSION*    session;
23     TPM2B_DIGEST authHash;
24     HASH_STATE  hashState;
25     TPMT_TK_VERIFIED ticket;
26     TPM_ALG_ID  hashAlg;
27     UINT16      digestSize;
28
29     // Input Validation
30
31     // Get pointer to the session structure
32     session = SessionGet(in->policySession);
33
34     if(in->keySign.t.size < 2)
35     {
36         return TPM_RCS_SIZE + RC_PolicyAuthorize_keySign;
37     }
38
39     // Extract from the Name of the key, the algorithm used to compute its Name
40     hashAlg = BYTE_ARRAY_TO_UINT16(in->keySign.t.name);
41
42     // 'keySign' parameter needs to use a supported hash algorithm, otherwise
43     // can't tell how large the digest should be
44     if(!CryptHashIsValidAlg(hashAlg, FALSE))
45         return TPM_RCS_HASH + RC_PolicyAuthorize_keySign;
46
47     digestSize = CryptHashGetDigestSize(hashAlg);
48     if(digestSize != (in->keySign.t.size - 2))
49         return TPM_RCS_SIZE + RC_PolicyAuthorize_keySign;
50
51     //If this is a trial policy, skip all validations
52     if(session->attributes.isTrialPolicy == CLEAR)
53     {
54         // Check that "approvedPolicy" matches the current value of the
55         // policyDigest in policy session
56         if(!MemoryEqual2B(&session->u2.policyDigest.b, &in->approvedPolicy.b))
57             return TPM_RCS_VALUE + RC_PolicyAuthorize_approvedPolicy;
58
59         // Validate ticket TPMT_TK_VERIFIED

```

```

60     // Compute aHash. The authorizing object sign a digest
61     // aHash := hash(approvedPolicy || policyRef).
62     // Start hash
63     authHash.t.size = CryptHashStart(&hashState, hashAlg);
64
65     // add approvedPolicy
66     CryptDigestUpdate2B(&hashState, &in->approvedPolicy.b);
67
68     // add policyRef
69     CryptDigestUpdate2B(&hashState, &in->policyRef.b);
70
71     // complete hash
72     CryptHashEnd2B(&hashState, &authHash.b);
73
74     // re-compute TPMT_TK_VERIFIED
75     result = TicketComputeVerified(
76         in->checkTicket.hierarchy, &authHash, &in->keySign, &ticket);
77     if(result != TPM_RC_SUCCESS)
78         return result;
79
80     // Compare ticket digest. If not match, return error
81     if(!MemoryEqual2B(&in->checkTicket.digest.b, &ticket.digest.b))
82         return TPM_RCS_VALUE + RC_PolicyAuthorize_checkTicket;
83 }
84
85 // Internal Data Update
86
87 // Set policyDigest to zero digest
88 PolicyDigestClear(session);
89
90 // Update policyDigest
91 PolicyContextUpdate(
92     TPM_CC_PolicyAuthorize, &in->keySign, &in->policyRef, NULL, 0, session);
93
94 return TPM_RC_SUCCESS;
95 }
96
97 #endif // CC_PolicyAuthorize
98

```

## 23.17 TPM2\_PolicyAuthValue

### 23.17.1 General Description

This command allows a policy to be bound to the authorization value of the authorized entity.

When this command completes successfully, *policySession*→*isAuthValueNeeded* is SET to indicate that the *authValue* will be included in *hmacKey* when the authorization HMAC is computed for the command being authorized using this session. Additionally, *policySession*→*isPasswordNeeded* will be CLEAR.

NOTE 1 If a policy does not use this command, then the *hmacKey* for the authorized command would only use *sessionKey*. If *sessionKey* is not present, then the *hmacKey* is an Empty Buffer and no HMAC would be computed.

If successful, *policySession*→*policyDigest* will be updated with

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyAuthValue) \quad (37)$$

NOTE 2 Using a policy that contains TPM2\_PolicyPassword() inside a salted and/or bound policy session is equivalent to using it inside an unsalted, unbound policy session.

Design TPM2\_PolicyAuthValue-based policies for use in salted and/or bound policy sessions such that TPM2\_PolicyAuthValue() is called (using the salted and/or bound session as an audit session) before other policy commands, so that the TPM2\_PolicyAuthValue() call can be verified not to have been substituted with TPM2\_PolicyPassword(), before proceeding to satisfy the rest of the policy (e.g., before having a signer sign a session nonce for TPM2\_PolicySigned()).

## 23.17.2 Command and Response

Table 156 — TPM2\_PolicyAuthValue Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyAuthValue
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None

Table 157 — TPM2\_PolicyAuthValue Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 23.17.3 Detailed Actions

#### 23.17.3.1 /tpm/src/command/EA/PolicyAuthValue.c

```

1  #include "Tpm.h"
2  #include "PolicyAuthValue_fp.h"
3
4  #if CC_PolicyAuthValue // Conditional expansion of this file
5
6  # include "Policy_spt_fp.h"
7
8  /*(See part 3 specification)
9  // allows a policy to be bound to the authorization value of the authorized
10 // object
11 */
12 TPM_RC
13 TPM2_PolicyAuthValue(PolicyAuthValue_In* in // IN: input parameter list
14 )
15 {
16     SESSION* session;
17     TPM_CC commandCode = TPM_CC_PolicyAuthValue;
18     HASH_STATE hashState;
19
20     // Internal Data Update
21
22     // Get pointer to the session structure
23     session = SessionGet(in->policySession);
24
25     // Update policy hash
26     // policyDigestnew = hash(policyDigestold || TPM_CC_PolicyAuthValue)
27     // Start hash
28     CryptHashStart(&hashState, session->authHashAlg);
29
30     // add old digest
31     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
32
33     // add commandCode
34     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
35
36     // complete the hash and get the results
37     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
38
39     // update isAuthValueNeeded bit in the session context
40     session->attributes.isAuthValueNeeded = SET;
41     session->attributes.isPasswordNeeded = CLEAR;
42
43     return TPM_RC_SUCCESS;
44 }
45
46 #endif // CC_PolicyAuthValue
47

```



## 23.18 TPM2\_PolicyPassword

### 23.18.1 General Description

This command allows a policy to be bound to the authorization value of the authorized object.

When this command completes successfully, *policySession*→*isPasswordNeeded* is SET to indicate that *authValue* of the authorized object will be checked when the session is used for authorization. The caller will provide the *authValue* in clear text in the *hmac* parameter of the authorization. The comparison of *hmac* to *authValue* is performed as if the authorization is a password.

NOTE 1            The parameter field in the policy session where the authorization value is provided is called *hmac*. If TPM2\_PolicyPassword() is part of the sequence, then the field will contain a password and not an HMAC.

If successful, *policySession*→*policyDigest* will be updated with

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyAuthValue) \quad (38)$$

NOTE 2            This is the same extend value as used with TPM2\_PolicyAuthValue so that the evaluation may be done using either an HMAC or a password with no change to the *authPolicy* of the object. The reason that two commands are present is to indicate to the TPM if the *hmac* field in the authorization will contain an HMAC or a password value.

When this command is successful, *policySession*→*isAuthValueNeeded* will be CLEAR.

**23.18.2 Command and Response****Table 158 — TPM2\_PolicyPassword Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyPassword
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None

**Table 159 — TPM2\_PolicyPassword Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 23.18.3 Detailed Actions

#### 23.18.3.1 /tpm/src/command/EA/PolicyPassword.c

```

1  #include "Tpm.h"
2  #include "PolicyPassword_fp.h"
3
4  #if CC_PolicyPassword // Conditional expansion of this file
5
6  # include "Policy_spt_fp.h"
7
8  /*(See part 3 specification)
9  // allows a policy to be bound to the authorization value of the authorized
10 // object
11 */
12 TPM_RC
13 TPM2_PolicyPassword(PolicyPassword_In* in // IN: input parameter list
14 )
15 {
16     SESSION* session;
17     TPM_CC commandCode = TPM_CC_PolicyAuthValue;
18     HASH_STATE hashState;
19
20     // Internal Data Update
21
22     // Get pointer to the session structure
23     session = SessionGet(in->policySession);
24
25     // Update policy hash
26     // policyDigestnew = hash(policyDigestold || TPM_CC_PolicyAuthValue)
27     // Start hash
28     CryptHashStart(&hashState, session->authHashAlg);
29
30     // add old digest
31     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
32
33     // add commandCode
34     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
35
36     // complete the digest
37     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
38
39     // Update isPasswordNeeded bit
40     session->attributes.isPasswordNeeded = SET;
41     session->attributes.isAuthValueNeeded = CLEAR;
42
43     return TPM_RC_SUCCESS;
44 }
45
46 #endif // CC_PolicyPassword
47

```

## 23.19 TPM2\_PolicyGetDigest

### 23.19.1 General Description

This command returns the current *policyDigest* of the session. This command allows the TPM to be used to perform the actions required to pre-compute the *authPolicy* for an object.

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## 23.19.2 Command and Response

Table 160 — TPM2\_PolicyGetDigest Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyGetDigest
TPMI_SH_POLICY	policySession	handle for the policy session Auth Index: None

Table 161 — TPM2\_PolicyGetDigest Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_DIGEST	policyDigest	the current value of the <i>policySession</i> → <i>policyDigest</i>

### 23.19.3 Detailed Actions

#### 23.19.3.1 /tpm/src/command/EA/PolicyGetDigest.c

```
1  #include "Tpm.h"
2  #include "PolicyGetDigest_fp.h"
3
4  #if CC_PolicyGetDigest // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // returns the current policyDigest of the session
8  */
9  TPM_RC
10 TPM2_PolicyGetDigest(PolicyGetDigest_In* in, // IN: input parameter list
11                     PolicyGetDigest_Out* out // OUT: output parameter list
12 )
13 {
14     SESSION* session;
15
16     // Command Output
17
18     // Get pointer to the session structure
19     session = SessionGet(in->policySession);
20
21     out->policyDigest = session->u2.policyDigest;
22
23     return TPM_RC_SUCCESS;
24 }
25
26 #endif // CC_PolicyGetDigest
27
```

## 23.20 TPM2\_PolicyNvWritten

### 23.20.1 General Description

This command allows a policy to be bound to the TPMA\_NV\_WRITTEN attributes. This is a deferred assertion. Values are stored in the policy session context and checked when the policy is used for authorization.

If *policySession*→*checkNVWritten* is CLEAR, it is SET and *policySession*→*nvWrittenState* is set to *writtenSet*. If *policySession*→*checkNVWritten* is SET, the TPM will return TPM\_RC\_VALUE if *policySession*→*nvWrittenState* and *writtenSet* are not the same.

If the TPM does not return an error, it will update *policySession*→*policyDigest* by

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyNvWritten || writtenSet) \quad (39)$$

When the policy session is used to authorize a command, the TPM will fail the command if *policySession*→*checkNVWritten* is SET and *nvIndex*→*attributes*→*TPMA\_NV\_WRITTEN* does not match *policySession*→*nvWrittenState*.

NOTE 1      A typical use case is a simple policy for the first write during manufacturing provisioning that would require TPMA\_NV\_WRITTEN CLEAR and a more complex policy for later use that would require TPMA\_NV\_WRITTEN SET.

NOTE 2      When an Index is written, it has a different authorization name than an Index that has not been written. It is possible to use this change in the NV Index to create a write-once Index.

## 23.20.2 Command and Response

Table 162 — TPM2\_PolicyNvWritten Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyNvWritten
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPMI_YES_NO	writtenSet	YES if NV Index is required to have been written NO if NV Index is required not to have been written

Table 163 — TPM2\_PolicyNvWritten Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	



### 23.20.3 Detailed Actions

#### 23.20.3.1 /tpm/src/command/EA/PolicyNvWritten.c

```

1  #include "Tpm.h"
2  #include "PolicyNvWritten_fp.h"
3
4  #if CC_PolicyNvWritten // Conditional expansion of this file
5
6  // Make an NV Index policy dependent on the state of the TPMA_NV_WRITTEN
7  // attribute of the index.
8  // Return Type: TPM_RC
9  // TPM_RC_VALUE a conflicting request for the attribute has
10 // already been processed
11 TPM_RC
12 TPM2_PolicyNvWritten(PolicyNvWritten_In* in // IN: input parameter list
13 )
14 {
15     SESSION* session;
16     TPM_CC commandCode = TPM_CC_PolicyNvWritten;
17     HASH_STATE hashState;
18
19     // Input Validation
20
21     // Get pointer to the session structure
22     session = SessionGet(in->policySession);
23
24     // If already set is this a duplicate (the same setting)? If it
25     // is a conflicting setting, it is an error
26     if(session->attributes.checkNvWritten == SET)
27     {
28         if(((session->attributes.nvWrittenState == SET) != (in->writtenSet == YES)))
29             return TPM_RCS_VALUE + RC_PolicyNvWritten_writtenSet;
30     }
31
32     // Internal Data Update
33
34     // Set session attributes so that the NV Index needs to be checked
35     session->attributes.checkNvWritten = SET;
36     session->attributes.nvWrittenState = (in->writtenSet == YES);
37
38     // Update policy hash
39     // policyDigestnew = hash(policyDigestold || TPM_CC_PolicyNvWritten
40     // || writtenSet)
41     // Start hash
42     CryptHashStart(&hashState, session->authHashAlg);
43
44     // add old digest
45     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
46
47     // add commandCode
48     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
49
50     // add the byte of writtenState
51     CryptDigestUpdateInt(&hashState, sizeof(TPMI_YES_NO), in->writtenSet);
52
53     // complete the digest
54     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
55
56     return TPM_RC_SUCCESS;
57 }
58
59 #endif // CC_PolicyNvWritten

```

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## 23.21 TPM2\_PolicyTemplate

### 23.21.1 General Description

This command allows a policy to be bound to a specific creation template. This is most useful for an object creation command such as TPM2\_Create(), TPM2\_CreatePrimary(), or TPM2\_CreateLoaded().

The *templateHash* parameter should contain the digest of the template that will be required for the *inPublic* parameter of an Object creation command.

Only one of the following:

- A bound session (created with TPM2\_StartAuthSession())
- TPM2\_PolicyCpHash()
- TPM2\_PolicyNameHash()
- TPM2\_PolicyParameters()
- TPM2\_PolicyTemplate()

can be used for a policy session. Because they are mutually exclusive, they can share *policySession→cpHash*.

If *policySession→isTemplateSet* is SET and *policySession→cpHash* is not equal to *templateHash*, the TPM shall return TPM\_RC\_VALUE.

NOTE 1 Revision 01.38 of this specification permitted the TPM to return TPM\_RC\_CPHASH.

Otherwise, if *policySession→cpHash* is already set, the TPM shall return TPM\_RC\_CPHASH.

NOTE 2 Revision 01.38 of this specification permitted the TPM to return TPM\_RC\_VALUE.

If the size of *templateHash* is not the size of *policySession→policyDigest*, the TPM shall return TPM\_RC\_SIZE. Otherwise, *policySession→cpHash* is set to *templateHash*.

NOTE 3 The digest calculation includes the TPM2B buffer but not the TPM2B size.

If this command completes successfully, when the policy session is used for authorization, the *policySession→cpHash* will be compared to the digest of the *inPublic* parameter.

NOTE 4 This allows the space normally used to hold *policySession→cpHash* to be used for *policySession→templateHash* instead.

The *policySession→policyDigest* will be updated with

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyTemplate || templateHash) \quad (40)$$

## 23.21.2 Command and Response

Table 164 — TPM2\_PolicyTemplate Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyTemplate
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPM2B_DIGEST	templateHash	the digest to be added to the policy

Table 165 — TPM2\_PolicyTemplate Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 23.21.3 Detailed Actions

#### 23.21.3.1 /tpm/src/command/EA/PolicyTemplate.c

```

1  #include "Tpm.h"
2  #include "PolicyTemplate_fp.h"
3
4  #if CC_PolicyTemplate // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Add a cpHash restriction to the policyDigest
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_CPHASH           cpHash of 'policySession' has previously been set
11 //                               to a different value
12 //     TPM_RC_SIZE             'templateHash' is not the size of a digest produced
13 //                               by the hash algorithm associated with
14 //                               'policySession'
15 TPM_RC
16 TPM2_PolicyTemplate(PolicyTemplate_In* in // IN: input parameter list
17 )
18 {
19     SESSION* session;
20     TPM_CC commandCode = TPM_CC_PolicyTemplate;
21     HASH_STATE hashState;
22
23     // Input Validation
24
25     // Get pointer to the session structure
26     session = SessionGet(in->policySession);
27
28     // error if the templateHash in session context is not empty and is not the
29     // same as the input or is not a template
30     if((IsCpHashUnionOccupied(session->attributes))
31         && (!session->attributes.isTemplateHashDefined
32             || !MemoryEqual2B(&in->templateHash.b, &session->u1.templateHash.b)))
33         return TPM_RC_CPHASH;
34
35     // A valid templateHash must have the same size as session hash digest
36     if(in->templateHash.t.size != CryptHashGetDigestSize(session->authHashAlg))
37         return TPM_RC_SIZE + RC_PolicyTemplate_templateHash;
38
39     // Internal Data Update
40     // Update policy hash
41     // policyDigestnew = hash(policyDigestold || TPM_CC_PolicyCpHash
42     // || cpHashA.buffer)
43     // Start hash
44     CryptHashStart(&hashState, session->authHashAlg);
45
46     // add old digest
47     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
48
49     // add commandCode
50     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
51
52     // add cpHashA
53     CryptDigestUpdate2B(&hashState, &in->templateHash.b);
54
55     // complete the digest and get the results
56     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
57
58     // update templateHash in session context
59     session->u1.templateHash = in->templateHash;

```

```
60     session->attributes.isTemplateHashDefined = SET;
61
62     return TPM_RC_SUCCESS;
63 }
64
65 #endif // CC_PolicyTemplateHash
66
```

## 23.22 TPM2\_PolicyAuthorizeNV

### 23.22.1 General Description

This command provides a capability that is the equivalent of a revocable policy. With TPM2\_PolicyAuthorize(), the authorization ticket never expires, so the authorization may not be withdrawn. With this command, the approved policy is kept in an NV Index location so that the policy may be changed as needed to render the old policy unusable.

NOTE 1 This command is useful for Objects but of limited value for other policies that are persistently stored in TPM NV, such as the OwnerPolicy.

An authorization session providing authorization to read the NV Index shall be provided.

The authorization to read the NV Index must succeed even if *policySession* is a trial policy session.

If *policySession* is a trial policy session, the TPM will update *policySession*→*policyDigest* as shown in equation (41) below and return TPM\_RC\_SUCCESS. It will not perform any further validation. The remainder of this general description would apply only if *policySession* is not a trial policy session.

NOTE 2 If read access is controlled by policy, the policy should include a branch that authorizes a TPM2\_PolicyAuthorizeNV().

If TPMA\_NV\_WRITTEN is not SET in the Index referenced by *nvIndex*, the TPM shall return TPM\_RC\_NV\_UNINITIALIZED. If TPMA\_NV\_READLOCKED of the NV Index is SET, then the TPM shall return TPM\_RC\_NV\_LOCKED.

The *dataSize* of the NV Index referenced by *nvIndex* is required to be at least large enough to hold a properly formatted TPMT\_HA (TPM\_RC\_INSUFFICIENT).

NOTE 3 A TPMT\_HA contains a TPM\_ALG\_ID followed a digest that is consistent in size with the hash algorithm indicated by the TPM\_ALG\_ID.

It is an error (TPM\_RC\_HASH) if the first two octets of the Index are not a TPM\_ALG\_ID for a hash algorithm implemented on the TPM or if the indicated hash algorithm does not match *policySession*→*authHash*.

NOTE 4 The TPM\_ALG\_ID is stored in the first two octets in big endian format.

The TPM will compare *policySession*→*policyDigest* to the contents of the NV Index, starting at the first octet after the TPM\_ALG\_ID (the third octet) and return TPM\_RC\_VALUE if they are not the same.

NOTE 5 If the Index does not contain enough bytes for the compare, then TPM\_RC\_INSUFFICIENT is generated as indicated above.

NOTE 6 The *dataSize* of the Index may be larger than is required for this command. This permits the Index to include metadata.

If the comparison is successful, the TPM will reset *policySession*→*policyDigest* to a Zero Digest. Then it will update *policySession*→*policyDigest* with

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyAuthorizeNV || nvIndex \rightarrow Name) \quad (41)$$

**23.22.2 Command and Response****Table 166 — TPM2\_PolicyAuthorizeNV Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyAuthorizeNV
TPMI_RH_NV_AUTH	@authHandle	handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER
TPMI_RH_NV_INDEX	nvIndex	the NV Index of the area to read Auth Index: None
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None

**Table 167 — TPM2\_PolicyAuthorizeNV Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	



### 23.22.3 Detailed Actions

#### 23.22.3.1 /tpm/src/command/EA/PolicyAuthorizeNV.c

```

1  #include "Tpm.h"
2
3  #if CC_PolicyAuthorizeNV // Conditional expansion of this file
4
5  # include "PolicyAuthorizeNV_fp.h"
6  # include "Policy_spt_fp.h"
7  # include "Marshal.h"
8
9  /*(See part 3 specification)
10 // Change policy by a signature from authority
11 */
12 // Return Type: TPM_RC
13 //     TPM_RC_HASH      hash algorithm in 'keyName' is not supported or is not
14 //                       the same as the hash algorithm of the policy session
15 //     TPM_RC_SIZE      'keyName' is not the correct size for its hash algorithm
16 //     TPM_RC_VALUE      the current policyDigest of 'policySession' does not
17 //                       match 'approvedPolicy'; or 'checkTicket' doesn't match
18 //                       the provided values
19 TPM_RC
20 TPM2_PolicyAuthorizeNV(PolicyAuthorizeNV_In* in)
21 {
22     SESSION*   session;
23     TPM_RC     result;
24     NV_REF     locator;
25     NV_INDEX*  nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
26     TPM2B_NAME name;
27     TPMT_HA    policyInNv;
28     BYTE       nvTemp[sizeof(TPMT_HA)];
29     BYTE*      buffer = nvTemp;
30     INT32      size;
31
32     // Input Validation
33     // Get pointer to the session structure
34     session = SessionGet(in->policySession);
35
36     // Skip checks if this is a trial policy
37     if(!session->attributes.isTrialPolicy)
38     {
39         // Check the authorizations for reading
40         // Common read access checks. NvReadAccessChecks() returns
41         // TPM_RC_NV_AUTHORIZATION, TPM_RC_NV_LOCKED, or TPM_RC_NV_UNINITIALIZED
42         // error may be returned at this point
43         result = NvReadAccessChecks(
44             in->authHandle, in->nvIndex, nvIndex->publicArea.attributes);
45         if(result != TPM_RC_SUCCESS)
46             return result;
47
48         // Read the contents of the index into a temp buffer
49         size = MIN(nvIndex->publicArea.dataSize, sizeof(TPMT_HA));
50         NvGetIndexData(nvIndex, locator, 0, (UINT16)size, nvTemp);
51
52         // Unmarshal the contents of the buffer into the internal format of a
53         // TPMT_HA so that the hash and digest elements can be accessed from the
54         // structure rather than the byte array that is in the Index (written by
55         // user of the Index).
56         result = TPMT_HA_Unmarshal(&policyInNv, &buffer, &size, FALSE);
57         if(result != TPM_RC_SUCCESS)
58             return result;
59

```

```
60     // Verify that the hash is the same
61     if(policyInNv.hashAlg != session->authHashAlg)
62         return TPM_RC_HASH;
63
64     // See if the contents of the digest in the Index matches the value
65     // in the policy
66     if(!MemoryEqual(&policyInNv.digest,
67                     &session->u2.policyDigest.t.buffer,
68                     session->u2.policyDigest.t.size))
69         return TPM_RC_VALUE;
70 }
71
72 // Internal Data Update
73
74 // Set policyDigest to zero digest
75 PolicyDigestClear(session);
76
77 // Update policyDigest
78 PolicyContextUpdate(TPM_CC_PolicyAuthorizeNV,
79                     EntityGetName(in->nvIndex, &name),
80                     NULL,
81                     NULL,
82                     0,
83                     session);
84
85 return TPM_RC_SUCCESS;
86 }
87
88 #endif // CC_PolicyAuthorize
89
```

## 23.23 TPM2\_PolicyCapability

### 23.23.1 General Description

This command is used to cause conditional gating of a policy based on the value of a TPM capability. It is an immediate assertion.

The TPM will use the parameters of this command to fetch the indicated property that is used by the TPM in the requested logical operation.

If the requested TPM *property* does not exist, the TPM will return TPM\_RC\_POLICY unless the *operation* is TPM\_EO\_NEQ.

If the requested property exists, it will have a property type as indicated in Table 168 — Capability Contents

**Table 168 — Capability Contents**

capability	property	property type
TPM_CAP_ALGS	TPM_ALG_ID	TPMS_ALG_PROPERTY
TPM_CAP_HANDLES	TPM_HANDLE	TPM_HANDLE
TPM_CAP_COMMANDS	TPM_CC	TPMA_CC
TPM_CAP_PP_COMMANDS	TPM_CC	TPM_CC
TPM_CAP_AUDIT_COMMANDS	TPM_CC	TPM_CC
TPM_CAP_TPM_PROPERTIES	TPM_PT	TPMS_TAGGED_PROPERTY
TPM_CAP_PCR_PROPERTIES	TPM_PT_PCR	TPMS_TAGGED_PCR_SELECT
TPM_CAP_ECC_CURVES	TPM_ECC_CURVE	TPM_ECC_CURVE
TPM_CAP_AUTH_POLICIES	TPM_RH	TPMS_TAGGED_POLICY
TPM_CAP_ACT	TPM_HANDLE	TPMS_ACT_DATA
TPM_CAP_VENDOR_PROPERTY	manufacturer specific	manufacturer-specific values

The TPM will perform the indicated logical operation (*operation*) using the property structure as operandA. If the operands do not have the desired relationship, then the TPM returns TPM\_RC\_POLICY.

If *property* is other than a value listed above, then the TPM returns TPM\_RC\_VALUE.

EXAMPLE 1 If property is TPM\_CAP\_PCRS, then the TPM returns TPM\_RC\_VALUE.

EXAMPLE 2 The *capability* TPM\_CAP\_TPM\_PROPERTIES with a *property* TPM\_PT\_REVISION uses the TPMS\_TAGGED\_PROPERTY as operandA. An *offset* of 4 references the UINT32 *value*. This permits a policy based on the TPM revision. If the TPM does not support TPM\_PT\_REVISION, the property does not exist.

EXAMPLE 3 The *capability* TPM\_CAP\_ACT with the *property* TPM\_RH\_ACT\_0 uses the TPMS\_ACT\_DATA as operandA. An *offset* of 8 references the TPMA\_ACT member. With a bit field *operation*, this permits a policy based on the *signaled* bit. If the TPM does not support TPM\_RH\_ACT\_0, the property does not exist.

If the policy check succeeds, the TPM will hash the parameters of the command by:

$$args := H_{policyAlg}(operandB.buffer || offset || operation || capability || property) \quad (42)$$

using the hash algorithm of the policy session.

The value of *args* is extended to *policySession* → *policyDigest* by

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyCapability || args) \quad (43)$$

where:

$H_{policyAlg}()$  hash function using the algorithm of the policy session  
 $args$  value computed in equation (42)

This command may be used with a trial policy.

NOTE TPM2\_PolicyCapability() was added in revision 01.65.

### 23.23.2 Command and Response

Table 169 — TPM2\_PolicyCapability Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit, encrypt, or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyCapability
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPM2B_OPERAND	operandB	the comparison data
UINT16	offset	the offset in the capability data structure for the start of the comparison (operand A)
TPM_EO	operation	the comparison to make
TPM_CAP	capability	group selection; determines the maximum size of operand A
UINT32	property	further qualification of <i>capability</i>

**Table 170 — TPM2\_PolicyCapability Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 23.23.3 Detailed Actions

#### 23.23.3.1 /tpm/src/command/EA/PolicyCapability.c

```

1  #include "Tpm.h"
2  #include "PolicyCapability_fp.h"
3  #include "Policy_spt_fp.h"
4  #include "ACT_spt_fp.h"
5  #include "AlgorithmCap_fp.h"
6  #include "CommandAudit_fp.h"
7  #include "CommandCodeAttributes_fp.h"
8  #include "CryptEccMain_fp.h"
9  #include "Handle_fp.h"
10 #include "NvDynamic_fp.h"
11 #include "Object_fp.h"
12 #include "PCR_fp.h"
13 #include "PP_fp.h"
14 #include "PropertyCap_fp.h"
15 #include "Session_fp.h"
16
17 #if CC_PolicyCapability // Conditional expansion of this file
18
19 /*(See part 3 specification)
20 // This command performs an immediate policy assertion against the current
21 // value of a TPM Capability.
22 */
23 // Return Type: TPM_RC
24 //     TPM_RC_HANDLE      value of 'property' is in an unsupported handle range
25 //                         for the TPM_CAP_HANDLES 'capability' value
26 //     TPM_RC_VALUE       invalid 'capability'; or 'property' is not 0 for the
27 //                         TPM_CAP_PCERS 'capability' value
28 //     TPM_RC_SIZE        'operandB' is larger than the size of the capability
29 //                         data minus 'offset'.
30 TPM_RC
31 TPM2_PolicyCapability(PolicyCapability_In* in // IN: input parameter list
32 )
33 {
34     union
35     {
36         TPMS_ALG_PROPERTY    alg;
37         TPM_HANDLE           handle;
38         TPMA_CC              commandAttributes;
39         TPM_CC               command;
40         TPMS_TAGGED_PCR_SELECT pcrSelect;
41         TPMS_TAGGED_PROPERTY  tpmProperty;
42 # if ALG_ECC
43         TPM_ECC_CURVE curve;
44 # endif // ALG_ECC
45         TPMS_TAGGED_POLICY policy;
46 # if ACT_SUPPORT
47         TPMS_ACT_DATA act;
48 # endif // ACT_SUPPORT
49     } propertyUnion;
50
51     SESSION*    session;
52     BYTE        propertyData[sizeof(propertyUnion)];
53     UINT16      propertySize = 0;
54     BYTE*       buffer      = propertyData;
55     INT32       bufferSize  = sizeof(propertyData);
56     TPM_CC      commandCode = TPM_CC_PolicyCapability;
57     HASH_STATE  hashState;
58     TPM2B_DIGEST argHash;
59

```

```

60 // Get pointer to the session structure
61 session = SessionGet(in->policySession);
62
63 if(session->attributes.isTrialPolicy == CLEAR)
64 {
65     switch(in->capability)
66     {
67         case TPM_CAP_ALGS:
68             if (AlgorithmCapGetOneImplemented((TPM_ALG_ID)in->property,
69                 &propertyUnion.alg))
70             {
71                 propertySize = TPMS_ALG_PROPERTY_Marshal(
72                     &propertyUnion.alg, &buffer, &bufferSize);
73             }
74             break;
75         case TPM_CAP_HANDLES:
76             BOOL foundHandle = FALSE;
77             switch(HandleGetType((TPM_HANDLE)in->property))
78             {
79                 case TPM_HT_TRANSIENT:
80                     foundHandle = ObjectCapGetOneLoaded((TPM_HANDLE)in->property);
81                     break;
82                 case TPM_HT_PERSISTENT:
83                     foundHandle = NvCapGetOnePersistent((TPM_HANDLE)in->property);
84                     break;
85                 case TPM_HT_NV_INDEX:
86                     foundHandle = NvCapGetOneIndex((TPM_HANDLE)in->property);
87                     break;
88                 case TPM_HT_LOADED_SESSION:
89                     foundHandle =
90                         SessionCapGetOneLoaded((TPM_HANDLE)in->property);
91                     break;
92                 case TPM_HT_SAVED_SESSION:
93                     foundHandle = SessionCapGetOneSaved((TPM_HANDLE)in->property);
94                     break;
95                 case TPM_HT_PCR:
96                     foundHandle = PCRCapGetOneHandle((TPM_HANDLE)in->property);
97                     break;
98                 case TPM_HT_PERMANENT:
99                     foundHandle =
100                         PermanentCapGetOneHandle((TPM_HANDLE)in->property);
101                     break;
102                 default:
103                     // Unsupported input handle type
104                     return TPM_RCS_HANDLE + RC_PolicyCapability_property;
105                     break;
106             }
107             if(foundHandle)
108             {
109                 TPM_HANDLE handle = (TPM_HANDLE)in->property;
110                 propertySize = TPM_HANDLE_Marshal(&handle, &buffer, &bufferSize);
111             }
112             break;
113         case TPM_CAP_COMMANDS:
114             if (CommandCapGetOneCC((TPM_CC)in->property,
115                 &propertyUnion.commandAttributes))
116             {
117                 propertySize = TPMA_CC_Marshal(
118                     &propertyUnion.commandAttributes, &buffer, &bufferSize);
119             }
120             break;
121         case TPM_CAP_PP_COMMANDS:
122             if (PhysicalPresenceCapGetOneCC((TPM_CC)in->property))
123             {
124                 TPM_CC cc = (TPM_CC)in->property;
125                 propertySize = TPM_CC_Marshal(&cc, &buffer, &bufferSize);

```

```

126     }
127     break;
128 case TPM_CAP_AUDIT_COMMANDS:
129     if (CommandAuditCapGetOneCC((TPM_CC)in->property))
130     {
131         TPM_CC cc = (TPM_CC)in->property;
132         propertySize = TPM_CC_Marshal(&cc, &buffer, &bufferSize);
133     }
134     break;
135 // NOTE: TPM_CAP_PCERS can't work for PolicyCapability since CAP_PCERS
136 // requires property to be 0 and always returns all the PCR banks.
137 case TPM_CAP_PCR_PROPERTIES:
138     if (PCRGetProperty((TPM_PT_PCR)in->property, &propertyUnion.pcrSelect))
139     {
140         propertySize = TPMS_TAGGED_PCR_SELECT_Marshal(
141             &propertyUnion.pcrSelect, &buffer, &bufferSize);
142     }
143     break;
144 case TPM_CAP_TPM_PROPERTIES:
145     if (TPMGetOneProperty((TPM_PT)in->property,
146         &propertyUnion.tpmProperty))
147     {
148         propertySize = TPMS_TAGGED_PROPERTY_Marshal(
149             &propertyUnion.tpmProperty, &buffer, &bufferSize);
150     }
151     break;
152 # if ALG_ECC
153 case TPM_CAP_ECC_CURVES:
154     TPM_ECC_CURVE curve = (TPM_ECC_CURVE)in->property;
155     if (CryptCapGetOneECCCurve(curve))
156     {
157         propertySize =
158             TPM_ECC_CURVE_Marshal(&curve, &buffer, &bufferSize);
159     }
160     break;
161 # endif // ALG_ECC
162 case TPM_CAP_AUTH_POLICIES:
163     if (HandleGetType((TPM_HANDLE)in->property) != TPM_HT_PERMANENT)
164         return TPM_RCS_VALUE + RC_PolicyCapability_property;
165     if (PermanentHandleGetOnePolicy((TPM_HANDLE)in->property,
166         &propertyUnion.policy))
167     {
168         propertySize = TPMS_TAGGED_POLICY_Marshal(
169             &propertyUnion.policy, &buffer, &bufferSize);
170     }
171     break;
172 # if ACT_SUPPORT
173 case TPM_CAP_ACT:
174     if (((TPM_RH)in->property < TPM_RH_ACT_0)
175         || ((TPM_RH)in->property > TPM_RH_ACT_F))
176         return TPM_RCS_VALUE + RC_PolicyCapability_property;
177     if (ActGetOneCapability((TPM_HANDLE)in->property, &propertyUnion.act))
178     {
179         propertySize = TPMS_ACT_DATA_Marshal(
180             &propertyUnion.act, &buffer, &bufferSize);
181     }
182     break;
183 # endif // ACT_SUPPORT
184 case TPM_CAP_VENDOR_PROPERTY:
185     // vendor property is not implemented
186 default:
187     // Unsupported TPM_CAP value
188     return TPM_RCS_VALUE + RC_PolicyCapability_capability;
189     break;
190 }
191

```



```

192     if(propertySize == 0)
193     {
194         // A property that doesn't exist trivially satisfies NEQ, and
195         // trivially can't satisfy any other operation.
196         if(in->operation != TPM_EO_NEQ)
197         {
198             return TPM_RC_POLICY;
199         }
200     }
201     else
202     {
203         // The property was found, so we need to perform the comparison.
204
205         // Make sure that offset is within range
206         if(in->offset > propertySize)
207         {
208             return TPM_RCS_VALUE + RC_PolicyCapability_offset;
209         }
210
211         // Property data size should not be smaller than input operandB size
212         if((propertySize - in->offset) < in->operandB.t.size)
213         {
214             return TPM_RCS_SIZE + RC_PolicyCapability_operandB;
215         }
216
217         if(!PolicySptCheckCondition(in->operation,
218                                     propertyData + in->offset,
219                                     in->operandB.t.buffer,
220                                     in->operandB.t.size))
221         {
222             return TPM_RC_POLICY;
223         }
224     }
225 }
226 // Internal Data Update
227
228 // Start argument hash
229 argHash.t.size = CryptHashStart(&hashState, session->authHashAlg);
230
231 // add operandB
232 CryptDigestUpdate2B(&hashState, &in->operandB.b);
233
234 // add offset
235 CryptDigestUpdateInt(&hashState, sizeof(UINT16), in->offset);
236
237 // add operation
238 CryptDigestUpdateInt(&hashState, sizeof(TPM_EO), in->operation);
239
240 // add capability
241 CryptDigestUpdateInt(&hashState, sizeof(TPM_CAP), in->capability);
242
243 // add property
244 CryptDigestUpdateInt(&hashState, sizeof(UINT32), in->property);
245
246 // complete argument digest
247 CryptHashEnd2B(&hashState, &argHash.b);
248
249 // Update policyDigest
250 // Start digest
251 CryptHashStart(&hashState, session->authHashAlg);
252
253 // add old digest
254 CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
255
256 // add commandCode
257 CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);

```

```
258
259     // add argument digest
260     CryptDigestUpdate2B(&hashState, &argHash.b);
261
262     // complete the digest
263     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
264
265     return TPM_RC_SUCCESS;
266 }
267
268 #endif // CC_PolicyCapability
269
```

## 23.24 TPM2\_PolicyParameters

### 23.24.1 General Description

This command is used to allow a policy to be bound to a specific command and command parameters, but not specific objects. To bind a policy to a specific command code only, `TPM2_PolicyCommandCode()` can be used. To bind a policy to a specific command, parameters, and objects, `TPM2_PolicyCpHash()` can be used. To bind a policy to specific objects, but not a specific command or parameters, `TPM2_PolicyNameHash()` can be used.

Only one of the following:

- A bound session (created with `TPM2_StartAuthSession()`)
- `TPM2_PolicyCpHash()`
- `TPM2_PolicyNameHash()`
- `TPM2_PolicyParameters()`
- `TPM2_PolicyTemplate()`

can be used for a policy session. Because they are mutually exclusive, they can share *policySession*→*cpHash*.

If *policySession*→*cpHash* is already set, the TPM shall return `TPM_RC_CPHASH`. If the size of *pHash* is not the size of *policySession*→*policyDigest*, the TPM shall return `TPM_RC_SIZE`. Otherwise, *policySession*→*cpHash* is set to *pHash*.

If this command completes successfully, when the policy session is used for authorization, the *policySession*→*cpHash* will be compared to *pHash*, the digest of the parameter.

The *pHash* is the hash of the *commandCode* and all of the parameters of the command being authorized by the policy session. That is, *pHash* is calculated using a modified form of Part 1, clause “Command Parameter Hash” where the Names are skipped.

NOTE 1            *commandTag*, *commandSize* and the Names of the associated objects are not included in *pHash*.

NOTE 2            The TPM calculates *pHash* on the decrypted parameters, even if `TPM2_PolicyParameters()` is run with command parameter encryption. When this policy session is used later for authorization, it is unlikely be useful if the command uses command parameter encryption since the command's *pHash* will be calculated on the encrypted data.

This is a deferred assertion and the *pHash* is checked when *policySession* is used to authorize a command.

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_PolicyParameters || pHash) \quad (44)$$

NOTE            `TPM2_PolicyParameters()` was added in revision 01.70.

**23.24.2 Command and Response****Table 171 — TPM2\_PolicyParameters Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PolicyParameters
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPM2B_DIGEST	pHash	the parameter digest added to the policy

**Table 172 — TPM2\_PolicyParameters Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 23.24.3 Detailed Actions

#### 23.24.3.1 /tpm/src/command/EA/PolicyParameters.c

```

1  #include "Tpm.h"
2  #include "PolicyParameters_fp.h"
3
4  #if CC_PolicyParameters // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Add a parameters restriction to the policyDigest
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_CPHASH    cpHash of 'policySession' has previously been set
11 //                      to a different value
12 //     TPM_RC_SIZE      'pHash' is not the size of the digest produced by the
13 //                      hash algorithm associated with 'policySession'
14 TPM_RC
15 TPM2_PolicyParameters(PolicyParameters_In* in // IN: input parameter list
16 )
17 {
18     SESSION*    session;
19     TPM_CC      commandCode = TPM_CC_PolicyParameters;
20     HASH_STATE  hashState;
21
22     // Input Validation
23
24     // Get pointer to the session structure
25     session = SessionGet(in->policySession);
26
27     // A valid pHash must have the same size as session hash digest
28     // Since the authHashAlg for a session cannot be TPM_ALG_NULL, the digest size
29     // is always non-zero.
30     if(in->pHash.t.size != CryptHashGetDigestSize(session->authHashAlg))
31         return TPM_RCS_SIZE + RC_PolicyParameters_pHash;
32
33     // error if the pHash in session context is not empty
34     if(IsCpHashUnionOccupied(session->attributes))
35         return TPM_RC_CPHASH;
36
37     // Internal Data Update
38
39     // Update policy hash
40     // policyDigestnew = hash(policyDigestold || TPM_CC_PolicyParameters || pHash)
41     // Start hash
42     CryptHashStart(&hashState, session->authHashAlg);
43
44     // add old digest
45     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
46
47     // add commandCode
48     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
49
50     // add pHash
51     CryptDigestUpdate2B(&hashState, &in->pHash.b);
52
53     // complete the digest
54     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
55
56     // update pHash in session context
57     session->u1.pHash = in->pHash;
58     session->attributes.isParametersHashDefined = SET;
59

```

```
60     return TPM_RC_SUCCESS;  
61 }  
62  
63 #endif // CC_PolicyParameters  
64
```

## 24 Hierarchy Commands

### 24.1 TPM2\_CreatePrimary

#### 24.1.1 General Description

This command is used to create a Primary Object under one of the Primary Seeds or a Temporary Object under TPM\_RH\_NULL. The command uses a TPM2B\_PUBLIC as a template for the object to be created. The size of the *unique* field shall not be checked for consistency with the other object parameters. The command will create and load a Primary Object. The sensitive area is not returned.

NOTE 1            Since the sensitive data is not returned, the key cannot be reloaded. It can either be made persistent or it can be recreated.

NOTE 2            For interoperability, the *unique* field should not be set to a value that is larger than allowed by object parameters, so that the unmarshaling will not fail.

NOTE 3            An Empty Buffer is a legal *unique* field value.

EXAMPLE 1        A TPM\_ALG\_RSA object with a *keyBits* of 2048 in the object's parameters should have a *unique* field that is no larger than 256 bytes.

NOTE 4            It is recommended that a TPM\_ALG\_KEYEDHASH or a TPM\_ALG\_SYMCIPHER object have a *unique* field this is no larger than the digest produced by the object's *nameAlg*.

Any type of object and attributes combination that is allowed by TPM2\_Create() may be created by this command. The constraints on templates and parameters are the same as TPM2\_Create() except that a Primary Storage Key and a Temporary Storage Key are not constrained to use the algorithms of their parents.

For setting of the attributes of the created object, *fixedParent*, *fixedTPM*, *decrypt*, and *restricted* are implied to be SET in the parent (a Permanent Handle). If *primaryHandle* is a firmware-limited hierarchy, then *firmwareLimited* is implied to be SET in the parent. If *primaryHandle* is an SVN-limited hierarchy, then *svnLimited* is implied to be SET in the parent. The remaining attributes are implied to be CLEAR.

The TPM will derive the object from the Primary Seed indicated in *primaryHandle* using an approved KDF.

All of the bits of the template are used in the creation of the Primary Key. Methods for creating a Primary Object from a Primary Seed are described in TPM 2.0 Part 1 and implemented in TPM 2.0 Part 4.

If this command is called multiple times with the same *inPublic* parameter, *inSensitive.data*, and Primary Seed, the TPM shall produce the same Primary Object.

NOTE 4            If the Primary Seed is changed, the Primary Objects generated with the new seed will be statistically unique even if the parameters of the call are the same.

This command requires authorization. Authorization for a Primary Object attached to the Platform Primary Seed (PPS) shall be provided by *platformAuth* or *platformPolicy*. Authorization for a Primary Object attached to the Storage Primary Seed (SPS) shall be provided by *ownerAuth* or *ownerPolicy*. Authorization for a Primary Key attached to the Endorsement Primary Seed (EPS) shall be provided by *endorsementAuth* or *endorsementPolicy*.

## 24.1.2 Command and Response

Table 173 — TPM2\_CreatePrimary Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_CreatePrimary
TPMI_RH_HIERARCHY	@primaryHandle	TPM_RH_ENDORSEMENT, TPM_RH_OWNER, TPM_RH_PLATFORM+{PP}, or TPM_RH_NULL, or the associated firmware-limited or SVN-limited hierarchies Auth Index: 1 Auth Role: USER
TPM2B_SENSITIVE_CREATE	inSensitive	the sensitive data, (see TPM 2.0 Part 1, <i>Sensitive Values</i> ).
TPM2B_PUBLIC	inPublic	the public template
TPM2B_DATA	outsideInfo	data that will be included in the creation data for this object to provide permanent, verifiable linkage between this object and some object owner data
TPML_PCR_SELECTION	creationPCR	PCR that will be used in creation data

Table 174 — TPM2\_CreatePrimary Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM_HANDLE	objectHandle	handle of type TPM_HT_TRANSIENT for created Primary Object
TPM2B_PUBLIC	outPublic	the public portion of the created object
TPM2B_CREATION_DATA	creationData	contains a TPMS_CREATION_DATA
TPM2B_DIGEST	creationHash	digest of <i>creationData.creationData</i> using <i>nameAlg</i> of <i>outPublic</i>
TPMT_TK_CREATION	creationTicket	ticket used by TPM2_CertifyCreation() to validate that the creation data was produced by the TPM
TPM2B_NAME	name	the name of the created object



### 24.1.3 Detailed Actions

#### 24.1.3.1 /tpm/src/command/Hierarchy/CreatePrimary.c

```

1  #include "Tpm.h"
2  #include "CreatePrimary_fp.h"
3
4  #if CC_CreatePrimary // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Creates a primary or temporary object from a primary seed.
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_ATTRIBUTES    sensitiveDataOrigin is CLEAR when sensitive.data is an
11 //                          Empty Buffer; 'fixedTPM', 'fixedParent', or
12 //                          'encryptedDuplication' attributes are inconsistent
13 //                          between themselves or with those of the parent object;
14 //                          inconsistent 'restricted', 'decrypt', 'sign',
15 //                          'firmwareLimited', or 'svnLimited' attributes;
16 //                          attempt to inject sensitive data for an asymmetric
17 //                          key;
18 //     TPM_RC_FW_LIMITED    The requested hierarchy is FW-limited, but the TPM
19 //                          does not support FW-limited objects or the TPM failed
20 //                          to derive the Firmware Secret.
21 //     TPM_RC_SVN_LIMITED    The requested hierarchy is SVN-limited, but the TPM
22 //                          does not support SVN-limited objects or the TPM failed
23 //                          to derive the Firmware SVN Secret for the requested
24 //                          SVN.
25 //     TPM_RC_KDF            incorrect KDF specified for decrypting keyed hash
26 //                          object
27 //     TPM_RC_KEY            a provided symmetric key value is not allowed
28 //     TPM_RC_OBJECT_MEMORY  there is no free slot for the object
29 //     TPM_RC_SCHEME          inconsistent attributes 'decrypt', 'sign',
30 //                          'restricted' and key's scheme ID; or hash algorithm is
31 //                          inconsistent with the scheme ID for keyed hash object
32 //     TPM_RC_SIZE           size of public authorization policy or sensitive
33 //                          authorization value does not match digest size of the
34 //                          name algorithm; or sensitive data size for the keyed
35 //                          hash object is larger than is allowed for the scheme
36 //     TPM_RC_SYMMETRIC      a storage key with no symmetric algorithm specified;
37 //                          or non-storage key with symmetric algorithm different
38 //                          from TPM_ALG_NULL
39 //     TPM_RC_TYPE           unknown object type
40 TPM_RC
41 TPM2_CreatePrimary(CreatePrimary_In* in, // IN: input parameter list
42                   CreatePrimary_Out* out // OUT: output parameter list
43 )
44 {
45     TPM_RC    result = TPM_RC_SUCCESS;
46     TPMT_PUBLIC* publicArea;
47     DRBG_STATE rand;
48     OBJECT*    newObject;
49     TPM2B_NAME name;
50     TPM2B_SEED primary_seed;
51
52     // Input Validation
53     // Will need a place to put the result
54     newObject = FindEmptyObjectSlot(&out->objectHandle);
55     if(newObject == NULL)
56         return TPM_RC_OBJECT_MEMORY;
57     // Get the address of the public area in the new object
58     // (this is just to save typing)
59     publicArea = &newObject->publicArea;

```

```

60
61     *publicArea = in->inPublic.publicArea;
62
63     // Check attributes in input public area. CreateChecks() checks the things that
64     // are unique to creation and then validates the attributes and values that are
65     // common to create and load.
66     result = CreateChecks(
67         NULL, in->primaryHandle, publicArea, in->inSensitive.sensitive.data.t.size);
68     if(result != TPM_RC_SUCCESS)
69         return RcSafeAddToResult(result, RC_CreatePrimary_inPublic);
70     // Validate the sensitive area values
71     if(!AdjustAuthSize(&in->inSensitive.sensitive.userAuth, publicArea->nameAlg))
72         return TPM_RCS_SIZE + RC_CreatePrimary_inSensitive;
73     // Command output
74     // Compute the name using out->name as a scratch area (this is not the value
75     // that ultimately will be returned, then instantiate the state that will be
76     // used as a random number generator during the object creation.
77     // The caller does not know the seed values so the actual name does not have
78     // to be over the input, it can be over the unmarshaled structure.
79
80     result = HierarchyGetPrimarySeed(in->primaryHandle, &primary_seed);
81     if(result != TPM_RC_SUCCESS)
82         return result;
83
84     result =
85         DRBG_InstantiateSeeded(&rand,
86                               &primary_seed.b,
87                               PRIMARY_OBJECT_CREATION,
88                               (TPM2B*)PublicMarshalAndComputeName(publicArea, &name),
89                               &in->inSensitive.sensitive.data.b);
90     MemorySet(primary_seed.b.buffer, 0, primary_seed.b.size);
91
92     if(result == TPM_RC_SUCCESS)
93     {
94         newObject->attributes.primary = SET;
95         if(HierarchyNormalizeHandle(in->primaryHandle) == TPM_RH_ENDORSEMENT)
96             newObject->attributes.epsHierarchy = SET;
97
98         // Create the primary object.
99         result = CryptCreateObject(
100             newObject, &in->inSensitive.sensitive, (RAND_STATE*)&rand);
101         DRBG_Uninstantiate(&rand);
102     }
103     if(result != TPM_RC_SUCCESS)
104         return result;
105
106     // Set the publicArea and name from the computed values
107     out->outPublic.publicArea = newObject->publicArea;
108     out->name = newObject->name;
109
110     // Fill in creation data
111     FillInCreationData(in->primaryHandle,
112                       publicArea->nameAlg,
113                       &in->creationPCR,
114                       &in->outsideInfo,
115                       &out->creationData,
116                       &out->creationHash);
117
118     // Compute creation ticket
119     result = TicketComputeCreation(EntityGetHierarchy(in->primaryHandle),
120                                   &out->name,
121                                   &out->creationHash,
122                                   &out->creationTicket);
123     if(result != TPM_RC_SUCCESS)
124         return result;
125

```

```
126     // Set the remaining attributes for a loaded object
127     ObjectSetLoadedAttributes(newObject, in->primaryHandle);
128     return result;
129 }
130
131 #endif // CC_CreatePrimary
132
```

## 24.2 TPM2\_HierarchyControl

### 24.2.1 General Description

This command enables and disables use of a hierarchy and its associated NV storage. The command allows *phEnable*, *phEnableNV*, *shEnable*, and *ehEnable* to be changed when the proper authorization is provided.

This command may be used to CLEAR *phEnable* and *phEnableNV* if *platformAuth/platformPolicy* is provided. *phEnable* may not be SET using this command.

This command may be used to CLEAR *shEnable* if either *platformAuth/platformPolicy* or *ownerAuth/ownerPolicy* is provided. *shEnable* may be SET if *platformAuth/platformPolicy* is provided.

This command may be used to CLEAR *ehEnable* if either *platformAuth/platformPolicy* or *endorsementAuth/endorsementPolicy* is provided. *ehEnable* may be SET if *platformAuth/platformPolicy* is provided.

When this command is used to CLEAR *phEnable*, *shEnable*, or *ehEnable*, the TPM will disable use of any persistent entity associated with the disabled hierarchy and will flush any transient objects associated with the disabled hierarchy.

When this command is used to CLEAR *shEnable*, the TPM will disable access to any NV index that has TPMA\_NV\_PLATFORMCREATE CLEAR (indicating that the NV Index was defined using Owner Authorization). As long as *shEnable* is CLEAR, the TPM will return an error in response to any command that attempts to operate upon an NV index that has TPMA\_NV\_PLATFORMCREATE CLEAR.

When this command is used to CLEAR *phEnableNV*, the TPM will disable access to any NV index that has TPMA\_NV\_PLATFORMCREATE SET (indicating that the NV Index was defined using Platform Authorization). As long as *phEnableNV* is CLEAR, the TPM will return an error in response to any command that attempts to operate upon an NV index that has TPMA\_NV\_PLATFORMCREATE SET.

## 24.2.2 Command and Response

Table 175 — TPM2\_HierarchyControl Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_HierarchyControl {NV E}
TPMI_RH_BASE_HIERARCHY	@authHandle	TPM_RH_ENDORSEMENT, TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER
TPMI_RH_ENABLES	enable	the enable being modified TPM_RH_ENDORSEMENT, TPM_RH_OWNER, TPM_RH_PLATFORM, or TPM_RH_PLATFORM_NV
TPMI_YES_NO	state	YES if the enable should be SET, NO if the enable should be CLEAR

Table 176 — TPM2\_HierarchyControl Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

## 24.2.3 Detailed Actions

### 24.2.3.1 /tpm/src/command/Hierarchy/HierarchyControl.c

```

1  #include "Tpm.h"
2  #include "HierarchyControl_fp.h"
3
4  #if CC_HierarchyControl // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Enable or disable use of a hierarchy
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_AUTH_TYPE      'authHandle' is not applicable to 'hierarchy' in its
11 //                           current state
12 TPM_RC
13 TPM2_HierarchyControl(HierarchyControl_In* in // IN: input parameter list
14 )
15 {
16     BOOL select = (in->state == YES);
17     BOOL* selected = NULL;
18
19     // Input Validation
20     switch(in->enable)
21     {
22         // Platform hierarchy has to be disabled by PlatformAuth
23         // If the platform hierarchy has already been disabled, only a reboot
24         // can enable it again
25         case TPM_RH_PLATFORM:
26         case TPM_RH_PLATFORM_NV:
27             if(in->authHandle != TPM_RH_PLATFORM)
28                 return TPM_RC_AUTH_TYPE;
29             break;
30
31         // ShEnable may be disabled if PlatformAuth/PlatformPolicy or
32         // OwnerAuth/OwnerPolicy is provided. If ShEnable is disabled, then it
33         // may only be enabled if PlatformAuth/PlatformPolicy is provided.
34         case TPM_RH_OWNER:
35             if(in->authHandle != TPM_RH_PLATFORM && in->authHandle != TPM_RH_OWNER)
36                 return TPM_RC_AUTH_TYPE;
37             if(gc.shEnable == FALSE && in->state == YES
38                && in->authHandle != TPM_RH_PLATFORM)
39                 return TPM_RC_AUTH_TYPE;
40             break;
41
42         // EhEnable may be disabled if either PlatformAuth/PlatformPolicy or
43         // EndorsementAuth/EndorsementPolicy is provided. If EhEnable is disabled,
44         // then it may only be enabled if PlatformAuth/PlatformPolicy is
45         // provided.
46         case TPM_RH_ENDORSEMENT:
47             if(in->authHandle != TPM_RH_PLATFORM
48                && in->authHandle != TPM_RH_ENDORSEMENT)
49                 return TPM_RC_AUTH_TYPE;
50             if(gc.ehEnable == FALSE && in->state == YES
51                && in->authHandle != TPM_RH_PLATFORM)
52                 return TPM_RC_AUTH_TYPE;
53             break;
54         default:
55             FAIL(FATAL_ERROR_INTERNAL);
56             break;
57     }
58
59     // Internal Data Update

```

```

60
61 // Enable or disable the selected hierarchy
62 // Note: the authorization processing for this command may keep these
63 // command actions from being executed. For example, if phEnable is
64 // CLEAR, then platformAuth cannot be used for authorization. This
65 // means that would not be possible to use platformAuth to change the
66 // state of phEnable from CLEAR to SET.
67 // If it is decided that platformPolicy can still be used when phEnable
68 // is CLEAR, then this code could SET phEnable when proper platform
69 // policy is provided.
70 switch(in->enable)
71 {
72     case TPM_RH_OWNER:
73         selected = &gc.shEnable;
74         break;
75     case TPM_RH_ENDORSEMENT:
76         selected = &gc.ehEnable;
77         break;
78     case TPM_RH_PLATFORM:
79         selected = &g_phEnable;
80         break;
81     case TPM_RH_PLATFORM_NV:
82         selected = &gc.phEnableNV;
83         break;
84     default:
85         FAIL(FATAL_ERROR_INTERNAL);
86         break;
87 }
88 if(selected != NULL && *selected != select)
89 {
90     // Before changing the internal state, make sure that NV is available.
91     // Only need to update NV if changing the orderly state
92     RETURN_IF_ORDERLY;
93
94     // state is changing and NV is available so modify
95     *selected = select;
96     // If a hierarchy was just disabled, flush it
97     if(select == CLEAR && in->enable != TPM_RH_PLATFORM_NV)
98         // Flush hierarchy
99         ObjectFlushHierarchy(in->enable);
100
101     // orderly state should be cleared because of the update to state clear data
102     // This gets processed in ExecuteCommand() on the way out.
103     g_clearOrderly = TRUE;
104 }
105 return TPM_RC_SUCCESS;
106 }
107
108 #endif // CC_HierarchyControl
109

```

## 24.3 TPM2\_SetPrimaryPolicy

### 24.3.1 General Description

This command allows setting of the authorization policy for the lockout (*lockoutPolicy*), the platform hierarchy (*platformPolicy*), the storage hierarchy (*ownerPolicy*), and the endorsement hierarchy (*endorsementPolicy*). On TPMs implementing Authenticated Countdown Timers (ACT), this command may also be used to set the authorization policy for an ACT.

The command requires an authorization session. The session shall use the *authValue* associated with *authHandle* or the current policy associated with *authHandle*.

The policy that is changed is the policy associated with *authHandle*.

If the enable associated with *authHandle* is not SET, then the associated authorization values (*authValue* or *authPolicy*) may not be used, and the TPM returns TPM\_RC\_HIERARCHY.

When *hashAlg* is not TPM\_ALG\_NULL, if the size of *authPolicy* is not consistent with the hash algorithm, the TPM returns TPM\_RC\_SIZE.



## 24.3.2 Command and Response

Table 177 — TPM2\_SetPrimaryPolicy Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_SetPrimaryPolicy {NV}
TPMI_RH_HIERARCHY_POLICY	@authHandle	TPM_RH_LOCKOUT, TPM_RH_ENDORSEMENT, TPM_RH_OWNER, TPMI_RH_ACT or TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER
TPM2B_DIGEST	authPolicy	an authorization policy digest; may be the Empty Buffer If <i>hashAlg</i> is TPM_ALG_NULL, then this shall be an Empty Buffer.
TPMI_ALG_HASH+	hashAlg	the hash algorithm to use for the policy If the <i>authPolicy</i> is an Empty Buffer, then this field shall be TPM_ALG_NULL.

Table 178 — TPM2\_SetPrimaryPolicy Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 24.3.3 Detailed Actions

#### 24.3.3.1 /tpm/src/command/Hierarchy/SetPrimaryPolicy.c

```

1  #include "Tpm.h"
2  #include "SetPrimaryPolicy_fp.h"
3
4  #if CC_SetPrimaryPolicy // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Set a hierarchy policy
8  */
9  // Return Type: TPM_RC
10 // TPM_RC_SIZE size of input authPolicy is not consistent with
11 // input hash algorithm
12 TPM_RC
13 TPM2_SetPrimaryPolicy(SetPrimaryPolicy_In* in // IN: input parameter list
14 )
15 {
16     // Input Validation
17
18     // Check the authPolicy consistent with hash algorithm. If the policy size is
19     // zero, then the algorithm is required to be TPM_ALG_NULL
20     if(in->authPolicy.t.size != CryptHashGetDigestSize(in->hashAlg))
21         return TPM_RCS_SIZE + RC_SetPrimaryPolicy_authPolicy;
22
23     // The command need NV update for OWNER and ENDORSEMENT hierarchy, and
24     // might need orderlyState update for PLATFORM hierarchy.
25     // Check if NV is available. A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE
26     // error may be returned at this point
27     RETURN_IF_NV_IS_NOT_AVAILABLE;
28
29     // Internal Data Update
30
31     // Set hierarchy policy
32     switch(in->authHandle)
33     {
34         case TPM_RH_OWNER:
35             gp.ownerAlg = in->hashAlg;
36             gp.ownerPolicy = in->authPolicy;
37             NV_SYNC_PERSISTENT(ownerAlg);
38             NV_SYNC_PERSISTENT(ownerPolicy);
39             break;
40         case TPM_RH_ENDORSEMENT:
41             gp.endorsementAlg = in->hashAlg;
42             gp.endorsementPolicy = in->authPolicy;
43             NV_SYNC_PERSISTENT(endorsementAlg);
44             NV_SYNC_PERSISTENT(endorsementPolicy);
45             break;
46         case TPM_RH_PLATFORM:
47             gc.platformAlg = in->hashAlg;
48             gc.platformPolicy = in->authPolicy;
49             // need to update orderly state
50             g_clearOrderly = TRUE;
51             break;
52         case TPM_RH_LOCKOUT:
53             gp.lockoutAlg = in->hashAlg;
54             gp.lockoutPolicy = in->authPolicy;
55             NV_SYNC_PERSISTENT(lockoutAlg);
56             NV_SYNC_PERSISTENT(lockoutPolicy);
57             break;
58     }
59     # if ACT_SUPPORT

```

```
60  #   define SET_ACT_POLICY(N)                                \
61      case TPM_RH_ACT_##N:                                    \
62          go.ACT_##N.hashAlg  = in->hashAlg;                  \
63          go.ACT_##N.authPolicy = in->authPolicy;              \
64          g_clearOrderly      = TRUE;                          \
65          break;                                                \
66
67      FOR_EACH_ACT(SET_ACT_POLICY)
68  # endif // ACT_SUPPORT
69
70      default:
71          FAIL(FATAL_ERROR_INTERNAL);
72          break;
73  }
74
75  return TPM_RC_SUCCESS;
76  }
77
78  #endif // CC_SetPrimaryPolicy
79
```

## 24.4 TPM2\_ChangePPS

### 24.4.1 General Description

This replaces the current platform primary seed (PPS) with a value from the RNG and sets *platformPolicy* to the default initialization value (the Empty Buffer).

NOTE 1            A policy that is the Empty Buffer can match no policy.

NOTE 2            Platform Authorization is not changed.

All resident transient and persistent objects in the Platform hierarchy are flushed.

Saved contexts in the Platform hierarchy that were created under the old PPS will no longer be able to be loaded.

The policy hash algorithm for PCR is reset to TPM\_ALG\_NULL.

This command does not clear any NV Index values.

NOTE 3            Index values belonging to the Platform are preserved because the indexes may have configuration information that will be the same after the PPS changes. The Platform may remove the indexes that are no longer needed using TPM2\_NV\_UndefineSpace().

This command requires Platform Authorization.

## 24.4.2 Command and Response

Table 179 — TPM2\_ChangePPS Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ChangePPS {NV E}
TPMI_RH_PLATFORM	@authHandle	TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER

Table 180 — TPM2\_ChangePPS Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 24.4.3 Detailed Actions

#### 24.4.3.1 /tpm/src/command/Hierarchy/ChangePPS.c

```

1  #include "Tpm.h"
2  #include "ChangePPS_fp.h"
3
4  #if CC_ChangePPS // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Reset current PPS value
8  */
9  TPM_RC
10 TPM2_ChangePPS(ChangePPS_In* in // IN: input parameter list
11 )
12 {
13     UINT32 i;
14
15     // Check if NV is available. A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE
16     // error may be returned at this point
17     RETURN_IF_NV_IS_NOT_AVAILABLE;
18
19     // Input parameter is not reference in command action
20     NOT_REFERENCED(in);
21
22     // Internal Data Update
23
24     // Reset platform hierarchy seed from RNG
25     CryptRandomGenerate(sizeof(gp.PPSeed.t.buffer), gp.PPSeed.t.buffer);
26
27     // Create a new phProof value from RNG to prevent the saved platform
28     // hierarchy contexts being loaded
29     CryptRandomGenerate(sizeof(gp.phProof.t.buffer), gp.phProof.t.buffer);
30
31     // Set platform authPolicy to null
32     gc.platformAlg = TPM_ALG_NULL;
33     gc.platformPolicy.t.size = 0;
34
35     // Flush loaded object in platform hierarchy
36     ObjectFlushHierarchy(TPM_RH_PLATFORM);
37
38     // Flush platform evict object and index in NV
39     NvFlushHierarchy(TPM_RH_PLATFORM);
40
41     // Save hierarchy changes to NV
42     NV_SYNC_PERSISTENT(PPSeed);
43     NV_SYNC_PERSISTENT(phProof);
44
45     // Re-initialize PCR policies
46 # if defined NUM_POLICY_PCR_GROUP && NUM_POLICY_PCR_GROUP > 0
47     for(i = 0; i < NUM_POLICY_PCR_GROUP; i++)
48     {
49         gp.pcrPolicies.hashAlg[i] = TPM_ALG_NULL;
50         gp.pcrPolicies.policy[i].t.size = 0;
51     }
52     NV_SYNC_PERSISTENT(pcrPolicies);
53 # endif
54
55     // orderly state should be cleared because of the update to state clear data
56     g_clearOrderly = TRUE;
57
58     return TPM_RC_SUCCESS;
59 }

```

```
60  
61 #endif // CC_ChangePPS  
62
```

DRAFT

## 24.5 TPM2\_ChangeEPS

### 24.5.1 General Description

This replaces the current endorsement primary seed (EPS) with a value from the RNG and sets the Endorsement hierarchy controls to their default initialization values: *ehEnable* is SET, *endorsementAuth* and *endorsementPolicy* are both set to the Empty Buffer. It will flush any resident objects (transient or persistent) in the Endorsement hierarchy and not allow objects in the hierarchy associated with the previous EPS to be loaded.

NOTE 1 In the reference implementation, *ehProof* is a non-volatile value from the RNG. It is allowed that the *ehProof* be generated by a KDF using both the EPS and SPS as inputs. If generated with a KDF, the *ehProof* can be generated on an as-needed basis or made a non-volatile value.

NOTE 2 Users should use this command with extreme caution. Changing the EPS removes existing EKs, and their associated EK certificates cannot be used to validate any new EK.

This command requires Platform Authorization.



## 24.5.2 Command and Response

Table 181 — TPM2\_ChangeEPS Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ChangeEPS {NV E}
TPMI_RH_PLATFORM	@authHandle	TPM_RH_PLATFORM+{PP} Auth Handle: 1 Auth Role: USER

Table 182 — TPM2\_ChangeEPS Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 24.5.3 Detailed Actions

#### 24.5.3.1 /tpm/src/command/Hierarchy/ChangeEPS.c

```

1  #include "Tpm.h"
2  #include "ChangeEPS_fp.h"
3
4  #if CC_ChangeEPS // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Reset current EPS value
8  */
9  TPM_RC
10 TPM2_ChangeEPS(ChangeEPS_In* in // IN: input parameter list
11 )
12 {
13     // The command needs NV update. Check if NV is available.
14     // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
15     // this point
16     RETURN_IF_NV_IS_NOT_AVAILABLE;
17
18     // Input parameter is not reference in command action
19     NOT_REFERENCED(in);
20
21     // Internal Data Update
22
23     // Reset endorsement hierarchy seed from RNG
24     CryptRandomGenerate(sizeof(gp.EPSeed.t.buffer), gp.EPSeed.t.buffer);
25
26     // Create new ehProof value from RNG
27     CryptRandomGenerate(sizeof(gp.ehProof.t.buffer), gp.ehProof.t.buffer);
28
29     // Enable endorsement hierarchy
30     gc.ehEnable = TRUE;
31
32     // set authValue buffer to zeros
33     MemorySet(gp.endorsementAuth.t.buffer, 0, gp.endorsementAuth.t.size);
34     // Set endorsement authValue to null
35     gp.endorsementAuth.t.size = 0;
36
37     // Set endorsement authPolicy to null
38     gp.endorsementAlg = TPM_ALG_NULL;
39     gp.endorsementPolicy.t.size = 0;
40
41     // Flush loaded object in endorsement hierarchy
42     ObjectFlushHierarchy(TPM_RH_ENDORSEMENT);
43
44     // Flush evict object of endorsement hierarchy stored in NV
45     NvFlushHierarchy(TPM_RH_ENDORSEMENT);
46
47     // Save hierarchy changes to NV
48     NV_SYNC_PERSISTENT(EPSeed);
49     NV_SYNC_PERSISTENT(ehProof);
50     NV_SYNC_PERSISTENT(endorsementAuth);
51     NV_SYNC_PERSISTENT(endorsementAlg);
52     NV_SYNC_PERSISTENT(endorsementPolicy);
53
54     // orderly state should be cleared because of the update to state clear data
55     g_clearOrderly = TRUE;
56
57     return TPM_RC_SUCCESS;
58 }
59

```

```
60 #endif // CC_ChangeEPS
61
```

## 24.6 TPM2\_Clear

### 24.6.1 General Description

This command removes all TPM context associated with a specific Owner.

The clear operation will:

- flush resident objects (persistent and volatile) in the Storage and Endorsement hierarchies;
- delete any NV Index with `TPMA_NV_PLATFORMCREATE == CLEAR`;
- change the storage primary seed (SPS) to a new value from the TPM's random number generator (RNG),
- change *shProof* and *ehProof*,

NOTE 1      The proof values are permitted to be set from the RNG or derived from the associated new Primary Seed. If derived from the Primary Seeds, the derivation of *ehProof* shall use both the SPS and EPS. The computation shall use the SPS as an HMAC key and the derived value may then be a parameter in a second HMAC in which the EPS is the HMAC key. The reference design uses values from the RNG.

- SET *shEnable* and *ehEnable*;
- set *ownerAuth*, *endorsementAuth*, and *lockoutAuth* to the Empty Buffer;
- set *ownerPolicy*, *endorsementPolicy*, and *lockoutPolicy* to the Empty Buffer;
- set *Clock* to zero;
- set *resetCount* to zero;
- set *restartCount* to zero; and
- set *Safe* to YES.
- increment *pcrUpdateCounter*

NOTE 2      This permits an application to create a policy session that is invalidated on TPM2\_Clear. The policy needs, ideally as the first term, TPM2\_PolicyPCR(). The session is invalidated even if the PCR selection is empty.

This command requires Platform Authorization or Lockout Authorization. If TPM2\_ClearControl() has disabled this command, the TPM shall return TPM\_RC\_DISABLED.

NOTE3      This is a change from TPM 1.2, where *ownerAuth* authorized TPM\_OwnerClear().

If this command is authorized using *lockoutAuth*, the HMAC in the response shall use the new *lockoutAuth* value (that is, the Empty Buffer) when computing the response HMAC.

## 24.6.2 Command and Response

Table 183 — TPM2\_Clear Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_Clear {NV E}
TPMI_RH_CLEAR	@authHandle	TPM_RH_LOCKOUT or TPM_RH_PLATFORM+{PP} Auth Handle: 1 Auth Role: USER

Table 184 — TPM2\_Clear Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 24.6.3 Detailed Actions

#### 24.6.3.1 /tpm/src/command/Hierarchy/Clear.c

```

1  #include "Tpm.h"
2  #include "Clear_fp.h"
3
4  #if CC_Clear // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Clear owner
8  */
9  // Return Type: TPM_RC
10 // TPM_RC_DISABLED Clear command has been disabled
11 TPM_RC
12 TPM2_Clear(Clear_In* in // IN: input parameter list
13 )
14 {
15     // Input parameter is not reference in command action
16     NOT_REFERENCED(in);
17
18     // The command needs NV update. Check if NV is available.
19     // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
20     // this point
21     RETURN_IF_NV_IS_NOT_AVAILABLE;
22
23     // Input Validation
24
25     // If Clear command is disabled, return an error
26     if(gp.disableClear)
27         return TPM_RC_DISABLED;
28
29     // Internal Data Update
30
31     // Reset storage hierarchy seed from RNG
32     CryptRandomGenerate(sizeof(gp.SPSeed.t.buffer), gp.SPSeed.t.buffer);
33
34     // Create new shProof and ehProof value from RNG
35     CryptRandomGenerate(sizeof(gp.shProof.t.buffer), gp.shProof.t.buffer);
36     CryptRandomGenerate(sizeof(gp.ehProof.t.buffer), gp.ehProof.t.buffer);
37
38     // Enable storage and endorsement hierarchy
39     gc.shEnable = gc.ehEnable = TRUE;
40
41     // set the authValue buffers to zero
42     MemorySet(&gp.ownerAuth, 0, sizeof(gp.ownerAuth));
43     MemorySet(&gp.endorsementAuth, 0, sizeof(gp.endorsementAuth));
44     MemorySet(&gp.lockoutAuth, 0, sizeof(gp.lockoutAuth));
45
46     // Set storage, endorsement, and lockout authPolicy to null
47     gp.ownerAlg = gp.endorsementAlg = gp.lockoutAlg = TPM_ALG_NULL;
48     MemorySet(&gp.ownerPolicy, 0, sizeof(gp.ownerPolicy));
49     MemorySet(&gp.endorsementPolicy, 0, sizeof(gp.endorsementPolicy));
50     MemorySet(&gp.lockoutPolicy, 0, sizeof(gp.lockoutPolicy));
51
52     // Flush loaded object in storage and endorsement hierarchy
53     ObjectFlushHierarchy(TPM_RH_OWNER);
54     ObjectFlushHierarchy(TPM_RH_ENDORSEMENT);
55
56     // Flush owner and endorsement object and owner index in NV
57     NvFlushHierarchy(TPM_RH_OWNER);
58     NvFlushHierarchy(TPM_RH_ENDORSEMENT);
59

```

```
60 // Initialize dictionary attack parameters
61 DAPreInstall_Init();
62
63 // Reset clock
64 go.clock = 0;
65 go.clockSafe = YES;
66 NvWrite(NV_ORDERLY_DATA, sizeof(ORDERLY_DATA), &go);
67
68 // Reset counters
69 gp.resetCount = gr.restartCount = gr.clearCount = 0;
70 gp.auditCounter = 0;
71
72 // Save persistent data changes to NV
73 // Note: since there are so many changes to the persistent data structure, the
74 // entire PERSISTENT_DATA structure is written as a unit
75 NvWrite(NV_PERSISTENT_DATA, sizeof(PERSISTENT_DATA), &gp);
76
77 // Reset the PCR authValues (this does not change the PCRs)
78 PCR_ClearAuth();
79
80 // Bump the PCR counter
81 PCRChanged(0);
82
83 // orderly state should be cleared because of the update to state clear data
84 g_clearOrderly = TRUE;
85
86 return TPM_RC_SUCCESS;
87 }
88
89 #endif // CC_Clear
90
```

## 24.7 TPM2\_ClearControl

### 24.7.1 General Description

TPM2\_ClearControl() disables and enables the execution of TPM2\_Clear().

The TPM will SET the TPM's TPMA\_PERMANENT.*disableClear* attribute if *disable* is YES and will CLEAR the attribute if *disable* is NO. When the attribute is SET, TPM2\_Clear() may not be executed.

NOTE This is to simplify the logic of TPM2\_Clear(). TPM2\_ClearControl() can be called using Platform Authorization to CLEAR the *disableClear* attribute and then execute TPM2\_Clear().

Lockout Authorization may be used to SET *disableClear* but not to CLEAR it.

Platform Authorization may be used to SET or CLEAR *disableClear*.



## 24.7.2 Command and Response

Table 185 — TPM2\_ClearControl Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ClearControl {NV}
TPMI_RH_CLEAR	@auth	TPM_RH_LOCKOUT or TPM_RH_PLATFORM+{PP} Auth Handle: 1 Auth Role: USER
TPMI_YES_NO	disable	YES if the <i>disableOwnerClear</i> flag is to be SET, NO if the flag is to be CLEAR.

Table 186 — TPM2\_ClearControl Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 24.7.3 Detailed Actions

#### 24.7.3.1 /tpm/src/command/Hierarchy/ClearControl.c

```

1  #include "Tpm.h"
2  #include "ClearControl_fp.h"
3
4  #if CC_ClearControl // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Enable or disable the execution of TPM2_Clear command
8  */
9  // Return Type: TPM_RC
10 //      TPM_RC_AUTH_FAIL      authorization is not properly given
11 TPM_RC
12 TPM2_ClearControl(ClearControl_In* in // IN: input parameter list
13 )
14 {
15     // The command needs NV update.
16     RETURN_IF_NV_IS_NOT_AVAILABLE;
17
18     // Input Validation
19
20     // LockoutAuth may be used to set disableLockoutClear to TRUE but not to FALSE
21     if(in->auth == TPM_RH_LOCKOUT && in->disable == NO)
22         return TPM_RC_AUTH_FAIL;
23
24     // Internal Data Update
25
26     if(in->disable == YES)
27         gp.disableClear = TRUE;
28     else
29         gp.disableClear = FALSE;
30
31     // Record the change to NV
32     NV_SYNC_PERSISTENT(disableClear);
33
34     return TPM_RC_SUCCESS;
35 }
36
37 #endif // CC_ClearControl
38

```

## 24.8 TPM2\_HierarchyChangeAuth

### 24.8.1 General Description

This command allows the authorization secret for a hierarchy or lockout to be changed using the current authorization value as the command authorization.

If *authHandle* is TPM\_RH\_PLATFORM, then *platformAuth* is changed. If *authHandle* is TPM\_RH\_OWNER, then *ownerAuth* is changed. If *authHandle* is TPM\_RH\_ENDORSEMENT, then *endorsementAuth* is changed. If *authHandle* is TPM\_RH\_LOCKOUT, then *lockoutAuth* is changed. The HMAC in the response shall use the new authorization value when computing the response HMAC.

If *authHandle* is TPM\_RH\_PLATFORM, then Physical Presence may need to be asserted for this command to succeed (see clause 26.2).

The authorization value may be no larger than the digest produced by the hash algorithm used for context integrity.

**EXAMPLE** If SHA384 is used in the computation of the integrity values for saved contexts, then the largest authorization value is 48 octets.

**NOTE** *platformAuth* is used as the ACT *authValue*.

## 24.8.2 Command and Response

Table 187 — TPM2\_HierarchyChangeAuth Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_HierarchyChangeAuth {NV}
TPMI_RH_HIERARCHY_AUTH	@authHandle	TPM_RH_LOCKOUT, TPM_RH_ENDORSEMENT, TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER
TPM2B_AUTH	newAuth	new authorization value

Table 188 — TPM2\_HierarchyChangeAuth Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 24.8.3 Detailed Actions

#### 24.8.3.1 /tpm/src/command/Hierarchy/HierarchyChangeAuth.c

```

1  #include "Tpm.h"
2  #include "HierarchyChangeAuth_fp.h"
3
4  #if CC_HierarchyChangeAuth // Conditional expansion of this file
5
6  # include "Object_spt_fp.h"
7
8  /*(See part 3 specification)
9  // Set a hierarchy authValue
10 */
11 // Return Type: TPM_RC
12 //     TPM_RC_SIZE      'newAuth' size is greater than that of integrity hash
13 //                        digest
14 TPM_RC
15 TPM2_HierarchyChangeAuth(HierarchyChangeAuth_In* in // IN: input parameter list
16 )
17 {
18     // The command needs NV update.
19     RETURN_IF_NV_IS_NOT_AVAILABLE;
20
21     // Make sure that the authorization value is a reasonable size (not larger than
22     // the size of the digest produced by the integrity hash. The integrity
23     // hash is assumed to produce the longest digest of any hash implemented
24     // on the TPM. This will also remove trailing zeros from the authValue.
25     if(MemoryRemoveTrailingZeros(&in->newAuth) > CONTEXT_INTEGRITY_HASH_SIZE)
26         return TPM_RC_SIZE + RC_HierarchyChangeAuth_newAuth;
27
28     // Set hierarchy authValue
29     switch(in->authHandle)
30     {
31     case TPM_RH_OWNER:
32         gp.ownerAuth = in->newAuth;
33         NV_SYNC_PERSISTENT(ownerAuth);
34         break;
35     case TPM_RH_ENDORSEMENT:
36         gp.endorsementAuth = in->newAuth;
37         NV_SYNC_PERSISTENT(endorsementAuth);
38         break;
39     case TPM_RH_PLATFORM:
40         gc.platformAuth = in->newAuth;
41         // orderly state should be cleared
42         g_clearOrderly = TRUE;
43         break;
44     case TPM_RH_LOCKOUT:
45         gp.lockoutAuth = in->newAuth;
46         NV_SYNC_PERSISTENT(lockoutAuth);
47         break;
48     default:
49         FAIL(FATAL_ERROR_INTERNAL);
50         break;
51     }
52
53     return TPM_RC_SUCCESS;
54 }
55
56 #endif // CC_HierarchyChangeAuth
57

```

## 25 Dictionary Attack Functions

### 25.1 Introduction

A TPM is required to have support for logic that will help prevent a dictionary attack on an authorization value. The protection is provided by a counter that increments when a password authorization or an HMAC authorization fails. When the counter reaches a predefined value, the TPM will not accept, for some time interval, further requests that require authorization and the TPM is in Lockout mode. While the TPM is in Lockout mode, the TPM will return `TPM_RC_LOCKOUT` if the command requires use of an object's or Index's *authValue* unless the authorization applies to an entry in the Platform hierarchy.

NOTE 1 Authorizations for objects and NV Index values in the Platform hierarchy are never locked out. However, a command that requires multiple authorizations will not be accepted when the TPM is in Lockout mode unless all of the authorizations reference objects and indexes in the Platform hierarchy.

If the TPM is continuously powered for the duration of *newRecoveryTime* and no authorization failures occur, the authorization failure counter will be decremented by one. This property is called "self-healing." Self-healing shall not cause the count of failed attempts to decrement below zero.

The count of failed attempts, the lockout interval, and self-healing interval are settable using `TPM2_DictionaryAttackParameters()`. The lockout parameters and the current value of the lockout counter can be read with `TPM2_GetCapability()`.

Dictionary attack protection does not apply to an entity associated with a permanent handle (handle type == `TPM_HT_PERMANENT`) other than `TPM_RH_LOCKOUT`.

### 25.2 TPM2\_DictionaryAttackLockReset

#### 25.2.1 General Description

This command cancels the effect of a TPM lockout due to a number of successive authorization failures. If this command is properly authorized, the lockout counter is set to zero.

Only one *lockoutAuth* authorization failure is allowed for this command during a *lockoutRecovery* interval (set using `TPM2_DictionaryAttackParameters()`).

## 25.2.2 Command and Response

Table 189 — TPM2\_DictionaryAttackLockReset Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_DictionaryAttackLockReset {NV}
TPMI_RH_LOCKOUT	@lockHandle	TPM_RH_LOCKOUT Auth Index: 1 Auth Role: USER

Table 190 — TPM2\_DictionaryAttackLockReset Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 25.2.3 Detailed Actions

#### 25.2.3.1 /tpm/src/command/DA/DictionaryAttackLockReset.c

```
1  #include "Tpm.h"
2  #include "DictionaryAttackLockReset_fp.h"
3
4  #if CC_DictionaryAttackLockReset // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // This command cancels the effect of a TPM lockout due to a number of
8  // successive authorization failures. If this command is properly authorized,
9  // the lockout counter is set to 0.
10 */
11 TPM_RC
12 TPM2_DictionaryAttackLockReset(
13     DictionaryAttackLockReset_In* in // IN: input parameter list
14 )
15 {
16     // Input parameter is not reference in command action
17     NOT_REFERENCED(in);
18
19     // The command needs NV update.
20     RETURN_IF_NV_IS_NOT_AVAILABLE;
21
22     // Internal Data Update
23
24     // Set failed tries to 0
25     gp.failedTries = 0;
26
27     // Record the changes to NV
28     NV_SYNC_PERSISTENT(failedTries);
29
30     return TPM_RC_SUCCESS;
31 }
32
33 #endif // CC_DictionaryAttackLockReset
34
```



## 25.3 TPM2\_DictionaryAttackParameters

### 25.3.1 General Description

This command changes the lockout parameters.

The command requires Lockout Authorization.

The timeout parameters (*newRecoveryTime* and *lockoutRecovery*) indicate values that are measured with respect to the *Time* and not *Clock*.

NOTE            Use of *Time* means that the TPM shall be continuously powered for the duration of a timeout.

If *newRecoveryTime* is zero, then DA protection is disabled. Authorizations are checked but authorization failures will not cause the TPM to enter lockout.

If *newMaxTries* is zero, the TPM will be in lockout and use of DA protected entities will be disabled.

If *lockoutRecovery* is zero, then the recovery interval is `_TPM_Init` followed by `TPM2_Startup()`.

Only one *lockoutAuth* authorization failure is allowed for this command during a *lockoutRecovery* interval.

## 25.3.2 Command and Response

Table 191 — TPM2\_DictionaryAttackParameters Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_DictionaryAttackParameters {NV}
TPMI_RH_LOCKOUT	@lockHandle	TPM_RH_LOCKOUT Auth Index: 1 Auth Role: USER
UINT32	newMaxTries	count of authorization failures before the lockout is imposed
UINT32	newRecoveryTime	time in seconds before the authorization failure count is automatically decremented A value of zero indicates that DA protection is disabled.
UINT32	lockoutRecovery	time in seconds after a <i>lockoutAuth</i> failure before use of <i>lockoutAuth</i> is allowed A value of zero indicates that a reboot is required.

Table 192 — TPM2\_DictionaryAttackParameters Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 25.3.3 Detailed Actions

#### 25.3.3.1 /tpm/src/command/DA/DictionaryAttackParameters.c

```

1  #include "Tpm.h"
2  #include "DictionaryAttackParameters_fp.h"
3
4  #if CC_DictionaryAttackParameters // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // change the lockout parameters
8  */
9  TPM_RC
10 TPM2_DictionaryAttackParameters(
11     DictionaryAttackParameters_In* in // IN: input parameter list
12 )
13 {
14     // The command needs NV update.
15     RETURN_IF_NV_IS_NOT_AVAILABLE;
16
17     // Internal Data Update
18
19     // Set dictionary attack parameters
20     gp.maxTries = in->newMaxTries;
21     gp.recoveryTime = in->newRecoveryTime;
22     gp.lockoutRecovery = in->lockoutRecovery;
23
24     # if 0
25     // Errata eliminates this code
26     // This functionality has been disabled. The preferred implementation is now
27     // to leave failedTries unchanged when the parameters are changed. This could
28     // have the effect of putting the TPM into DA lockout if in->newMaxTries is
29     // not greater than the current value of gp.failedTries.
30     // Set failed tries to 0
31     gp.failedTries = 0;
32     # endif
33
34     // Record the changes to NV
35     NV_SYNC_PERSISTENT(failedTries);
36     NV_SYNC_PERSISTENT(maxTries);
37     NV_SYNC_PERSISTENT(recoveryTime);
38     NV_SYNC_PERSISTENT(lockoutRecovery);
39
40     return TPM_RC_SUCCESS;
41 }
42
43 #endif // CC_DictionaryAttackParameters
44
```

## 26 Miscellaneous Management Functions

### 26.1 Introduction

Clause 25.3.3.1 contains commands that do not logically group with any other commands.

### 26.2 TPM2\_PP\_Commands

#### 26.2.1 General Description

This command is used to determine which commands require assertion of Physical Presence (PP) in addition to *platformAuth/platformPolicy*.

This command requires that *auth* is TPM\_RH\_PLATFORM and that Physical Presence be asserted.

After this command executes successfully, the commands listed in *setList* will be added to the list of commands that require that Physical Presence be asserted when the handle associated with the authorization is TPM\_RH\_PLATFORM. The commands in *clearList* will no longer require assertion of Physical Presence in order to authorize a command.

If a command is not in either list, its state is not changed. If a command is in both lists, then it will no longer require Physical Presence (for example, *setList* is processed first).

Only commands with handle types of TPMI\_RH\_PLATFORM, TPMI\_RH\_PROVISION, TPMI\_RH\_CLEAR, or TPMI\_RH\_HIERARCHY can be gated with Physical Presence. If any other command is in either list, it is discarded.

When a command requires that Physical Presence be provided, then Physical Presence shall be asserted for either an HMAC or a Policy authorization.

NOTE 1 Physical Presence may be made a requirement of any policy.

NOTE 2 If the TPM does not implement this command, the command list is vendor specific. A platform-specific specification may require that the command list be initialized in a specific way.

TPM2\_PP\_Commands() always requires assertion of Physical Presence.

## 26.2.2 Command and Response

Table 193 — TPM2\_PP\_Commands Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_PP_Commands {NV}
TPMI_RH_PLATFORM	@auth	TPM_RH_PLATFORM+PP Auth Index: 1 Auth Role: USER + Physical Presence
TPML_CC	setList	list of commands to be added to those that will require that Physical Presence be asserted
TPML_CC	clearList	list of commands that will no longer require that Physical Presence be asserted

Table 194 — TPM2\_PP\_Commands Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

## 26.2.3 Detailed Actions

### 26.2.3.1 /tpm/src/command/Misc/PP\_Commands.c

```

1  #include "Tpm.h"
2  #include "PP_Commands_fp.h"
3
4  #if CC_PP_Commands // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // This command is used to determine which commands require assertion of
8  // Physical Presence in addition to platformAuth/platformPolicy.
9  */
10 TPM_RC
11 TPM2_PP_Commands(PP_Commands_In* in // IN: input parameter list
12 )
13 {
14     UINT32 i;
15
16     // The command needs NV update. Check if NV is available.
17     // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
18     // this point
19     RETURN_IF_NV_IS_NOT_AVAILABLE;
20
21     // Internal Data Update
22
23     // Process set list
24     for(i = 0; i < in->setList.count; i++)
25         // If command is implemented, set it as PP required. If the input
26         // command is not a PP command, it will be ignored at
27         // PhysicalPresenceCommandSet().
28         // Note: PhysicalPresenceCommandSet() checks if the command is implemented.
29         PhysicalPresenceCommandSet(in->setList.commandCodes[i]);
30
31     // Process clear list
32     for(i = 0; i < in->clearList.count; i++)
33         // If command is implemented, clear it as PP required. If the input
34         // command is not a PP command, it will be ignored at
35         // PhysicalPresenceCommandClear(). If the input command is
36         // TPM2_PP_Commands, it will be ignored as well
37         PhysicalPresenceCommandClear(in->clearList.commandCodes[i]);
38
39     // Save the change of PP list
40     NV_SYNC_PERSISTENT(ppList);
41
42     return TPM_RC_SUCCESS;
43 }
44
45 #endif // CC_PP_Commands
46

```

## 26.3 TPM2\_SetAlgorithmSet

### 26.3.1 General Description

This command allows the platform to change the set of algorithms that are used by the TPM. The *algorithmSet* setting is a vendor-dependent value.

If the changing of the algorithm set results in a change of the algorithms of PCR banks, then the TPM will need to be reset (`_TPM_Init` and `TPM2_Startup(TPM_SU_CLEAR)`) before the new PCR settings take effect. After this command executes successfully, if *startupType* in the next `TPM2_Startup()` is not `TPM_SU_CLEAR`, the TPM shall return `TPM_RC_VALUE` and may enter Failure mode.

Other than PCR, when an algorithm is no longer supported, the behavior of this command is vendor-dependent.

**EXAMPLE** Entities can remain resident. Persistent objects, transient objects, or sessions can be flushed. NV Indexes may be undefined. Policies may be erased.

**NOTE** The reference implementation does not have support for this command. In particular, it does not support use of this command to selectively disable algorithms. Proper support would require modification of the unmarshaling code so that each time an algorithm is unmarshaled, it would be verified as being enabled.

## 26.3.2 Command and Response

Table 195 — TPM2\_SetAlgorithmSet Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_SetAlgorithmSet {NV}
TPMI_RH_PLATFORM	@authHandle	TPM_RH_PLATFORM Auth Index: 1 Auth Role: USER
UINT32	algorithmSet	a TPM vendor-dependent value indicating the algorithm set selection

Table 196 — TPM2\_SetAlgorithmSet Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	



### 26.3.3 Detailed Actions

#### 26.3.3.1 /tpm/src/command/Misc/SetAlgorithmSet.c

```
1  #include "Tpm.h"
2  #include "SetAlgorithmSet_fp.h"
3
4  #if CC_SetAlgorithmSet // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // This command allows the platform to change the algorithm set setting of the TPM
8  */
9  TPM_RC
10 TPM2_SetAlgorithmSet(SetAlgorithmSet_In* in // IN: input parameter list
11 )
12 {
13     // The command needs NV update. Check if NV is available.
14     // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
15     // this point
16     RETURN_IF_NV_IS_NOT_AVAILABLE;
17
18     // Internal Data Update
19     gp.algorithmSet = in->algorithmSet;
20
21     // Write the algorithm set changes to NV
22     NV_SYNC_PERSISTENT(algorithmSet);
23
24     return TPM_RC_SUCCESS;
25 }
26
27 #endif // CC_SetAlgorithmSet
28
```

## 27 Field Upgrade

### 27.1 Introduction

Clause 26.3.3.1 contains the commands for managing field upgrade of the firmware in the TPM. The field upgrade scheme may be used for replacement or augmentation of the firmware installed in the TPM.

**EXAMPLE 1** If an algorithm is found to be flawed, a patch of that algorithm might be installed using the firmware upgrade process. The patch might be a replacement of a portion of the code or a complete replacement of the firmware.

**EXAMPLE 2** If an additional set of ECC parameters is needed, the firmware process can be used to add the parameters to the TPM data set.

The field upgrade process uses two commands (TPM2\_FieldUpgradeStart() and TPM2\_FieldUpgradeData()). TPM2\_FieldUpgradeStart() validates that a signature on the provided digest is from the TPM manufacturer, and that proper authorization is provided using *platformPolicy*.

**NOTE 1** The *platformPolicy* for field upgraded is defined by the PM and may include requirements that the upgrade be signed by the PM or the TPM owner and include any other constraints that are desired by the PM.

If the proper authorization is given, the TPM will retain the signed digest and enter the Field Upgrade mode (FUM). While in FUM, the TPM will accept TPM2\_FieldUpgradeData() commands. It may accept other commands if it is able to complete them using the previously installed firmware. Otherwise, it will return TPM\_RC\_UPGRADE.

Each block of the field upgrade shall contain the digest of the next block of the field upgrade data. That digest shall be included in the digest of the previous block. The digest of the first block is signed by the TPM manufacturer. That signature and first block digest are the parameters for TPM2\_FieldUpgradeStart(). The digest is saved in the TPM as the required digest for the next field upgrade data block and as the identifier of the field upgrade sequence.

For each field upgrade data block that is sent to the TPM by TPM2\_FieldUpgradeData(), the TPM shall validate that the digest matches the required digest and if not, shall return TPM\_RC\_VALUE. The TPM shall extract the digest of the next expected block and return that value to the caller, along with the digest of the first data block of the update sequence.

The system may attempt to abandon the firmware upgrade by using a zero-length buffer in TPM2\_FieldUpgradeData(). If the TPM is able to resume operation using the firmware present when the upgrade started, then the TPM will indicate that it has abandon the update by setting the digest of the next block to the Empty Buffer. If the TPM cannot abandon the update, it will return the expected next digest.

The system may also attempt to abandon the update because of a power interruption. If the TPM is able to resume normal operations, then it will respond normally to TPM2\_Startup(). If the TPM is not able to resume normal operations, then it will respond to any command but TPM2\_FieldUpgradeData() with TPM\_RC\_UPGRADE.

After a \_TPM\_Init, system software may not be able to resume the field upgrade that was in process when the power interruption occurred. In such case, the TPM firmware may be reset to one of two other values:

- the original firmware that was installed at the factory ("initial firmware"); or
- the firmware that was in the TPM when the field upgrade process started ("previous firmware").

The TPM retains the digest of the first block for these firmware images and checks to see if the first block after \_TPM\_Init matches either of those digests. If so, the firmware update process restarts, and the original firmware may be loaded.

NOTE 2      The TPM is required to accept the previous firmware as either a vendor-provided update or as recovered from the TPM using TPM2\_FirmwareRead().

When the last block of the firmware upgrade is loaded into the TPM (indicated to the TPM by data in the data block in a TPM vendor-specific manner), the TPM will complete the upgrade process. If the TPM is able to resume normal operations without a reboot, it will set the hash algorithm of the next block to TPM\_ALG\_NULL and return TPM\_RC\_SUCCESS. If a reboot is required, the TPM shall return TPM\_RC\_REBOOT in response to the last TPM2\_FieldUpgradeData() and all subsequent TPM commands until a \_TPM\_Init is received.

NOTE 3      Because no additional data is allowed when the response code is not TPM\_RC\_SUCCESS, the TPM returns TPM\_RC\_SUCCESS for all calls to TPM2\_FieldUpgradeData() except the last. In this manner, the TPM is able to indicate the digest of the next block. If a \_TPM\_Init occurs while the TPM is in FUM, the next block may be the digest for the first block of the original firmware. If it is not, then the TPM will not accept the original firmware until the next \_TPM\_Init when the TPM is in FUM.

During the field upgrade process, either the one specified in clause 26.3.3.1 or a vendor proprietary field upgrade process, the TPM should preserve:

- Primary Seeds (and the primary keys generated from them);
- Hierarchy *authValue*, *authPolicy*, and *proof* values;
- Lockout *authValue* and authorization failure count values;
- PCR *authValue* and *authPolicy* values;
- NV Index allocations and contents;
- Persistent object allocations and contents; and
- Clock.

NOTE 4      A platform manufacturer may provide a means to change preserved data to accommodate a case where a field upgrade fixes a flaw that might have compromised TPM secrets.

## 27.2 TPM2\_FieldUpgradeStart

### 27.2.1 General Description

This command uses *platformPolicy* and a TPM Vendor Authorization Key to authorize a Field Upgrade Manifest.

If the signature checks succeed, the authorization is valid and the TPM will accept TPM2\_FieldUpgradeData().

This signature is checked against the loaded key referenced by *keyHandle*. This key will have a Name that is the same as a value that is part of the TPM firmware data. If the signature is not valid, the TPM shall return TPM\_RC\_SIGNATURE.

NOTE           A loaded key is used rather than a hard-coded key to reduce the amount of memory needed for this key data in case more than one vendor key is needed.

## 27.2.2 Command and Response

Table 197 — TPM2\_FieldUpgradeStart Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_FieldUpgradeStart
TPMI_RH_PLATFORM	@authorization	TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: ADMIN
TPMI_DH_OBJECT	keyHandle	handle of a public area that contains the TPM Vendor Authorization Key that will be used to validate <i>manifestSignature</i> Auth Index: None
TPM2B_DIGEST	fuDigest	digest of the first block in the field upgrade sequence
TPMT_SIGNATURE	manifestSignature	signature over <i>fuDigest</i> using the key associated with <i>keyHandle</i> (not optional)

Table 198 — TPM2\_FieldUpgradeStart Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 27.2.3 Detailed Actions

#### 27.2.3.1 /tpm/src/command/FieldUpgrade/FieldUpgradeStart.c

```
1  #include "Tpm.h"
2  #include "FieldUpgradeStart_fp.h"
3  #if CC_FieldUpgradeStart // Conditional expansion of this file
4
5  /*(See part 3 specification)
6  // FieldUpgradeStart
7  */
8  TPM_RC
9  TPM2_FieldUpgradeStart(FieldUpgradeStart_In* in // IN: input parameter list
10 )
11 {
12     // Not implemented
13     UNUSED_PARAMETER(in);
14     return TPM_RC_SUCCESS;
15 }
16 #endif
17
```

## 27.3 TPM2\_FieldUpgradeData

### 27.3.1 General Description

This command will take the actual field upgrade image to be installed on the TPM. The exact format of *fuData* is vendor-specific. This command is only possible following a successful TPM2\_FieldUpgradeStart(). If the TPM has not received a properly authorized TPM2\_FieldUpgradeStart(), then the TPM shall return TPM\_RC\_FIELDUPGRADE.

The TPM will validate that the digest of *fuData* matches an expected value. If so, the TPM may buffer or immediately apply the update. If the digest of *fuData* does not match an expected value, the TPM shall return TPM\_RC\_VALUE.

## 27.3.2 Command and Response

Table 199 — TPM2\_FieldUpgradeData Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_FieldUpgradeData {NV}
TPM2B_MAX_BUFFER	fuData	field upgrade image data

Table 200 — TPM2\_FieldUpgradeData Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPMT_HA+	nextDigest	tagged digest of the next block TPM_ALG_NULL if field update is complete
TPMT_HA	firstDigest	tagged digest of the first block of the sequence



### 27.3.3 Detailed Actions

#### 27.3.3.1 /tpm/src/command/FieldUpgrade/FieldUpgradeData.c

```
1  #include "Tpm.h"
2  #include "FieldUpgradeData_fp.h"
3  #if CC_FieldUpgradeData // Conditional expansion of this file
4
5  /*(See part 3 specification)
6  // FieldUpgradeData
7  */
8  TPM_RC
9  TPM2_FieldUpgradeData(FieldUpgradeData_In* in, // IN: input parameter list
10                      FieldUpgradeData_Out* out // OUT: output parameter list
11  )
12  {
13      // Not implemented
14      UNUSED_PARAMETER(in);
15      UNUSED_PARAMETER(out);
16      return TPM_RC_SUCCESS;
17  }
18 #endif
19
```

## 27.4 TPM2\_FirmwareRead

### 27.4.1 General Description

This command is used to read a copy of the current firmware installed in the TPM.

The presumption is that the data will be returned in reverse order so that the last block in the sequence would be the first block given to the TPM in case of a failure recovery. If the TPM2\_FirmwareRead sequence completes successfully, then the data provided from the TPM will be sufficient to allow the TPM to recover from an abandoned upgrade of this firmware.

To start the sequence of retrieving the data, the caller sets *sequenceNumber* to zero. When the TPM has returned all the firmware data, the TPM will return the Empty Buffer as *fuData*.

The contents of *fuData* are opaque to the caller.

NOTE 1            The caller should retain the ordering of the update blocks so that the blocks sent to the TPM have the same size and inverse order as the blocks returned by a sequence of calls to this command.

NOTE 2            Support for this command is optional even if the TPM implements TPM2\_FieldUpgradeStart() and TPM2\_FieldUpgradeData().

## 27.4.2 Command and Response

Table 201 — TPM2\_FirmwareRead Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_FirmwareRead
UINT32	sequenceNumber	the number of previous calls to this command in this sequence set to 0 on the first call

Table 202 — TPM2\_FirmwareRead Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_MAX_BUFFER	fuData	field upgrade image data

### 27.4.3 Detailed Actions

#### 27.4.3.1 /tpm/src/command/FieldUpgrade/FirmwareRead.c

```
1  #include "Tpm.h"
2  #include "FirmwareRead_fp.h"
3
4  #if CC_FirmwareRead // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // FirmwareRead
8  */
9  TPM_RC
10 TPM2_FirmwareRead(FirmwareRead_In* in, // IN: input parameter list
11                  FirmwareRead_Out* out // OUT: output parameter list
12 )
13 {
14     // Not implemented
15     UNUSED_PARAMETER(in);
16     UNUSED_PARAMETER(out);
17     return TPM_RC_SUCCESS;
18 }
19
20 #endif // CC_FirmwareRead
21
```

## 28 Context Management

### 28.1 Introduction

Three of the commands in clause 27.4.3.1 (TPM2\_ContextSave(), TPM2\_ContextLoad(), and TPM2\_FlushContext()) implement the resource management described in the "Context Management" clause in TPM 2.0 Part 1.

The fourth command in clause 27.4.3.1 (TPM2\_EvictControl()) is used to control the persistence of loadable objects in TPM memory. Background for this command may be found in the "Owner and Platform Evict Objects" clause in TPM 2.0 Part 1.

### 28.2 TPM2\_ContextSave

#### 28.2.1 General Description

This command saves a session context, object context, or sequence object context outside the TPM.

No authorization sessions of any type are allowed with this command and tag is required to be TPM\_ST\_NO\_SESSIONS.

NOTE This preclusion avoids complex issues of dealing with the same session in *handle* and in the session area. While it might be possible to provide specificity, it would add unnecessary complexity to the TPM and, because this capability would provide no application benefit, use of authorization sessions for audit or encryption is prohibited.

The TPM shall encrypt and integrity protect the TPM2B\_CONTEXT\_SENSITIVE *context* as described in the "Context Protections" clause in TPM 2.0 Part 1.

See the "Context Data" clause in TPM 2.0 Part 2 for a description of the *context* structure in the response.

**28.2.2 Command and Response****Table 203 — TPM2\_ContextSave Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ContextSave
TPMI_DH_CONTEXT	saveHandle	handle of the resource to save Auth Index: None

**Table 204 — TPM2\_ContextSave Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPMS_CONTEXT	context	

## 28.2.3 Detailed Actions

### 28.2.3.1 /tpm/src/command/Context/ContextSave.c

```

1  #include "Tpm.h"
2
3  #if CC_ContextSave // Conditional expansion of this file
4
5  # include "ContextSave_fp.h"
6  # include "Marshal.h"
7  # include "Context_spt_fp.h"
8
9  /*(See part 3 specification)
10  Save context
11  */
12  // Return Type: TPM_RC
13  //     TPM_RC_CONTEXT_GAP          a contextID could not be assigned for a session
14  //                                     context save
15  //     TPM_RC_TOO_MANY_CONTEXTS    no more contexts can be saved as the counter has
16  //                                     maxed out
17  TPM_RC
18  TPM2_ContextSave(ContextSave_In* in, // IN: input parameter list
19                  ContextSave_Out* out // OUT: output parameter list
20  )
21  {
22      TPM_RC result = TPM_RC_SUCCESS;
23      UINT16 fingerprintSize; // The size of fingerprint in context
24      // blob.
25      UINT64 contextID = 0; // session context ID
26      TPM2B_SYM_KEY symKey;
27      TPM2B_IV iv;
28
29      TPM2B_DIGEST integrity;
30      UINT16 integritySize;
31      BYTE* buffer;
32
33      // This command may cause the orderlyState to be cleared due to
34      // the update of state reset data. If the state is orderly and
35      // cannot be changed, exit early.
36      RETURN_IF_ORDERLY;
37
38      // Internal Data Update
39
40      // This implementation does not do things in quite the same way as described in
41      // Part 2 of the specification. In Part 2, it indicates that the
42      // TPMS_CONTEXT_DATA contains two TPM2B values. That is not how this is
43      // implemented. Rather, the size field of the TPM2B_CONTEXT_DATA is used to
44      // determine the amount of data in the encrypted data. That part is not
45      // independently sized. This makes the actual size 2 bytes smaller than
46      // calculated using Part 2. Since this is opaque to the caller, it is not
47      // necessary to fix. The actual size is returned by TPM2_GetCapabilities().
48
49      // Initialize output handle. At the end of command action, the output
50      // handle of an object will be replaced, while the output handle
51      // for a session will be the same as input
52      out->context.savedHandle = in->saveHandle;
53
54      // Get the size of fingerprint in context blob. The sequence value in
55      // TPMS_CONTEXT structure is used as the fingerprint
56      fingerprintSize = sizeof(out->context.sequence);
57
58      // Compute the integrity size at the beginning of context blob
59      integritySize =

```

```

60         sizeof(integrity.t.size) + CryptHashGetDigestSize(CONTEXT_INTEGRITY_HASH_ALG);
61
62     // Perform object or session specific context save
63     switch(HandleGetType(in->saveHandle))
64     {
65         case TPM_HT_TRANSIENT:
66         {
67             OBJECT* object = HandleToObject(in->saveHandle);
68             ANY_OBJECT_BUFFER* outObject;
69             UINT16 objectSize = ObjectIsSequence(object) ? sizeof(HASH_OBJECT)
70                                                         : sizeof(OBJECT);
71
72             outObject = (ANY_OBJECT_BUFFER*)(out->context.contextBlob.t.buffer
73                                             + integritySize + fingerprintSize);
74
75             // Set size of the context data. The contents of context blob is vendor
76             // defined. In this implementation, the size is size of integrity
77             // plus fingerprint plus the whole internal OBJECT structure
78             out->context.contextBlob.t.size =
79                 integritySize + fingerprintSize + objectSize;
80
81             # if ALG_RSA
82             // For an RSA key, make sure that the key has had the private exponent
83             // computed before saving.
84             if(object->publicArea.type == TPM_ALG_RSA
85                 && !(object->attributes.publicOnly))
86                 CryptRsaLoadPrivateExponent(&object->publicArea, &object->sensitive);
87
88             # endif
89
90             // Make sure things fit
91             pAssert(out->context.contextBlob.t.size
92                 <= sizeof(out->context.contextBlob.t.buffer));
93             // Copy the whole internal OBJECT structure to context blob
94             MemoryCopy(outObject, object, objectSize);
95
96             // Increment object context ID
97             gr.objectContextID++;
98             // If object context ID overflows, TPM should be put in failure mode
99             if(gr.objectContextID == 0)
100                 FAIL(FATAL_ERROR_INTERNAL);
101
102             // Fill in other return values for an object.
103             out->context.sequence = gr.objectContextID;
104             // For regular object, savedHandle is 0x80000000. For sequence object,
105             // savedHandle is 0x80000001. For object with stClear, savedHandle
106             // is 0x80000002
107             if(ObjectIsSequence(object))
108             {
109                 out->context.savedHandle = 0x80000001;
110                 SequenceDataExport((HASH_OBJECT*)object,
111                                 (HASH_OBJECT_BUFFER*)outObject);
112             }
113             else
114                 out->context.savedHandle =
115                     (object->attributes.stClear == SET) ? 0x80000002 : 0x80000000;
116             // Get object hierarchy
117             out->context.hierarchy = object->hierarchy;
118
119             break;
120         }
121         case TPM_HT_HMAC_SESSION:
122         case TPM_HT_POLICY_SESSION:
123         {
124             SESSION* session = SessionGet(in->saveHandle);
125
126             // Set size of the context data. The contents of context blob is vendor
127             // defined. In this implementation, the size of context blob is the
128             // size of a internal session structure plus the size of

```



```

126     // fingerprint plus the size of integrity
127     out->context.contextBlob.t.size =
128         integritySize + fingerprintSize + sizeof(*session);
129
130     // Make sure things fit
131     pAssert(out->context.contextBlob.t.size
132         < sizeof(out->context.contextBlob.t.buffer));
133
134     // Copy the whole internal SESSION structure to context blob.
135     // Save space for fingerprint at the beginning of the buffer
136     // This is done before anything else so that the actual context
137     // can be reclaimed after this call
138     pAssert(sizeof(*session) <= sizeof(out->context.contextBlob.t.buffer)
139         - integritySize - fingerprintSize);
140     MemoryCopy(
141         out->context.contextBlob.t.buffer + integritySize + fingerprintSize,
142         session,
143         sizeof(*session));
144     // Fill in the other return parameters for a session
145     // Get a context ID and set the session tracking values appropriately
146     // TPM_RC_CONTEXT_GAP is a possible error.
147     // SessionContextSave() will flush the in-memory context
148     // so no additional errors may occur after this call.
149     result = SessionContextSave(out->context.savedHandle, &contextID);
150     if(result != TPM_RC_SUCCESS)
151         return result;
152     // sequence number is the current session contextID
153     out->context.sequence = contextID;
154
155     // use TPM_RH_NULL as hierarchy for session context
156     out->context.hierarchy = TPM_RH_NULL;
157
158     break;
159 }
160 default:
161     // SaveContext may only take an object handle or a session handle.
162     // All the other handle type should be filtered out at unmarshal
163     FAIL(FATAL_ERROR_INTERNAL);
164     break;
165 }
166
167 // Save fingerprint at the beginning of encrypted area of context blob.
168 // Reserve the integrity space
169 pAssert(sizeof(out->context.sequence)
170     <= sizeof(out->context.contextBlob.t.buffer) - integritySize);
171 MemoryCopy(out->context.contextBlob.t.buffer + integritySize,
172     &out->context.sequence,
173     sizeof(out->context.sequence));
174
175 // Compute context encryption key
176 result = ComputeContextProtectionKey(&out->context, &symKey, &iv);
177 if(result != TPM_RC_SUCCESS)
178     return result;
179
180 // Encrypt context blob
181 CryptSymmetricEncrypt(out->context.contextBlob.t.buffer + integritySize,
182     CONTEXT_ENCRYPT_ALG,
183     CONTEXT_ENCRYPT_KEY_BITS,
184     symKey.t.buffer,
185     &iv,
186     TPM_ALG_CFB,
187     out->context.contextBlob.t.size - integritySize,
188     out->context.contextBlob.t.buffer + integritySize);
189
190 // Compute integrity hash for the object
191 // In this implementation, the same routine is used for both sessions

```

```
192     // and objects.
193     result = ComputeContextIntegrity(&out->context, &integrity);
194     if(result != TPM_RC_SUCCESS)
195         return result;
196
197     // add integrity at the beginning of context blob
198     buffer = out->context.contextBlob.t.buffer;
199     TPM2B_DIGEST_Marshal(&integrity, &buffer, NULL);
200
201     // orderly state should be cleared because of the update of state reset and
202     // state clear data
203     g_clearOrderly = TRUE;
204
205     return result;
206 }
207
208 #endif // CC_ContextSave
209
```

## 28.3 TPM2\_ContextLoad

### 28.3.1 General Description

This command is used to reload a context that has been saved by TPM2\_ContextSave().

No authorization sessions of any type are allowed with this command and tag is required to be TPM\_ST\_NO\_SESSIONS (see note in clause 28.2.1).

The TPM will return TPM\_RC\_HIERARCHY if the context is associated with a hierarchy that is disabled.

**NOTE** Contexts for authorization sessions and for sequence objects belong to the NULL hierarchy, which is never disabled.

See the "Context Data" clause in TPM 2.0 Part 2 for a description of the values in the *context* parameter.

If the integrity HMAC of the saved context is not valid, the TPM shall return TPM\_RC\_INTEGRITY.

The TPM shall perform a check on the decrypted context as described in the "Context Confidentiality Protection" clause of TPM 2.0 Part 1 and enter failure mode if the check fails.

## 28.3.2 Command and Response

Table 205 — TPM2\_ContextLoad Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ContextLoad
TPMS_CONTEXT	context	the context blob

Table 206 — TPM2\_ContextLoad Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPMI_DH_CONTEXT	loadedHandle	the handle assigned to the resource after it has been successfully loaded

### 28.3.3 Detailed Actions

#### 28.3.3.1 /tpm/src/command/Context/ContextLoad.c

```

1  #include "Tpm.h"
2
3  #if CC_ContextLoad // Conditional expansion of this file
4
5  # include "ContextLoad_fp.h"
6  # include "Marshal.h"
7  # include "Context_spt_fp.h"
8
9  /*(See part 3 specification)
10 // Load context
11 */
12
13 // Return Type: TPM_RC
14 //     TPM_RC_CONTEXT_GAP      there is only one available slot and this is not
15 //                               the oldest saved session context
16 //     TPM_RC_HANDLE           'context.savedHandle' does not reference a saved
17 //                               session
18 //     TPM_RC_HIERARCHY        'context.hierarchy' is disabled
19 //     TPM_RC_INTEGRITY        'context' integrity check fail
20 //     TPM_RC_OBJECT_MEMORY    no free slot for an object
21 //     TPM_RC_SESSION_MEMORY   no free session slots
22 //     TPM_RC_SIZE             incorrect context blob size
23 TPM_RC
24 TPM2_ContextLoad(ContextLoad_In* in, // IN: input parameter list
25                  ContextLoad_Out* out // OUT: output parameter list
26 )
27 {
28     TPM_RC      result;
29     TPM2B_DIGEST integrityToCompare;
30     TPM2B_DIGEST integrity;
31     BYTE*       buffer; // defined to save some typing
32     INT32        size;   // defined to save some typing
33     TPM_HT       handleType;
34     TPM2B_SYM_KEY symKey;
35     TPM2B_IV      iv;
36
37     // Input Validation
38
39     // See discussion about the context format in TPM2_ContextSave Detailed Actions
40
41     // IF this is a session context, make sure that the sequence number is
42     // consistent with the version in the slot
43
44     // Check context blob size
45     handleType = HandleGetType(in->context.savedHandle);
46
47     // Get integrity from context blob
48     buffer = in->context.contextBlob.t.buffer;
49     size = (INT32)in->context.contextBlob.t.size;
50     result = TPM2B_DIGEST_Unmarshal(&integrity, &buffer, &size);
51     if(result != TPM_RC_SUCCESS)
52         return result;
53
54     // the size of the integrity value has to match the size of digest produced
55     // by the integrity hash
56     if(integrity.t.size != CryptHashGetDigestSize(CONTEXT_INTEGRITY_HASH_ALG))
57         return TPM_RCS_SIZE + RC_ContextLoad_context;
58
59     // Make sure that the context blob has enough space for the fingerprint. This

```

```

60 // is elastic pants to go with the belt and suspenders we already have to make
61 // sure that the context is complete and untampered.
62 if((unsigned)size < sizeof(in->context.sequence))
63     return TPM_RCS_SIZE + RC_ContextLoad_context;
64
65 // After unmarshaling the integrity value, 'buffer' is pointing at the first
66 // byte of the integrity protected and encrypted buffer and 'size' is the number
67 // of integrity protected and encrypted bytes.
68
69 // Compute context integrity
70 result = ComputeContextIntegrity(&in->context, &integrityToCompare);
71 if(result != TPM_RC_SUCCESS)
72     return result;
73
74 // Compare integrity
75 if(!MemoryEqual2B(&integrity.b, &integrityToCompare.b))
76     return TPM_RCS_INTEGRITY + RC_ContextLoad_context;
77 // Compute context encryption key
78 result = ComputeContextProtectionKey(&in->context, &symKey, &iv);
79 if(result != TPM_RC_SUCCESS)
80     return result;
81
82 // Decrypt context data in place
83 CryptSymmetricDecrypt(buffer,
84     CONTEXT_ENCRYPT_ALG,
85     CONTEXT_ENCRYPT_KEY_BITS,
86     symKey.t.buffer,
87     &iv,
88     TPM_ALG_CFB,
89     size,
90     buffer);
91 // See if the fingerprint value matches. If not, it is symptomatic of either
92 // a broken TPM or that the TPM is under attack so go into failure mode.
93 if(!MemoryEqual(buffer, &in->context.sequence, sizeof(in->context.sequence)))
94     FAIL(FATAL_ERROR_INTERNAL);
95
96 // step over fingerprint
97 buffer += sizeof(in->context.sequence);
98
99 // set the remaining size of the context
100 size -= sizeof(in->context.sequence);
101
102 // Perform object or session specific input check
103 switch(handleType)
104 {
105     case TPM_HT_TRANSIENT:
106     {
107         OBJECT* outObject;
108
109         if(size > (INT32)sizeof(OBJECT))
110             FAIL(FATAL_ERROR_INTERNAL);
111
112         // Discard any changes to the handle that the TRM might have made
113         in->context.savedHandle = TRANSIENT_FIRST;
114
115         // If hierarchy is disabled, no object context can be loaded in this
116         // hierarchy
117         if(!HierarchyIsEnabled(in->context.hierarchy))
118             return TPM_RCS_HIERARCHY + RC_ContextLoad_context;
119
120         // Restore object. If there is no empty space, indicate as much
121         outObject =
122             ObjectContextLoad((ANY_OBJECT_BUFFER*)buffer, &out->loadedHandle);
123         if(outObject == NULL)
124             return TPM_RC_OBJECT_MEMORY;
125     }

```

```

126         break;
127     }
128     case TPM_HT_POLICY_SESSION:
129     case TPM_HT_HMAC_SESSION:
130     {
131         if(size != sizeof(SESSION))
132             FAIL(FATAL_ERROR_INTERNAL);
133
134         // This command may cause the orderlyState to be cleared due to
135         // the update of state reset data. If this is the case, check if NV is
136         // available first
137         RETURN_IF_ORDERLY;
138
139         // Check if input handle points to a valid saved session and that the
140         // sequence number makes sense
141         if(!SequenceNumberForSavedContextIsValid(&in->context))
142             return TPM_RCS_HANDLE + RC_ContextLoad_context;
143
144         // Restore session. A TPM_RC_SESSION_MEMORY, TPM_RC_CONTEXT_GAP error
145         // may be returned at this point
146         result =
147             SessionContextLoad((SESSION_BUF*)buffer, &in->context.savedHandle);
148         if(result != TPM_RC_SUCCESS)
149             return result;
150
151         out->loadedHandle = in->context.savedHandle;
152
153         // orderly state should be cleared because of the update of state
154         // reset and state clear data
155         g_clearOrderly = TRUE;
156
157         break;
158     }
159     default:
160         // Context blob may only have an object handle or a session handle.
161         // All the other handle type should be filtered out at unmarshal
162         FAIL(FATAL_ERROR_INTERNAL);
163         break;
164 }
165
166 return TPM_RC_SUCCESS;
167 }
168
169 #endif // CC_ContextLoad
170

```

## 28.4 TPM2\_FlushContext

### 28.4.1 General Description

This command causes all context associated with a loaded object, sequence object, or session to be removed from TPM memory.

This command may not be used to remove a persistent object from the TPM. Use TPM2\_EvictControl to remove a persistent object.

A session does not have to be loaded in TPM memory to have its context flushed. The saved session context associated with the indicated handle is invalidated. When flushing a session, the upper byte of the handle is ignored.

EXAMPLE        A command to flush session handle 0x20000000 will flush session handle 0x03000000.

No sessions of any type are allowed with this command and tag is required to be TPM\_ST\_NO\_SESSIONS (see note in clause 28.2.1).

If the handle is for a Transient Object and the handle is not associated with a loaded object, then the TPM shall return TPM\_RC\_HANDLE.

If the handle is for an authorization session and the handle does not reference a loaded or active session, then the TPM shall return TPM\_RC\_HANDLE.

NOTE            *flushHandle* is a parameter and not a handle. If it were in the handle area, the TPM would validate that the context for the referenced entity is in the TPM. When a TPM2\_FlushContext references a saved session context, it is not necessary for the context to be in the TPM. When the *flushHandle* is in the parameter area, the TPM does not validate that associated context is actually in the TPM.



### 28.4.2 Command and Response

**Table 207 — TPM2\_FlushContext Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_FlushContext
TPMI_DH_CONTEXT	flushHandle	the handle of the item to flush NOTE This is a use of a handle as a parameter.

**Table 208 — TPM2\_FlushContext Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

## 28.4.3 Detailed Actions

## 28.4.3.1 /tpm/src/command/Context/FlushContext.c

```

1  #include "Tpm.h"
2  #include "FlushContext_fp.h"
3
4  #if CC_FlushContext // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Flush a specific object or session
8  */
9  // Return Type: TPM_RC
10 // TPM_RC_HANDLE 'flushHandle' does not reference a loaded object or session
11 TPM_RC
12 TPM2_FlushContext(FlushContext_In* in // IN: input parameter list
13 )
14 {
15     // Internal Data Update
16
17     // Call object or session specific routine to flush
18     switch(HandleGetType(in->flushHandle))
19     {
20         case TPM_HT_TRANSIENT:
21             if(!IsObjectPresent(in->flushHandle))
22                 return TPM_RCS_HANDLE + RC_FlushContext_flushHandle;
23             // Flush object
24             FlushObject(in->flushHandle);
25             break;
26         case TPM_HT_HMAC_SESSION:
27         case TPM_HT_POLICY_SESSION:
28             if(!SessionIsLoaded(in->flushHandle) && !SessionIsSaved(in->flushHandle))
29                 return TPM_RCS_HANDLE + RC_FlushContext_flushHandle;
30
31             // If the session to be flushed is the exclusive audit session, then
32             // indicate that there is no exclusive audit session any longer.
33             if(in->flushHandle == g_exclusiveAuditSession)
34                 g_exclusiveAuditSession = TPM_RH_UNASSIGNED;
35
36             // Flush session
37             SessionFlush(in->flushHandle);
38             break;
39         default:
40             // This command only takes object or session handle. Other handles
41             // should be filtered out at handle unmarshal
42             FAIL(FATAL_ERROR_INTERNAL);
43             break;
44     }
45
46     return TPM_RC_SUCCESS;
47 }
48
49 #endif // CC_FlushContext
50

```

## 28.5 TPM2\_EvictControl

### 28.5.1 General Description

This command allows certain Transient Objects to be made persistent or a persistent object to be evicted.

NOTE 1 A transient object is one that may be removed from TPM memory using either TPM2\_FlushContext() or TPM2\_Startup(). A persistent object is not removed from TPM memory by TPM2\_FlushContext() or TPM2\_Startup().

If *objectHandle* is a Transient Object, then this call makes a persistent copy of the object and assigns *persistentHandle* to the persistent version of the object. If *objectHandle* is a persistent object, then the call evicts the persistent object. The call does not affect the transient object.

Before execution of TPM2\_EvictControl code below, the TPM verifies that *objectHandle* references an object that is resident on the TPM and that *persistentHandle* is a valid handle for a persistent object.

NOTE 2 This requirement simplifies the unmarshaling code so that it only need check that *persistentHandle* is always a persistent object.

If *objectHandle* references a Transient Object:

a) The TPM shall return TPM\_RC\_ATTRIBUTES if

- 1) it is in the hierarchy of TPM\_RH\_NULL or a firmware-limited or SVN-limited hierarchy,
- 2) only the public portion of the object is loaded, or

NOTE 3 This is for NV space efficiency. Loading an object whose private part is empty would unnecessarily consume NV resources.

- 3) the *stClear* is SET in the object or in an ancestor key.

b) The TPM shall return TPM\_RC\_HIERARCHY if the object is not in the proper hierarchy as determined by *auth*.

- 1) If *auth* is TPM\_RH\_PLATFORM, the proper hierarchy is the Platform hierarchy.
- 2) If *auth* is TPM\_RH\_OWNER, the proper hierarchy is either the Storage or the Endorsement hierarchy.

c) The TPM shall return TPM\_RC\_RANGE if *persistentHandle* is not in the proper range as determined by *auth*.

- 1) If *auth* is TPM\_RH\_OWNER, then *persistentHandle* shall be in the inclusive range of 81 00 00 00<sub>16</sub> to 81 7F FF FF<sub>16</sub>.
- 2) If *auth* is TPM\_RH\_PLATFORM, then *persistentHandle* shall be in the inclusive range of 81 80 00 00<sub>16</sub> to 81 FF FF FF<sub>16</sub>.

NOTE 4 This separation permits the platform (the platform OEM) a range of indexes that will not interfere with indexes used by the TPM owner (the OS or applications).

d) The TPM shall return TPM\_RC\_NV\_DEFINED if a persistent object exists with the same handle as *persistentHandle*.

e) The TPM shall return TPM\_RC\_NV\_SPACE if insufficient space is available to make the object persistent.

f) The TPM shall return TPM\_RC\_NV\_SPACE if execution of this command will prevent the TPM from being able to hold two transient objects of any kind.

NOTE 5 This requirement anticipates that a TPM may be implemented such that all TPM memory is non-volatile and not subject to endurance issues. In such case, there is no movement of an object

between memory of different types, and it is necessary that the TPM ensure that it is always possible for the management software to move objects to/from TPM memory in order to ensure that the objects required for command execution can be context restored.

- g) If the TPM returns TPM\_RC\_SUCCESS, the object referenced by *objectHandle* will not be flushed and both *objectHandle* and *persistentHandle* may be used to access the object.

If *objectHandle* references a persistent object:

- h) The TPM shall return TPM\_RC\_RANGE if *objectHandle* is not in the proper range as determined by *auth*. If *auth* is TPM\_RC\_OWNER, *objectHandle* shall be in the inclusive range of 81 00 00 00<sub>16</sub> to 81 7F FF FF<sub>16</sub>. If *auth* is TPM\_RC\_PLATFORM, *objectHandle* may be any valid persistent object handle.
- i) If *objectHandle* is not the same value as *persistentHandle*, return TPM\_RC\_HANDLE.
- j) If the TPM returns TPM\_RC\_SUCCESS, *objectHandle* will be removed from persistent memory and no longer be accessible.

NOTE 5            The persistent object is not converted to a transient object, as this would prevent the immediate revocation of an object by removing it from persistent memory.

## 28.5.2 Command and Response

Table 209 — TPM2\_EvictControl Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_EvictControl {NV}
TPMI_RH_PROVISION	@auth	TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Handle: 1 Auth Role: USER
TPMI_DH_OBJECT	objectHandle	the handle of a loaded object Auth Index: None
TPMI_DH_PERSISTENT	persistentHandle	if <i>objectHandle</i> is a transient object handle, then this is the persistent handle for the object if <i>objectHandle</i> is a persistent object handle, then it shall be the same value as <i>persistentHandle</i>

Table 210 — TPM2\_EvictControl Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 28.5.3 Detailed Actions

#### 28.5.3.1 /tpm/src/command/Context/EvictControl.c

```

1  #include "Tpm.h"
2  #include "EvictControl_fp.h"
3
4  #if CC_EvictControl // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Make a transient object persistent or evict a persistent object
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_ATTRIBUTES    an object with 'temporary', 'stClear' or 'publicOnly'
11 //                          attribute SET cannot be made persistent
12 //     TPM_RC_HIERARCHY    'auth' cannot authorize the operation in the hierarchy
13 //                          of 'evictObject';
14 //                          an object in a firmware-bound or SVN-bound hierarchy
15 //                          cannot be made persistent.
16 //     TPM_RC_HANDLE        'evictHandle' of the persistent object to be evicted is
17 //                          not the same as the 'persistentHandle' argument
18 //     TPM_RC_NV_HANDLE     'persistentHandle' is unavailable
19 //     TPM_RC_NV_SPACE      no space in NV to make 'evictHandle' persistent
20 //     TPM_RC_RANGE         'persistentHandle' is not in the range corresponding to
21 //                          the hierarchy of 'evictObject'
22 TPM_RC
23 TPM2_EvictControl(EvictControl_In* in // IN: input parameter list
24 )
25 {
26     TPM_RC result;
27     OBJECT* evictObject;
28
29     // Input Validation
30
31     // Get internal object pointer
32     evictObject = HandleToObject(in->objectHandle);
33
34     // Objects in a firmware-limited or SVN-limited hierarchy cannot be made
35     // persistent.
36     if(HierarchyIsFirmwareLimited(evictObject->hierarchy)
37        || HierarchyIsSvnLimited(evictObject->hierarchy))
38         return TPM_RCS_HIERARCHY + RC_EvictControl_objectHandle;
39
40     // Temporary, stClear or public only objects can not be made persistent
41     if(evictObject->attributes.temporary == SET
42        || evictObject->attributes.stClear == SET
43        || evictObject->attributes.publicOnly == SET)
44         return TPM_RCS_ATTRIBUTES + RC_EvictControl_objectHandle;
45
46     // If objectHandle refers to a persistent object, it should be the same as
47     // input persistentHandle
48     if(evictObject->attributes.evict == SET
49        && evictObject->evictHandle != in->persistentHandle)
50         return TPM_RCS_HANDLE + RC_EvictControl_objectHandle;
51
52     // Additional authorization validation
53     if(in->auth == TPM_RH_PLATFORM)
54     {
55         // To make persistent
56         if(evictObject->attributes.evict == CLEAR)
57         {
58             // PlatformAuth can not set evict object in storage or endorsement
59             // hierarchy

```

```

60         if(evictObject->attributes.ppsHierarchy == CLEAR)
61             return TPM_RCS_HIERARCHY + RC_EvictControl_objectHandle;
62         // Platform cannot use a handle outside of platform persistent range.
63         if(!NvIsPlatformPersistentHandle(in->persistentHandle))
64             return TPM_RCS_RANGE + RC_EvictControl_persistentHandle;
65     }
66     // PlatformAuth can delete any persistent object
67 }
68 else if(in->auth == TPM_RH_OWNER)
69 {
70     // OwnerAuth can not set or clear evict object in platform hierarchy
71     if(evictObject->attributes.ppsHierarchy == SET)
72         return TPM_RCS_HIERARCHY + RC_EvictControl_objectHandle;
73
74     // Owner cannot use a handle outside of owner persistent range.
75     if(evictObject->attributes.evict == CLEAR
76        && !NvIsOwnerPersistentHandle(in->persistentHandle))
77         return TPM_RCS_RANGE + RC_EvictControl_persistentHandle;
78 }
79 else
80 {
81     // Other authorization is not allowed in this command and should have been
82     // filtered out in unmarshal process
83     FAIL(FATAL_ERROR_INTERNAL);
84 }
85 // Internal Data Update
86 // Change evict state
87 if(evictObject->attributes.evict == CLEAR)
88 {
89     // Make object persistent
90     if(NvFindHandle(in->persistentHandle) != 0)
91         return TPM_RC_NV_DEFINED;
92     // A TPM_RC_NV_HANDLE or TPM_RC_NV_SPACE error may be returned at this
93     // point
94     result = NvAddEvictObject(in->persistentHandle, evictObject);
95 }
96 else
97 {
98     // Delete the persistent object in NV
99     result = NvDeleteEvict(evictObject->evictHandle);
100 }
101 return result;
102 }
103
104 #endif // CC_EvictControl
105

```

## 29 Clocks and Timers

### 29.1 TPM2\_ReadClock

#### 29.1.1 General Description

This command reads the current TPMS\_TIME\_INFO structure that contains the current setting of *Time*, *Clock*, *resetCount*, and *restartCount*.



### 29.1.2 Command and Response

**Table 211 — TPM2\_ReadClock Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ReadClock

**Table 212 — TPM2\_ReadClock Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPMS_TIME_INFO	currentTime	

### 29.1.3 Detailed Actions

#### 29.1.3.1 /tpm/src/command/ClockTimer/ReadClock.c

```
1  #include "Tpm.h"
2  #include "ReadClock_fp.h"
3
4  #if CC_ReadClock // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // read the current TPMS_TIMER_INFO structure settings
8  */
9  TPM_RC
10 TPM2_ReadClock(ReadClock_Out* out // OUT: output parameter list
11 )
12 {
13     // Command Output
14
15     out->currentTime.time = g_time;
16     TimeFillInfo(&out->currentTime.clockInfo);
17
18     return TPM_RC_SUCCESS;
19 }
20
21 #endif // CC_ReadClock
22
```

## 29.2 TPM2\_ClockSet

### 29.2.1 General Description

This command is used to advance the value of the TPM's *Clock*. The command will fail if *newTime* is less than the current value of *Clock* or if the new time is greater than FF FF 00 00 00 00 00<sub>16</sub>. If both of these checks succeed, *Clock* is set to *newTime*. If either of these checks fails, the TPM shall return TPM\_RC\_VALUE and make no change to *Clock*.

NOTE This maximum setting would prevent *Clock* from rolling over to zero for approximately 8,000 years at the real time *Clock* update rate. If the *Clock* update rate was set so that TPM time was passing 33 percent faster than real time, it would still be more than 6,000 years before *Clock* would roll over to zero. Because *Clock* will not roll over in the lifetime of the TPM, there is no need for external software to deal with the possibility that *Clock* may wrap around.

If the value of *Clock* after the update makes the volatile and non-volatile versions of TPMS\_CLOCK\_INFO.*clock* differ by more than the reported update interval, then the TPM shall update the non-volatile version of TPMS\_CLOCK\_INFO.*clock* before returning.

This command requires Platform Authorization or Owner Authorization.

## 29.2.2 Command and Response

Table 213 — TPM2\_ClockSet Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ClockSet {NV}
TPMI_RH_PROVISION	@auth	TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Handle: 1 Auth Role: USER
UINT64	newTime	new <i>Clock</i> setting in milliseconds

Table 214 — TPM2\_ClockSet Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

## 29.2.3 Detailed Actions

### 29.2.3.1 /tpm/src/command/ClockTimer/ClockSet.c

```

1  #include "Tpm.h"
2  #include "ClockSet_fp.h"
3
4  #if CC_ClockSet // Conditional expansion of this file
5
6  // Read the current TPMS_TIMER_INFO structure settings
7  // Return Type: TPM_RC
8  //     TPM_RC_NV_RATE           NV is unavailable because of rate limit
9  //     TPM_RC_NV_UNAVAILABLE    NV is inaccessible
10 //     TPM_RC_VALUE             invalid new clock
11
12 TPM_RC
13 TPM2_ClockSet(ClockSet_In* in // IN: input parameter list
14 )
15 {
16     // Input Validation
17     // new time can not be bigger than 0xFFFF000000000000 or smaller than
18     // current clock
19     if(in->newTime > 0xFFFF000000000000ULL || in->newTime < go.clock)
20         return TPM_RCS_VALUE + RC_ClockSet_newTime;
21
22     // Internal Data Update
23     // Can't modify the clock if NV is not available.
24     RETURN_IF_NV_IS_NOT_AVAILABLE;
25
26     TimeClockUpdate(in->newTime);
27     return TPM_RC_SUCCESS;
28 }
29
30 #endif // CC_ClockSet
31

```

## 29.3 TPM2\_ClockRateAdjust

### 29.3.1 General Description

This command adjusts the rate of advance of *Clock* and *Time* to provide a better approximation to real time.

The *rateAdjust* value is relative to the current rate and not the nominal rate of advance.

EXAMPLE 1 If this command had been called three times with *rateAdjust* = TPM\_CLOCK\_COARSE\_SLOWER and once with *rateAdjust* = TPM\_CLOCK\_COARSE\_FASTER, the net effect will be as if the command had been called twice with *rateAdjust* = TPM\_CLOCK\_COARSE\_SLOWER.

The range of adjustment shall be sufficient to allow *Clock* and *Time* to advance at real time but no more. If the requested adjustment would make the rate advance faster or slower than the nominal accuracy of the input frequency, the TPM shall return TPM\_RC\_VALUE.

EXAMPLE 2 If the frequency tolerance of the TPM's input clock is +/-10 percent, then the TPM will return TPM\_RC\_VALUE if the adjustment would make *Clock* run more than 10 percent faster or slower than nominal. That is, if the input oscillator were nominally 100 megahertz (MHz), then 1 millisecond (ms) would normally take 100,000 counts. The update *Clock* should be adjustable so that 1 ms is between 90,000 and 110,000 counts.

The interpretation of “fine” and “coarse” adjustments is implementation-specific.

The nominal rate of advance for *Clock* and *Time* shall be accurate to within 15 percent. That is, with no adjustment applied, *Clock* and *Time* shall be advanced at a rate within 15 percent of actual time.

NOTE If the adjustments are incorrect, it will be possible to make the difference between advance of *Clock/Time* and real time to be as much as  $1.15^2$  or  $\sim 1.33$ .

Changes to the current *Clock* update rate adjustment need not be persisted across TPM power cycles.

## 29.3.2 Command and Response

Table 215 — TPM2\_ClockRateAdjust Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ClockRateAdjust
TPMI_RH_PROVISION	@auth	TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Handle: 1 Auth Role: USER
TPM_CLOCK_ADJUST	rateAdjust	Adjustment to current <i>Clock</i> update rate

Table 216 — TPM2\_ClockRateAdjust Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 29.3.3 Detailed Actions

#### 29.3.3.1 /tpm/src/command/ClockTimer/ClockRateAdjust.c

```
1  #include "Tpm.h"
2  #include "ClockRateAdjust_fp.h"
3
4  #if CC_ClockRateAdjust // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // adjusts the rate of advance of Clock and Timer to provide a better
8  // approximation to real time.
9  */
10 TPM_RC
11 TPM2_ClockRateAdjust(ClockRateAdjust_In* in // IN: input parameter list
12 )
13 {
14     // Internal Data Update
15     TimeSetAdjustRate(in->rateAdjust);
16
17     return TPM_RC_SUCCESS;
18 }
19
20 #endif // CC_ClockRateAdjust
21
```



## 30 Capability Commands

### 30.1 Introduction

The TPM has numerous values that indicate the state, capabilities, and properties of the TPM. These values are needed for proper management of the TPM. The TPM2\_GetCapability() command is used to access these values.

TPM2\_GetCapability() allows reporting of multiple values in a single call. The values are grouped according to type.

NOTE TPM2\_TestParms() is used to determine if a TPM supports a particular combination of algorithm parameters

The TPM can permit specific data (such as TPM configurations) to be set in the TPM; this data is set with TPM2\_SetCapability(). TPM2\_SetCapability() sets only one property at a time.

### 30.2 TPM2\_GetCapability

#### 30.2.1 General Description

This command returns various information regarding the TPM and its current state.

The *capability* parameter determines the category of data returned. The *property* parameter selects the first value of the selected category to be returned. If there is no property that corresponds to the value of *property*, the next higher value is returned, if it exists.

EXAMPLE 1 The list of handles of transient objects currently loaded in the TPM may be read one at a time. On the first read, set the property to TRANSIENT\_FIRST and *propertyCount* to one. If a transient object is present, the lowest numbered handle is returned and *moreData* will be YES if transient objects with higher handles are loaded. On the subsequent call, use returned handle value plus 1 in order to access the next higher handle.

The *propertyCount* parameter indicates the number of capabilities in the indicated group that are requested. The TPM will return no more than the number of requested values (*propertyCount*) or until the last property of the requested type has been returned.

NOTE 1 The type of the capability is derived from a combination of *capability* and *property*.

NOTE 2 If the *property* selects an unimplemented property, the next higher implemented property is returned.

When all of the properties of the requested type have been returned, the *moreData* parameter in the response will be set to NO. Otherwise, it will be set to YES.

NOTE 3 The *moreData* parameter will be YES if there are more properties even if the requested number of capabilities has been returned.

The TPM is not required to return more than one value at a time. It is not required to provide the same number of values in response to subsequent requests.

EXAMPLE 2 A TPM may return 4 properties in response to a TPM2\_GetCapability(*capability* = TPM\_CAP\_TPM\_PROPERTY, *property* = TPM\_PT\_MANUFACTURER, *propertyCount* = 8 ) and for a latter request with the same parameters, the TPM may return as few as one and as many as 8 values.

When the TPM is in Failure mode, a TPM is required to allow use of this command for access of the following capabilities:

- TPM\_PT\_MANUFACTURER
- TPM\_PT\_VENDOR\_STRING\_1
- TPM\_PT\_VENDOR\_STRING\_2 (NOTE 4)
- TPM\_PT\_VENDOR\_STRING\_3 (NOTE 4)
- TPM\_PT\_VENDOR\_STRING\_4 (NOTE 4)
- TPM\_PT\_VENDOR\_TPM\_TYPE
- TPM\_PT\_FIRMWARE\_VERSION\_1
- TPM\_PT\_FIRMWARE\_VERSION\_2

NOTE 4 If the vendor string does not require one of these values, the property type does not need to exist.

A vendor may optionally allow the TPM to return other values.

If in Failure mode and a capability is requested that is not available in Failure mode, the TPM shall return no value.

EXAMPLE 3 Assume the TPM is in Failure mode and the TPM only supports reporting of the minimum required set of properties (the limited subset of TPML\_TAGGED\_TPM\_PROPERTY values). If a TPM2\_GetCapability is received requesting a capability that has a property type value greater than TPM\_PT\_FIRMWARE\_VERSION\_2, the TPM can return a zero-length list with the moreData parameter set to NO or return the property TPM\_PT\_FIRMWARE\_VERSION\_2. If the property type is less than TPM\_PT\_MANUFACTURER, the TPM will return properties beginning with TPM\_PT\_MANUFACTURER.

In Failure mode, *tag* is required to be TPM\_ST\_NO\_SESSIONS or the TPM shall return TPM\_RC\_FAILURE.

The capability categories and the types of the return values are:

<b>capability</b>	<b>property</b>	<b>Return Type</b>
TPM_CAP_ALGS	TPM_ALG_ID <sup>(1)</sup>	TPML_ALG_PROPERTY
TPM_CAP_HANDLES	TPM_HANDLE	TPML_HANDLE
TPM_CAP_COMMANDS	TPM_CC	TPML_CCA
TPM_CAP_PP_COMMANDS	TPM_CC	TPML_CC
TPM_CAP_AUDIT_COMMANDS	TPM_CC	TPML_CC
TPM_CAP_PCERS	Reserved	TPML_PCR_SELECTION
TPM_CAP_TPM_PROPERTIES	TPM_PT	TPML_TAGGED_TPM_PROPERTY
TPM_CAP_PCR_PROPERTIES	TPM_PT_PCR	TPML_TAGGED_PCR_PROPERTY
TPM_CAP_ECC_CURVES	TPM_ECC_CURVE <sup>(1)</sup>	TPML_ECC_CURVE
TPM_CAP_AUTH_POLICIES <sup>(3)</sup>	TPM_HANDLE <sup>(2)</sup>	TPML_TAGGED_POLICY
TPM_CAP_ACT <sup>(4)</sup>	TPM_HANDLE <sup>(2)</sup>	TPML_ACT_DATA
TPM_CAP_VENDOR_PROPERTY	manufacturer specific	manufacturer-specific values
NOTES: (1) The TPM_ALG_ID or TPM_ECC_CURVE is cast to a UINT32 (2) The TPM will return TPM_RC_VALUE if the handle does not reference the range for permanent handles. (3) TPM_CAP_AUTH_POLICIES was added in revision 01.32. (4) TPM_CAP_ACT was added in revision 01.56.		

- **TPM\_CAP\_ALGS** – Returns a list of TPMS\_ALG\_PROPERTIES. Each entry is an algorithm ID and a set of properties of the algorithm.
- **TPM\_CAP\_HANDLES** – Returns a list of all of the handles within the handle range of the *property* parameter. The range of the returned handles is determined by the handle type (the most-significant octet (MSO) of the *property*). Any of the defined handle types is allowed

EXAMPLE 4      If the MSO of *property* is TPM\_HT\_NV\_INDEX, then the TPM will return a list of NV Index values.

EXAMPLE 5      If the MSO of *property* is TPM\_HT\_PCR, then the TPM will return a list of PCR.

- For this capability, use of TPM\_HT\_LOADED\_SESSION and TPM\_HT\_SAVED\_SESSION is allowed. Requesting handles with a handle type of TPM\_HT\_LOADED\_SESSION will return handles for loaded sessions. The returned handle values will have a handle type of either TPM\_HT\_HMAC\_SESSION or TPM\_HT\_POLICY\_SESSION. If saved sessions are requested, all returned values will have the TPM\_HT\_HMAC\_SESSION handle type because the TPM does not track the session type of saved sessions.

NOTE 5      TPM\_HT\_LOADED\_SESSION and TPM\_HT\_HMAC\_SESSION have the same value, as do TPM\_HT\_SAVED\_SESSION and TPM\_HT\_POLICY\_SESSION. It is not possible to request that the TPM return a list of loaded HMAC sessions without including the policy sessions.

- For this capability, TPM\_RH\_SVN\_OWNER\_BASE, TPM\_RH\_SVN\_ENDORSEMENT\_BASE, TPM\_RH\_SVN\_PLATFORM\_BASE, and TPM\_RH\_NULL\_BASE handles may be returned. There are separate handles for each SVN from 0 to the firmware's current SVN (up to UINT16\_MAX), which are not returned. Instead, only the handles associated with SVN 0 are returned (i.e., 0x40010000, 0x40020000, 0x40030000, and 0x40040000). The user can query the firmware's current SVN via TPM2\_GetCapability to determine which SVN-specific handles are available for use.
- **TPM\_CAP\_COMMANDS** – Returns a list of the command attributes for all of the commands implemented in the TPM, starting with the TPM\_CC indicated by the *property* parameter. If vendor specific commands are implemented, the vendor-specific command attribute with the lowest *commandIndex*, is returned after the non-vendor-specific (base) command.

NOTE 6      The type of the *property* parameter is a TPM\_CC while the type of the returned list is TPML\_CCA.

- **TPM\_CAP\_PP\_COMMANDS** – Returns a list of all of the commands currently requiring Physical Presence for confirmation of platform authorization. The list will start with the TPM\_CC indicated by *property*.
- **TPM\_CAP\_AUDIT\_COMMANDS** – Returns a list of all of the commands currently set for command audit.
- **TPM\_CAP\_PCRS** – Returns the current allocation of PCR in a TPML\_PCR\_SELECTION. The *property* parameter shall be zero. The TPM will always respond to this command with the full PCR allocation and *moreData* will be NO.

The TPML\_PCR\_SELECTION must include a TPMS\_PCR\_SELECTION for each PCR bank in which there is at least one allocated PCR. The TPML\_PCR\_SELECTION may return a TPMS\_PCR\_SELECTION for each implemented PCR bank. The TPML\_PCR\_SELECTION may return a TPMS\_PCR\_SELECTION for each implemented hash algorithm.

- **TPM\_CAP\_TPM\_PROPERTIES** – Returns a list of tagged properties. The tag is a TPM\_PT and the property is a 32-bit value. The properties are returned in groups. Each property group is on a 256-value boundary (that is, the boundary occurs when the TPM\_PT is evenly divisible by 256). The TPM will only return values in the same group as the *property* parameter in the command.
- **TPM\_CAP\_PCR\_PROPERTIES** – Returns a list of tagged PCR properties. The tag is a TPM\_PT\_PCR and the property is a TPMS\_PCR\_SELECT.

The input command property is a TPM\_PT\_PCR (see TPM 2.0 Part 2 for PCR properties to be requested) that specifies the first property to be returned. If propertyCount is greater than 1, the list of properties begins with that property and proceeds in TPM\_PT\_PCR sequence.

Each item in the list is a TPMS\_PCR\_SELECT structure that contains a bitmap of all PCR.

NOTE 7      A PCR index in all banks (all hash algorithms) has the same properties, so the hash algorithm is not specified here.

- **TPM\_CAP\_TPM\_ECC\_CURVES** – Returns a list of ECC curve identifiers currently available for use in the TPM.
- **TPM\_CAP\_AUTH\_POLICIES** - Returns a list of tagged policies reporting the authorization policies for the permanent handles.
- **TPM\_CAP\_ACT** – Returns a list of TPMS\_ACT\_DATA, each of which contains the handle for the ACT, the remaining time before it expires, and the ACT attributes.

The *moreData* parameter will have a value of YES if there are more values of the requested type that were not returned.

If no next capability exists, the TPM will return a zero-length list and *moreData* will have a value of NO.

NOTE 8      Additional settable capabilities may be defined by a TCG Registry.

## 30.2.2 Command and Response

Table 217 — TPM2\_GetCapability Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_GetCapability
TPM_CAP	capability	group selection; determines the format of the response
UINT32	property	further definition of information
UINT32	propertyCount	number of properties of the indicated type to return

Table 218 — TPM2\_GetCapability Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPMI_YES_NO	moreData	flag to indicate if there are more values of this type
TPMS_CAPABILITY_DATA	capabilityData	the capability data

### 30.2.3 Detailed Actions

#### 30.2.3.1 /tpm/src/command/Capability/GetCapability.c

```

1  #include "Tpm.h"
2  #include "GetCapability_fp.h"
3
4  #if CC_GetCapability // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // This command returns various information regarding the TPM and its current
8  // state
9  */
10 // Return Type: TPM_RC
11 //     TPM_RC_HANDLE      value of 'property' is in an unsupported handle range
12 //                        for the TPM_CAP_HANDLES 'capability' value
13 //     TPM_RC_VALUE       invalid 'capability'; or 'property' is not 0 for the
14 //                        TPM_CAP_PCERS 'capability' value
15 TPM_RC
16 TPM2_GetCapability(GetCapability_In* in, // IN: input parameter list
17                  GetCapability_Out* out // OUT: output parameter list
18 )
19 {
20     TPMU_CAPABILITIES* data = &out->capabilityData.data;
21     // Command Output
22
23     // Set output capability type the same as input type
24     out->capabilityData.capability = in->capability;
25
26     switch(in->capability)
27     {
28         case TPM_CAP_ALGS:
29             out->moreData = AlgorithmCapGetImplemented(
30                 (TPM_ALG_ID)in->property, in->propertyCount, &data->algorithms);
31             break;
32         case TPM_CAP_HANDLES:
33             switch(HandleGetType((TPM_HANDLE)in->property))
34             {
35                 case TPM_HT_TRANSIENT:
36                     // Get list of handles of loaded transient objects
37                     out->moreData = ObjectCapGetLoaded(
38                         (TPM_HANDLE)in->property, in->propertyCount, &data->handles);
39                     break;
40                 case TPM_HT_PERSISTENT:
41                     // Get list of handles of persistent objects
42                     out->moreData = NvCapGetPersistent(
43                         (TPM_HANDLE)in->property, in->propertyCount, &data->handles);
44                     break;
45                 case TPM_HT_NV_INDEX:
46                     // Get list of defined NV index
47                     out->moreData = NvCapGetIndex(
48                         (TPM_HANDLE)in->property, in->propertyCount, &data->handles);
49                     break;
50                 case TPM_HT_LOADED_SESSION:
51                     // Get list of handles of loaded sessions
52                     out->moreData = SessionCapGetLoaded(
53                         (TPM_HANDLE)in->property, in->propertyCount, &data->handles);
54                     break;
55                 case TPM_HT_SAVED_SESSION:
56                     // Get list of handles of
57                     out->moreData = SessionCapGetSaved(
58                         (TPM_HANDLE)in->property, in->propertyCount, &data->handles);
59                     break;

```

```

60         case TPM_HT_PCR:
61             // Get list of handles of PCR
62             out->moreData = PCRCapGetHandles(
63                 (TPM_HANDLE)in->property, in->propertyCount, &data->handles);
64             break;
65         case TPM_HT_PERMANENT:
66             // Get list of permanent handles
67             out->moreData = PermanentCapGetHandles(
68                 (TPM_HANDLE)in->property, in->propertyCount, &data->handles);
69             break;
70         default:
71             // Unsupported input handle type
72             return TPM_RCS_HANDLE + RC_GetCapability_property;
73             break;
74     }
75     break;
76 case TPM_CAP_COMMANDS:
77     out->moreData = CommandCapGetCCList(
78         (TPM_CC)in->property, in->propertyCount, &data->command);
79     break;
80 case TPM_CAP_PP_COMMANDS:
81     out->moreData = PhysicalPresenceCapGetCCList(
82         (TPM_CC)in->property, in->propertyCount, &data->ppCommands);
83     break;
84 case TPM_CAP_AUDIT_COMMANDS:
85     out->moreData = CommandAuditCapGetCCList(
86         (TPM_CC)in->property, in->propertyCount, &data->auditCommands);
87     break;
88 case TPM_CAP_PCERS:
89     // Input property must be 0
90     if(in->property != 0)
91         return TPM_RCS_VALUE + RC_GetCapability_property;
92     out->moreData =
93         PCRCapGetAllocation(in->propertyCount, &data->assignedPCR);
94     break;
95 case TPM_CAP_PCR_PROPERTIES:
96     out->moreData = PCRCapGetProperties(
97         (TPM_PT_PCR)in->property, in->propertyCount, &data->pcrProperties);
98     break;
99 case TPM_CAP_TPM_PROPERTIES:
100     out->moreData = TPMCapGetProperties(
101         (TPM_PT)in->property, in->propertyCount, &data->tpmProperties);
102     break;
103 # if ALG_ECC
104     case TPM_CAP_ECC_CURVES:
105         out->moreData = CryptCapGetECCCurve(
106             (TPM_ECC_CURVE)in->property, in->propertyCount, &data->eccCurves);
107         break;
108 # endif // ALG_ECC
109     case TPM_CAP_AUTH_POLICIES:
110         if(HandleGetType((TPM_HANDLE)in->property) != TPM_HT_PERMANENT)
111             return TPM_RCS_VALUE + RC_GetCapability_property;
112         out->moreData = PermanentHandleGetPolicy(
113             (TPM_HANDLE)in->property, in->propertyCount, &data->authPolicies);
114         break;
115     case TPM_CAP_ACT:
116 # if ACT_SUPPORT
117         if(((TPM_RH)in->property < TPM_RH_ACT_0)
118             || ((TPM_RH)in->property > TPM_RH_ACT_F))
119             return TPM_RCS_VALUE + RC_GetCapability_property;
120         out->moreData = ActGetCapabilityData(
121             (TPM_HANDLE)in->property, in->propertyCount, &data->actData);
122         break;
123 # else
124         return TPM_RCS_VALUE + RC_GetCapability_property;
125 # endif // ACT_SUPPORT

```

```
126     case TPM_CAP_VENDOR_PROPERTY:
127         // vendor property is not implemented
128     default:
129         // Unsupported TPM_CAP value
130         return TPM_RCS_VALUE + RC_GetCapability_capability;
131         break;
132     }
133
134     return TPM_RC_SUCCESS;
135 }
136
137 #endif // CC_GetCapability
138
```



### 30.3 TPM2\_TestParms

#### 30.3.1 General Description

This command is used to check to see if specific combinations of algorithm parameters are supported.

The TPM will unmarshal the provided TPMT\_PUBLIC\_PARMS. If the parameters unmarshal correctly, then the TPM will return TPM\_RC\_SUCCESS, indicating that the parameters are valid for the TPM. The TPM will return the appropriate unmarshaling error if a parameter is not valid.

### 30.3.2 Command and Response

**Table 219 — TPM2\_TestParms Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_TestParms
TPMT_PUBLIC_PARMS	parameters	algorithm parameters to be validated

**Table 220 — TPM2\_TestParms Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	TPM_RC

### 30.3.3 Detailed Actions

#### 30.3.3.1 /tpm/src/command/Capability/TestParms.c

```

1  #include "Tpm.h"
2  #include "TestParms_fp.h"
3
4  #if CC_TestParms // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // TestParms
8  */
9  TPM_RC
10 TPM2_TestParms(TestParms_In* in // IN: input parameter list
11 )
12 {
13     // Input parameter is not reference in command action
14     NOT_REFERENCED(in);
15
16     // The parameters are tested at unmarshal process. We do nothing in command
17     // action
18     return TPM_RC_SUCCESS;
19 }
20
21 #endif // CC_TestParms
22

```

## 30.4 TPM2\_SetCapability

### 30.4.1 General Description

This command is used to set specific data in the TPM, such as TPM configurations, which may change the TPM's function and behavior.

Examples of TPM configurations are enabling or disabling TPM features or activating the TPM to operate in a special mode that restricts the TPM's functionality.

Similar to TPM2\_GetCapability(), the data to be set is determined via a capability and property value, where a capability groups several properties of the same type.

Unlike TPM2\_GetCapability(), which returns a list of properties, TPM2\_SetCapability() sets only one property at a time.

NOTE 1            Setting one property at a time simplifies the implementation and error handling.

Properties set with TPM2\_SetCapability() may be read with TPM2\_GetCapability() as both commands use the same capability and property type.

NOTE 2            Some (settable) properties may be exempt from being readable with TPM2\_GetCapability(), e.g., if the data is considered confidential.

NOTE 3            The *setCapabilityData* parameter is a sized buffer to enable parameter encryption. This allows e.g. the vendor-specific authorization values (TPM\_RH\_AUTH\_00-FF) to be set using this command.

The authorization for this command depends on the capability value.

NOTE 4            TPM2\_SetCapability() was added in revision 1.79.

## 30.4.2 Command and Response

Table 221 — TPM2\_SetCapability Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_SetCapability {NV}
TPMI_RH_HIERARCHY_AUTH+	@authHandle	TPM_RH_LOCKOUT, TPM_RH_ENDORSEMENT, TPM_RH_OWNER, TPM_RH_PLATFORM+{PP}, or TPM_RH_NULL Auth Index: 1 Auth Role: USER
TPM2B_SET_CAPABILITY_DATA	setCapabilityData	the capability data to be set

Table 222 — TPM2\_SetCapability Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 30.4.3 Detailed Actions

#### 30.4.3.1 /tpm/src/command/Capability/SetCapability.c

```
1  #include "Tpm.h"
2  #include "SetCapability_fp.h"
3
4  #if CC_SetCapability // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // This command allows configuration of the TPM's capabilities.
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_HANDLE      value of 'property' is in an unsupported handle range
11 //     TPM_RC_HANDLE      for the TPM_CAP_HANDLES 'capability' value
12 //     TPM_RC_VALUE      invalid 'capability'
13 TPM_RC
14 TPM2_SetCapability(SetCapability_In* in // IN: input parameter list
15 )
16 {
17     // This reference implementation does not implement any settable capabilities.
18     return TPM_RC_VALUE + SetCapability_setCapabilityData;
19 }
20
21 #endif // CC_SetCapability
22
```

## 31 Non-volatile Storage

### 31.1 Introduction

The NV commands are used to create, update, read, and delete allocations of space in NV memory. Before an Index may be used, it must be defined (TPM2\_NV\_DefineSpace()).

An Index may be modified if the proper write authorization is provided or read if the proper read authorization is provided. Different controls are available for reading and writing.

An Index may have an Index-specific *authValue* and *authPolicy*. The *authValue* may be used to authorize reading if TPMA\_NV\_AUTHREAD is SET and writing if TPMA\_NV\_AUTHWRITE is SET. The *authPolicy* may be used to authorize reading if TPMA\_NV\_POLICYREAD is SET and writing if TPMA\_NV\_POLICYWRITE is SET.

For commands that have both *authHandle* and *nvIndex* parameters, *authHandle* can be an NV Index, Platform Authorization, or Owner Authorization. If *authHandle* is an NV Index, it must be the same as *nvIndex* (TPM\_RC\_NV\_AUTHORIZATION).

TPMA\_NV\_PPREAD and TPMA\_NV\_PPWRITE indicate if reading or writing of the NV Index may be authorized by *platformAuth* or *platformPolicy*.

TPMA\_NV\_OWNERREAD and TPMA\_NV\_OWNERWRITE indicate if reading or writing of the NV Index may be authorized by *ownerAuth* or *ownerPolicy*.

If an operation on an NV index requires authorization, and the *authHandle* parameter is the handle of an NV Index, then the *nvIndex* parameter must have the same value or the TPM will return TPM\_RC\_NV\_AUTHORIZATION.

NOTE 1 This check ensures that the authorization that was provided is associated with the NV Index being authorized.

For creating an Index, Owner Authorization may not be used if *shEnable* is CLEAR and Platform Authorization may not be used if *phEnable* or *phEnableNV* is CLEAR.

If an Index was defined using Platform Authorization, then that Index is not accessible when *phEnableNV* is CLEAR. If an Index was defined using Owner Authorization, then that Index is not accessible when *shEnable* is CLEAR.

For read access control, any combination of TPMA\_NV\_PPREAD, TPMA\_NV\_OWNERREAD, TPMA\_NV\_AUTHREAD, or TPMA\_NV\_POLICYREAD is allowed as long as at least one is SET.

For write access control, any combination of TPMA\_NV\_PPWRITE, TPMA\_NV\_OWNERWRITE, TPMA\_NV\_AUTHWRITE, or TPMA\_NV\_POLICYWRITE is allowed as long as at least one is SET.

If an Index has been defined and not written, then any operation on the NV Index that requires read authorization will fail (TPM\_RC\_NV\_INITIALIZED). This check may be made before or after other authorization checks but shall be performed before checking the NV Index *authValue*. An authorization failure due to the NV Index not having been written shall not be logged by the dictionary attack logic.

If TPMA\_NV\_CLEAR\_STCLEAR is SET, then the TPMA\_NV\_WRITTEN will be CLEAR on each TPM2\_Startup(TPM\_SU\_CLEAR). TPMA\_NV\_CLEAR\_STCLEAR shall not be SET if the *nvIndexType* is TPM\_NT\_COUNTER.

The code in the “Detailed Actions” clause of each command is written to interface with an implementation-dependent library that allows access to NV memory. The actions assume no specific layout of the structure of the NV data.

Only one NV Index may be directly referenced in a command.

NOTE 2 This means that, if *authHandle* references an NV Index, then *nvIndex* will have the same value. However, this does not limit the number of changes that may occur as side effects. For example, any number of NV Indexes might be relocated as a result of deleting or adding a NV Index.

**Table 223 — Command to handle type mapping**

TPM2_NV_ Commands	TPM_HT Types Supported	TPMI_NV Type	TPM2B NV Public
NV_DefineSpace	TPM_HT_NV_INDEX	TPMI_RH_NV_LEGACY_INDEX	NV_PUBLIC
NV_DefineSpace2	TPM_HT_NV_INDEX TPM_HT_EXTERNAL_NV	TPMI_RH_NV_DEFINED_INDEX	NV_PUBLIC_2
NV_UndefineSpace NV_UndefineSpaceSpecial	TPM_HT_NV_INDEX TPM_HT_EXTERNAL_NV	TPMI_RH_NV_DEFINED_INDEX	
NV_Read NV_Write Etc.	TPM_HT_NV_INDEX TPM_HT_EXTERNAL_NV TPM_HT_PERMANENT_NV	TPMI_RH_NV_INDEX	
NV_ReadPublic	TPM_HT_NV_INDEX	TPMI_RH_NV_LEGACY_INDEX	NV_PUBLIC
NV_ReadPublic2	TPM_HT_NV_INDEX TPM_HT_EXTERNAL_NV TPM_HT_PERMANENT_NV	TPMI_RH_NV_INDEX	NV_PUBLIC_2
	TPM_HT_EXTERNAL_NV	TPMI_RH_NV_EXP_INDEX	NV_PUBLIC_EXP_ATTR

## 31.2 NV Counters

When an Index has the TPM\_NT\_COUNTER attribute, it behaves as a monotonic counter and may only be updated using TPM2\_NV\_Increment().

When an NV counter is created, the TPM shall initialize the 8-octet counter value with a number that is greater than any count value for any NV counter on the TPM since the time of TPM manufacture.

An NV counter may be defined with the TPMA\_NV\_ORDERLY attribute to indicate that the NV Index is expected to be modified at a high frequency and that the data is only persisted to NV when the TPM goes through an orderly shutdown process. The TPM may update the counter value in RAM and occasionally update the non-volatile version of the counter. An orderly shutdown is one occasion to update the non-volatile count. If the difference between the volatile and non-volatile version of the counter becomes as large as MAX\_ORDERLY\_COUNT, this shall be another occasion for updating the non-volatile count.

Before an NV counter can be used, the TPM shall validate that the count is not less than a previously reported value. If the TPMA\_NV\_ORDERLY attribute is not SET, or if the TPM experienced an orderly shutdown, then the count is assumed to be correct. If the TPMA\_NV\_ORDERLY attribute is SET, and the TPM shutdown was not orderly, then the TPM shall OR MAX\_ORDERLY\_COUNT to the contents of the non-volatile counter and set that as the current count.

NOTE 1 Because the TPM would have updated the NV Index if the difference between the count values was equal to MAX\_ORDERLY\_COUNT + 1, the highest value that could have been in the NV Index is MAX\_ORDERLY\_COUNT so it is safe to restore that value.

NOTE 2 The TPM is permitted to implement the RAM portion of the counter such that the effective value of the NV counter is the sum of both the volatile and non-volatile parts. If so, then the TPM may initialize the RAM version of the counter to MAX\_ORDERLY\_COUNT and no update of NV is necessary.

NOTE 3 When a new NV counter is created, the TPM can search all the counters to determine which has the highest value. In this search, the TPM would use the sum of the non-volatile and RAM portions of the counter. The RAM portion of the counter shall be properly initialized to reflect shutdown process (orderly or not) of the TPM.



### 31.3 TPM2\_NV\_DefineSpace

#### 31.3.1 General Description

This command defines the attributes of an NV Index and causes the TPM to reserve space to hold the data associated with the NV Index. If a definition already exists at the NV Index, the TPM will return TPM\_RC\_NV\_DEFINED.

The TPM will return TPM\_RC\_ATTRIBUTES if *nvIndexType* has a reserved value in *publicInfo*.

NOTE 1 It is not required that any of these three attributes be set.

The TPM shall return TPM\_RC\_ATTRIBUTES if TPMA\_NV\_WRITTEN, TPMA\_NV\_READLOCKED, or TPMA\_NV\_WRITELOCKED is SET.

If *nvIndexType* is TPM\_NT\_COUNTER, TPM\_NT\_BITS, TPM\_NT\_PIN\_FAIL, or TPM\_NT\_PIN\_PASS, then *publicInfo*→*dataSize* shall be set to eight (8) or the TPM shall return TPM\_RC\_SIZE.

If *nvIndexType* is TPM\_NT\_EXTEND, then *publicInfo*→*dataSize* shall match the digest size of the *publicInfo.nameAlg* or the TPM shall return TPM\_RC\_SIZE.

NOTE 2 TPM\_RC\_ATTRIBUTES could be returned by a TPM that is based on the reference code of older versions of the specification but the correct response for this error is TPM\_RC\_SIZE.

If the NV Index is an ordinary Index and *publicInfo*→*dataSize* is larger than supported by the TPM implementation, then the TPM shall return TPM\_RC\_SIZE.

If *publicInfo*→*dataSize* is larger than MAX\_NV\_BUFFER\_SIZE and TPMA\_NV\_WRITEALL is SET, then the TPM shall return TPM\_RC\_SIZE.

NOTE 3 The limit for the data size can vary according to the type of the index. For example, if the index has TPMA\_NV\_ORDERLY SET, then the maximum size of an ordinary NV Index may be less than the size of an ordinary NV Index that has TPMA\_NV\_ORDERLY CLEAR.

At least one of TPMA\_NV\_PPREAD, TPMA\_NV\_OWNERREAD, TPMA\_NV\_AUTHREAD, or TPMA\_NV\_POLICYREAD shall be SET or the TPM shall return TPM\_RC\_ATTRIBUTES.

At least one of TPMA\_NV\_PPWRITE, TPMA\_NV\_OWNERWRITE, TPMA\_NV\_AUTHWRITE, or TPMA\_NV\_POLICYWRITE shall be SET or the TPM shall return TPM\_RC\_ATTRIBUTES.

If TPMA\_NV\_CLEAR\_STCLEAR is SET, then *nvIndexType* shall not be TPM\_NT\_COUNTER or the TPM shall return TPM\_RC\_ATTRIBUTES.

If *platformAuth/platformPolicy* is used for authorization, then TPMA\_NV\_PLATFORMCREATE shall be SET in *publicInfo*. If *ownerAuth/ownerPolicy* is used for authorization, TPMA\_NV\_PLATFORMCREATE shall be CLEAR in *publicInfo*. If TPMA\_NV\_PLATFORMCREATE is not set correctly for the authorization, the TPM shall return TPM\_RC\_ATTRIBUTES.

If TPMA\_NV\_POLICY\_DELETE is SET, then the authorization shall be with Platform Authorization or the TPM shall return TPM\_RC\_ATTRIBUTES.

NOTE 4 All NV Indices created by the owner are removed by TPM2\_Clear(). In contrast, the platform is permitted to create Indices that can never be deleted, because such Indices might be essential for proper platform operation. It could be impossible to delete an Index if its policy cannot be satisfied, for example.

If *nvIndexType* is TPM\_NT\_PIN\_FAIL, then TPMA\_NV\_NO\_DA shall be SET. Otherwise, the TPM shall return TPM\_RC\_ATTRIBUTES.

NOTE 5 The intent of a PIN Fail index is that its DA protection is on a per-index basis, not based on the global DA protection. This avoids conflict over which type of dictionary attack protection is in use.

If *nvIndexType* is TPM\_NT\_PIN\_FAIL or TPM\_NT\_PIN\_PASS, then at least one of TPMA\_NV\_PPWRITE, TPMA\_NV\_OWNERWRITE, or TPMA\_NV\_POLICYWRITE shall be SET or the TPM shall return TPM\_RC\_ATTRIBUTES. TPMA\_NV\_AUTHWRITE shall be CLEAR. Otherwise, the TPM shall return TPM\_RC\_ATTRIBUTES.

NOTE 6 If TPMA\_NV\_AUTHWRITE was SET for a PIN Pass index, a user knowing the authorization value could decrease pinCount or increase pinLimit, defeating the purpose of a PIN Pass index. The requirement is also enforced for a PIN Fail index for consistency.

If the implementation does not support TPM2\_NV\_Increment(), the TPM shall return TPM\_RC\_ATTRIBUTES if *nvIndexType* is TPM\_NT\_COUNTER.

If the implementation does not support TPM2\_NV\_SetBits(), the TPM shall return TPM\_RC\_ATTRIBUTES if *nvIndexType* is TPM\_NT\_BITS.

If the implementation does not support TPM2\_NV\_Extend(), the TPM shall return TPM\_RC\_ATTRIBUTES if *nvIndexType* is TPM\_NT\_EXTEND.

If the implementation does not support TPM2\_NV\_UndefineSpaceSpecial(), the TPM shall return TPM\_RC\_ATTRIBUTES if TPMA\_NV\_POLICY\_DELETE is SET.

After the successful completion of this command, the NV Index exists but TPMA\_NV\_WRITTEN will be CLEAR. Any access of the NV data will return TPM\_RC\_NV\_UNINITIALIZED.

In some implementations, an NV Index with the TPM\_NT\_COUNTER attribute may require special TPM resources that provide higher endurance than regular NV. For those implementations, if this command fails because of lack of resources, the TPM will return TPM\_RC\_NV\_SPACE.

The value of *auth* is saved in the created structure. The size of *auth* is limited to be no larger than the size of the digest produced by the NV Index's *nameAlg* (TPM\_RC\_SIZE).

## 31.3.2 Command and Response

Table 224 — TPM2\_NV\_DefineSpace Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_NV_DefineSpace {NV}
TPMI_RH_PROVISION	@authHandle	TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER
TPM2B_AUTH	auth	the authorization value
TPM2B_NV_PUBLIC	publicInfo	the public parameters of the NV area

Table 225 — TPM2\_NV\_DefineSpace Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 31.3.3 Detailed Actions

#### 31.3.3.1 /tpm/src/command/NVStorage/NV\_DefineSpace.c

```

1  #include "Tpm.h"
2  #include "NV_DefineSpace_fp.h"
3
4  #if CC_NV_DefineSpace // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Define a NV index space
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_HIERARCHY           for authorizations using TPM_RH_PLATFORM
11 //                                     phEnable_NV is clear preventing access to NV
12 //                                     data in the platform hierarchy.
13 //     TPM_RC_ATTRIBUTES         attributes of the index are not consistent
14 //     TPM_RC_NV_DEFINED         index already exists
15 //     TPM_RC_NV_SPACE           insufficient space for the index
16 //     TPM_RC_SIZE               'auth->size' or 'publicInfo->authPolicy.size' is
17 //                                     larger than the digest size of
18 //                                     'publicInfo->nameAlg'; or 'publicInfo->dataSize'
19 //                                     is not consistent with 'publicInfo->attributes'
20 //                                     (this includes the case when the index is
21 //                                     larger than a MAX_NV_BUFFER_SIZE but the
22 //                                     TPMA_NV_WRITEALL attribute is SET)
23 TPM_RC
24 TPM2_NV_DefineSpace(NV_DefineSpace_In* in // IN: input parameter list
25 )
26 {
27     // This command only supports TPM_HT_NV_INDEX-typed NV indices.
28     if(HandleGetType(in->publicInfo.nvPublic.nvIndex) != TPM_HT_NV_INDEX)
29     {
30         return TPM_RCS_HANDLE + RC_NV_DefineSpace_publicInfo;
31     }
32
33     return NvDefineSpace(in->authHandle,
34                         &in->auth,
35                         &in->publicInfo.nvPublic,
36                         RC_NV_DefineSpace_authHandle,
37                         RC_NV_DefineSpace_auth,
38                         RC_NV_DefineSpace_publicInfo);
39 }
40
41 #endif // CC_NV_DefineSpace
42

```

## 31.4 TPM2\_NV\_UndefineSpace

### 31.4.1 General Description

This command removes an Index from the TPM.

If *nvIndex* is not defined, the TPM shall return TPM\_RC\_HANDLE.

If *nvIndex* references an Index that has its TPMA\_NV\_PLATFORMCREATE attribute SET, the TPM shall return TPM\_RC\_NV\_AUTHORIZATION unless Platform Authorization is provided.

If *nvIndex* references an Index that has its TPMA\_NV\_POLICY\_DELETE attribute SET, the TPM shall return TPM\_RC\_ATTRIBUTES.

**NOTE** An Index with TPMA\_NV\_PLATFORMCREATE CLEAR may be deleted with Platform Authorization as long as shEnable is SET. If shEnable is CLEAR, indexes created using Owner Authorization are not accessible even for deletion by the platform.

## 31.4.2 Command and Response

Table 226 — TPM2\_NV\_UndefineSpace Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_NV_UndefineSpace {NV}
TPMI_RH_PROVISION	@authHandle	TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER
TPMI_RH_NV_DEFINED_INDEX	nvIndex	the NV Index to remove from NV space Auth Index: None

Table 227 — TPM2\_NV\_UndefineSpace Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 31.4.3 Detailed Actions

#### 31.4.3.1 /tpm/src/command/NVStorage/NV\_UndefineSpace.c

```

1  #include "Tpm.h"
2  #include "NV_UndefineSpace_fp.h"
3
4  #if CC_NV_UndefineSpace // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Delete an NV Index
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_ATTRIBUTES          TPMA_NV_POLICY_DELETE is SET in the Index
11 //                                referenced by 'nvIndex' so this command may
12 //                                not be used to delete this Index (see
13 //                                TPM2_NV_UndefineSpaceSpecial())
14 //     TPM_RC_NV_AUTHORIZATION    attempt to use ownerAuth to delete an index
15 //                                created by the platform
16 //
17 TPM_RC
18 TPM2_NV_UndefineSpace(NV_UndefineSpace_In* in // IN: input parameter list
19 )
20 {
21     NV_REF locator;
22     NV_INDEX* nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
23
24     // Input Validation
25     // This command can't be used to delete an index with TPMA_NV_POLICY_DELETE SET
26     if(IS_ATTRIBUTE(nvIndex->publicArea.attributes, TPMA_NV, POLICY_DELETE))
27         return TPM_RCS_ATTRIBUTES + RC_NV_UndefineSpace_nvIndex;
28
29     // The owner may only delete an index that was defined with ownerAuth. The
30     // platform may delete an index that was created with either authorization.
31     if(in->authHandle == TPM_RH_OWNER
32        && IS_ATTRIBUTE(nvIndex->publicArea.attributes, TPMA_NV, PLATFORMCREATE))
33         return TPM_RC_NV_AUTHORIZATION;
34
35     // Internal Data Update
36
37     // Call implementation dependent internal routine to delete NV index
38     return NvDeleteIndex(nvIndex, locator);
39 }
40
41 #endif // CC_NV_UndefineSpace
42

```

## 31.5 TPM2\_NV\_UndefineSpaceSpecial

### 31.5.1 General Description

This command allows removal of a platform-created NV Index that has TPMA\_NV\_POLICY\_DELETE SET.

This command requires that the policy of the NV Index be satisfied before the NV Index may be deleted. Because administrative role is required, the policy must contain a command that sets the policy command code to TPM\_CC\_NV\_UndefineSpaceSpecial. This indicates that the policy that is being used is a policy that is for this command, and not a policy that would approve another use. That is, authority to use an entity does not grant authority to undefine the entity.

Since the index is deleted, the Empty Buffer is used as the authValue when generating the response HMAC.

If *nvIndex* is not defined, the TPM shall return TPM\_RC\_HANDLE.

If *nvIndex* references an Index that has its TPMA\_NV\_PLATFORMCREATE or TPMA\_NV\_POLICY\_DELETE attribute CLEAR, the TPM shall return TPM\_RC\_ATTRIBUTES.

NOTE An Index with TPMA\_NV\_PLATFORMCREATE CLEAR can be deleted with TPM2\_NV\_UndefineSpace() as long as shEnable is SET. If shEnable is CLEAR, indexes created using Owner Authorization are not accessible even for deletion by the platform.



## 31.5.2 Command and Response

Table 228 — TPM2\_NV\_UndefineSpaceSpecial Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_NV_UndefineSpaceSpecial {NV}
TPMI_RH_NV_DEFINED_INDEX	@nvIndex	Index to be deleted Auth Index: 1 Auth Role: ADMIN
TPMI_RH_PLATFORM	@platform	TPM_RH_PLATFORM + {PP} Auth Index: 2 Auth Role: USER

Table 229 — TPM2\_NV\_UndefineSpaceSpecial Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 31.5.3 Detailed Actions

#### 31.5.3.1 /tpm/src/command/NVStorage/NV\_UndefineSpaceSpecial.c

```

1  #include "Tpm.h"
2  #include "NV_UndefineSpaceSpecial_fp.h"
3  #include "SessionProcess_fp.h"
4
5  #if CC_NV_UndefineSpaceSpecial // Conditional expansion of this file
6
7  /*(See part 3 specification)
8  // Delete a NV index that requires policy to delete.
9  */
10 // Return Type: TPM_RC
11 //      TPM_RC_ATTRIBUTES          TPMA_NV_POLICY_DELETE is not SET in the
12 //                                Index referenced by 'nvIndex'
13 TPM_RC
14 TPM2_NV_UndefineSpaceSpecial(
15     NV_UndefineSpaceSpecial_In* in // IN: input parameter list
16 )
17 {
18     TPM_RC    result;
19     NV_REF    locator;
20     NV_INDEX* nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
21     // Input Validation
22     // This operation only applies when the TPMA_NV_POLICY_DELETE attribute is SET
23     if(!IS_ATTRIBUTE(nvIndex->publicArea.attributes, TPMA_NV, POLICY_DELETE))
24         return TPM_RC_ATTRIBUTES + RC_NV_UndefineSpaceSpecial_nvIndex;
25     // Internal Data Update
26     // Call implementation dependent internal routine to delete NV index
27     result = NvDeleteIndex(nvIndex, locator);
28
29     // If we just removed the index providing the authorization, make sure that the
30     // authorization session computation is modified so that it doesn't try to
31     // access the authValue of the just deleted index
32     if(result == TPM_RC_SUCCESS)
33         SessionRemoveAssociationToHandle(in->nvIndex);
34     return result;
35 }
36
37 #endif // CC_NV_UndefineSpaceSpecial
38

```

## 31.6 TPM2\_NV\_ReadPublic

### 31.6.1 General Description

This command is used to read the public area and Name of an NV Index. The public area of an Index is not privacy-sensitive, and no authorization is required to read this data.

## 31.6.2 Command and Response

Table 230 — TPM2\_NV\_ReadPublic Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_NV_ReadPublic
TPMI_RH_NV_INDEX	nvIndex	the NV Index Auth Index: None

Table 231 — TPM2\_NV\_ReadPublic Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_NV_PUBLIC	nvPublic	the public area of the NV Index
TPM2B_NAME	nvName	the Name of the <i>nvIndex</i>

### 31.6.3 Detailed Actions

#### 31.6.3.1 /tpm/src/command/NVStorage/NV\_ReadPublic.c

```

1  #include "Tpm.h"
2  #include "NV_ReadPublic_fp.h"
3
4  #if CC_NV_ReadPublic // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Read the public information of a NV index
8  */
9  TPM_RC
10 TPM2_NV_ReadPublic(NV_ReadPublic_In* in, // IN: input parameter list
11                   NV_ReadPublic_Out* out // OUT: output parameter list
12 )
13 {
14     NV_INDEX* nvIndex;
15
16     // This command only supports TPM_HT_NV_INDEX-typed NV indices.
17     if(HandleGetType(in->nvIndex) != TPM_HT_NV_INDEX)
18     {
19         return TPM_RCS_HANDLE + RC_NV_ReadPublic_nvIndex;
20     }
21
22     nvIndex = NvGetIndexInfo(in->nvIndex, NULL);
23
24     // Command Output
25
26     // Copy index public data to output
27     out->nvPublic.nvPublic = nvIndex->publicArea;
28
29     // Compute NV name
30     NvGetIndexName(nvIndex, &out->nvName);
31
32     return TPM_RC_SUCCESS;
33 }
34
35 #endif // CC_NV_ReadPublic
36

```

## 31.7 TPM2\_NV\_Write

### 31.7.1 General Description

This command writes a value to an area in NV memory that was previously defined by TPM2\_NV\_DefineSpace().

Proper authorizations are required for this command as determined by TPMA\_NV\_PPWRITE; TPMA\_NV\_OWNERWRITE; TPMA\_NV\_AUTHWRITE; and, if TPMA\_NV\_POLICYWRITE is SET, the *authPolicy* of the NV Index.

If the TPMA\_NV\_WRITELOCKED attribute of the NV Index is SET, then the TPM shall return TPM\_RC\_NV\_LOCKED.

NOTE 1 If authorization sessions are present, they are checked before checks to see if writes to the NV Index are locked.

If *nvIndexType* is TPM\_NT\_COUNTER, TPM\_NT\_BITS or TPM\_NT\_EXTEND, then the TPM shall return TPM\_RC\_ATTRIBUTES.

If *offset* and the *size* field of *data* add to a value that is greater than the *dataSize* field of the NV Index referenced by *nvIndex*, the TPM shall return an error (TPM\_RC\_NV\_RANGE). The implementation may return an error (TPM\_RC\_VALUE) if it performs an additional check and determines that *offset* is greater than the *dataSize* field of the NV Index.

If the TPMA\_NV\_WRITEALL attribute of the NV Index is SET, then the TPM shall return TPM\_RC\_NV\_RANGE if the size of the *data* parameter of the command is not the same as the *data* field of the NV Index.

If all checks succeed, the TPM will merge the *data.size* octets of *data.buffer* value into the *nvIndex→data* starting at *nvIndex→data[offset]*. If the NV memory is implemented with a technology that has endurance limitations, the TPM shall check that the merged data is different from the current contents of the NV Index and only perform a write to NV memory if they differ.

After successful completion of this command, TPMA\_NV\_WRITTEN for the NV Index will be SET.

NOTE 2 Once SET, TPMA\_NV\_WRITTEN remains SET until the NV Index is undefined or the NV Index is cleared.

## 31.7.2 Command and Response

Table 232 — TPM2\_NV\_Write Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_NV_Write {NV}
TPMI_RH_NV_AUTH	@authHandle	handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER
TPMI_RH_NV_INDEX	nvIndex	the NV Index of the area to write Auth Index: None
TPM2B_MAX_NV_BUFFER	data	the data to write
UINT16	offset	the octet offset into the NV Area

Table 233 — TPM2\_NV\_Write Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 31.7.3 Detailed Actions

#### 31.7.3.1 /tpm/src/command/NVStorage/NV\_Write.c

```

1  #include "Tpm.h"
2  #include "NV_Write_fp.h"
3
4  #if CC_NV_Write // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Write to a NV index
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_ATTRIBUTES      Index referenced by 'nvIndex' has either
11 //                             TPMA_NV_BITS, TPMA_NV_COUNTER, or
12 //                             TPMA_NV_EVENT attribute SET
13 //     TPM_RC_NV_AUTHORIZATION the authorization was valid but the
14 //                             authorizing entity ('authHandle')
15 //                             is not allowed to write to the Index
16 //                             referenced by 'nvIndex'
17 //     TPM_RC_NV_LOCKED       Index referenced by 'nvIndex' is write
18 //                             locked
19 //     TPM_RC_NV_RANGE        if TPMA_NV_WRITEALL is SET then the write
20 //                             is not the size of the Index referenced by
21 //                             'nvIndex'; otherwise, the write extends
22 //                             beyond the limits of the Index
23 //
24 TPM_RC
25 TPM2_NV_Write(NV_Write_In* in // IN: input parameter list
26 )
27 {
28     NV_INDEX* nvIndex      = NvGetIndexInfo(in->nvIndex, NULL);
29     TPMA_NV   attributes   = nvIndex->publicArea.attributes;
30     TPM_RC    result;
31
32     // Input Validation
33
34     // Common access checks, NvWriteAccessCheck() may return TPM_RC_NV_AUTHORIZATION
35     // or TPM_RC_NV_LOCKED
36     result = NvWriteAccessChecks(in->authHandle, in->nvIndex, attributes);
37     if(result != TPM_RC_SUCCESS)
38         return result;
39
40     // Bits index, extend index or counter index may not be updated by
41     // TPM2_NV_Write
42     if(IsNvCounterIndex(attributes) || IsNvBitsIndex(attributes)
43        || IsNvExtendIndex(attributes))
44         return TPM_RC_ATTRIBUTES;
45
46     // Make sure that the offset is not too large
47     if(in->offset > nvIndex->publicArea.dataSize)
48         return TPM_RCS_VALUE + RC_NV_Write_offset;
49
50     // Make sure that the selection is within the range of the Index
51     if(in->data.t.size > (nvIndex->publicArea.dataSize - in->offset))
52         return TPM_RC_NV_RANGE;
53
54     // If this index requires a full sized write, make sure that input range is
55     // full sized.
56     // Note: if the requested size is the same as the Index data size, then offset
57     // will have to be zero. Otherwise, the range check above would have failed.
58     if(IS_ATTRIBUTE(attributes, TPMA_NV, WRITEALL)
59        && in->data.t.size < nvIndex->publicArea.dataSize)

```



```
60     return TPM_RC_NV_RANGE;
61
62     // Internal Data Update
63
64     // Perform the write. This called routine will SET the TPMA_NV_WRITTEN
65     // attribute if it has not already been SET. If NV isn't available, an error
66     // will be returned.
67     return NvWriteIndexData(nvIndex, in->offset, in->data.t.size, in->data.t.buffer);
68 }
69
70 #endif // CC_NV_Write
71
```

## 31.8 TPM2\_NV\_Increment

### 31.8.1 General Description

This command is used to increment the value in an NV Index that has the TPM\_NT\_COUNTER attribute. The data value of the NV Index is incremented by one.

NOTE 1            The NV Index counter is an unsigned value.

If *nvIndexType* is not TPM\_NT\_COUNTER in the indicated NV Index, the TPM shall return TPM\_RC\_ATTRIBUTES.

Proper authorizations are required for this command as determined by TPMA\_NV\_PPWRITE, TPMA\_NV\_OWNERWRITE, TPMA\_NV\_AUTHWRITE, and, if TPMA\_NV\_POLICYWRITE is SET, the *authPolicy* of the NV Index.

If TPMA\_NV\_WRITELOCKED is SET, the TPM shall return TPM\_RC\_NV\_LOCKED.

If TPMA\_NV\_WRITTEN is CLEAR, it will be SET.

If TPMA\_NV\_ORDERLY is SET, and the difference between the volatile and non-volatile versions of this field is greater than MAX\_ORDERLY\_COUNT, then the non-volatile version of the counter is updated.

NOTE 2            If a TPM implements TPMA\_NV\_ORDERLY and an Index is defined with TPMA\_NV\_ORDERLY and TPM\_NT\_COUNTER both SET, then in the event of a non-orderly shutdown, the non-volatile value for the counter Index will be advanced by MAX\_ORDERLY\_COUNT at the next TPM2\_Startup().

NOTE 3            An allowed implementation would keep a counter value in NV and a resettable counter in RAM. The reported value of the NV Index would be the sum of the two values. When the RAM count increments past the maximum allowed value (MAX\_ORDERLY\_COUNT), the non-volatile version of the count is updated with the sum of the values and the RAM count is reset to zero.

### 31.8.2 Command and Response

**Table 234 — TPM2\_NV\_Increment Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_NV_Increment {NV}
TPMI_RH_NV_AUTH	@authHandle	handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER
TPMI_RH_NV_INDEX	nvIndex	the NV Index to increment Auth Index: None

**Table 235 — TPM2\_NV\_Increment Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 31.8.3 Detailed Actions

#### 31.8.3.1 /tpm/src/command/NVStorage/NV\_Increment.c

```

1  #include "Tpm.h"
2  #include "NV_Increment_fp.h"
3
4  #if CC_NV_Increment // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Increment a NV counter
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_ATTRIBUTES          NV index is not a counter
11 //     TPM_RC_NV_AUTHORIZATION    authorization failure
12 //     TPM_RC_NV_LOCKED           Index is write locked
13 TPM_RC
14 TPM2_NV_Increment(NV_Increment_In* in // IN: input parameter list
15 )
16 {
17     TPM_RC    result;
18     NV_REF    locator;
19     NV_INDEX* nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
20     UINT64     countValue;
21
22     // Input Validation
23
24     // Common access checks, NvWriteAccessCheck() may return TPM_RC_NV_AUTHORIZATION
25     // or TPM_RC_NV_LOCKED
26     result = NvWriteAccessChecks(
27         in->authHandle, in->nvIndex, nvIndex->publicArea.attributes);
28     if(result != TPM_RC_SUCCESS)
29         return result;
30
31     // Make sure that this is a counter
32     if(!IsNvCounterIndex(nvIndex->publicArea.attributes))
33         return TPM_RCS_ATTRIBUTES + RC_NV_Increment_nvIndex;
34
35     // Internal Data Update
36
37     // If counter index is not been written, initialize it
38     if(!IS_ATTRIBUTE(nvIndex->publicArea.attributes, TPMA_NV, WRITTEN))
39         countValue = NvReadMaxCount();
40     else
41         // Read NV data in native format for TPM CPU.
42         countValue = NvGetUINT64Data(nvIndex, locator);
43
44     // Do the increment
45     countValue++;
46
47     // Write NV data back. A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may
48     // be returned at this point. If necessary, this function will set the
49     // TPMA_NV_WRITTEN attribute
50     result = NvWriteUINT64Data(nvIndex, countValue);
51     if(result == TPM_RC_SUCCESS)
52     {
53         // If a counter just rolled over, then force the NV update.
54         // Note, if this is an orderly counter, then the write-back needs to be
55         // forced, for other counters, the write-back will happen anyway
56         if(IS_ATTRIBUTE(nvIndex->publicArea.attributes, TPMA_NV, ORDERLY)
57            && (countValue & MAX_ORDERLY_COUNT) == 0)
58         {
59             // Need to force an NV update of orderly data

```

```
60         SET_NV_UPDATE (UT_ORDERLY) ;  
61     }  
62 }  
63     return result;  
64 }  
65  
66 #endif // CC_NV_Increment  
67
```

## 31.9 TPM2\_NV\_Extend

### 31.9.1 General Description

This command extends a value to an area in NV memory that was previously defined by TPM2\_NV\_DefineSpace.

If *nvIndexType* is not TPM\_NT\_EXTEND, then the TPM shall return TPM\_RC\_ATTRIBUTES.

Proper write authorizations are required for this command as determined by TPMA\_NV\_PPWRITE, TPMA\_NV\_OWNERWRITE, TPMA\_NV\_AUTHWRITE, and, if TPMA\_NV\_POLICYWRITE is SET, the *authPolicy* of the NV Index.

After successful completion of this command, TPMA\_NV\_WRITTEN for the NV Index will be SET.

NOTE 1 Once SET, TPMA\_NV\_WRITTEN remains SET until the NV Index is undefined, unless the TPMA\_NV\_CLEAR\_STCLEAR attribute is SET and a TPM Reset or TPM Restart occurs.

If the TPMA\_NV\_WRITELOCKED attribute of the NV Index is SET, then the TPM shall return TPM\_RC\_NV\_LOCKED.

NOTE 2 If authorization sessions are present, they are checked before checks to see if writes to the NV Index are locked.

NOTE 3 The data.buffer parameter does not have to be the defined size of the NV Index. It may be any size allowed by TPM2B\_MAX\_NV\_BUFFER.

The Index will be updated by:

$$nvIndex \rightarrow data_{new} := H_{nameAlg}(nvIndex \rightarrow data_{old} || data.buffer) \quad (45)$$

where

<i>nvIndex</i> → <i>data</i> <sub>new</sub>	the value of the data field in the NV Index after the command returns
$H_{nameAlg}()$	the hash algorithm indicated in <i>nvIndex</i> → <i>nameAlg</i>
<i>nvIndex</i> → <i>data</i> <sub>old</sub>	the value of the data field in the NV Index before the command is called
<i>data.buffer</i>	the data buffer of the command parameter

NOTE 3 If TPMA\_NV\_WRITTEN is CLEAR, then *nvIndex*→*data*<sub>old</sub> is a Zero Digest.

## 31.9.2 Command and Response

Table 236 — TPM2\_NV\_Extend Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_NV_Extend {NV}
TPMI_RH_NV_AUTH	@authHandle	handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER
TPMI_RH_NV_INDEX	nvIndex	the NV Index to extend Auth Index: None
TPM2B_MAX_NV_BUFFER	data	the data to extend

Table 237 — TPM2\_NV\_Extend Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 31.9.3 Detailed Actions

#### 31.9.3.1 /tpm/src/command/NVStorage/NV\_Extend.c

```

1  #include "Tpm.h"
2  #include "NV_Extend_fp.h"
3
4  #if CC_NV_Extend // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Write to a NV index
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_ATTRIBUTES           the TPMA_NV_EXTEND attribute is not SET in
11 //                                the Index referenced by 'nvIndex'
12 //     TPM_RC_NV_AUTHORIZATION    the authorization was valid but the
13 //                                authorizing entity ('authHandle')
14 //                                is not allowed to write to the Index
15 //                                referenced by 'nvIndex'
16 //     TPM_RC_NV_LOCKED           the Index referenced by 'nvIndex' is locked
17 //                                for writing
18 TPM_RC
19 TPM2_NV_Extend(NV_Extend_In* in // IN: input parameter list
20 )
21 {
22     TPM_RC      result;
23     NV_REF      locator;
24     NV_INDEX*   nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
25
26     TPM2B_DIGEST oldDigest;
27     TPM2B_DIGEST newDigest;
28     HASH_STATE   hashState;
29
30     // Input Validation
31
32     // Common access checks, NvWriteAccessCheck() may return TPM_RC_NV_AUTHORIZATION
33     // or TPM_RC_NV_LOCKED
34     result = NvWriteAccessChecks(
35         in->authHandle, in->nvIndex, nvIndex->publicArea.attributes);
36     if(result != TPM_RC_SUCCESS)
37         return result;
38
39     // Make sure that this is an extend index
40     if(!IsNvExtendIndex(nvIndex->publicArea.attributes))
41         return TPM_RC_ATTRIBUTES + RC_NV_Extend_nvIndex;
42
43     // Internal Data Update
44
45     // Perform the write.
46     oldDigest.t.size = CryptHashGetDigestSize(nvIndex->publicArea.nameAlg);
47     pAssert(oldDigest.t.size <= sizeof(oldDigest.t.buffer));
48     if(IS_ATTRIBUTE(nvIndex->publicArea.attributes, TPMA_NV, WRITTEN))
49     {
50         NvGetIndexData(nvIndex, locator, 0, oldDigest.t.size, oldDigest.t.buffer);
51     }
52     else
53     {
54         MemorySet(oldDigest.t.buffer, 0, oldDigest.t.size);
55     }
56     // Start hash
57     newDigest.t.size = CryptHashStart(&hashState, nvIndex->publicArea.nameAlg);
58
59     // Adding old digest

```



```
60     CryptDigestUpdate2B(&hashState, &oldDigest.b);
61
62     // Adding new data
63     CryptDigestUpdate2B(&hashState, &in->data.b);
64
65     // Complete hash
66     CryptHashEnd2B(&hashState, &newDigest.b);
67
68     // Write extended hash back.
69     // Note, this routine will SET the TPMA_NV_WRITTEN attribute if necessary
70     return NvWriteIndexData(nvIndex, 0, newDigest.t.size, newDigest.t.buffer);
71 }
72
73 #endif // CC_NV_Extend
74
```

## 31.10 TPM2\_NV\_SetBits

### 31.10.1 General Description

This command is used to SET bits in an NV Index that was created as a bit field. Any number of bits from 0 to 64 may be SET. The contents of *bits* are ORed with the current contents of the NV Index.

Proper authorizations are required for this command as determined by TPMA\_NV\_PPWRITE, TPMA\_NV\_OWNERWRITE, TPMA\_NV\_AUTHWRITE, and, if TPMA\_NV\_POLICYWRITE is SET, the *authPolicy* of the NV Index.

If TPMA\_NV\_WRITTEN is not SET, then, for the purposes of this command, the NV Index is considered to contain all zero bits and *data* is ORed with that value.

If TPM\_NT\_BITS is not SET, then the TPM shall return TPM\_RC\_ATTRIBUTES.

After successful completion of this command, TPMA\_NV\_WRITTEN for the NV Index will be SET.

NOTE           TPMA\_NV\_WRITTEN will be SET even if no bits were SET.

## 31.10.2 Command and Response

Table 238 — TPM2\_NV\_SetBits Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_NV_SetBits {NV}
TPMI_RH_NV_AUTH	@authHandle	handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER
TPMI_RH_NV_INDEX	nvIndex	NV Index of the area in which the bit is to be set Auth Index: None
UINT64	bits	the data to OR with the current contents

Table 239 — TPM2\_NV\_SetBits Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

## 31.10.3 Detailed Actions

## 31.10.3.1 /tpm/src/command/NVStorage/NV\_SetBits.c

```

1  #include "Tpm.h"
2  #include "NV_SetBits_fp.h"
3
4  #if CC_NV_SetBits // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Set bits in a NV index
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_ATTRIBUTES           the TPMA_NV_BITS attribute is not SET in the
11 //                                Index referenced by 'nvIndex'
12 //     TPM_RC_NV_AUTHORIZATION    the authorization was valid but the
13 //                                authorizing entity ('authHandle')
14 //                                is not allowed to write to the Index
15 //                                referenced by 'nvIndex'
16 //     TPM_RC_NV_LOCKED           the Index referenced by 'nvIndex' is locked
17 //                                for writing
18 TPM_RC
19 TPM2_NV_SetBits(NV_SetBits_In* in // IN: input parameter list
20 )
21 {
22     TPM_RC    result;
23     NV_REF    locator;
24     NV_INDEX* nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
25     UINT64    oldValue;
26     UINT64    newValue;
27
28     // Input Validation
29
30     // Common access checks, NvWriteAccessCheck() may return TPM_RC_NV_AUTHORIZATION
31     // or TPM_RC_NV_LOCKED
32     result = NvWriteAccessChecks(
33         in->authHandle, in->nvIndex, nvIndex->publicArea.attributes);
34     if(result != TPM_RC_SUCCESS)
35         return result;
36
37     // Make sure that this is a bit field
38     if(!IsNvBitsIndex(nvIndex->publicArea.attributes))
39         return TPM_RCS_ATTRIBUTES + RC_NV_SetBits_nvIndex;
40
41     // If index is not been written, initialize it
42     if(!IS_ATTRIBUTE(nvIndex->publicArea.attributes, TPMA_NV, WRITTEN))
43         oldValue = 0;
44     else
45         // Read index data
46         oldValue = NvGetUINT64Data(nvIndex, locator);
47
48     // Figure out what the new value is going to be
49     newValue = oldValue | in->bits;
50
51     // Internal Data Update
52     return NvWriteUINT64Data(nvIndex, newValue);
53 }
54
55 #endif // CC_NV_SetBits
56

```

## 31.11 TPM2\_NV\_WriteLock

### 31.11.1 General Description

If the TPMA\_NV\_WRITEDEFINE or TPMA\_NV\_WRITE\_STCLEAR attributes of an NV location are SET, then this command may be used to inhibit further writes of the NV Index.

Proper write authorization is required for this command as determined by TPMA\_NV\_PPWRITE, TPMA\_NV\_OWNERWRITE, TPMA\_NV\_AUTHWRITE, and, if TPMA\_NV\_POLICYWRITE is SET the *authPolicy* of the NV Index.

If TPMA\_NV\_WRITELOCKED for the NV Index is already SET, the TPM shall return TPM\_RC\_SUCCESS if proper write authorization is provided and can always return TPM\_RC\_SUCCESS.

If neither TPMA\_NV\_WRITEDEFINE nor TPMA\_NV\_WRITE\_STCLEAR of the NV Index is SET, then the TPM shall return TPM\_RC\_ATTRIBUTES.

If the command is properly authorized and TPMA\_NV\_WRITE\_STCLEAR or TPMA\_NV\_WRITEDEFINE is SET, then the TPM shall SET TPMA\_NV\_WRITELOCKED for the NV Index. TPMA\_NV\_WRITELOCKED will be clear on the next TPM2\_Startup(TPM\_SU\_CLEAR) if either TPMA\_NV\_WRITEDEFINE is CLEAR or TPMA\_NV\_WRITTEN is CLEAR.

## 31.11.2 Command and Response

Table 240 — TPM2\_NV\_WriteLock Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_NV_WriteLock {NV}
TPMI_RH_NV_AUTH	@authHandle	handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER
TPMI_RH_NV_INDEX	nvIndex	the NV Index of the area to lock Auth Index: None

Table 241 — TPM2\_NV\_WriteLock Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

## 31.11.3 Detailed Actions

## 31.11.3.1 /tpm/src/command/NVStorage/NV\_WriteLock.c

```

1  #include "Tpm.h"
2  #include "NV_WriteLock_fp.h"
3
4  #if CC_NV_WriteLock // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Set write lock on a NV index
8  */
9  // Return Type: TPM_RC
10 // TPM_RC_ATTRIBUTES          neither TPMA_NV_WRITEDEFINE nor
11 //                             TPMA_NV_WRITE_STCLEAR is SET in Index
12 //                             referenced by 'nvIndex'
13 // TPM_RC_NV_AUTHORIZATION    the authorization was valid but the
14 //                             authorizing entity ('authHandle')
15 //                             is not allowed to write to the Index
16 //                             referenced by 'nvIndex'
17 //
18 TPM_RC
19 TPM2_NV_WriteLock(NV_WriteLock_In* in // IN: input parameter list
20 )
21 {
22     TPM_RC    result;
23     NV_REF    locator;
24     NV_INDEX* nvIndex    = NvGetIndexInfo(in->nvIndex, &locator);
25     TPMA_NV    nvAttributes = nvIndex->publicArea.attributes;
26
27     // Input Validation:
28
29     // Common access checks, NvWriteAccessCheck() may return TPM_RC_NV_AUTHORIZATION
30     // or TPM_RC_NV_LOCKED
31     result = NvWriteAccessChecks(in->authHandle, in->nvIndex, nvAttributes);
32     if(result != TPM_RC_SUCCESS)
33     {
34         if(result == TPM_RC_NV_AUTHORIZATION)
35             return result;
36         // If write access failed because the index is already locked, then it is
37         // no error.
38         return TPM_RC_SUCCESS;
39     }
40     // if neither TPMA_NV_WRITEDEFINE nor TPMA_NV_WRITE_STCLEAR is set, the index
41     // can not be write-locked
42     if(!IS_ATTRIBUTE(nvAttributes, TPMA_NV, WRITEDEFINE)
43        && !IS_ATTRIBUTE(nvAttributes, TPMA_NV, WRITE_STCLEAR))
44         return TPM_RC_ATTRIBUTES + RC_NV_WriteLock_nvIndex;
45     // Internal Data Update
46     // Set the WRITELOCK attribute.
47     // Note: if TPMA_NV_WRITELOCKED were already SET, then the write access check
48     // above would have failed and this code isn't executed.
49     SET_ATTRIBUTE(nvAttributes, TPMA_NV, WRITELOCKED);
50
51     // Write index info back
52     return NvWriteIndexAttributes(nvIndex->publicArea.nvIndex, locator, nvAttributes);
53 }
54
55 #endif // CC_NV_WriteLock
56

```

## 31.12 TPM2\_NV\_GlobalWriteLock

### 31.12.1 General Description

The command will SET TPMA\_NV\_WRITELOCKED for all indexes that have their TPMA\_NV\_GLOBALLOCK attribute SET.

If an Index has both TPMA\_NV\_GLOBALLOCK and TPMA\_NV\_WRITEDEFINE SET, then this command will permanently lock the NV Index for writing unless TPMA\_NV\_WRITTEN is CLEAR.

NOTE 1 If an Index is defined with TPMA\_NV\_GLOBALLOCK SET, then the global lock does not apply until the next time this command is executed.

This command requires either platformAuth/platformPolicy or ownerAuth/ownerPolicy. The Index will be locked whether the index was defined using Owner Authorization or Platform Authorization.

NOTE 2 Index locking is independent of TPMA\_NV\_PLATFORMCREATE and the type of authorization. For example, an index with TPMA\_NV\_PLATFORMCREATE SET will be locked if the command uses Owner Authorization.

This permits the owner to lock all indexes after the OS is present. The platform should not create an index with TPMA\_NV\_GLOBALLOCK SET unless it intends to allow the owner to lock the index.



## 31.12.2 Command and Response

Table 242 — TPM2\_NV\_GlobalWriteLock Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_NV_GlobalWriteLock {NV}
TPMI_RH_PROVISION	@authHandle	TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER

Table 243 — TPM2\_NV\_GlobalWriteLock Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 31.12.3 Detailed Actions

#### 31.12.3.1 /tpm/src/command/NVStorage/NV\_GlobalWriteLock.c

```
1  #include "Tpm.h"
2  #include "NV_GlobalWriteLock_fp.h"
3
4  #if CC_NV_GlobalWriteLock // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Set global write lock for NV index
8  */
9  TPM_RC
10 TPM2_NV_GlobalWriteLock(NV_GlobalWriteLock_In* in // IN: input parameter list
11 )
12 {
13     // Input parameter (the authorization handle) is not reference in command action.
14     NOT_REFERENCED(in);
15
16     // Internal Data Update
17
18     // Implementation dependent method of setting the global lock
19     return NvSetGlobalLock();
20 }
21
22 #endif // CC_NV_GlobalWriteLock
23
```

### 31.13 TPM2\_NV\_Read

#### 31.13.1 General Description

This command reads a value from an area in NV memory previously defined by TPM2\_NV\_DefineSpace().

Proper authorizations are required for this command as determined by TPMA\_NV\_PPREAD, TPMA\_NV\_OWNERREAD, TPMA\_NV\_AUTHREAD, and, if TPMA\_NV\_POLICYREAD is SET, the *authPolicy* of the NV Index.

If TPMA\_NV\_READLOCKED of the NV Index is SET, then the TPM shall return TPM\_RC\_NV\_LOCKED.

If *offset* and the *size* field of *data* add to a value that is greater than the *dataSize* field of the NV Index referenced by *nvIndex*, the TPM shall return an error (TPM\_RC\_NV\_RANGE). The implementation may return an error (TPM\_RC\_VALUE) if it performs an additional check and determines that *offset* is greater than the *dataSize* field of the NV Index.

For an NV Index with the TPM\_NT\_COUNTER or TPM\_NT\_BITS attribute SET, the TPM may ignore the *offset* parameter and use an offset of 0. Therefore, it is recommended that the caller set the *offset* parameter to 0 for interoperability.

NOTE 1 If authorization sessions are present, they are checked before the read-lock status of the NV Index is checked.

If the NV Index has been defined but the TPMA\_NV\_WRITTEN attribute is CLEAR, then this command shall return TPM\_RC\_NV\_UNINITIALIZED even if *size* is zero.

The *data* parameter in the response may be encrypted using parameter encryption.

## 31.13.2 Command and Response

Table 244 — TPM2\_NV\_Read Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_NV_Read
TPMI_RH_NV_AUTH	@authHandle	the handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER
TPMI_RH_NV_INDEX	nvIndex	the NV Index to be read Auth Index: None
UINT16	size	number of octets to read
UINT16	offset	octet offset into the NV area This value shall be less than or equal to the size of the <i>nvIndex</i> data.

Table 245 — TPM2\_NV\_Read Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_MAX_NV_BUFFER	data	the data read

### 31.13.3 Detailed Actions

#### 31.13.3.1 /tpm/src/command/NVStorage/NV\_Read.c

```

1  #include "Tpm.h"
2  #include "NV_Read_fp.h"
3
4  #if CC_NV_Read // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Read of an NV index
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_NV_AUTHORIZATION    the authorization was valid but the
11 //                                authorizing entity ('authHandle')
12 //                                is not allowed to read from the Index
13 //                                referenced by 'nvIndex'
14 //     TPM_RC_NV_LOCKED           the Index referenced by 'nvIndex' is
15 //                                read locked
16 //     TPM_RC_NV_RANGE            read range defined by 'size' and 'offset'
17 //                                is outside the range of the Index referenced
18 //                                by 'nvIndex'
19 //     TPM_RC_NV_UNINITIALIZED    the Index referenced by 'nvIndex' has
20 //                                not been initialized (written)
21 //     TPM_RC_VALUE               the read size is larger than the
22 //                                MAX_NV_BUFFER_SIZE
23 TPM_RC
24 TPM2_NV_Read(NV_Read_In* in, // IN: input parameter list
25             NV_Read_Out* out // OUT: output parameter list
26 )
27 {
28     NV_REF locator;
29     NV_INDEX* nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
30     TPM_RC result;
31
32     // Input Validation
33     // Common read access checks. NvReadAccessChecks() may return
34     // TPM_RC_NV_AUTHORIZATION, TPM_RC_NV_LOCKED, or TPM_RC_NV_UNINITIALIZED
35     result = NvReadAccessChecks(
36         in->authHandle, in->nvIndex, nvIndex->publicArea.attributes);
37     if(result != TPM_RC_SUCCESS)
38         return result;
39
40     // Make sure the data will fit the return buffer
41     if(in->size > MAX_NV_BUFFER_SIZE)
42         return TPM_RCS_VALUE + RC_NV_Read_size;
43
44     // Verify that the offset is not too large
45     if(in->offset > nvIndex->publicArea.dataSize)
46         return TPM_RCS_VALUE + RC_NV_Read_offset;
47
48     // Make sure that the selection is within the range of the Index
49     if(in->size > (nvIndex->publicArea.dataSize - in->offset))
50         return TPM_RC_NV_RANGE;
51
52     // Command Output
53     // Set the return size
54     out->data.t.size = in->size;
55
56     // Perform the read
57     NvGetIndexData(nvIndex, locator, in->offset, in->size, out->data.t.buffer);
58
59     return TPM_RC_SUCCESS;

```

```
60  }  
61  
62  #endif  // CC_NV_Read  
63
```

## 31.14 TPM2\_NV\_ReadLock

### 31.14.1 General Description

If TPMA\_NV\_READ\_STCLEAR is SET in an Index, then this command may be used to prevent further reads of the NV Index until the next TPM2\_Startup (TPM\_SU\_CLEAR).

Proper authorizations are required for this command as determined by TPMA\_NV\_PPREAD, TPMA\_NV\_OWNERREAD, TPMA\_NV\_AUTHREAD, and, if TPMA\_NV\_POLICYREAD is SET, the *authPolicy* of the NV Index.

If TPMA\_NV\_READLOCKED for the NV Index is already SET, the TPM shall return TPM\_RC\_SUCCESS if proper read authorization is provided and can always return TPM\_RC\_SUCCESS.

If the command is properly authorized and TPMA\_NV\_READ\_STCLEAR of the NV Index is SET, then the TPM shall SET TPMA\_NV\_READLOCKED for the NV Index. If TPMA\_NV\_READ\_STCLEAR of the NV Index is CLEAR, then the TPM shall return TPM\_RC\_ATTRIBUTES. TPMA\_NV\_READLOCKED will be CLEAR by the next TPM2\_Startup(TPM\_SU\_CLEAR).

An Index that had not been written may be locked for reading.

## 31.14.2 Command and Response

Table 246 — TPM2\_NV\_ReadLock Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_NV_ReadLock {NV}
TPMI_RH_NV_AUTH	@authHandle	the handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER
TPMI_RH_NV_INDEX	nvIndex	the NV Index to be locked Auth Index: None

Table 247 — TPM2\_NV\_ReadLock Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	



### 31.14.3 Detailed Actions

#### 31.14.3.1 /tpm/src/command/NVStorage/NV\_ReadLock.c

```

1  #include "Tpm.h"
2  #include "NV_ReadLock_fp.h"
3
4  #if CC_NV_ReadLock // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Set read lock on a NV index
8  */
9  // Return Type: TPM_RC
10 // TPM_RC_ATTRIBUTES TPMA_NV_READ_STCLEAR is not SET so
11 // Index referenced by 'nvIndex' may not be
12 // write locked
13 // TPM_RC_NV_AUTHORIZATION the authorization was valid but the
14 // authorizing entity ('authHandle')
15 // is not allowed to read from the Index
16 // referenced by 'nvIndex'
17 TPM_RC
18 TPM2_NV_ReadLock(NV_ReadLock_In* in // IN: input parameter list
19 )
20 {
21     TPM_RC result;
22     NV_REF locator;
23     // The referenced index has been checked multiple times before this is called
24     // so it must be present and will be loaded into cache
25     NV_INDEX* nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
26     TPMA_NV nvAttributes = nvIndex->publicArea.attributes;
27
28     // Input Validation
29     // Common read access checks. NvReadAccessChecks() may return
30     // TPM_RC_NV_AUTHORIZATION, TPM_RC_NV_LOCKED, or TPM_RC_NV_UNINITIALIZED
31     result = NvReadAccessChecks(in->authHandle, in->nvIndex, nvAttributes);
32     if(result == TPM_RC_NV_AUTHORIZATION)
33         return TPM_RC_NV_AUTHORIZATION;
34     // Index is already locked for write
35     else if(result == TPM_RC_NV_LOCKED)
36         return TPM_RC_SUCCESS;
37
38     // If NvReadAccessChecks return TPM_RC_NV_UNINITIALIZED, then continue.
39     // It is not an error to read lock an uninitialized Index.
40
41     // if TPMA_NV_READ_STCLEAR is not set, the index can not be read-locked
42     if(!IS_ATTRIBUTE(nvAttributes, TPMA_NV, READ_STCLEAR))
43         return TPM_RCS_ATTRIBUTES + RC_NV_ReadLock_nvIndex;
44
45     // Internal Data Update
46
47     // Set the READLOCK attribute
48     SET_ATTRIBUTE(nvAttributes, TPMA_NV, READLOCKED);
49
50     // Write NV info back
51     return NvWriteIndexAttributes(nvIndex->publicArea.nvIndex, locator, nvAttributes);
52 }
53
54 #endif // CC_NV_ReadLock
55
```

## 31.15 TPM2\_NV\_ChangeAuth

### 31.15.1 General Description

This command allows the authorization secret for an NV Index to be changed.

If successful, the authorization secret (*authValue*) of the NV Index associated with *nvIndex* is changed.

This command requires that a policy session be used for authorization of *nvIndex* so that the ADMIN role may be asserted and that *commandCode* in the policy session context shall be TPM\_CC\_NV\_ChangeAuth. That is, the policy must contain a specific authorization for changing the authorization value of the referenced entity.

NOTE            The reason for this restriction is to ensure that the administrative actions on *nvIndex* require explicit approval while other commands may use policy that is not command-dependent.

The size of the *newAuth* value may be no larger than the size of the digest produced by the *nameAlg* of the NV Index.

Since the NV Index authorization is changed before the response HMAC is calculated, the *newAuth* value is used when generating the response HMAC key if required (see TPM 2.0 Part 4, *ComputeResponseHMAC()*).

## 31.15.2 Command and Response

Table 248 — TPM2\_NV\_ChangeAuth Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_NV_ChangeAuth {NV}
TPMI_RH_NV_INDEX	@nvIndex	handle of the entity Auth Index: 1 Auth Role: ADMIN
TPM2B_AUTH	newAuth	new authorization value

Table 249 — TPM2\_NV\_ChangeAuth Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 31.15.3 Detailed Actions

#### 31.15.3.1 /tpm/src/command/NVStorage/NV\_ChangeAuth.c

```

1  #include "Tpm.h"
2  #include "NV_ChangeAuth_fp.h"
3
4  #if CC_NV_ChangeAuth // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // change authorization value of a NV index
8  */
9  // Return Type: TPM_RC
10 // TPM_RC_SIZE 'newAuth' size is larger than the digest
11 // size of the Name algorithm for the Index
12 // referenced by 'nvIndex'
13 TPM_RC
14 TPM2_NV_ChangeAuth(NV_ChangeAuth_In* in // IN: input parameter list
15 )
16 {
17     NV_REF locator;
18     NV_INDEX* nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
19
20     // Input Validation
21
22     // Remove trailing zeros and make sure that the result is not larger than the
23     // digest of the nameAlg.
24     if(MemoryRemoveTrailingZeros(&in->newAuth)
25        > CryptHashGetDigestSize(nvIndex->publicArea.nameAlg))
26         return TPM_RCS_SIZE + RC_NV_ChangeAuth_newAuth;
27
28     // Internal Data Update
29     // Change authValue
30     return NvWriteIndexAuth(locator, &in->newAuth);
31 }
32
33 #endif // CC_NV_ChangeAuth
34

```

## 31.16 TPM2\_NV\_Certify

### 31.16.1 General Description

The purpose of this command is to certify the contents of an NV Index or portion of an NV Index.

If the *sign* attribute is not SET in the key referenced by *signHandle* then the TPM shall return TPM\_RC\_KEY.

If the NV Index has been defined but the TPMA\_NV\_WRITTEN attribute is CLEAR, then this command shall return TPM\_RC\_NV\_UNINITIALIZED even if *size* is zero.

If proper authorization for reading the NV Index is provided, the portion of the NV Index selected by *size* and *offset* are included in an attestation block and signed using the key indicated by *signHandle*. The attestation includes *size* and *offset* so that the range of the data can be determined. It also includes the NV index Name.

For an NV Index with the TPM\_NT\_COUNTER or TPM\_NT\_BITS attribute SET, the TPM may ignore the *offset* parameter and use an offset of 0. Therefore, it is recommended that the caller set the *offset* parameter to 0 for interoperability.

If *offset* and *size* add to a value that is greater than the *dataSize* field of the NV Index referenced by *nvIndex*, the TPM shall return an error (TPM\_RC\_NV\_RANGE). The implementation may return an error (TPM\_RC\_VALUE) if it performs an additional check and determines that *offset* is greater than the *dataSize* field of the NV Index, or if *size* is greater than MAX\_NV\_BUFFER\_SIZE.

NOTE 1 See clause 18.1 for description of how the signing scheme is selected.

NOTE 2 If *signHandle* is TPM\_RH\_NULL, the TPMS\_ATTEST structure is returned, and *signature* is a NULL Signature.

If *size* and *offset* are both zero (0), then *certifyInfo* in the response will contain a TPMS\_NV\_DIGEST\_CERTIFY\_INFO, otherwise, it will contain a TPMS\_NV\_CERTIFY\_INFO. The digest in the TPMS\_NV\_DIGEST\_CERTIFY\_INFO is created using the digest of the selected signing scheme.

NOTE 3 TPMS\_NV\_DIGEST\_CERTIFY\_INFO was added in revision 01.53. It permits TPM2\_NV\_Certify() to certify NV Index contents that are larger than MAX\_NV\_BUFFER\_SIZE.

## 31.16.2 Command and Response

Table 250 — TPM2\_NV\_Certify Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_NV_Certify
TPMI_DH_OBJECT+	@signHandle	handle of the key used to sign the attestation structure Auth Index: 1 Auth Role: USER
TPMI_RH_NV_AUTH	@authHandle	handle indicating the source of the authorization value for the NV Index Auth Index: 2 Auth Role: USER
TPMI_RH_NV_INDEX	nvIndex	Index for the area to be certified Auth Index: None
TPM2B_DATA	qualifyingData	user-provided qualifying data
TPMT_SIG_SCHEME+	inScheme	signing scheme to use if the <i>scheme</i> for <i>signHandle</i> is TPM_ALG_NULL
UINT16	size	number of octets to certify
UINT16	offset	octet offset into the NV area This value shall be less than or equal to the size of the <i>nvIndex</i> data.

Table 251 — TPM2\_NV\_Certify Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	.
TPM2B_ATTEST	certifyInfo	the structure that was signed
TPMT_SIGNATURE	signature	the asymmetric signature over <i>certifyInfo</i> using the key referenced by <i>signHandle</i>

### 31.16.3 Detailed Actions

#### 31.16.3.1 /tpm/src/command/NVStorage/NV\_Certify.c

```

1  #include "Tpm.h"
2  #include "Attest_spt_fp.h"
3  #include "NV_Certify_fp.h"
4
5  #if CC_NV_Certify // Conditional expansion of this file
6
7  /*(See part 3 specification)
8  // certify the contents of an NV index or portion of an NV index
9  */
10 // Return Type: TPM_RC
11 //     TPM_RC_NV_AUTHORIZATION      the authorization was valid but the
12 //                                  authorizing entity ('authHandle')
13 //                                  is not allowed to read from the Index
14 //                                  referenced by 'nvIndex'
15 //     TPM_RC_KEY                   'signHandle' does not reference a signing
16 //                                  key
17 //     TPM_RC_NV_LOCKED             Index referenced by 'nvIndex' is locked
18 //                                  for reading
19 //     TPM_RC_NV_RANGE              'offset' plus 'size' extends outside of the
20 //                                  data range of the Index referenced by
21 //                                  'nvIndex'
22 //     TPM_RC_NV_UNINITIALIZED      Index referenced by 'nvIndex' has not been
23 //                                  written
24 //     TPM_RC_SCHEME                 'inScheme' is not an allowed value for the
25 //                                  key definition
26 TPM_RC
27 TPM2_NV_Certify(NV_Certify_In* in, // IN: input parameter list
28                NV_Certify_Out* out // OUT: output parameter list
29 )
30 {
31     TPM_RC      result;
32     NV_REF      locator;
33     NV_INDEX*   nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
34     TPMS_ATTEST certifyInfo;
35     OBJECT*     signObject = HandleToObject(in->signHandle);
36     // Input Validation
37     if(!IsSigningObject(signObject))
38         return TPM_RCS_KEY + RC_NV_Certify_signHandle;
39     if(!CryptSelectSignScheme(signObject, &in->inScheme))
40         return TPM_RCS_SCHEME + RC_NV_Certify_inScheme;
41
42     // Common access checks, NvWriteAccessCheck() may return TPM_RC_NV_AUTHORIZATION
43     // or TPM_RC_NV_LOCKED
44     result = NvReadAccessChecks(
45         in->authHandle, in->nvIndex, nvIndex->publicArea.attributes);
46     if(result != TPM_RC_SUCCESS)
47         return result;
48
49     // make sure that the selection is within the range of the Index (cast to avoid
50     // any wrap issues with addition)
51     if((UINT32)in->size + (UINT32)in->offset > (UINT32)nvIndex->publicArea.dataSize)
52         return TPM_RC_NV_RANGE;
53     // Make sure the data will fit the return buffer.
54     // NOTE: This check may be modified if the output buffer will not hold the
55     // maximum sized NV buffer as part of the certified data. The difference in
56     // size could be substantial if the signature scheme was produced a large
57     // signature (e.g., RSA 4096).
58     if(in->size > MAX_NV_BUFFER_SIZE)
59         return TPM_RCS_VALUE + RC_NV_Certify_size;

```

```

60
61 // Command Output
62
63 // Fill in attest information common fields
64 FillInAttestInfo(
65     in->signHandle, &in->inScheme, &in->qualifyingData, &certifyInfo);
66
67 // Get the name of the index
68 NvGetIndexName(nvIndex, &certifyInfo.attested.nv.indexName);
69
70 // See if this is old format or new format
71 if((in->size != 0) || (in->offset != 0))
72 {
73     // NV certify specific fields
74     // Attestation type
75     certifyInfo.type = TPM_ST_ATTEST_NV;
76
77     // Set the return size
78     certifyInfo.attested.nv.nvContents.t.size = in->size;
79
80     // Set the offset
81     certifyInfo.attested.nv.offset = in->offset;
82
83     // Perform the read
84     NvGetIndexData(nvIndex,
85                    locator,
86                    in->offset,
87                    in->size,
88                    certifyInfo.attested.nv.nvContents.t.buffer);
89 }
90 else
91 {
92     HASH_STATE hashState;
93     // This is to sign a digest of the data
94     certifyInfo.type = TPM_ST_ATTEST_NV_DIGEST;
95     // Initialize the hash before calling the function to add the Index data to
96     // the hash.
97     certifyInfo.attested.nvDigest.nvDigest.t.size =
98         CryptHashStart(&hashState, in->inScheme.details.any.hashAlg);
99     NvHashIndexData(
100         &hashState, nvIndex, locator, 0, nvIndex->publicArea.dataSize);
101     CryptHashEnd2B(&hashState, &certifyInfo.attested.nvDigest.nvDigest.b);
102 }
103 // Sign attestation structure. A NULL signature will be returned if
104 // signObject is NULL.
105 return SignAttestInfo(signObject,
106                       &in->inScheme,
107                       &certifyInfo,
108                       &in->qualifyingData,
109                       &out->certifyInfo,
110                       &out->signature);
111 }
112
113 #endif // CC_NV_Certify
114

```



## 31.17 TPM2\_NV\_DefineSpace2

### 31.17.1 General Description

This command is identical to TPM2\_NV\_DefineSpace(), except that the *publicInfo* parameter is a TPM2B\_NV\_PUBLIC\_2, allowing all types of NV indices that support DefineSpace to be defined.

The following types of NV indices are supported by this command:

- TPM\_HT\_NV\_INDEX (the legacy NV index type)
- TPM\_HT\_EXTERNAL\_NV

NOTE TPM2\_NV\_DefineSpace2() was added in revision 01.74.

## 31.17.2 Command and Response

Table 252 — TPM2\_NV\_DefineSpace2 Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_NV_DefineSpace2 {NV}
TPMI_RH_PROVISION	@authHandle	TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER
TPM2B_AUTH	auth	the authorization value
TPM2B_NV_PUBLIC_2	publicInfo	the public parameters of the NV area

Table 253 — TPM2\_NV\_DefineSpace2 Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

## 31.17.3 Detailed Actions

## 31.17.3.1 /tpm/src/command/NVStorage/NV\_DefineSpace2.c

```

1  #include "Tpm.h"
2  #include "NV_DefineSpace2_fp.h"
3
4  #if CC_NV_DefineSpace2 // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Define a NV index space
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_HIERARCHY           for authorizations using TPM_RH_PLATFORM
11 //                                     phEnable_NV is clear preventing access to NV
12 //                                     data in the platform hierarchy.
13 //     TPM_RC_ATTRIBUTES          attributes of the index are not consistent
14 //     TPM_RC_NV_DEFINED          index already exists
15 //     TPM_RC_NV_SPACE           insufficient space for the index
16 //     TPM_RC_SIZE                'auth->size' or 'publicInfo->authPolicy.size' is
17 //                                     larger than the digest size of
18 //                                     'publicInfo->nameAlg'; or 'publicInfo->dataSize'
19 //                                     is not consistent with 'publicInfo->attributes'
20 //                                     (this includes the case when the index is
21 //                                     larger than a MAX_NV_BUFFER_SIZE but the
22 //                                     TPMA_NV_WRITEALL attribute is SET)
23 TPM_RC
24 TPM2_NV_DefineSpace2(NV_DefineSpace2_In* in // IN: input parameter list
25 )
26 {
27     TPM_RC      result;
28     TPMS_NV_PUBLIC legacyPublic;
29
30     // Input Validation
31
32     // Validate the handle type and the (handle-type-specific) attributes.
33     switch(in->publicInfo.nvPublic2.handleType)
34     {
35         case TPM_HT_NV_INDEX:
36             break;
37     # if EXTERNAL_NV
38         case TPM_HT_EXTERNAL_NV:
39             // The reference implementation may let you define an "external" NV
40             // index, but it doesn't currently support setting any of the extended
41             // bits for customizing the behavior of external NV.
42             if((TPMA_NV_EXP_TO_UINT64(
43                 in->publicInfo.nvPublic2.nvPublic2.externalNV.attributes)
44                 & 0xffffffff00000000)
45                 != 0)
46             {
47                 return TPM_RCS_ATTRIBUTES + RC_NV_DefineSpace2_publicInfo;
48             }
49             break;
50     # endif
51         default:
52             return TPM_RCS_HANDLE + RC_NV_DefineSpace2_publicInfo;
53     }
54
55     result = NvPublicFromNvPublic2(&in->publicInfo.nvPublic2, &legacyPublic);
56     if(result != TPM_RC_SUCCESS)
57     {
58         return RcSafeAddToResult(result, RC_NV_DefineSpace2_publicInfo);
59     }
60

```

```
61     return NvDefineSpace(in->authHandle,  
62                          &in->auth,  
63                          &legacyPublic,  
64                          RC_NV_DefineSpace2_authHandle,  
65                          RC_NV_DefineSpace2_auth,  
66                          RC_NV_DefineSpace2_publicInfo);  
67 }  
68  
69 #endif // CC_NV_DefineSpace  
70
```

## 31.18 TPM2\_NV\_ReadPublic2

### 31.18.1 General Description

This command is identical to TPM2\_NV\_ReadPublic(), except that it supports NV indices of all types, and returns the public area as a TPM2B\_NV\_PUBLIC\_2.

The Name of a TPM\_HT\_NV\_INDEX is consistent whether it is returned from TPM2\_NV\_ReadPublic() or TPM2\_NV\_ReadPublic2().

NOTE 1 The Name is the same because it is calculated using a marshaled TPMU\_NV\_PUBLIC\_2, which is a TPMS\_NV\_PUBLIC in both commands. The TPMT\_NV\_PUBLIC\_2 union tag *handleType* is not included.

NOTE 2 TPM2\_NV\_ReadPublic2() was added in revision 01.74.

### 31.18.2 Command and Response

**Table 254 — TPM2\_NV\_ReadPublic2 Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_NV_ReadPublic2
TPMI_RH_NV_INDEX	nvIndex	the NV Index Auth Index: None

**Table 255 — TPM2\_NV\_ReadPublic2 Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPM2B_NV_PUBLIC_2	nvPublic	the public area of the NV Index
TPM2B_NAME	nvName	the Name of the <i>nvIndex</i>

### 31.18.3 Detailed Actions

#### 31.18.3.1 /tpm/src/command/NVStorage/NV\_ReadPublic2.c

```

1  #include "Tpm.h"
2  #include "NV_ReadPublic2_fp.h"
3
4  #if CC_NV_ReadPublic2 // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // Read the public information of a NV index
8  */
9  TPM_RC
10 TPM2_NV_ReadPublic2(NV_ReadPublic2_In* in, // IN: input parameter list
11                    NV_ReadPublic2_Out* out // OUT: output parameter list
12 )
13 {
14     TPM_RC    result;
15     NV_INDEX* nvIndex;
16
17     nvIndex = NvGetIndexInfo(in->nvIndex, NULL);
18
19     // Command Output
20
21     // The reference code stores its NV indices in the legacy form, because
22     // it doesn't support any extended attributes.
23     // Translate the legacy form to the general form.
24     result = NvPublic2FromNvPublic(&nvIndex->publicArea, &out->nvPublic.nvPublic2);
25     if(result != TPM_RC_SUCCESS)
26     {
27         return RcSafeAddToResult(result, RC_NV_ReadPublic2_nvIndex);
28     }
29
30     // Compute NV name
31     NvGetIndexName(nvIndex, &out->nvName);
32
33     return TPM_RC_SUCCESS;
34 }
35
36 #endif // CC_NV_ReadPublic2
37

```

## 32 Attached Components

### 32.1 Introduction

This section contains commands that allow interaction with an Attached Component (AC).

NOTE The Attached Component feature was added in revision 01.40.

## 32.2 TPM2\_AC\_GetCapability

### 32.2.1 General Description

The purpose of this command is to obtain information about an Attached Component referenced by an AC handle.

The returned list contains 0 or more values starting at the first tagged value that is equal to or greater than *capability*.

The list returned in *capabilitiesData* contains tagged values that indicate the type of the value.

The TPM will return the lesser of a) the available values, b) the number requested in *count*, or c) the number that will fit within the available response buffer. If additional values with higher *capability* numbers are available, *moreData* will be YES.

NOTE TPM2\_AC\_GetCapability() was added in revision 01.40.

### 32.2.2 Command and Response

**Table 256 — TPM2\_AC\_GetCapability Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_AC_GetCapability
TPMI_RH_AC	ac	handle indicating the Attached Component Auth Index: None
TPM_AT	capability	starting info type
UINT32	count	maximum number of values to return

**Table 257 — TPM2\_AC\_GetCapability Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	.
TPMI_YES_NO	moreData	flag to indicate whether there are more values
TPML_AC_CAPABILITIES	capabilitiesData	list of capabilities

### 32.2.3 Detailed Actions

#### 32.2.3.1 /tpm/src/command/AttachedComponent/AC\_GetCapability.c

```

1  #include "Tpm.h"
2  #include "AC_GetCapability_fp.h"
3  #include "AC_spt_fp.h"
4
5  #if CC_AC_GetCapability // Conditional expansion of this file

```



```
6
7  /*(See part 3 specification)
8  // This command returns various information regarding Attached Components
9  */
10 TPM_RC
11 TPM2_AC_GetCapability(AC_GetCapability_In* in, // IN: input parameter list
12                      AC_GetCapability_Out* out // OUT: output parameter list
13 )
14 {
15     // Command Output
16     out->moreData =
17         AcCapabilitiesGet(in->ac, in->capability, in->count, &out->capabilitiesData);
18
19     return TPM_RC_SUCCESS;
20 }
21
22 #endif // CC_AC_GetCapability
23
```

### 32.3 TPM2\_AC\_Send

#### 32.3.1 General Description

The purpose of this command is to send (copy) a loaded object from the TPM to an Attached Component.

The Object referenced by *sendObject* is required to have *fixedTpm*, *fixedParent*, and *encryptedDuplication* attributes CLEAR (TPM\_RC\_ATTRIBUTES). Authorization for *sendObject* is required to be a policy session. The *policySession→commandCode* of the policy session context is required to be TPM\_CC\_AC\_Send (TPM\_RC\_POLICY\_FAIL) to demonstrate that the policy is specific for this command.

Authorization to send to the *ac* is provided by the session associated with *authHandle*.

If an NV Alias is not defined for *ac*, then *authHandle* is required to be either TPM\_RH\_OWNER or TPM\_RH\_PLATFORM (TPM\_RC\_HANDLE).

If an NV Alias is defined for *ac*, then the authorization for *authHandle* is required to be compatible with the write authorization attributes (TPMA\_NV\_PPWRITE, TPMA\_NV\_OWNERWRITE, TPMA\_NV\_AUTHWRITE, and TPMA\_NV\_POLICYWRITE) in the NV Alias (TPM\_RC\_NV\_AUTHORIZATION).

NOTE 1 If authorization for *authHandle* is the handle of an NV Index, then it is required to be the NV Alias value for *ac* (TPM\_RC\_NV\_AUTHORIZATION).

If authorization succeeds, the TPM will attempt to send *acDataIn* and relevant portions of *sendObject* to the AC referenced by *ac*.

The TPM will return TPM\_RC\_SUCCESS if it succeeds in performing all the required authorizations and validations. If problems occur in the process of sending the object from the TPM to the AC, the response code will be TPM\_RC\_SUCCESS with the AC-dependent error reported in *acDataOut*.

NOTE 2 TPM2\_AC\_Send() was added in revision 01.40.

#### 32.3.2 Command and Response

Table 258 — TPM2\_AC\_Send Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	Tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_AC_Send
TPMI_DH_OBJECT	@sendObject	handle of the object being sent to ac Auth Index: 1 Auth Role: DUP
TPMI_RH_NV_AUTH	@authHandle	the handle indicating the source of the authorization value Auth Index: 2 Auth Role: USER
TPMI_RH_AC	ac	handle indicating the Attached Component to which the object will be sent Auth Index: None
TPM2B_MAX_BUFFER	acDataIn	Optional non sensitive information related to the object

Table 259 — TPM2\_AC\_Send Response

Type	Name	Description
TPM_ST	Tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	
TPMS_AC_OUTPUT	acDataOut	May include AC specific data or information about an error.

### 32.3.3 Detailed Actions

#### 32.3.3.1 /tpm/src/command/AttachedComponent/AC\_Send.c

```

1  #include "Tpm.h"
2  #include "AC_Send_fp.h"
3  #include "AC_spt_fp.h"
4
5  #if CC_AC_Send // Conditional expansion of this file
6
7  /*(See part 3 specification)
8  // Duplicate a loaded object
9  */
10 // Return Type: TPM_RC
11 //     TPM_RC_ATTRIBUTES key to duplicate has 'fixedParent' SET
12 //     TPM_RC_HASH       for an RSA key, the nameAlg digest size for the
13 //                       newParent is not compatible with the key size
14 //     TPM_RC_HIERARCHY  'encryptedDuplication' is SET and 'newParentHandle'
15 //                       specifies Null Hierarchy
16 //     TPM_RC_KEY        'newParentHandle' references invalid ECC key (public
17 //                       point not on the curve)
18 //     TPM_RC_SIZE       input encryption key size does not match the
19 //                       size specified in symmetric algorithm
20 //     TPM_RC_SYMMETRIC  'encryptedDuplication' is SET but no symmetric
21 //                       algorithm is provided
22 //     TPM_RC_TYPE        'newParentHandle' is neither a storage key nor
23 //                       TPM_RH_NULL; or the object has a NULL nameAlg
24 //     TPM_RC_VALUE      for an RSA newParent, the sizes of the digest and
25 //                       the encryption key are too large to be OAEP encoded
26 TPM_RC
27 TPM2_AC_Send(AC_Send_In* in, // IN: input parameter list
28             AC_Send_Out* out // OUT: output parameter list
29 )
30 {
31     NV_REF locator;
32     TPM_HANDLE nvAlias = ((in->ac - AC_FIRST) + NV_AC_FIRST);
33     NV_INDEX* nvIndex = NvGetIndexInfo(nvAlias, &locator);
34     OBJECT* object = HandleToObject(in->sendObject);
35     TPM_RC result;
36     // Input validation
37     // If there is an NV alias, then the index must allow the authorization provided
38     if(nvIndex != NULL)
39     {
40         // Common access checks, NvWriteAccessCheck() may return
41         // TPM_RC_NV_AUTHORIZATION or TPM_RC_NV_LOCKED
42         result = NvWriteAccessChecks(
43             in->authHandle, nvAlias, nvIndex->publicArea.attributes);
44         if(result != TPM_RC_SUCCESS)
45             return result;
46     }
47     // If 'ac' did not have an alias then the authorization had to be with either

```

```
48 // platform or owner authorization. The type of TPMI_RH_NV_AUTH only allows
49 // owner or platform or an NV index. If it was a valid index, it would have had
50 // an alias and be processed above, so only success here is if this is a
51 // permanent handle.
52 else if(HandleGetType(in->authHandle) != TPM_HT_PERMANENT)
53     return TPM_RCS_HANDLE + RC_AC_Send_authHandle;
54 // Make sure that the object to be duplicated has the right attributes
55 if(IS_ATTRIBUTE(
56     object->publicArea.objectAttributes, TPMA_OBJECT, encryptedDuplication)
57     || IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT, fixedParent)
58     || IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT, fixedTPM))
59     return TPM_RCS_ATTRIBUTES + RC_AC_Send_sendObject;
60 // Command output
61 // Do the implementation dependent send
62 return AcSendObject(in->ac, object, &out->acDataOut);
63 }
64
65 #endif // TPM_CC_AC_Send
66
```

## 32.4 TPM2\_Policy\_AC\_SendSelect

### 32.4.1 General Description

This command allows qualification of the sending (copying) of an Object to an Attached Component (AC). Qualification includes selection of the receiving AC and the method of authentication for the AC, and, in certain circumstances, the Object to be sent may be specified.

If this command is not used in conjunction with TPM2\_PolicyAuthorize(), then only the *authHandleName* and *acName* are selected and *includeObject* should be CLEAR.

NOTE 1 In the absence of TPM2\_PolicyAuthorize(), a policy session cannot create a *policyDigest* that simultaneously equals the *authPolicy* in an Object and names that Object. This is because the *authPolicy* recorded in an Object is unable to include the Name of the Object as the Name of an Object depends on the Object's *authPolicy*.

NOTE 2 An object's *authPolicy* can incorporate the use of TPM2\_PolicyAuthorize(). If the authorizing entity for the TPM2\_PolicyAuthorize() command specifies only the *ac* and the *authHandle*, then the resultant *policyDigest* may be applied to the sending of any number of Objects. If the authorizing entity for the TPM2\_PolicyAuthorize() also specifies the Name of the Object to be sent, then the resultant *policyDigest* applies only to that specific Object.

If either *policySession*→*cpHash* or *policySession*→*nameHash* has been previously set, the TPM shall return TPM\_RC\_CPHASH. Otherwise, *policySession*→*nameHash* will be set to:

$$nameHash := H_{policyAlg}(objectName || authHandleName || acName) \quad (46)$$

NOTE 3 A policy cannot specify both *cpHash* and *nameHash* because *policySession*→*nameHash* and *policySession*→*cpHash* may share the same memory space.

If the command succeeds, *policySession*→*policyDigest* will be updated according to the setting of the input parameter *includeObject*. If *includeObject* is SET, *policySession*→*policyDigest* is updated by:

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_Policy\_AC\_SendSelect || objectName || authHandleName || acName || includeObject) \quad (47)$$

but if *includeObject* is CLEAR, *policySession*→*policyDigest* is updated by:

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM\_CC\_Policy\_AC\_SendSelect || authHandleName || acName || includeObject) \quad (48)$$

NOTE 4 *policySession*→*nameHash* receives the digest of all Names so that the check performed in TPM2\_AC\_Send() may be the same regardless of which Names are included in *policySession*→*policyDigest*. This means that, when TPM2\_Policy\_AC\_SendSelect() is executed, it is only valid for a specific triple of *objectName*, *authHandleName*, and *acName*.

If the command succeeds, *policySession*→*commandCode* is set to TPM\_CC\_AC\_Send.

NOTE 5 The normal use of TPM2\_Policy\_AC\_SendSelect() is before a TPM2\_PolicyAuthorize(). An authorized entity would approve a *policyDigest* that allows sending to a specific Attached Component. The authorizing entity may want to limit the authorization so that the approval allows only a specific Object to be sent to the Attached Component. In that case, the authorizing entity would approve the *policyDigest* of equation (48).

NOTE 6 TPM2\_Policy\_AC\_SendSelect() was added in revision 01.40.

### 32.4.2 Command and Response

**Table 260 — TPM2\_Policy\_AC\_SendSelect Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	Tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_Policy_AC_SendSelect
TPMI_SH_POLICY	policySession	handle for the policy session being extended Auth Index: None
TPM2B_NAME	objectName	the Name of the Object to be sent
TPM2B_NAME	authHandleName	the Name associated with <i>authHandle</i> used in the TPM2_AC_Send() command
TPM2B_NAME	acName	the Name of the Attached Component to which the Object will be sent
TPMI_YES_NO	includeObject	if SET, <i>objectName</i> will be included in the value in <i>policySession</i> → <i>policyDigest</i>

**Table 261 — TPM2\_Policy\_AC\_SendSelect Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 32.4.3 Detailed Actions

#### 32.4.3.1 /tpm/src/command/AttachedComponent/Policy\_AC\_SendSelect.c

```

1  #include "Tpm.h"
2  #include "Policy_AC_SendSelect_fp.h"
3
4  #if CC_Policy_AC_SendSelect // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // allows qualification of attached component and object to be sent.
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_COMMAND_CODE 'commandCode' of 'policySession' is not empty
11 //     TPM_RC_CPHASH       'cpHash' of 'policySession' is not empty
12 TPM_RC
13 TPM2_Policy_AC_SendSelect(Policy_AC_SendSelect_In* in // IN: input parameter list
14 )
15 {
16     SESSION* session;
17     HASH_STATE hashState;
18     TPM_CC commandCode = TPM_CC_Policy_AC_SendSelect;
19
20     // Input Validation
21
22     // Get pointer to the session structure
23     session = SessionGet(in->policySession);

```

```

24
25 // cpHash in session context must be empty
26 if(session->u1.cpHash.t.size != 0)
27     return TPM_RC_CPHASH;
28 // commandCode in session context must be empty
29 if(session->commandCode != 0)
30     return TPM_RC_COMMAND_CODE;
31 // Internal Data Update
32 // Update name hash
33 session->u1.cpHash.t.size = CryptHashStart(&hashState, session->authHashAlg);
34
35 // add objectName
36 CryptDigestUpdate2B(&hashState, &in->objectName.b);
37
38 // add authHandleName
39 CryptDigestUpdate2B(&hashState, &in->authHandleName.b);
40
41 // add ac name
42 CryptDigestUpdate2B(&hashState, &in->acName.b);
43
44 // complete hash
45 CryptHashEnd2B(&hashState, &session->u1.cpHash.b);
46
47 // update policy hash
48 // Old policyDigest size should be the same as the new policyDigest size since
49 // they are using the same hash algorithm
50 session->u2.policyDigest.t.size =
51     CryptHashStart(&hashState, session->authHashAlg);
52 // add old policy
53 CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
54
55 // add command code
56 CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
57
58 // add objectName
59 if(in->includeObject == YES)
60     CryptDigestUpdate2B(&hashState, &in->objectName.b);
61
62 // add authHandleName
63 CryptDigestUpdate2B(&hashState, &in->authHandleName.b);
64
65 // add acName
66 CryptDigestUpdate2B(&hashState, &in->acName.b);
67
68 // add includeObject
69 CryptDigestUpdateInt(&hashState, sizeof(TPMI_YES_NO), in->includeObject);
70
71 // complete digest
72 CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
73
74 // set commandCode in session context
75 session->commandCode = TPM_CC_AC_Send;
76
77 return TPM_RC_SUCCESS;
78 }
79
80 #endif // CC_Policy_AC_SendSelect
81

```

## 33 Authenticated Countdown Timer

### 33.1 Introduction

Clause 32.4.3.1 contains commands that allow interaction with an Authenticated Countdown Timer (ACT).

NOTE The Authenticated Countdown Timer was added in revision 01.56.

### 33.2 TPM2\_ACT\_SetTimeout

#### 33.2.1 General Description

This command is used to set the time remaining before an Authenticated Countdown Timer (ACT) expires.

This command sets `TPMS_ACT_DATA.timeout` (ACT Timeout) to *startTimeout*. The *startTimeout* value is an integer number of seconds and may be zero. The *startTimeout* parameter may be greater, equal, or less than the current value of ACT Timeout.

When ACT Timeout is non-zero, it will count down, once per second until it reaches zero, at which time the *signaled* attribute of the `TPMA_ACT` associated with *actHandle* is SET.

When ACT Timeout is zero and the *signaled* attribute is SET, writing a *startTimeout* of `FF FF FF FF16` will clear *signaled* and stop the counting.

There are four states for ACT Timeout and *startTimeout*. The *signaled* attribute will be set as follows:

- 1) If ACT Timeout is zero and *startTimeout* is non-zero, then *signaled* will be CLEAR.
- 2) If ACT Timeout is non-zero and *startTimeout* is non-zero, then *signaled* will be CLEAR.
- 3) If ACT Timeout is zero and *startTimeout* is zero, then *signaled* will be unchanged.
- 4) If ACT Timeout is non-zero and *startTimeout* is zero, then *signaled* will be SET.

When this command is successful, *preserveSignaled* will be CLEAR.

NOTE 1 The ACT signals on a transition from non-zero to zero. The transition can occur either due to `TPM2_ACT_SetTimeout()` or a decrement. The effect of *signaled* is platform dependent.

NOTE 2 It may take up to one second until ACT Timeout will be set and *signaled* will be CLEAR or SET by `TPM2_ACT_SetTimeout()` or `TPM2_Startup(STATE)`. This allows the counting and signaling to take place synchronously with the hardware clock tick.

NOTE 3 `TPM2_ACT_SetTimeout()` was added in revision 01.56.



**33.2.2 Command and Response****Table 262 — TPM2\_ACT\_SetTimeout Command**

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_ACT_SetTimeout
TPMI_RH_ACT	@actHandle	Handle of the selected ACT Auth Index: 1 Auth Role: USER
UINT32	startTimeout	the start timeout value for the ACT in seconds

**Table 263 — TPM2\_ACT\_SetTimeout Response**

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	

### 33.2.3 Detailed Actions

#### 33.2.3.1 /tpm/src/command/ClockTimer/ACT\_SetTimeout.c

```

1  #include "Tpm.h"
2  #include "ACT_SetTimeout_fp.h"
3
4  #if CC_ACT_SetTimeout // Conditional expansion of this file
5
6  /*(See part 3 specification)
7  // prove an object with a specific Name is loaded in the TPM
8  */
9  // Return Type: TPM_RC
10 //     TPM_RC_RETRY          returned when an update for the selected ACT is
11 //                           already pending
12 //     TPM_RC_VALUE          attempt to disable signaling from an ACT that has
13 //                           not expired
14 TPM_RC
15 TPM2_ACT_SetTimeout(ACT_SetTimeout_In* in // IN: input parameter list
16 )
17 {
18     // If 'startTimeout' is UINT32_MAX, then this is an attempt to disable the ACT
19     // and turn off the signaling for the ACT. This is only valid if the ACT
20     // is signaling.
21     # if ACT_SUPPORT
22         if((in->startTimeout == UINT32_MAX) && !ActGetSignaled(in->actHandle))
23             return TPM_RC_VALUE + RC_ACT_SetTimeout_startTimeout;
24         return ActCounterUpdate(in->actHandle, in->startTimeout);
25     # else // ACT_SUPPORT
26         NOT_REFERENCED(in);
27         return TPM_RC_VALUE + RC_ACT_SetTimeout_startTimeout;
28     # endif // ACT_SUPPORT
29 }
30
31 #endif // CC_ACT_SetTimeout
32

```

## 34 Vendor Specific

### 34.1 Introduction

Clause 33.2.3.1 contains commands that are vendor specific but made public in order to prevent proliferation.

This specification does define TPM2\_Vendor\_TCG\_Test() in order to have at least one command that can be used to ensure the proper operation of the command dispatch code when processing a vendor-specific command.

### 34.2 TPM2\_Vendor\_TCG\_Test

#### 34.2.1 General Description

This is a placeholder to allow testing of the dispatch code.

## 34.2.2 Command and Response

Table 264 — TPM2\_Vendor\_TCG\_Test Command

Type	Name	Description
TPMI_ST_COMMAND_TAG	tag	TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS
UINT32	commandSize	
TPM_CC	commandCode	TPM_CC_Vendor_TCG_Test
TPM2B_DATA	inputData	dummy data

Table 265 — TPM2\_Vendor\_TCG\_Test Response

Type	Name	Description
TPM_ST	tag	see clause 6
UINT32	responseSize	
TPM_RC	responseCode	TPM_RC_SUCCESS
TPM2B_DATA	outputData	dummy data

### 34.2.3 Detailed Actions

#### 34.2.3.1 /tpm/src/command/Vendor/Vendor\_TCG\_Test.c

```
1  #include "Tpm.h"
2
3  #if CC_Vendor_TCG_Test // Conditional expansion of this file
4  # include "Vendor_TCG_Test_fp.h"
5
6  TPM_RC
7  TPM2_Vendor_TCG_Test(Vendor_TCG_Test_In* in, // IN: input parameter list
8                      Vendor_TCG_Test_Out* out // OUT: output parameter list
9  )
10 {
11     out->outputData = in->inputData;
12     return TPM_RC_SUCCESS;
13 }
14
15 #endif // CC_Vendor_TCG_Test
16
```